Attachment 1: Clinical Director Survey

OMB Number: 0930-XXXX

Expiration date: XX-XXXXX

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ADDICTION TECHNOLOGY TRANSFER CENTER (ATTC) NETWORK

Vital Signs - Taking the Pulse of the Addictions Treatment Profession

Demographics & Professional Background

1.) Gender:	Female	_ Male	_ Transgender
2.) Year of your	birth: 19		
3.) Are you His _l	panic or Latino?	Yes _	No
4.) Race: (<i>Pleas</i>	e check all that o	ıpply)	
Americai	n Indian/ Alaska	Native	

	Asian	
	Native Hawaiian/Other Pacif	ic Islander
	Black or African American	
	White	
5.) Mi	ilitary affiliation? (Please chec	ck only one)
	No Affiliation Reserve/National Guard Active Duty	
	Veteran/Retired Military	
6.) Hi	ghest degree status: (<i>Please ch</i>	neck only one)
	No high school diploma or ed	quivalent
	High school diploma or equiv	valent
	Some college, but no degree	
	Associate's degree	
	Bachelor's degree	
	Master's degree	
	Doctoral degree or equivalen	t
	Doctor of medicine	
	Other (Please specify)	
7.) W	ould you describe yourself as a	a person in recovery?
	Yes	_ No
	I prefer not to disclose this in	formation

8.) Please indicate below the areas of practice for which you are licensed or certified within the state in which you work:

YES	NO	
		Substance Abuse Counseling
		Marriage & Family Therapy
		Social Work/Clinical Social Work
		School Psychology/Educational Psychology
		General Counseling
		Other (please specify:)
	NO (Plo YES (p	r certified as a Clinical Supervisor? Pease specify reason)
	OR	STATE certification/licensure NATIONAL certification/licensure
	1	NATIONAL and STATE certification/licensure

10.b) Please indicate whether Clinical Supervisor certification or licensure is available in you
state.
YES
NO
11.) Currently registered in a formal program of study resulting in a certificate or academic legree:
Yes (Please specify)
No
12.) Years of experience: (If less than one year, please record as one)
Number of years
12a.) In the social services field, other than in
substance abuse treatment? 12b.) In the substance abuse treatment field?
12c.) At your current employer/agency?
12d.) In your current position?
13.) What is your official job title?
14.) Is substance abuse treatment a second career for you?Yes No
14a.) If yes, please specify your previous career:
15.) Is your current place of employment the only substance abuse treatment agency for which you have worked?
Yes No

16.) Within the next 12 months, how likely is it you will? (*Please mark one response for each of the following items*)

	Not at All Likely	Not Likely	Not sure	Likely	Extremely Likely
16a.) Change job but stay at current agency	1	2	3	4	5
16b.) Change employer but stay in field	1	2	3	4	5
16c.) Leave substance abuse treatment field	1	2	3	4	5
16d.) Continue working for current employer	1	2	3	4	5

Your Work

7.) Employment status – Are you considered aFull-TimePart-time orContract employee?	
3.) What is the annual salary for your current position?	
lease check only one of the categories below)	
Less than \$15,000 per year (less than \$1,250 per month)	
\$15,000 to \$24,999 per year (\$1,250 to \$2,083 per month)	
\$25,000 to \$34,999 per year (\$2,084 to \$2,916 per month)	
\$35,000 to \$44,999 per year (\$2,917 to \$3,479 per month)	
\$45,000 to \$54,999 per year (\$3,750 to \$4,583 per month)	

\$55,000	to \$64,999 per ye	ear (\$4,584 to \$	\$5,415 per mon	th)
\$65,000	to \$74,999 per ye	ear (\$5,416 to \$	\$6,250 per mon	th)
\$75,000	per year or highe	r (\$6,251 per r	nonth or higher	·)
I prefer 1	not to disclose this	s information.		
19.) At this poin	nt in my career, I	am making (<u>p</u>	lease fill in the	blank):
much les	s than expected.			
less than	expected.			
about wh	hat expected.			
more tha much ma	an expected. Ore than expected.			
20.) What perce	entage of time do	you spend in a	typical week o	on the following activities?
(Numbers must	add up to 100 pe	rcent.)		
% Screen	ing and assessme	nts		
% Direct	client therapeutic	engagement		
% Clinica	al Supervision			
% Admir	nistrative activities	S		
% Other	activities (Please	specify)		
100% Total				
21.) How profidevelopment?	cient are you in c	omputers and	web-based tech	nologies for professional
Not at All Proficient	Not Proficient	Somewhat Proficient	Proficient	Extremely Proficient

