## **Attachment L**

**Showcard Booklet** 

## 2012 National Survey on Drug Use and Health

SHOWCARD BOOKLET

RESEARCH TRIANGLE INSTITUTE

Supervisor Contacts
FS Name
FS Number ( )
RS Name
RS Number ( )
RTI Telephone Numbers
Tech Support (877) 419-1768
Headway (800) 208-7043
To reach other RTI staff, call toll-free 1-800-848-4079 then ask the operator for the employee with whom you wish to speak.
Website Addresses
NSDUH http://nsduhweb.rti.org
RTI http://www.rti.org
SAMHSA http://www.samhsa.gov

Lead Letter English Spanish

#### **DEPARTMENT OF HEALTH & HUMAN SERVICES**



U.S. Public Health Service

Center for Behavioral Health Statistics and Quality Rockville, MD 20857

\_\_\_\_\_, 2012

#### Dear Resident:

To better serve all people across the nation, the United States Public Health Service (USPHS) is conducting a national study on health-related issues. Your address was randomly chosen along with more than 200,000 others. Research Triangle Institute (RTI) is under contract to carry out this study for the USPHS. Soon, an RTI interviewer will be in your neighborhood to give you more information.

When the interviewer arrives, please ask to see his or her personal identification card. An example of the ID card is shown below. The interviewer will ask you a few questions, and then may ask one or two members of your household to complete an interview. It is possible that no one from your household will be chosen to be interviewed. You may choose not to take part in this study, but no one else can take your place. **Every person who is chosen and completes the interview will receive \$30 in cash**.

All the information collected is confidential and will be used only for statistical purposes. This is assured by federal law. This letter is addressed to "Resident" because your address was selected, and we do not know your name. Feel free to ask the interviewer any questions you have about the study.

Your help is very important to this study's success. Thank you for your cooperation.

Sincerely,

Joel Kennet, Ph.D. National Study Director,

Center for Behavioral Health Statistics and Quality

l 1 Cermes

Ilona S. Johnson

National Field Director, RTI

(800) 848-4079

NATIONAL STUDY conducted for the United States Public Health Service

Field Interviewer Name

ID:
Issue Date: 01-01-12
Expiration Date: 12-31-13

Certified by Illona S. Johnson
Vational Field Director, RTI

Jena S. Cohnson

Assigned Field Interviewer

#### DEPARTMENT OF HEALTH & HUMAN SERVICES



U.S. Public Health Service

Center for Behavioral Health Statistics and Quality Rockville, MD 20857

\_\_\_\_\_, 2012

#### Estimado(a) residente:

Para servir mejor a toda la gente a través de la nación, el Departamento de la Salud Pública de los Estados Unidos (USPHS, por sus siglas en inglés) está realizando un estudio nacional sobre asuntos relacionados con la salud. Su dirección fue seleccionada al azar junto con más de otras 200,000 residencias. El Research Triangle Institute (RTI, por sus siglas en inglés) está bajo contrato para realizar este estudio para el Servicio de Salud Pública de los Estados Unidos. Muy pronto, un(a) entrevistador(a) de RTI estará en su comunidad para darle más información.

Cuando lo(a) visite el/la entrevistador(a), por favor pídale que le muestre su tarjeta de identificación personal. Note que aparece una muestra de tal identificación al pie de esta carta. El/La entrevistador(a) le hará unas cuantas preguntas y luego puede que solicite que uno o dos miembros de su hogar completen una entrevista. Es posible que no se elija a ninguna persona en su hogar para la entrevista. Usted puede optar por no participar en este estudio, sin embargo nadie puede tomar su lugar. Cada persona que sea elegida y llegue a completar la entrevista recibirá la suma de \$30 dólares en efectivo.

Toda la información que se obtenga se mantendrá en forma confidencial y se usará con propósitos estadísticos únicamente. Esto lo asegura la ley federal. Esta carta está dirigida al "residente" porque se seleccionó su dirección y nosotros no sabemos su nombre. Sírvase dirigirse al/a la entrevistador(a) con toda confianza en caso de tener alguna pregunta acerca del estudio.

Su ayuda es muy importante para el éxito de este estudio. Gracias por su cooperación.

Atentamente.

Dr. Joel Kennet

Centro para las Estadísticas y la Calidad de la Salud Conductual

Ilona S. Johnson

Ilona

Directora Nacional, RTI

(800) 848-4079

NATIONAL STUDY conducted for the United States Public Health Service

Field Intervier / 6 / Name

ID:
Issue Date: 01-01 12
Expiration Date: .2 31-13

Certified v. "In a S. Johnson
Tation." Field Director, RTI

Mana S. Gohnson

Nombre del/de la entrevistador(a) que se comunicará con usted

# Study Description English Spanish



# Study Description

#### U.S. Public Health Service Center for Behavioral Health Statistics and Quality

Your address is one of several in this area randomly chosen for the 2012 National Survey on Drug Use and Health. This study, sponsored by the United States Public Health Service, collects information for research and program planning by asking about:

- tobacco, alcohol, and drug use or non-use,
- · knowledge and attitudes about drugs,
- mental health, and
- other health issues.

You cannot be identified through any information you give us. Your name and address will never be connected to your answers. Also, federal law requires us to keep all of your answers confidential. Any data that you provide will only be used by authorized personnel for statistical purposes according to the Confidential Information Protection and Statistical Efficiency Act of 2002.

The screening questions take just a few minutes. If anyone is chosen, the interview will take about an hour. You can refuse to answer any questions, and you can quit at any time. Each person who is chosen and completes the interview will receive \$30 in cash.

If you have questions about the study, call the Project Representative at 1-800-848-4079. If you have questions about your rights as a study participant, call RTI's Office of Research Protection at 1-866-214-2043 (a toll-free number). You can also visit our project Website: <a href="http://nsduhweb.rti.org/">http://nsduhweb.rti.org/</a> for more information.

Thank you for your cooperation and time.

Michael Jones, Project Officer

Center for Behavioral Health Statistics and Quality

Substance Abuse and Mental Health Services Administration (SAMHSA)

U.S. Public Health Service

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Department of Health and Human Services

Your confidentiality is protected by the Confidential Information Protection and Statistical Efficiency Act of 2002 (CIPSEA, PL 107-347). Any project staff or authorized data user who violates CIPSEA may be subject to a jail term of up to 5 years, a fine of up to \$250,000, or both.



#### U.S. Public Health Service Center for Behavioral Health Statistics and Quality

## Descripción del estudio

Su dirección es una de varias en esta zona que fue seleccionada al azar para participar en la Encuesta Nacional sobre la Salud y el Consumo de Drogas del año 2012. Este estudio está bajo el patrocinio del Departamento de la Salud Pública de los Estados Unidos y tiene como fin recopilar información para planificar estudios y programas relacionados con la salud al preguntar acerca de lo siguiente:

- · el uso o no uso de tabaco, alcohol y drogas,
- · el conocimiento y actitudes hacia las drogas,
- la salud mental, y
- otros asuntos relacionados con la salud.

A usted no se le podrá identificar a través de ninguna información que nos proporcione. Sus respuestas nunca se relacionarán con su nombre y dirección. Además, la ley federal requiere que mantengamos todas sus respuestas en forma confidencial. Cualquier información que usted proporcione será utilizada solamente por el personal autorizado con propósitos estadísticos, de acuerdo a la ley de Protección de la Información Confidencial y Eficiencia Estadística del año 2002.

Las preguntas iniciales de selección duran sólo unos minutos. Si alguien es seleccionado(a), la entrevista durará aproximadamente una hora y media. Usted puede rehusarse a contestar cualquier pregunta y puede dejar de participar en cualquier momento. Cada persona seleccionada que complete la entrevista recibirá \$30 dólares en efectivo.

Si tiene alguna pregunta acerca del estudio, sírvase llamar al representante del proyecto al 1-800-848-4079. Si tiene alguna pregunta acerca de sus derechos como participante del estudio, sírvase llamar a la Oficina de RTI para la Protección de Participantes en Estudios al 1-866-214-2043 (número gratuito). También le invitamos a visitar nuestro sitio en el Internet: <a href="http://nsduhweb.rti.org/">http://nsduhweb.rti.org/</a> si desea mayor información.

Le agradecemos su tiempo y colaboración.

Michael Janes Administrator des de

Michael Jones, Administrador del Proyecto

Centro para las Estadísticas y la Calidad de la Salud Conductual

Administración de Servicios para el Abuso de Drogas y la Salud Mental (SAMHSA)

Departamento de la Salud Pública de los Estados Unidos

Departamento de Salud y Servicios Humanos

Su confidencialidad está protegida por la ley de Protección de la Información Confidencial y Eficiencia Estadística del año 2002 (CIPSEA, por sus siglas en inglés, Ley Pública 107-347). Cualquier miembro del personal del proyecto o cualquier empleado autorizado a usar los datos que viole esta ley, puede estar sujeto a pasar tiempo en prisión hasta por 5 años, puede ser multado hasta por \$250,000 dólares, o ambos.

#### Intro to CAI/ Informed Consent [18+]

Introduction and Informed Consent for Interview Respondents age 18+ English Spanish

## INTRODUCTION AND INFORMED CONSENT FOR INTERVIEW RESPONDENTS AGE 18+

#### IF INTERVIEW RESPONDENT IS NOT SCREENING RESPONDENT,

INTRODUCE YOURSELF AND STUDY AS NECESSARY: Hello, I'm \_\_\_\_\_ and I'm working on a nationwide study sponsored by the U.S. Public Health Service. You should have received a letter about this study. (SHOW LEAD LETTER, IF NECESSARY.)

## READ THE BOXED INFORMATION BELOW BEFORE STARTING EVERY INTERVIEW

This year, we are interviewing about 70,000 people across the nation. You have been randomly chosen to take part. You will represent over 4,500 other people who are similar to you. You may choose not to take part in this study, but no one else can take your place. We will pay you \$30 when you finish the interview.

#### GIVE STUDY DESCRIPTION TO R IF YOU HAVE NOT ALREADY DONE SO.

This study asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health issues. It takes about an hour. You will answer most of the questions on the computer, so I will not see your answers. We are only interested in the combined responses from all 70,000 people, not just one person's answers. This is why we do not ask for your name and we keep your answers separate from your address. RTI may contact you by phone or mail to ask a few questions about the quality of my work. This is why we ask for your phone number and mailing address at the end of the interview.

While the interview has some personal questions, federal law keeps your answers private. We hope that protecting your privacy will help you to give accurate answers. You can quit the interview at any time and you can refuse to answer any questions.

If it is all right with you, let's get started.

(Can we find a private place to complete the interview?)

#### INTRODUCCIÓN Y CONSENTIMIENTO INFORMADO PARA LOS PARTICIPANTES ENTREVISTADOS DE 18 AÑOS DE EDAD O MÁS

SI EL PARTICIPANTE ENTREVISTADO <u>NO</u> ES LA MISMA PERSONA QUE <b>COMPLETÓ LAS PREGUNTAS INICIALES ("SCREENING")</b> , PRESÉNTESE
USTED MISMO Y EXPLIQUE EL ESTUDIO TAL COMO SEA NECESARIO:
Hola, me llamo, y trabajo para un estudio nacional patrocinado por el
Departamento de la Salud Pública de los Estados Unidos. Usted debe haber
recibido una carta acerca de este estudio. (MUESTRE LA CARTA, SI ES NECESARIO.)