1 2 3 4 5

Clinical Supervision

 22.) In what setting do you provide clinical supervision? In individual clinical supervision sessions only In group clinical supervision sessions only In both individual and group clinical supervision sessions
23.) How frequently do you provide clinical supervision?
Only when there is a problem
Twice a year
Every two months
Once a month
Twice a month
Weekly
24.) What observation methods do you use for conducting clinical supervision? <i>(check all that apply)</i>
Videotape Review
Audiotape Review
Live Observation
Chart Review/Review of Progress Notes Roll play
Other (Please specify)

25.) In a typical clinical supervision session, approximately what percentage of time do you spend on each of the following? (<i>Numbers must add up to 100%</i> .)
% Counselor case presentation
% Reviewing treatment/discharge plans
% Discussing counselor problems/challenges
% Giving feedback on observed performance
% Training/teaching specific counseling skills
% Other
100% Total

<u>Direct Care Staff</u> :	Questions	in this	section at	re about the	direct car	e staff you	supervise

For the purposes of this survey, "direct care staff" are those staff members who spend a majority of their time providing clinical care for clients with substance use disorders as their primary diagnosis.

26. Number of direct care staff you supervise?
27a.) How many are:
Full-time staff
Part-time staff
On call or PRN (as needed) staff
27.) Number of direct care staff members who are:
Female Male Transgender
28.) Number of direct care staff members who are of the following age ranges?
18-24
25-34
35-44
45-54
55-64
65+
Unknown

29.) Number of direct care staff who are of Hispanic or Latino/a background:
30.) Number of direct care staff who are of the following races/ethnicities: (<i>Please count all staff who represent each category</i> . <i>This may mean counting certain staff twice</i> if they represent more than one ethnic group. If you are unsure of a certain person's race please tick "Missing"
American Indian
Alaska Native
Asian American
Native Hawaiian/Other Pacific Islander
Black or African American
White
Missing
31.) Number of direct care staff with one of the following military affiliations: (please only count each staff person once)
No Affiliation Reserve/National Guard Active Duty
Veteran/Retired Military
Do not know
32.) Number of direct care staff that you are aware of in recovery from a substance use disorder
33.) Number of direct care staff with the following certification and/or licensure status in the substance abuse treatment field:

Never certified/licensed
Previously certified/licensed, but not currently
Pursuing certification/licensure
Certification/licensure pending
Currently certified/licensed
Awaiting reciprocity
Unknown
34.) The choices in this question relate to the highest level of education achieved. Please indicate the number of direct care staff who fall into each category. (please count each staff member once)
No high school diploma or equivalent
High school diploma or equivalent
Some college, but no degree
Associate's degree
Bachelor's degree
Master's degree
Doctoral degree or equivalent
Doctor of medicine
Unknown
Other (Please specify)
35.) Number of direct care staff who have worked at your facility for each period of time. (please only count each staff person once)
Number of staff
Less than 1 year

1-5 years	
5-10 years	
10-15 years	
15-20 years	
20+ years	
Unknown	
	nis section should be completed only for the treatment red on the front cover of this questionnaire.
For the purposes of this survey, "this faci whose name and location are printed on t	lity" means the specific treatment facility or program he front cover.
36.) Number of staff in your agency with person once based on their main function	the following roles: (please only count each staff)
Clinical Supervisor	
Other Supervisor	
Certified Counselor	
Non-certified Counselor	
Case Manager	
Counselor Aide/Technician	
Social Worker	
Nurse	
Recovery/peer support specialist	
Other (Please specify)	

37.) Over the past six months, what is the average client caseload carried by individuals in each of the following staff categories? (*Please place a check mark in the appropriate column for each staff category*)

Staff Category	Average Caseload				
	0 clients	1-10 clients	10-20 clients	20-30 clients	30+ clients
Program Director					
Clinical Supervisor					
Certified/licensed counselor					
Non-Certified counselor					
Case manager					
Counselor Aide/technician					
Social worker					
Nurse					
Recovery/peer support specialist					

38.) Do you consider	the caseload carried	by direct care staf	f at your program to be:	
Too Small	About Right	Too Large	Don't know	
39.) Total number of job function?		acility who provic	le clinical supervision as part of th	ıeir
40.) Is your treatment Yes	facility able to bill f	for clinical superv	ision?	

Recr	ruitment, Retention & Staff Development	
majoi	he purposes of this survey, "direct care staff" are those staff members wheity of their time providing clinical care for clients with substance use dis Tay diagnosis.	-
41) T		4
montl	Please answer the following based on your facility's full time positions <i>ov</i> hs:	ver the past 12
		ver the past 12
	How many direct care staff are needed in order to be fully staffed at	ver the past 12
	How many direct care staff are needed in order to be fully staffed at this program or facility?	ver the past 12

42.) Does	s your faci	ility have any diffic	culties filling ope	en positions for d	irect care staff?
Ye	es N	No			

If yes, why? (Please check all that apply.)

Insufficient number of applicants who meet minimum qualifications
Insufficient funding for open positions
Small applicant pool due to geographic area surrounding work setting
Lack of interest in position (nature of work, stigma)

Lack of interest in position (salary)
Lack of interest in location of facility
Reputation of the facility
Lack of opportunity for advancement
Don't know
Other (Please specify)

43.) If applicants do not meet the minimum qualifications, what are some of the reasons? (*Please check all that apply.*)

Little or no experience in substance abuse treatment
Insufficient or inadequate training and education
Lack of social or interpersonal skills
Lack of practical applied skills
Lack of appropriate certification
Don't know
Other (Please specify.)
Not applicable, generally applicants are qualified

44.) Please indicate the degree to which you agree or disagree with the following statements about your facility's recruitment strategies:

Strongl	Disagre	Not	Agree	Strongl
y	e	Sure		y Agree
Disagre				
e				

My facility has formalized relationships with community colleges and/or universities which provide internship and/or practica placements for students at this facility.	1	2	3	4	5
My facility has made a concerted effort to recruit individuals from under-represented groups (including minorities, LGBTQ, etc.) in the past year.	1	2	3	4	5
My facility's efforts to recruit individuals from under-represented groups in the past year have been effective.	1	2	3	4	5
My facility has designated positions for peer-recovery specialists and/or other positions specifically for persons in recovery.	1	2	3	4	5
My facility has made a concerted effort to recruit individuals in recovery in the past year at this facility.	1	2	3	4	5
My facility's efforts to recruit persons in recovery in the past year have been effective.	1	2	3	4	5

45.) Of the new employees hired at this facility in the past 12 months, please identify the primary recruitment source(s): (*Please check all that apply.*)

	Newspaper advertisement
	Web-based classifieds (e.g., Monster.com; Jobbing.doc,etc.)
	Informal contacts

Professional placement agency/other external employment placement agency
Agency-based internships or practica placements converted to employment positions
Facility mailing list
Universities and colleges
Other (Please specify):

46.) Which of the following employee benefits are available in your facility? (*Please check all that apply*)

Benefits	Available for some, but not all permanent employees	Available for all permanent employees	Not available at this facility
Paid vacation			
Paid sick time			
Flex time scheduling			
Group health insurance			
Life insurance			
Retirement/Annuity			
Paid educational assistance			

47.) In your opinion, how well does your facility do in implementing the following staff retention strategies? .

	Not well at all	Somewhat well	Not sure	Well	Very well
More frequent salary increases	1	2	3	4	5
Mentoring opportunities	1	2	3	4	5
Individual recognition and appreciation	1	2	3	4	5
Opportunities for program input	1	2	3	4	5
Varied work opportunities	1	2	3	4	5
Health coverage and other benefits	1	2	3	4	5
Reduce paperwork burden	1	2	3	4	5
Promote career growth	1	2	3	4	5
Promotion opportunities	1	2	3	4	5
Access to ongoing training	1	2	3	4	5

Better management and supervision	1	2	3	4	5
Supportive facility culture	1	2	3	4	5
Physical work environment	1	2	3	4	5
Smaller caseloads	1	2	3	4	5
Shorter hours/flextime/job sharing	1	2	3	4	5

48.) How does your facility develop skills and enhance the abilities of **direct care** substance abuse treatment staff? (*Please check all that apply.*)

Provides new staff orientation
Ongoing staff training (in-service, off site)
Offers in-house mentoring program
Provides direct supervision
Pays cost of continuing education
Don't know
Other (Please specify.)
Has no method/program to develop skills of staff

49.) Which of the following barriers have you encountered in an effort to offer training and continuing educational opportunities to your staff in the past 12 months? (*Please check all that apply*)

There is a lack of available training opportunities, workshops, conferences and/or in-services educational opportunities.
The budget at this facility does not allow most program staff to attend trainings.
Topics presented at recent training workshops and conferences have been too limited.
Training opportunities take too much time away from the delivery of program services.
Training is not a priority at my work setting.