## FAVOR DE LEER LA SIGUIENTE INFORMACIÓN ANTES DE COMENZAR CADA ENTREVISTA

Este año, estamos entrevistando a cerca de 70,000 personas en toda la nación. Usted ha sido seleccionado(a) al azar para participar en este estudio. Usted representará a más de 4,500 otras personas similares a usted. Usted puede decidir no tomar parte en este estudio, pero nadie podrá tomar su lugar. Nosotros le pagaremos \$30 dólares en efectivo al terminar la entrevista.

## ENTREGUE LA DESCRIPCIÓN DEL ESTUDIO AL PARTICIPANTE SI TODAVÍA NO LO HA HECHO.

Este estudio hace preguntas sobre el uso o no uso de tabaco, alcohol y drogas; conocimiento y actitudes hacia las drogas; la salud mental y otros asuntos sobre la salud. La entrevista dura aproximadamente una hora y media. Usted usará la computadora para responder a la mayoría de las preguntas y por lo tanto, yo no podré ver sus respuestas. Nosotros estamos interesados únicamente en las respuestas de todas las 70,000 personas en conjunto, y no solamente en las respuestas de una persona. Esta es la razón por la cual nosotros no le preguntamos por su nombre y mantenemos sus respuestas separadas de su dirección. Es posible que el personal de RTI se comunique con usted por teléfono o por correo para hacerle algunas preguntas acerca de la calidad de mi trabajo. Es por eso que le preguntamos por su número de teléfono y su dirección al final de la entrevista.

Aunque algunas preguntas de la entrevista son personales, la ley federal mantiene sus respuestas en forma confidencial. Esperamos que el hecho de proteger su privacidad le ayude a darnos respuestas exactas. Usted puede dejar de participar en cualquier momento y puede rehusarse a contestar cualquier pregunta.

Si usted está de acuerdo, podemos empezar.

(¿Podríamos ir a un lugar privado para llevar a cabo la entrevista?)

# Introduction and Informed Consent for Interview Respondents ages 12-17 English Spanish

## INTRODUCTION AND INFORMED CONSENT FOR INTERVIEW RESPONDENTS AGE 12-17

## FIRST, OBTAIN PERMISSION FROM THE PARENT AND READ THE BOXED INFORMATION BELOW

Your (AGE) year-old child has been selected to be in this study. Your child's participation is voluntary. This interview asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health related issues. All of your child's answers will be confidential and used only for statistical purposes. Since your child will answer most of the questions on the computer, I will never see the answers, and you are not allowed to see them either. If it is all right with you, we'll get started.

(Can we find a private place to complete the interview?)

## THEN, READ THE BOXED INFORMATION BELOW BEFORE STARTING EVERY INTERVIEW WITH A 12-17 YEAR OLD

This year, we are interviewing about 70,000 people across the nation. You have been randomly chosen to take part. You will represent over 1,000 young people in this country who are similar to you. You may choose not to take part in this study, but no one else can take your place. We will pay you \$30 when you finish the interview.

#### GIVE STUDY DESCRIPTION TO R IF YOU HAVE NOT ALREADY DONE SO.

This study asks about tobacco, alcohol, and drug use or non-use, knowledge and attitudes about drugs, mental health, and other health issues. It takes about an hour. You will answer most of the questions on the computer, so I will not see your answers. Your answers will never be seen by either your parents or your school. We are only interested in the combined responses from all 70,000 people, not just one person's answers. This is why we do not ask for your name and we keep your answers separate from your address. RTI may contact you by phone or mail to ask a few questions about the quality of my work. This is why we ask for your phone number and mailing address at the end of the interview.

While the interview has some personal questions, federal law keeps your answers private. We hope that protecting your privacy will help you to give accurate answers. You can quit the interview at any time and you can refuse to answer any questions.

If it is all right with you, let's get started.

# INTRODUCCIÓN Y CONSENTIMIENTO INFORMADO PARA LOS PARTICIPANTES ENTREVISTADOS DE 12 A 17 AÑOS DE EDAD

## PRIMERO, OBTENGA PERMISO DEL PADRE DE FAMILIA Y LEA LA SIGUIENTE INFORMACIÓN

Su hijo(a) de (EDAD) años ha sido seleccionado(a) para participar en el estudio. La participación de su hijo(a) es voluntaria. Esta entrevista le hace preguntas sobre el uso o no uso de tabaco, alcohol y drogas; conocimiento y actitudes hacia las drogas; la salud mental y otros asuntos sobre la salud. Todas las respuestas de su hijo(a) se mantendrán en forma confidencial y se utilizarán con propósitos estadísticos únicamente. Ya que su hijo(a) usará la computadora para responder la mayoría de las preguntas, yo no podré ver sus respuestas, y a usted tampoco se le permitirá ver las respuestas. Si usted está de acuerdo, podemos empezar.

(¿Podríamos ir a un lugar privado para llevar a cabo la entrevista?)

#### LUEGO, LEA LA SIGUIENTE INFORMACIÓN ANTES DE EMPEZAR CADA ENTREVISTA CON UN JOVEN DE 12 A 17 AÑOS DE EDAD

Este año, estamos entrevistando a cerca de 70,000 personas en toda la nación. Tú has sido seleccionado(a) al azar para participar en este estudio. Tú representarás a más de 1,000 jóvenes en este país que son similares a ti. Tú puedes decidir no tomar parte en este estudio, pero nadie podrá tomar tu lugar. Nosotros te pagaremos \$30 dólares al terminar la entrevista.

## ENTREGUE LA DESCRIPCIÓN DEL ESTUDIO AL PARTICIPANTE SI TODAVÍA NO LO HA HECHO.

Este estudio hace preguntas sobre el uso o no uso de tabaco, alcohol y drogas; conocimiento y actitudes hacia las drogas; la salud mental y otros asuntos sobre la salud. La entrevista dura aproximadamente una hora y media. Tú usarás la computadora para responder a la mayoría de las preguntas y por lo tanto, yo no veré tus respuestas. Ni tus padres ni el personal de la escuela podrán ver tus respuestas. Nosotros estamos interesados únicamente en las respuestas de todas las 70,000 personas en conjunto, y no solamente en las respuestas de una persona. Esta es la razón por la cual nosotros no preguntamos por tu nombre y mantenemos tus respuestas separadas de tu dirección. Es posible que el personal de RTI se comunique contigo por teléfono o por correo para hacerte algunas preguntas acerca de la calidad de mi trabajo. Es por eso que te preguntamos por tu número de teléfono y tu dirección al final de la entrevista.

Aunque algunas preguntas de la entrevista son personales, la ley federal mantiene tus respuestas en forma confidencial. Esperamos que el hecho de proteger tu privacidad te ayude a darnos respuestas exactas. Tú puedes dejar de participar en cualquier momento y puedes rehusarte a contestar cualquier pregunta.

Si tú estás de acuerdo, podemos empezar.

- 1 MEXICAN, MEXICAN AMERICAN, MEXICANO OR CHICANO
- 2 PUERTO RICAN
- 3 CENTRAL OR SOUTH AMERICAN
- 4 CUBAN OR CUBAN AMERICAN
- 5 DOMINICAN (FROM DOMINICAN REPUBLIC)
- 6 SPANISH (FROM SPAIN)

- 1 MEXICANO, MEXICANOAMERICANO, CHICANO
- 2 PUERTORRIQUEÑO
- 3 CENTROAMERICANO O SUDAMERICANO
- 4 CUBANO O CUBANOAMERICANO
- 5 DOMINICANO (DE LA REPÚBLICA DOMINICANA)
- 6 ESPAÑOL (DE ESPAÑA)

- 1 WHITE
- 2 BLACK OR AFRICAN AMERICAN
- 3 AMERICAN INDIAN OR ALASKA NATIVE (AMERICAN INDIAN INCLUDES NORTH AMERICAN, CENTRAL AMERICAN, AND SOUTH AMERICAN INDIANS)
- 4 NATIVE HAWAIIAN
- 5 OTHER PACIFIC ISLANDER
- 6 ASIAN (INCLUDING: ASIAN INDIAN, CHINESE, FILIPINO, JAPANESE, KOREAN, AND VIETNAMESE)

- 1 BLANCO
- 2 NEGRO O AFROAMERICANO
- 3 INDÍGENO AMERICANO O NATIVO DE ALASKA (INDÍGENO AMERICANO INCLUYE INDÍGENOS DE NORTEAMÉRICA, CENTROAMÉRICA Y SUDAMÉRICA)
- 4 NATIVO DE HAWAII
- 5 OTRO ISLEÑO DEL PACÍFICO
- 6 ASIÁTICO (INCLUYENDO: INDIO ASIÁTICO, CHINO, FILIPINO, JAPONÉS, COREANO, VIETNAMITA)

- 1 ASIAN INDIAN
- 2 CHINESE
- 3 FILIPINO
- 4 JAPANESE
- 5 KOREAN
- 6 VIETNAMESE

- 1 INDIO ASIÁTICO
- 2 CHINO
- 3 FILIPINO
- 4 JAPONÉS
- 5 COREANO
- 6 VIETNAMITA

#### 0 NEVER ATTENDED SCHOOL

#### PRIMARY AND SECONDARY GRADES:

- 1 1<sup>ST</sup> GRADE COMPLETED
- 2 2<sup>ND</sup> GRADE COMPLETED
- 3 3<sup>RD</sup> GRADE COMPLETED
- 4 4TH GRADE COMPLETED
- 5 5<sup>TH</sup> GRADE COMPLETED
- 6 6<sup>™</sup> GRADE COMPLETED
- 7 7<sup>TH</sup> GRADE COMPLETED
- 8 8<sup>TH</sup> GRADE COMPLETED
- 9 9<sup>TH</sup> GRADE COMPLETED
- 10 10<sup>™</sup> GRADE COMPLETED
- 11 11<sup>™</sup> GRADE COMPLETED
- 12 12<sup>™</sup> GRADE COMPLETED

#### **COLLEGE OR UNIVERSITY:**

- 13 1<sup>ST</sup> YEAR COMPLETED
- 14 2<sup>ND</sup> YEAR COMPLETED
- 15 3<sup>RD</sup> YEAR COMPLETED
- 16 4<sup>TH</sup> YEAR COMPLETED
- 17 5<sup>TH</sup> OR HIGHER YEAR COMPLETED

#### O NUNCA ASISTIÓ A LA ESCUELA

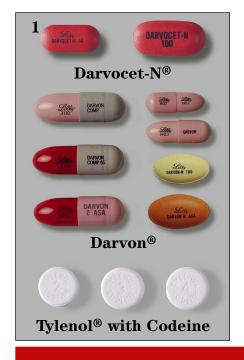
#### ESCUELA PRIMARIA O SECUNDARIA:

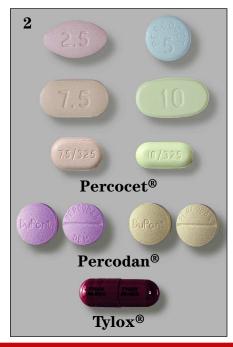
- 1 COMPLETÓ EL 1<sup>er.</sup> GRADO
- 2 COMPLETÓ EL 2º. GRADO
- 3 COMPLETÓ EL 3<sup>er.</sup> GRADO
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- 5 COMPLETÓ EL 5°. GRADO
- 6 COMPLETÓ EL 6°. GRADO
- 7 COMPLETÓ EL 7º. GRADO
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- 9 COMPLETÓ EL 9º. GRADO
- 10 COMPLETÓ EL 10°. GRADO
- 11 COMPLETÓ EL 11° GRADO
- 12 COMPLETÓ EL 12°. GRADO