There are too few rewards for trying to change treatment or other procedures
in my work setting.
Training opportunities are not local.
Other barriers (Please specify)
None of the above.

50.) Please indicate the degree to which you agree or disagree that your staff need training in the following common practice areas.

	Strongl	Disagre	Not	Agree	Strongl
	y	e	Sure		y Agree
	disagre				
	e				
Assessing client needs	1	2	3	4	5
Using client assessments to guide clinical care and program decisions	1	2	3	4	5
Using client assessments to document client improvements	1	2	3	4	5
Matching client needs with services	1	2	3	4	5
Increasing program participation by clients	1	2	3	4	5
Improving rapport with clients	1	2	3	4	5
Improving client thinking and problem solving skills	1	2	3	4	5
Improving behavioral management of clients	1	2	3	4	5
Improving cognitive focus of clients during group counseling	1	2	3	4	5
Identifying and using evidence-based practices	1	2	3	4	5

Identifying and using evidence-based practices related to co-occurring substance use and mental health disorders	1	2	3	4	5
Identifying and using evidence-based practices related to trauma treatment and recovery	1	2	3	4	5

51.) Please indicate the degree to which you agree or disagree with the following statements about your facility's staff development strategies:

	Strongl	Disagre	Not	Agree	Strongl
	\mathbf{y}	e	Sure		y Agree
	Disagre				
	e				
This facility has formal policies that provide tuition reimbursement.	1	2	3	4	5
This facility has a formalized policy regarding continuing education requirements for staff.	1	2	3	4	5
This facility has budgetary targets (set-asides) for continuing education of staff.	1	2	3	4	5
This facility has a formalized strategy for career progression of staff.	1	2	3	4	5
This facility provides a salary differential for bilingual staff.	1	2	3	4	5

Technology

52.) Does your facility have an electronic health records (EHR) system for encoding and tracking in the following areas: (<i>Please check all that apply.</i>):
My facility does not have an EHR system. (Please proceed to question 53)
Intake/ Assessment
Patient Demographics
Clinical notes
Lab Reports
Discharge Summaries
Referrals
53.) If your facility has NOT implemented an EHR system, please indicate which of the following are barriers to its implementation (<i>Please check all that apply.</i>):
The amount of capital needed to purchase and implement an EHR system
Uncertainty about the return on investment (ROI) from an EHR system
Concerns about the ongoing cost of maintaining an EHR system
Resistance to implementation from staff
Resistance to implementation from other providers
Lack of capacity to select, contract for, and implement an EHR system
Disruption in clinical care during implementation
Lack of adequate IT staff to implement and maintain an EHR system
Concerns about inappropriate disclosure of patient information
Concerns about illegal record tampering or "hacking"
Finding an EHR system that meets your organization's needs
Concerns about a lack of future support from vendors for upgrading and maintaining the EHR system

54.) Please check all that apply regarding technology access at your facility.
I have access to an individual email account at work.
I have access to a shared email account at work.
I use the Internet for web learning (webinars, information gathering, research, etc.).
Direct care staff have access to the Internet during work hours.
Direct care staff have access to individual email accounts at work.
Direct care staff have access to shared email accounts at work.
Direct care staff use the Internet for web learning
(webinars, information gathering, research, etc.).

Staff competency related to diversity

55.) Over the past 12 months, has your facility provided training to staff on culturally responsive substance abuse treatment (e.g., values, principles, practices, and procedures)?								
YesNo								
56.) Over the past 12 months, has your facility provided training to staff on gender responsive substance abuse treatment (e.g., values, principles, practices, and procedures)? YesNo								

57.) Please indicate the degree to which you agree or disagree with the following statements:

	Strongl y Disagre e	Disagre e	Not Sure	Agree	Strongl y Agree
My facility considers cultural and linguistic differences in developing treatment practices.	1	2	3	4	5
My facility systematically reviews procedures to ensure delivery of culturally competent services.	1	2	3	4	5
My facility uses culturally and linguistically appropriate resource materials (including communication technologies) to inform diverse groups about substance use disorders.	1	2	3	4	5
My facility has program forms and documents available in the languages of our service population.	1	2	3	4	5

My facility provides individual or group counseling in the languages of our service population.	1	2	3	4	5