#### **COLLEGE O UNIVERSIDAD:**

- 13 COMPLETÓ EL 1<sup>er.</sup> AÑO
- 14 COMPLETÓ EL 2º. AÑO
- 15 COMPLETÓ EL 3<sup>er.</sup> AÑO
- 16 COMPLETÓ EL 4º. AÑO
- 17 COMPLETÓ EL 5°. AÑO O MÁS

## CARD A Pain Relievers

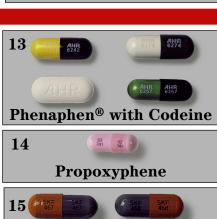








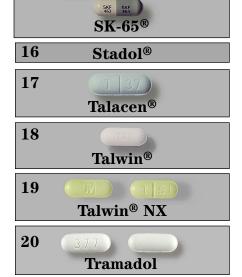








OxyContin®



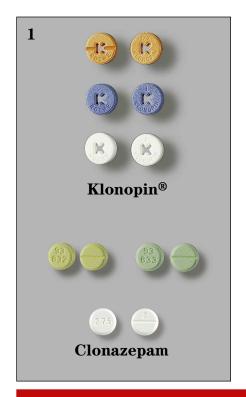
**Ultram®** 

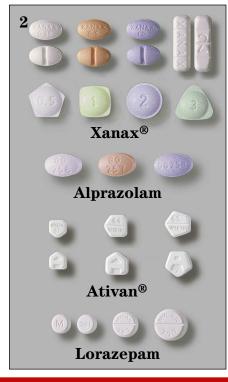
21

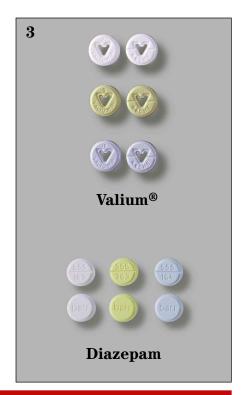


Fiorinal<sup>®</sup>

## CARD B Tranquilizers



























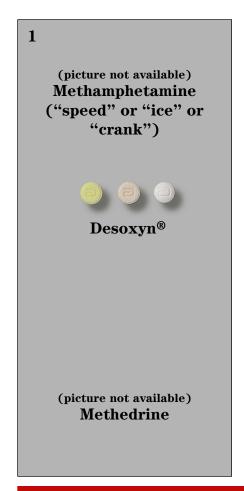


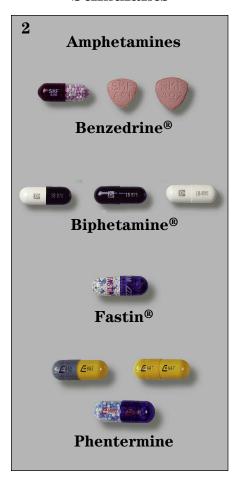




### CARD C

#### **Stimulants**





















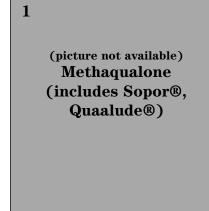


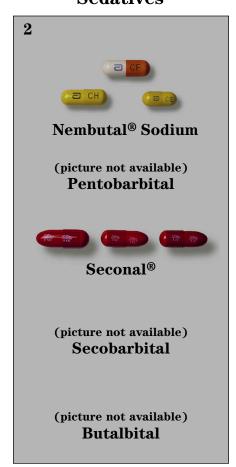






## **CARD D Sedatives**





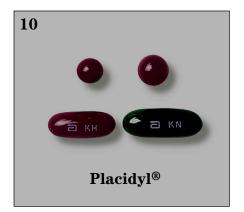






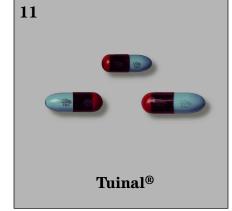












C

**Chloral Hydrate** 

#### PRIMARY AND SECONDARY GRADES:

- 1 1<sup>ST</sup> GRADE
- 2 2<sup>ND</sup> GRADE
- 3 3<sup>RD</sup> GRADE
- 4 4<sup>TH</sup> GRADE
- 5 5<sup>TH</sup> GRADE
- 6 6<sup>TH</sup> GRADE
- 7 7<sup>TH</sup> GRADE
- 8 8<sup>TH</sup> GRADE
- 9 9<sup>TH</sup> GRADE
- $10 \quad 10^{TH} GRADE$
- 11 11<sup>™</sup> GRADE
- $12 \quad 12^{TH} GRADE$

#### **COLLEGE OR UNIVERSITY:**

- 13 1<sup>ST</sup> YEAR
- 14 2<sup>ND</sup> YEAR
- 15 3<sup>RD</sup> YEAR
- $16 \quad 4^{TH} YEAR$
- 17 5<sup>TH</sup> YEAR OR HIGHER

#### **ESCUELA PRIMARIA O SECUNDARIA:**

- 1 1<sup>er.</sup> GRADO
- 2 2° GRADO
- 3 3<sup>er.</sup> GRADO
- 4 4° GRADO
- 5 5° GRADO
- 6 6° GRADO
- 7 7° GRADO
- 8 8° GRADO
- 9 9° GRADO
- 10 10° GRADO
- 11 11° GRADO
- 12 12° GRADO

#### **COLLEGE O UNIVERSIDAD:**

- 13 1er. AÑO
- 14 2°. AÑO
- 15 3<sup>er.</sup> AÑO
- 16 4° AÑO
- 17 5° AÑO O MÁS

- 1 SCHOOL WAS BORING OR I DIDN'T WANT TO BE THERE
- 2 I GOT PREGNANT / I GOT SOMEONE PREGNANT
- 3 I GOT IN TROUBLE OR EXPELLED FOR <u>SELLING</u> DRUGS
- 4 I GOT IN TROUBLE OR EXPELLED FOR <u>USING</u> DRUGS
- 5 I GOT IN TROUBLE OR EXPELLED FOR SOME OTHER REASON
- 6 | OFTEN GOT INTO TROUBLE
- 7 I HAD TO GET A JOB (OR WORK MORE HOURS)
- 8 I WAS GETTING BAD GRADES
- 9 I WASN'T LEARNING ANYTHING
- 10 I GOT MARRIED OR MOVED IN WITH MY BOY/GIRLFRIEND
- 11 I MOVED HERE FROM ANOTHER COUNTRY AND DIDN'T ENROLL IN SCHOOL (OR DROPPED OUT OF SCHOOL) BECAUSE OF LANGUAGE OR OTHER PROBLEMS
- 12 I WAS TREATED BADLY AT SCHOOL
- 13 I BECAME ILL OR INJURED
- 14 I WENT TO JAIL / PRISON
- 15 I HAD RESPONSIBILITIES AT HOME OR PERSONAL PROBLEMS

- 1 LA ESCUELA ERA ABURRIDA O NO QUERÍA ESTAR AHÍ
- 2 QUEDÉ EMBARAZADA / EMBARACÉ A ALGUIEN
- 3 ME METÍ EN PROBLEMAS O ME EXPULSARON POR <u>VENDER</u> DROGAS
- 4 ME METÍ EN PROBLEMAS O ME EXPULSARON POR USAR DROGAS
- 5 ME METÍ EN PROBLEMAS O ME EXPULSARON POR OTRA RAZÓN
- 6 ME METÍ EN PROBLEMAS VARIAS VECES
- 7 TUVE QUE BUSCAR UN TRABAJO (O TRABAJAR MÁS HORAS)
- 8 ESTABA SACANDO MALAS NOTAS
- 9 NO ESTABA APRENDIENDO NADA
- 10 ME CASÉ O ME FUI A VIVIR CON MI ENAMORADO(A) O NOVIO(A)
- 11 VINE DE OTRO PAÍS A VIVIR AQUÍ Y NO ME INSCRIBÍ EN LA ESCUELA (O ABANDONÉ LOS ESTUDIOS) POR PROBLEMAS CON EL IDIOMA U OTROS PROBLEMAS
- 12 ME TRATARON MAL EN LA ESCUELA
- 13 ME ENFERMÉ O ME LASTIMÉ
- 14 FUI A LA CÁRCEL O A LA PRISIÓN
- 15 TENÍA RESPONSABILIDADES EN EL HOGAR O PROBLEMAS PERSONALES

- 1 MANUFACTURING
- 2 WHOLESALE TRADE
- 3 RETAIL TRADE
- 4 AGRICULTURE
- 5 CONSTRUCTION
- 6 SERVICE
- 7 GOVERNMENT

- 1 FABRICACIÓN
- 2 COMPRA Y VENTA AL POR MAYOR
- 3 COMPRA Y VENTA AL POR MENOR
- 4 AGRICULTURA
- 5 CONSTRUCCIÓN
- 6 SERVICIO
- 7 GOBIERNO

- 1 PRIVATE <u>FOR-PROFIT</u> COMPANY OR BUSINESS
- 2 PRIVATE <u>NOT-FOR-PROFIT</u> COMPANY OR BUSINESS
- 3 LOCAL GOVERNMENT (CITY, COUNTY, ETC.)
- 4 STATE GOVERNMENT
- 5 FEDERAL GOVERNMENT
- 6 <u>INTERNATIONAL OR FOREIGN</u> GOVERNMENT
- 7 SELF-EMPLOYED IN AN <u>INCORPORATED</u> BUSINESS
- 8 SELF-EMPLOYED IN AN <u>UNINCORPORATED</u> BUSINESS
- 9 WORKING <u>WITHOUT PAY</u> IN A FAMILY BUSINESS OR FARM

- 1 COMPAÑÍA O EMPRESA PRIVADA <u>CON</u> FINES DE LUCRO
- 2 COMPAÑÍA O EMPRESA PRIVADA <u>SIN</u> FINES DE LUCRO
- 3 GOBIERNO LOCAL (CIUDAD, CONDADO, ETC.)
- 4 GOBIERNO ESTATAL
- 5 GOBIERNO FEDERAL
- 6 GOBIERNO INTERNACIONAL O EXTRANJERO
- 7 TRABAJA POR CUENTA PROPIA EN UNA COMPAÑÍA INCORPORADA
- 8 TRABAJA POR CUENTA PROPIA EN UNA COMPAÑÍA <u>NO INCORPORADA</u>
- 9 TRABAJA <u>SIN PAGO</u> EN UN NEGOCIO O GRANJA DE LA FAMILIA

- 1 ON VACATION, SICK, FURLOUGH, STRIKE, OR OTHER TEMPORARY ABSENCE
- 2 ON LAYOFF AND <u>NOT</u> LOOKING FOR WORK
- 3 ON LAYOFF AND LOOKING FOR WORK
- 4 WAITING TO REPORT TO A NEW JOB
- 5 SELF-EMPLOYED AND DID NOT HAVE ANY BUSINESS LAST WEEK
- 6 GOING TO SCHOOL OR TRAINING

- 1 DE VACACIONES, ENFERMO, CON LICENCIA, DE HUELGA, OTRA AUSENCIA TEMPORAL
- 2 LO DESPIDIERON DEL TRABAJO Y <u>NO</u> ESTÁ BUSCANDO EMPLEO
- 3 LO DESPIDIERON DEL TRABAJO Y <u>SÍ</u> ESTÁ BUSCANDO EMPLEO
- 4 ESTÁ ESPERANDO A REPORTARSE A UN NUEVO EMPLEO
- 5 TRABAJA POR CUENTA PROPIA Y NO HUBO NINGUNA ACTIVIDAD LA SEMANA PASADA
- 6 ASISTE A LA ESCUELA / ENTRENAMIENTO

- 1 LOOKING FOR WORK
- 2 ON LAYOFF AND <u>NOT</u> LOOKING FOR WORK
- 3 KEEPING HOUSE OR CARING FOR CHILDREN FULL-TIME
- 4 GOING TO SCHOOL OR TRAINING
- 5 RETIRED
- 6 DISABLED FOR WORK
- 7 DIDN'T WANT A JOB

- 1 BUSCANDO TRABAJO
- 2 LO DESPIDIERON DEL TRABAJO Y <u>NO</u> ESTÁ BUSCANDO EMPLEO
- 3 ENCARGADO DE LA CASA O CUIDANDO LOS NIÑOS A TIEMPO COMPLETO
- 4 ASISTE A LA ESCUELA / ENTRENAMIENTO
- 5 JUBILADO
- 6 INCAPACITADO PARA TRABAJAR
- 7 NO QUERÍA TRABAJAR

- 1 LESS THAN 10 PEOPLE
- 2 10-24 PEOPLE
- 3 25-99 PEOPLE
- 4 100-499 PEOPLE
- 5 500 PEOPLE OR MORE

1	MENOS DE 10 PERSONAS
2	DE 10-24 PERSONAS
3	DE 25-99 PERSONAS
4	DE 100-499 PERSONAS
5	500 PERSONAS O MÁS

- 1 HANDLED ON AN INDIVIDUAL BASIS/POLICY DOES NOT SPECIFY WHAT HAPPENS
- 2 EMPLOYEE IS FIRED
- 3 EMPLOYEE IS REFERRED FOR TREATMENT OR COUNSELING
- 4 NOTHING HAPPENS
- 5 SOMETHING ELSE HAPPENS

- 1 CADA CASO SE TRATA DE MANERA INDIVIDUAL / EL REGLAMENTO NO ESPECIFICA QUÉ PASA
- 2 EL EMPLEADO ES DESPEDIDO
- 3 SE LE PIDE AL EMPLEADO QUE OBTENGA TRATAMIENTO O CONSEJERÍA
- 4 NO PASA NADA
- 5 PASA OTRA COSA

- 1 SELF
- 2 FATHER (INCLUDES STEP, FOSTER, ADOPTIVE)
- 3 SON (INCLUDES STEP, FOSTER, ADOPTIVE)
- 4 BROTHER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)
- 5 HUSBAND
- 6 UNMARRIED PARTNER
- 7 HOUSEMATE OR ROOMMATE
- 8 SON-IN-LAW
- 9 GRANDSON
- 10 FATHER-IN-LAW
- 11 GRANDFATHER
- 12 BOARDER OR ROOMER
- 13 OTHER RELATIVE
- 14 OTHER NON-RELATIVE

- 1 SÍ MISMO
- 2 PADRE (INCLUYE PADRASTRO, PADRE "FOSTER" O DE CRIANZA, PADRE ADOPTIVO)
- 3 HIJO (INCLUYE HIJASTRO, HIJO "FOSTER" O DE CRIANZA, HIJO ADOPTIVO)
- 4 HERMANO (INCLUYE MEDIO HERMANO, HERMANASTRO, HERMANO "FOSTER" O DE CRIANZA, HERMANO ADOPTIVO)
- 5 ESPOSO
- 6 PAREJA (SIN ESTAR CASADOS)
- 7 COMPAÑERO DE CASA O DE CUARTO
- 8 YERNO
- 9 NIETO
- 10 SUEGRO
- 11 ABUELO
- 12 INQUILINO O HUÉSPED
- 13 OTRO PARIENTE
- 14 OTRO QUE NO SEA PARIENTE

- 1 SELF
- 2 MOTHER (INCLUDES STEP, FOSTER, ADOPTIVE)
- 3 DAUGHTER (INCLUDES STEP, FOSTER, ADOPTIVE)
- 4 SISTER (INCLUDES HALF, STEP, FOSTER, ADOPTIVE)
- 5 WIFE
- 6 UNMARRIED PARTNER
- 7 HOUSEMATE OR ROOMMATE
- 8 DAUGHTER-IN-LAW
- 9 GRANDDAUGHTER
- 10 MOTHER-IN-LAW
- 11 GRANDMOTHER
- 12 BOARDER OR ROOMER
- 13 OTHER RELATIVE
- 14 OTHER NON-RELATIVE

- 1 SÍ MISMA
- 2 MADRE (INCLUYE MADRASTRA, MADRE "FOSTER" O DE CRIANZA, MADRE ADOPTIVA)
- 3 HIJA (INCLUYE HIJASTRA, HIJA "FOSTER" O DE CRIANZA, HIJA ADOPTIVA)
- 4 HERMANA (INCLUYE MEDIA HERMANA, HERMANASTRA, HERMANA "FOSTER" O DE CRIANZA, HERMANA ADOPTIVA)
- 5 ESPOSA
- 6 PAREJA (SIN ESTAR CASADOS)
- 7 COMPAÑERA DE CASA O DE CUARTO
- 8 NUERA
- 9 NIETA
- 10 SUEGRA
- 11 ABUELA
- 12 INQUILINA O HUÉSPED
- 13 OTRA PARIENTE
- 14 OTRA QUE NO SEA PARIENTE

- 1 PERSON IN FAMILY WITH HEALTH INSURANCE LOST JOB OR CHANGED EMPLOYERS
- 2 LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE BECAUSE OF NEW JOB OR INCREASE IN INCOME
- 3 LOST MEDICAID OR MEDICAL ASSISTANCE COVERAGE FOR SOME OTHER REASON
- 4 COST IS TOO HIGH / CAN'T AFFORD PREMIUMS
- 5 BECAME INELIGIBLE BECAUSE OF AGE OR LEAVING SCHOOL
- 6 EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE
- 7 GOT DIVORCED OR SEPARATED FROM PERSON WITH INSURANCE
- 8 DEATH OF SPOUSE OR PARENT
- 9 INSURANCE COMPANY REFUSED COVERAGE
- 10 DON'T NEED IT
- 11 RECEIVED MEDICAID OR MEDICAL INSURANCE ONLY WHILE PREGNANT

- 1 LA PERSONA EN LA FAMILIA CON SEGURO DE SALUD PERDIÓ EL EMPLEO O CAMBIÓ DE EMPLEADOR O PATRÓN
- 2 PERDIÓ LA COBERTURA DE MEDICAID O DE BENEFICIOS MÉDICOS POR CAMBIAR DE EMPLEO O POR UN AUMENTO EN LOS INGRESOS
- 3 PERDIÓ LA COBERTURA DE MEDICAID O DE BENEFICIOS MÉDICOS POR ALGUNA OTRA RAZÓN
- 4 EL COSTO ES DEMASIADO ALTO / NO PUEDE PAGAR LAS CUOTAS (PRIMAS)
- 5 PERDIÓ LOS BENEFICIOS DEBIDO A LA EDAD O POR DEJAR LA ESCUELA
- 6 EL EMPLEADOR NO OFRECE COBERTURA O LA PERSONA NO CALIFICA PARA RECIBIR COBERTURA
- 7 SE DIVORCIÓ O SE SEPARÓ DE LA PERSONA CON SEGURO
- 8 LA MUERTE DEL CÓNYUGE O DEL PADRE O LA MADRE
- 9 LA COMPAÑÍA DE SEGUROS LE NEGÓ COBERTURA
- 10 NO LO NECESITA
- 11 RECIBIÓ MEDICAID O SEGURO MÉDICO SOLAMENTE DURANTE EL EMBARAZO

- 1 COST IS TOO HIGH / CAN'T AFFORD PREMIUMS
- 2 EMPLOYER DOES NOT OFFER COVERAGE, OR NOT ELIGIBLE FOR COVERAGE
- 3 INSURANCE COMPANY REFUSED COVERAGE
- 4 DON'T NEED IT

- 1 EL COSTO ES MUY ALTO / NO PUEDE PAGAR LAS CUOTAS (PRIMAS)
- 2 EL EMPLEADOR NO OFRECE COBERTURA O LA PERSONA NO CALIFICA PARA RECIBIR COBERTURA
- 3 LA COMPAÑÍA DE SEGUROS LE NEGÓ COBERTURA
- 4 NO LO NECESITA

### SHOWCARD 16a

- 1 VETERAN'S ADMINISTRATION PAYMENTS
- 2 OTHER DISABILITY, RETIREMENT OR SURVIVOR PENSION
- 3 UNEMPLOYMENT OR WORKER'S COMPENSATION
- 4 INTEREST INCOME
- 5 DIVIDENDS FROM STOCKS OR MUTUAL FUNDS
- 6 INCOME FROM RENTAL PROPERTIES, ROYALTIES, ESTATES OR TRUSTS
- 7 ALIMONY
- 8 CHILD SUPPORT

### TARJETA 16a

- 1 PAGOS DE LA ADMINISTRACIÓN DE VETERANOS
- 2 OTRA INCAPACIDAD, JUBILACIÓN O PENSIÓN DE SUPERVIVIENTE
- 3 DESEMPLEO O COMPENSACIÓN DE TRABAJADORES
- 4 INGRESO PROVENIENTE DE INTERESES
- 5 DIVIDENDOS PROVENIENTES DE ACCIONES O FONDOS MUTUOS
- 6 INGRESOS POR ALQUILER DE PROPIEDADES, REGALÍAS, HERENCIAS O FIDEICOMISOS
- 7 PENSIÓN ALIMENTICIA
- 8 MANUTENCIÓN INFANTIL

- 1 LESS THAN \$1,000 (INCLUDING LOSS)
- 2 \$1,000 \$1,999
- 3 \$2,000 \$2,999
- 4 \$3,000 \$3,999
- 5 \$4,000 \$4,999
- 6 \$5,000 \$5,999
- 7 \$6,000 \$6,999
- 8 \$7,000 \$7,999
- 9 \$8,000 \$8,999
- 10 \$9,000 \$9,999
- 11 \$10,000 \$10,999
- 12 \$11,000 \$11,999
- 13 \$12,000 \$12,999
- 14 \$13,000 \$13,999
- 15 \$14,000 \$14,999
- 16 \$15,000 \$15,999
- 17 \$16,000 \$16,999
- 18 \$17,000 \$17,999
- 19 \$18,000 \$18,999
- 20 \$19,000 \$19,999

- 1 MENOS DE \$1,000 (INCLUYENDO PÉRDIDAS)
- 2 \$1,000 \$1,999
- 3 \$2,000 \$2,999
- 4 \$3,000 \$3,999
- 5 \$4,000 \$4,999
- 6 \$5,000 \$5,999
- 7 \$6,000 \$6,999
- 8 \$7,000 \$7,999
- 9 \$8,000 \$8,999
- 10 \$9,000 \$9,999
- 11 \$10,000 \$10,999
- 12 \$11,000 \$11,999
- 13 \$12,000 \$12,999
- 14 \$13,000 \$13,999
- 15 \$14,000 \$14,999
- 16 \$15,000 \$15,999
- 17 \$16,000 \$16,999
- 18 \$17,000 \$17,999
- 19 \$18,000 \$18,999
- 20 \$19,000 \$19,999

- 21 \$20,000 \$24,999
- 22 \$25,000 \$29,999
- 23 \$30,000 \$34,999
- 24 \$35,000 \$39,999
- 25 \$40,000 \$44,999
- 26 \$45,000 \$49,999
- 27 \$50,000 \$74,999
- 28 \$75,000 \$99,999
- 29 \$100,000 OR MORE

21	\$20,000 - \$24,999
22	\$25,000 - \$29,999
23	\$30,000 - \$34,999
24	\$35,000 - \$39,999
25	\$40,000 - \$44,999
26	\$45,000 - \$49,999
27	\$50,000 - \$74,999
28	\$75,000 - \$99,999
29	\$100,000 O MÁS

Questionnaire Summaries

# Summary of the NSDUH Questionnaire English Spanish

# 2012 National Survey on Drug Use and Health Summary of the Questionnaire

You have asked to know more about the *National Survey on Drug Use and Health* and the types of questions the interviewer will ask. Below is a summary of each section of the questionnaire for you to examine. Keep in mind that not everyone will see every question—the questions depend on the participant's own experiences. Furthermore, participants can always refuse to answer any questions during the interview.

### **Demographics**

This section, administered by the interviewer, consists of questions about the participant such as his/her date of birth, race, educational background, and health status.

### Sample Questions:

- ▶ What is the highest grade or year of school you have **completed**?
- ▶ Would you say your health in general is excellent, very good, good, fair, or poor?

### **Computer Practice Session**

In this section, the interviewer shows the participant how to use the laptop computer and lets him/her practice using a short practice session.

### Cigarettes, Alcohol and Illicit Drugs

For most of the rest of the interview, the participant answers questions by listening to the questions over the headphones and/or reading the questions on the computer screen, and then entering responses using the computer's keyboard. The participant answers these questions in private, although the interviewer is available to help with any problems using the computer. During this part of the interview, only the participant can hear and see the questions and see his/her responses.

### Tobacco Products and Alcohol

These sections include questions about whether and how often participants have used cigarettes, chewing tobacco, snuff, cigars, pipe tobacco, and alcoholic beverages such as beer, wine, or liquor.

### Sample Questions:

- ► Have you **ever** smoked part or all of a cigarette?
- ▶ How old were you the **first time** you used chewing tobacco?
- ▶ What is your **best estimate** of the number of days you drank alcohol during the past 30 days?

### Illicit Drugs

The next sections ask about the participant's use or non-use of marijuana, heroin, cocaine, hallucinogens, and inhalants; and prescription pain killers, tranquilizers, stimulants, and sedatives when taken only for their effect. Questions about drug dependence and drug treatment are also included in these sections.

### Sample Questions:

- ► Have you **ever**, even once, used marijuana or hashish?
- How much do people risk harming themselves physically and in other ways when they use cocaine once a month?

### **Adult Social Environment and Mental Health**

Participants aged 18 and older receive questions about their social experiences such as: how many times they have moved, their opinions about drug use, and in some cases, their experiences as a parent. Mental health questions cover such topics as depression and treatment for mental health problems.

### Sample Questions:

- ► How do you feel about **adults** trying marijuana or hashish once or twice?
- During the past 12 months, was there any time when you **needed** mental health treatment or counseling for yourself but **didn't get it?**
- Have you ever in your life had a period of time lasting several days or longer when **most of the** day you felt sad, empty, or depressed?

### **Youth Experiences and Mental Health**

Youth aged 12-17 participating in the survey are also asked questions about their social experiences such as: perceptions about the risks of using certain drugs; whether getting drugs is difficult or easy; feelings about school and peers; and involvement in clubs, sports, and other extracurricular activities. The mental health questions cover such topics as depression and treatment for mental health problems.

### Sample Questions:

- ▶ **During the past 12 months**, in how many different kinds of school-based activities, such as team sports, cheerleading, choir, band, student government, or clubs, have you participated?
- Have you ever in your life had a period of time lasting several days or longer when most of the day you felt sad, empty, or depressed?
- During the past 12 months, did you receive treatment or counseling from a private therapist, psychologist, psychiatrist, social worker, or counselor for emotional or behavioral problems that were not caused by alcohol or drugs?

### **Health Care and Demographic Information**

In this section, the laptop is handed back to the interviewer, who asks questions about education, health insurance, and family income information to help in analyzing the data. If necessary, a knowledgeable adult in the household may be asked to help participants answer some of these questions.

The answers to these questions increase the government's knowledge about health care, especially as it may relate to drug use or treatment. This information helps in planning health care services and finding ways to lower the costs of care.

### Sample Questions:

- ► How many hours did you work **last week** at all jobs or businesses?
- ▶ **Before taxes and other deductions**, was the total **combined family** income during 2011 more or less than 20,000 dollars?
- Are you currently covered by private health insurance?

Please feel free to ask the interviewer if you have any other questions about the questionnaire.

Thank you for your cooperation and help!

# Encuesta Nacional sobre la Salud y el Consumo de Drogas del año 2012 Resumen del Cuestionario

Usted ha solicitado obtener más información acerca de la *Encuesta Nacional sobre la Salud y el Consumo de Drogas* y la clase de preguntas que le hará el entrevistador. Sírvase revisar el resumen de cada sección del cuestionario que se encuentra a continuación. Tenga en cuenta que no todas las personas verán cada pregunta porque las preguntas dependen de las experiencias de cada participante. Además, los participantes siempre pueden negarse a responder cualquier pregunta durante la entrevista.

### Demografía

Esta sección la lleva a cabo el entrevistador y consta de preguntas sobre el participante, tales como su fecha de nacimiento, raza, nivel de educación y el estado de su salud.

### Ejemplos de preguntas:

- Luál es el último grado o año de escuela o universidad que usted ha **completado**?
- Diría que su salud en general es excelente, muy buena, buena, más o menos, o mala?

### Sesión de práctica con la computadora

En esta sección, el entrevistador le muestra al participante cómo usar la computadora portátil, permitiéndole ensayar a través de una breve sesión de práctica.

### Cigarrillos, alcohol y drogas ilegales

Para la mayoría de las demás preguntas en la entrevista, el participante contesta las preguntas escuchándolas a través de los audífonos y/o leyéndolas en la pantalla de la computadora. Luego el participante registra las respuestas directamente usando el teclado de la computadora. El participante contesta estas preguntas en privado, aunque el entrevistador está disponible a ayudar con cualquier problema relacionado con el uso de la computadora. Durante esta parte de la entrevista, sólo el participante puede escuchar las preguntas y ver sus respuestas.

### Alcohol y productos de tabaco

Las preguntas en estas secciones son para saber si los participantes han usado, y con qué frecuencia han usado cigarrillos, tabaco de mascar, tabaco en polvo, cigarros (puros), tabaco en pipa y bebidas alcohólicas como cerveza, vino y licor.

### Ejemplos de preguntas:

- ▶ ¿Alguna vez ha fumado un cigarrillo entero o parte de uno?
- ► ¿Cuántos años tenía usted **la primera vez** que usó tabaco de mascar?
- Cuál es su **mejor cálculo** del número de días en que usted bebió alcohol durante los últimos 30 días?

### Drogas ilegales

Las siguientes secciones son para saber si el participante usa o no marihuana, heroína, cocaína, alucinógenos e inhalantes; así como analgésicos, tranquilizantes, estimulantes y sedantes que se venden normalmente con receta médica pero que se toman sólo por la sensación que causan. También se incluyen en esta sección preguntas acerca de la dependencia a las drogas y el tratamiento por uso de drogas.

### Ejemplos de preguntas:

- ¿Alguna vez ha usado marihuana o hachís, aunque haya sido sólo una vez?
- Cuánto riesgo corren las personas de hacerse daño físico y de otro tipo cuando usan **cocaína una vez al mes**?

### Ambiente social de los adultos y la salud mental

A los participantes de 18 años de edad o más se les harán preguntas sobre sus experiencias en el ambiente social tales como: cuántas veces se han mudado, sus opiniones acerca del uso de drogas, y en algunos casos, sus experiencias como padres de familia. Las preguntas acerca de la salud mental abarcan temas como la depresión y el tratamiento para problemas de la salud mental.

### Ejemplos de preguntas:

- Qué piensa de que los **adultos** prueben marihuana o hachís una o dos veces?
- En los últimos 12 meses, ¿hubo alguna época en que usted **necesitó** recibir tratamiento o consejería para la salud mental pero **no la recibió**?
- Alguna vez en su vida pasó por un periodo de tiempo, el cual duró varios días o más, cuando usted se sintió **triste, falto de sentimientos o deprimido la mayor parte del día**?

### Experiencias juveniles y la salud mental

A los jóvenes de 12 a 17 años de edad que participan en la encuesta también se les harán preguntas acerca de sus experiencias sociales como: el conocimiento de los riesgos al usar ciertas drogas; si es fácil o difícil conseguir drogas; sus opiniones acerca de la escuela y sus compañeros; y su participación en clubes, deportes y otras actividades después del horario escolar. Las preguntas sobre la salud mental abarcan temas como la depresión y el tratamiento para problemas de la salud mental.

### Ejemplos de preguntas:

- ▶ **Durante los últimos 12 meses**, ¿en cuántas actividades diferentes has participado en la escuela, como por ejemplo, equipos deportivos, en la barra ("cheerleading"), el coro, la banda, el gobierno estudiantil o clubes?
- Alguna vez en tu vida pasaste por un periodo de tiempo, el cual duró varios días o más, cuando te sentiste **triste**, **falto de sentimientos o deprimido la mayor parte del día**?
- En los últimos 12 meses, ¿recibiste tratamiento o consejería de un **terapeuta privado**, **psicólogo**, **psiquiatra**, **trabajador social o consejero privado** por problemas emocionales o por problemas de comportamiento que **no** fueron causados por el alcohol o las drogas?

### Cuidado de la salud e información demográfica

En esta sección, se devuelve la computadora portátil al entrevistador, quien hará preguntas acerca de la educación, seguro médico y los ingresos de la familia, todo esto con el fin de ayudarnos a analizar los datos. De ser necesario, se le pedirá a un adulto del hogar que esté más informado, a que ayude al participante a responder algunas de estas preguntas.

Las respuestas a estas preguntas ayudarán a aumentar el conocimiento del gobierno en cuanto al cuidado de la salud, especialmente si está relacionado con el uso de drogas o el tratamiento para el uso de drogas. Esta información ayudará en la planificación de los servicios del cuidado de la salud y también a encontrar maneras de disminuir el costo de dichos servicios.

### Ejemplos de preguntas:

- ▶ ¿Cuántas horas trabajó la **semana pasada** en todos sus trabajos o negocios?
- Antes de las deducciones de impuestos y de cualquier otro tipo, ¿el ingreso total familiar durante el año 2011 fue mayor o menor que 20,000 dólares?
- ► ¿Actualmente está usted cubierto por algún seguro de salud privado?

Usted puede dirigirse al entrevistador con toda confianza en caso de tener cualquier pregunta acerca del cuestionario.

¡Muchas gracias por su cooperación y por su ayuda!

# Interviewer Job Aids

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## **Enumeration Rules**

### PERSONS WHO ARE <u>NOT</u> TO BE INCLUDED ON ROSTER:

- Persons under the age of 12 at the time of screening (do count them in the total SDU Members number)
- Persons who are institutionalized at the time of screening
- Persons who will <u>not</u> live at the SDU for most of the time during the quarter

### PERSONS WHO ARE TO BE INCLUDED ON ROSTER:

Persons who will live at the SDU for most of the time during the quarter (provided they are 12 or older and not institutionalized at the time of screening)

### PERSONS ON ACTIVE DUTY IN THE UNITED STATES' MILITARY/ARMED FORCES:

Active duty personnel who live at the SDU for half or more of the quarter will be rostered, but then will be made ineligible by the iPAQ prior to selection

### KNOWN CITIZENS OF FOREIGN COUNTRIES:

- DO NOT INCLUDE: citizens of foreign countries living on the premises of an embassy, ministry, legation, chancellery, or consulate
  - citizens of foreign countries who consider themselves to be just visiting or traveling in the United States (regardless of the length of time they will be staying at the SDU)
- <u>DO</u> INCLUDE citizens of foreign countries who are not living on the premises of an embassy, ministry, etc., but who are living/studying/working in the United States and who will be living at the SDU for most of the time during the quarter.

# **Steps to Maximize Data Quality**

This summary is not a replacement for information contained in your FI Manual, but is a listing of some of our most crucial protocols that must be followed.

Be sure that you follow each of these at all times.

Note the FI Manual pages referenced with each key point. Keep in mind that the below protocols are <u>not</u> the only steps that are necessary to follow. Use your FI Manual, Field Supervisor, and project e-mails for information on additional steps to maximize data quality.

# Screening

- <u>Use your segment maps</u>, and not just the address, to locate your selected DUs. [FI Manual p. 3-21]
- <u>Display your ID badge</u> when knocking on every door in your segment. [FI Manual pgs. 4-14 and 5-1]
- <u>Complete screenings in-person</u> with a resident who is 18 or older. The only exception is in the case of emancipated minors. [FI Manual p. 4-15]
- Give a Study Description to each SR. [FI Manual p. 4–16]
- Obtain complete and accurate screening information, <u>reading the</u> <u>screening questions verbatim to the SR</u> and immediately entering responses into the iPAQ. The only missing screening data should be a result of the respondent's refusal or inability to provide the information. [FI Manual p. 6-15]

### Interview

- Read the CAI Introduction and Informed Consent from the Showcard

  Booklet to the R (choosing the appropriate version based on the respondent's age) before beginning the interview. Before speaking with a selected minor, you must obtain verbal parental permission. If the R was not the SR, give him/her a Study Description. [FI Manual pgs. 7-22 and 7-23]
- Make it apparent that you are <u>completing the interview in a completely</u> <u>confidential and unbiased manner</u>. [FI Manual pgs. 2-6, 2-7 and 8-1]

# Interview—continued

- To the extent possible, <u>choose an interview location that gives the</u> <u>respondent privacy</u>. [FI Manual pgs. 7-26 and 7-27]
- <u>Do not rush the respondent</u>. Do not tell the respondent how to make the interview go faster. [FI Manual p. 8-2 and 8-3]
- Use the <u>Reference Date Calendar and read verbatim the explanation</u> <u>provided on the CAI screen to the R</u>. As appropriate, remind the respondent to use the calendar as a visual aid throughout the interview. [FI Manual p. 8-14]
- Familiarize the R with the laptop and function keys by reading the provided script in the CAI Interview and allow the R to successfully complete the Computer Practice on his or her own. You must always explain, offer, AND plug in the headphones with each R. [FI Manual pgs. 8–16 and 8–17]
- Read the interview questions exactly as they appear on the screen. It is never acceptable to use your own words or 'wing it'. Do not assume you know answers from a previous conversation, question, or interview.

  [FI Manual p. 8-2 and 8-3]
- Hand the appropriate Showcard to the respondent when instructed to do so on the CAI screen. [FI Manual p. 8-13]
- Allow your respondents to complete the ACASI portion of the interview on their own. Never read the questions in the ACASI portion of the interview out loud to the respondent. In cases of extreme physical impairment, it may be necessary to enter the answers into the computer for the ACASI questions, but always allow the ACASI recording to 'read' the questions and answer categories via the headphones. [FI Manual pgs. 8–20 through 8–22]
- Have the respondent fill out the top portion of the Quality Control Form and allow the respondent to insert the form into the envelope and seal it. Mail the form promptly. [FI Manual pgs. 8-23 through 8-25]
- Always protect the confidentiality of your respondents. Never reveal a respondent's answers to anyone, including the respondent's family members. Resist the temptation to reveal even positive information gleaned from an interview to parents or other household members. [FI Manual pgs. 2-6 through 2-8]

# Sampling Process Explanation

Sample: A representative part of a population that is studied to gain information about the whole population.

**NSDUH target population:** Civilian, non-institutional population aged 12 years or older residing within the 50 United States and the District of Columbia.

### THE PROCESS:

RTI statisticians draw a smaller sample of the target population designed to represent the population as a whole using probability sampling.

### **Step 1:**

Break each state up into geographic areas, called **State Sampling Regions** (SS Regions) using estimates of population and housing unit counts from the Census Bureau.

- Number of SS Regions is dependent on the population of the state.
  - 8 most populated states=48 regions.
  - Remaining states=12 regions
- o Each SS Region is expected to yield the same number of interviews. This means the more densely populated the area, the smaller the region.

### **Step 2:**

Break each SS Region up into **segments**.

- o Scientifically selected to ensure the sample accurately represents the United States' population.
- o Each area is assigned a "probability," or "chance," of being selected based on the Census population count.
- o 8 segments selected within each SS Region.
  - 2 per quarter of data collection.

### Step 3:

**Dwelling Units** within the segments are counted and listed, and recorded on the List of Dwelling Units or Group Quarters Listing Form.

### Step 4:

From the listed dwelling units, specific HUs and GQUs are randomly selected.

- Selected units are called sample dwelling units, or SDUs which you contact for screening and possible interviewing.
- Approximately 180,000 SDUs randomly selected each year

### **Step 5:**

Either 0, 1, or 2 persons (**respondents**) are selected for interview using the roster information entered into the iPAQ during screening. Approximately 70,000 respondents are selected and complete the interview each year.

- Eligibility for interview is based only on the AGE of the SDU members
- o All screening data is used by the RTI statisticians

### **Analysis:**

"Sample Weighting" is used to determine how many people in the population each respondent represents.

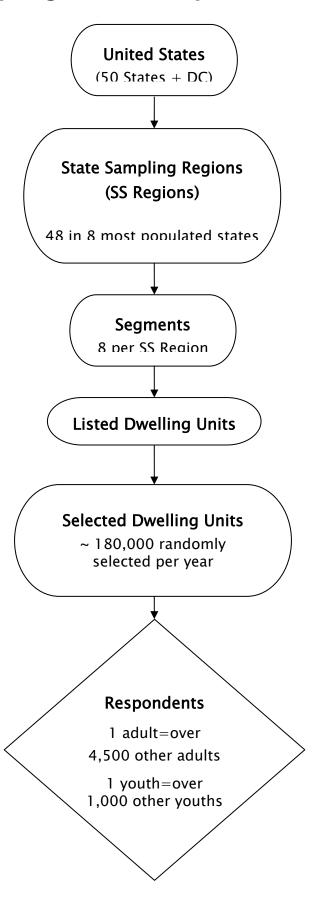
- o 1 adult represents over 4,500 other adults
- o 1 youth represents over 1,000 other youths

### FIELD INTERVIEWER'S ROLE

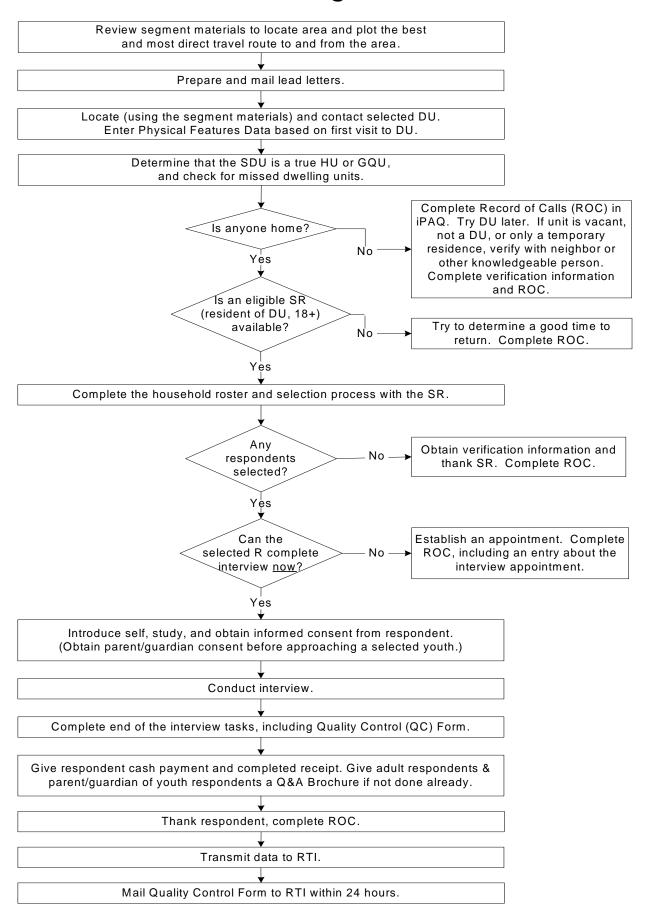
Maintain the integrity of the sample by:

- o Using segment materials properly
- Following project procedures, including editing address and adding missed DU protocols
- o Investigating discrepancies between the materials and what you actually see in the field
- Paying close attention to details

# Sampling Process Explanation (continued)



# Overview of the Screening and Interview Process



# **Screening and Interview Tasks**

When you work in the field, you will encounter a variety of situations:

## Can't screen (i.e. no one home, vacant units, SR not available, etc.)

- choose case from Select Case, tap Actions, Screen DU
- approach unit and discover you can't screen
- obtain verification information for codes 10, 13 and 18
- complete ROC to document situation

## **Screen only (codes 22, 26, 30)**

- choose case from Select Case, tap Actions, Screen DU
- approach unit and obtain participation of eligible SR
- complete screening
- obtain verification information
- complete ROC

## Screen, then interview (31, 32 and then 70)

- choose case from Select Case, tap Actions, Screen DU
- approach unit and obtain participation of eligible SR
- complete screening
- complete ROC for screening
- obtain participation/informed consent of selected respondent(s)
- prepare laptop and enter QuestID from iPAQ
- conduct interview(s) with available selected respondent(s)
- enter QC ID from Quality Control Form in CAI program in laptop
- complete ROC(s) in iPAQ for completed interview(s)
- mail completed Quality Control Form(s) to RTI within 24 hours

## Screen, make appointment for interview (codes 31, 32 and then 50)

- choose case from Select Case, tap Actions, Screen DU
- approach unit and obtain participation of eligible SR
- complete screening
- complete ROC for screening
- complete ROC to document appointment for each selected respondent
- prepare appointment card and give to each respondent

# Interview only at appointment time or other follow-up contact

- choose case from Select Case, review selections and roster
- obtain participation/informed consent of selected respondent(s)
- prepare laptop and enter QuestID from iPAQ
- conduct interview(s) with selected respondent(s)
- enter QC ID from Quality Control Form in CAI program in laptop
- complete ROC(s) in iPAQ
- mail completed Quality Control Form to RTI within 24 hours

# Always enter Physical Features Data based on your first visit to a DU.

# **Physical Features Data Reference Sheet**

There are two key elements for entering accurate Physical Features Data into the iPAQ:

- The SDU characteristic and controlled access type should be based on what you encounter during your first visit to the SDU. Details on what transpires during subsequent contact attempts are documented in the Record of Calls (ROC). If the situation changes during future visits, that information will be reported in the ROCs.
- 2. Reference the **definitions for SDU characteristic and controlled access type**. The categories are abbreviated in the iPAQ program, so reference, as necessary, the additional information provided in parentheses below or the additional text provided in the iPAQ information screen.

Physical Features Data provides a "picture" associated with the SDU. These data are used in conjunction with ROCs to provide more complete information about issues impacting field interviewers and household surveys.

## **SDU Characteristic:**

Select the description of the characteristics of the SDU from the list on the SDU Characteristic screen.

**IMPORTANT NOTE:** Some SDUs may fall into several characteristic categories—if so, <u>check all</u> <u>characteristics that apply to the SDU.</u> For example, if the SDU is a high-rise apartment complex (more than 50 units) on a military base, use codes 4 and 5.

- 1. **House/Single Unit** (single family home, trailer, or cottage)
- 2. **Multi-unit**, **2-9 units** (multi-unit structure with 2-9 units)
- 3. **Multi-unit**, **10-49 units** (multi-unit structure with 10-49 units)
- 4. **Multi-unit**, **50+ units** (multi-unit structure with 50 or more units)
- 5. Military Base
- 6. **Student Housing** (includes dormitory, fraternity/sorority housing, or college-owned apartment)
- 7. Native American Tribal Land (Reservation)
- 8. Senior Housing/Assisted Living (includes houses, apartments, or condos)
- 9. Other GQU (group quarters units OTHER than those listed in the above options, such as shelters)
- 10. **Empty Lot** (empty home site or mobile home site)

## **Controlled Access Type:**

"Controlled access" is defined as any situation where an FI approaches an SDU and encounters some barrier that **prevents** the FI from gaining access **to the door** of the SDU. Select the type of physical barrier(s), if any, that prevents you from gaining access to the door of the SDU during your initial visit from the list on the Controlled Access Type screen. If you encounter a controlled access barrier during your initial visit to a multi-unit building or complex that prevents you from gaining access to any SDUs, you should enter the barrier type for all SDUs in that building or complex.

IMPORTANT NOTE: Some SDUs may include multiple types—if so, check all types that apply to the SDU. For example, if you encounter a guard station and locked gate with no intercom when trying to approach an SDU in a community and the guard does not grant you access to the community, you would enter 2 and 3.

- None (The vast majority of SDUs possess *none* of the types of access barriers listed below, so "None" will be a common entry. Remember, if you encounter any of the access barriers below, but gain access to the door of the SDU during your initial visit, the barrier would be coded as "None" per the Controlled Access definition.)
- Guard/Door Person/Staff/Manager (door person at front door who has to call the unit, door person that must give access to building, guard at gate of community, on-site or off-site manager who controls access)
- 3. Locked main entrance/gate, no intercom/buzzer (locked doors, locked gate, controlled gate, or other locked entry)
- 4. Locked main entrance/gate with intercom/buzzer, no unit address labels (may contain other labels such as names, but does not provide address label)
- 5. Locked main entrance/gate with intercom/buzzer, with unit address labels

Example Scenarios	CHAR	TYPE
One story brick home in a gated neighborhood. The gate was open upon your arrival & you entered and accessed the SDU successfully.	1	1
The manager of a college dorm will not grant you access to the locked building (with no intercom/buzzer). There are 8 SDUs within the 16 unit dorm.	3 and 6	2 and 3
The SDU is in a high rise apartment building with over 100 units. There is a locked elevator and intercoms with only names listed in the lobby. You are unable to gain access to any SDUs on your first attempt.	4	4
The rural SDU on a reservation is located down a long driveway that is dangerous to traverse due to severe ice from a recent storm.	1 and 7	1
The SDU is located in a senior housing apartment building with 18 units. After being granted access to the building from a guard in the lobby, you buzz residents from an intercom with room numbers. The residents can unlock the main door from their apartment. You are granted access by the resident of the first SDU contacted.	3 and 8	1
After screening 5 SDUs in the senior housing example above, you return a week later to initially visit the remaining 7 SDUs, but the manager has told the guard not to grant you access to the building. How do you code the physical features data for the remaining cases?	3 and 8	2 and 5
You try to contact 4 SDUs in an 8 unit apartment complex on a military base, but the guard at the controlled entrance gate will not grant you access to the base on your first attempt. How do you code the physical features data for these 4 SDUs?	2 and 5	2 and 3

If you have questions about entering Physical Features Data, contact your FS.

# **Editing Address Protocol**

### When to Edit an Address

Editing SDU addresses should <u>not</u> be a common task. An address should only be edited if the <u>physical</u> address is missing or incorrect, such as when only a description of the SDU is provided.

Before editing an address, first confirm that you are at the correct selected dwelling unit. To do this, use <u>a combination of project resources</u>, including the handwritten List of DUs, block listing maps, <u>and</u> the address or description in your iPAQ. If you are confident you are at the correct DU but the address is incorrect, you should consult the *Editing Address Protocol* chart. It will guide you on the steps to follow.

When you edit an address, there are two additional steps you must take to complete the process. First, you must <u>enter a note in the Record of Calls</u> explaining the reason for the edit. Second, you should <u>notify your FS</u> of the edit. Your FS must update their copy of the segment materials with the edit, and in many cases notify RTI's Sampling Department to update the project sampling frame.

### When NOT to Edit an Address

In order to maintain the integrity of the sample, there are specific situations when you should not edit an address. These situations are listed as "Improper" in the third column of the *Editing Address Protocol* chart.

For example, addresses must never be edited due to screening on the incorrect line or at the wrong dwelling unit. Always double-check the address at the top of the iPAQ screen and consult your segment materials to prevent this type of error.

Never make edits to an address which create a duplicate of an address already listed on the original List of DUs without first obtaining permission from your FS and Sampling. Checking the original List of DUs before making an edit will ensure no duplication occurs.

Also, do <u>not</u> change an address or description to a Post Office Box, but instead enter any PO Box information in the Record of Calls.

Lastly, do <u>not</u> edit addresses to change abbreviations to full spellings, such as "Dr." to "Drive," or from full spellings to abbreviations, such as "Boulevard" to "Blvd."

### **Reminder:**

### Always refer to the chart:

- when deciding whether or not to edit an address, and
- to be certain all appropriate procedures have been completed when editing an address.

# **EDITING ADDRESS PROTOCOL**

**REMINDER:** Always review your segment maps, original list of dwelling units, and iPAQ information before editing SDU addresses.

Scenario	Description of Situation	Proper or Improper to Edit	Appropriate FI Action	Appropriate FS Action
А	Description edited to a street address	Proper	Check handwritten list of DUs, if address is not on list, delete description; enter street address in iPAQ; proceed with screening; enter notes in ROCs; notify FS  Check handwritten list of DUs, if address in Make correction in segment Make correction in segment iPAQ; proceed with screening; enter notes in ROCs; notify FS	
В	Street name spelling corrected or drive/avenue/street/etc. corrected	Proper	Edit address in iPAQ; proceed with screening; enter notes in ROCs; notify FS	Make correction in segment materials
С	Correction of one SDU address due to 911/U.S. Postal Service/ local government address revisions	Proper	Edit address in iPAQ; proceed with screening; enter notes in ROCs; notify FS	Make correction in segment materials
D	Correction of listing error for one SDU (includes edits to street/apt. number)	Proper	Check handwritten list of DUs, if address is not on list, edit address in iPAQ; proceed with screening; enter notes in ROCs; notify FS	Make correction in segment materials
E	Adding apt. numbers to differentiate between added units in a SDU or on SDU property	Proper	Check handwritten list of DUs, if address is not on list, edit address in iPAQ; proceed with screening; enter notes in ROCs; notify FS	Make correction in segment materials
F	City, state, or zip code corrected	Proper	Edit address in iPAQ; proceed with screening; enter notes in ROCs; notify FS	Make correction in segment materials
G	Within controlled access buildings/complexes, edits to addresses for multiple units originally gained through "blind" listing or listing by buzzer/mailbox	Proper (with prior approval from FS)	Notify FS; if approved by FS, edit address in iPAQ; proceed with screening; enter notes in ROCs	Make correction in segment materials; instruct FI to make edit and proceed with screening; notify Sampling
н	Complete street name change	Proper (with prior approval from FS and Sampling)	Notify FS; if approved by FS and Sampling, edit address in iPAQ; proceed with screening; enter notes in ROCs	Seek Sampling approval; if approved, make correction in segment materials and instruct FI to make edit in iPAQ and proceed with screening
I	Removing the apt. number from SDU address	Proper (with prior approval from FS and Sampling)	Notify FS; if approved by FS and Sampling, edit address in iPAQ; proceed with screening; enter notes in ROCs	Seek Sampling approval; if approved, make correction in segment materials and instruct FI to make edit in iPAQ and proceed with screening
J	Correction of address for multiple units due to 911/U.S. Postal Service/local government address revisions	Proper (with prior approval from FS and Sampling)	Notify FS; if approved by FS and Sampling, edit address in iPAQ; proceed with screening; enter notes in ROCs	Seek Sampling approval; if approved, make correction in segment materials and instruct FI to make edit in iPAQ and proceed with screening
К	Correction of listing error for multiple units (includes edits to street and/or apt. number) *See Scenario G for additional information	Proper (with prior approval from FS and Sampling)	Notify FS; if approved by FS and Sampling, edit address in iPAQ; proceed with screening; enter notes in ROCs	Seek Sampling approval; if approved, make correction in segment materials and instruct FI to make edit in iPAQ and proceed with screening
L	Adding apt. numbers to single dwelling units *See Scenario E for additional information	Proper (with prior approval from FS and Sampling)	Notify FS; if approved by FS and Sampling, edit address in iPAQ; proceed with screening; enter notes in ROCs	Seek Sampling approval; if approved, make correction in segment materials and instruct FI to make edit in iPAQ and proceed with screening
М	Description or street address edited to a Post Office Box address	Improper	Place Post Office Box address in ROCs	Retrain FI
N	Edit due to screening on the wrong line or at the wrong dwelling unit	Improper	Request status code change or reopen code from FS; complete screening on correct line	Give status code change code or reopen code or instruct FI to final code, as appropriate; retrain FI
О	Edit due to merged or condemned unit, or unit does not exist	Improper	Notify FS; code case as instructed by FS and Sampling	Seek Sampling input; instruct FI to code case as instructed by Sampling

# **Checking for and Adding Missed DUs**

You are not required to check the entire segment for missed dwelling units. Check for missed DUs at every selected DU. (However do not ignore significant changes, such as a new development or new apartment building—call your FS.)

### At a selected housing unit, look for missed dwelling units:

### Within the structure or on the property.

For regular housing units (houses, townhouses, trailers, cottages, duplexes), during each screening you ask the SR if there are any other units within the structure or on the property, such as a separate apartment with a separate entrance. If so, simply enter the address of the other unit(s) and proceed with screening.

For housing units in apartment and condo buildings, do not ask the Missed DU question. However, if you discover a missed DU such as the rare but possible 'unit within a unit,' check with the SR and add the unit from the Select Case Screen by tapping "Actions/Add Missed DU."

### • In the geographic interval between the SDU and the next listed line.

On the first visit to the SDU, check the space between the SDU and the next listed DU. Enter any discovered units using "Actions/Add Missed DUs."

If the SDU is the last one listed on a map page:

- the 'next listed line' means the next one listed on that map page, not the next one listed numerically. Check the interval between the SDU and the very first DU listed on that map page.
- check any subsequent zero map pages for missed DUs.

## For group quarters structures listed by persons or beds:

 Compare the original list with the current situation. If there are more units now, call your FS who will contact RTI's Sampling Department about which missed GQUs to add.

#### Limits on missed DUs:

- Up to 5 units at one SDU
- Up to 10 units per segment
- iPAQ stores space for 5 added DUs per segment. If more are required, contact your FS to have 5 more lines transferred to your iPAQ.

For more information on checking for and adding missed DUs, refer to pages 3-32 through 3-39 and 3-44 through 3-46 in your FI Manual.

# **Reconciling Missed DUs**

Recording the addresses of missed DUs in the iPAQ does not automatically add them to your assignment. When ready to reconcile these missed DUs to see if they should be added, be sure that you have the handwritten List of Dwelling Units and the block listing maps.

### You can Reconcile at three different spots in the iPAQ:

- At the end of screening if you have entered a missed DU address(es). If you don't have time or your segment materials, simply tap "No" and reconcile later.
- If you entered a missed DU address using "Actions/Add Missed DUs," you will be asked if you want to reconcile.
- From the option "Admin/Reconcile Missed DUs."

### To Reconcile Missed DUs:

- Follow the instructions on the iPAQ screen carefully, making certain you refer to your segment materials as you reconcile the unit.
- Determine if the missed unit is already on the handwritten List of DUs.
- Determine if the missed unit is in the correct geographic interval.
- If the missed unit is not on the list and is in the correct interval, it will be added to your assignment.
- Complete the List of Added Dwelling Units to document the unit.
- Remember to mark the location of the missed DU on the correct Block Listing Map.

If you have any questions, exit the program and contact your FS.

# In the following situations, check with your FS who will check with RTI's Sampling Department:

- more than five missed DUs are found at a specific SDU
- more than 10 missed DUs are found in a segment
- a missed HU (other than a 'unit within a unit') is discovered in an apartment or condo building
- a missed GQU is discovered within a group quarters structure
- a missed group quarters structure is discovered
- a significant listing problem is discovered.

For more information on reconciling missed DUs, refer to pages 3-40 through 3-46 in your FI Manual.

# **Guidelines for Speaking with Neighbors**

When speaking with neighbors, it's important only to ask for and obtain certain information. <u>Always</u> be very general and concise, and do not offer more information than is necessary.

If the neighbor is suspicious and won't provide any information unless you tell them why you are interested in a particular address, simply state the household has been selected to participate in a national study. Do not name or describe the survey.

### You CAN speak to a neighbor to:

- 1. Verify whether someone actually lives at the selected DU(s)
- 2. Find out a good time to find the residents at home
- 3. Obtain verification data is the SDU is vacant

## When speaking with neighbors, you **CANNOT**:

- 1. Explain that the household has been selected to participate in the NSDUH
- 2. Obtain screening information for the selected DU(s)

### Suggested wording to use when speaking with neighbors:

"Hello, my name is [INSERT NAME] with Research Triangle Institute. I am working on a nationwide study sponsored by the U. S. Public Health Service and need to speak with your neighbor. Do you know when would be a good time to find them at home?"

OR

"Hello, my name is [INSERT NAME] with Research Triangle Institute. Your neighbor has been selected to participate in a national study and I'm having trouble catching them at home. Do you know when a good time would be for me to find them at home?"

## Suggested wording for vacancy verification:

"Hello, my name is [INSERT NAME] with Research Triangle Institute. Your neighbor has been selected to participate in a national study, but it looks like the house may be vacant. Can you tell me if someone lives there?"

# **ROC Comments**

## ROC comments should be appropriate, non-judgmental, and:

- <u>Informative</u> Record appointment times, best times to return, who you talked to, or if no adult was home, record which cars were in the driveway.
- <u>Helpful</u> Note relevant information for you to use in preparing to return or when discussing the case with your supervisor. If a case gets transferred to another FI, the notes are very important to the new FI to understand what has happened.
- <u>Concise</u> Explain your comments directly, leaving out unnecessary words or information. Your comments must be easily understood by you, your FS, other FIs, and project managers.

## **Examples of good ROCs:**

- CB after 7:00 pm
- 2:30 Sat appt
- W M 15ish said parents home after 8:00
- HISP F 60s send SPAN letter

### **PROJECT ABBREVIATIONS**

**R** respondent (for the interview)

**SR** screening respondent

FI field interviewer
Q&A Q&A Brochure
DU dwelling unit

### STANDARD ABBREVIATIONS

days of the week (with 3 letters)

F female
M male
B black
W white
HISP Hispanic
SPAN Spanish
INFO information
REF refused

### SUGGESTED HELPFUL ABBREVIATIONS

**CB** call back (or come back)

APPT appointment
AM morning
AFTRN afternoon
PM evening
NGHBR neighbor
GTKPR gatekeeper
WKND weekend

# **Counting and Listing Abbreviations**

COLORS		ADDRESSE	ODRESSES OTHER/GENER		<u>IERAL</u>
BG BK BC BC BC BC BC BC BC BC BC BC BC BC BC	Beige Black Blue Brown Dark Grey Green Light Medium Orange Pink Red Tan White Yellow	ADD ALY APT AVE BLK BLVD BLDG CIR CT DRWR DR HWY JCT LN PKWY PL PT PO RD RTE ST TER	Address Alley Apartment Avenue Block Boulevard Building Circle Court Drawer Drive Highway Junction Lane Parkway Place Point Post Office (Box) Road Route Street Terrace	ATT BSMT BUS CPT CG CHNLNK CHUR COL CO DB DRMR DBL DRWY ELCMTR ENT EXT EXT FEN FEN FEN FR FGAR	Attached Basement Business Carport Cattleguard Chainlink Chimney Church Column Corner County Doorbell Dormer Double Driveway Electric Motor Entrance Exit Exterior Fence Floor Foundation Frame From
DIRECTION	ORIENTATION	STRUCTURI	E/MATERIALS	GRD GRV	Garage Guard Gravel
ABV ACR ADJ BCK BEH BTWN BTM ERT L N NE NE RR SSW W	Above Across Adjacent Back Behind Between Bottom East Front Left North Northeast Northwest Rear Right South Southeast Southwest West	ALUM BRK BRNSTN CDR CBLK CEM LOG RCK SDG STN STU VNY	Aluminum Brick Brownstone Cedar Cement Block Cement Log Rock Siding Stone Stucco Vinyl	GRND HSE LG MBX MID PKT PCH PVT RR RSTR RSTR RM SCRN SHUT SD STR STY TR UC	Ground House Large Mailbox Middle Mile Picket Porch Private Railroad Restaurant Room Screen/Screened Shake Shutters Side Door Store Story Trim Under Construction
ARCHITECT STYLE	TURE/BUILDING	TYPES OF R	ROOFS	VAC VER VEST	Vacant Veranda Vestibule
APT CPCD CLNL CONDO CNTP DBLWID DPX RCH RF SPL TRAD TRLR TRANS	Apartment Cape Cod Colonial Condominium Contemporary Doublewide Duplex Ranch Roof Split Level Traditional Transitional	FLT GBL GMBR HIP MNSD SHGL SPNTL TIN	Flat Gable Gambrel Hip Mansard Shingle Spanish Tile Tin	WIN WD	Window Wood

# **Refusal Reasons**

Use these descriptions to help you classify refusals in the iPAQ.

## Too busy/no time/did too many surveys already

The number one reason for refusals is lack of time.

## 2. Surveys/Govt. invasive/teen exposure

These people feel that the government invades their privacy too much with surveys. There may be philosophical, political, or religious reasons for not participating in surveys. Parents may be concerned about exposing their teen to the sensitive subject matter in the survey.

## 3. Clarify confidentiality, legitimacy, or selection

Be sure to listen carefully to what respondents are telling you—questions about the legitimacy of the survey or how the survey guarantees confidentiality can often be explained by you on the doorstep, if you understand their concern.

## 4. "Nothing in it for me"/uncooperative

Although rare, sometimes people will either not give a reason for their refusal or they'll tell you that there's no reason for them to participate.

## 5. Gatekeeper/Parent/HH member disallow

Sometimes you encounter a refusal situation controlled by another person besides the person selected for the interview. A spouse, parent, guardian, or another influential person in the household may refuse for the selected individual, or deny you the ability to talk directly to the selected person. Even if you are able to talk with the selected person, he or she may refuse because of the other person's opinions.

### 6. Welfare or INS concern

Persons involved with various government programs may fear that you are a welfare worker or an immigration officer checking on their status.

## 7. Too ill/house messy/not dressed

These refusals are situational and will not generate a refusal letter. They refused because you caught them at an awkward time.

### 8. Need to discuss with FS

In some cases, a respondent's refusal won't fit any of the above categories, but you are able to gather a sense of what is preventing them from participating. With this option write a very specific note in the refusal comment section to alert your FS. Then you can talk with him/her about how best to handle the refusal.

# **The Interview Process**

### **Informed Consent Procedures**

- READ THE INFORMED CONSENT FOUND AT THE FRONT OF THIS BOOKLET TO RESPONDENT
- If the respondent is 12 17 years old, obtain parental consent first

### **Choose the Best Interview Location**

- Consider the following issues:
  - Privacy and ethics
  - Comfort and safety
  - Battery power and/or the availability of an electrical outlet
- Be flexible in choosing a location, but never compromise NSDUH protocols

### **Setting up the Computer**

- Unpack the computer and plug it in using the extension cord if needed
- Press the ON button and WAIT for the computer to boot up
- While waiting, make sure you have the <u>QuestID</u> displayed on the iPAQ, a <u>Reference Date</u>
   <u>Calendar</u>, your <u>Showcard Booklet</u>, a <u>Quality Control Form and envelope</u>, a <u>Q&A Brochure</u> (if not given earlier), \$30 and an <u>Interview Payment Receipt</u>
- Place clean <u>headphone covers</u> on the ear pieces of the headphones
- Enter the passwords, then enter the QuestID from the iPAQ to begin the interview

## **Conducting the Interview**

- Obtain informed consent (if not done earlier)
- If respondent is under the age of 12 or is active in the military, the computer will automatically complete this case (If this occurs, remember to enter a Code 79 in the iPAQ and explain the situation)
- Complete the Reference Date Calendar, following the script on the computer screen
  - Write the 12-month and 30-day dates given to you on the computer screen in the appropriate boxes
  - Circle the 12-month reference date
  - Circle the 30-day reference date, then underline the 30 days between the circled day and the current date
  - Record the Case ID at the top of the calendar
- Use the Showcards as instructed
- During the ACASI, be available to assist the respondent without being intrusive
  - Prepare the Quality Control Form:
    - Record the date and approximate interview completion time
    - Print your name and FI ID # legibly
    - Record the Case ID, including the A or B
    - Indicate which parent (or quardian) gave permission if respondent is a minor
  - Prepare the Interview Payment Receipt:
    - Record the Case ID, including the A or B
    - Do not sign, date, or check the box for payment acceptance until prompted by the computer

# The Interview Process (continued)

- Other possible tasks include: review your iPAQ ROCs and work plan for the day, re-read
  portions of the FI Computer Manual or FI Manual (remove a chapter or two at a time from
  the binder), re-read the Job Aids section in the Showcard Booklet, review materials for
  information to use when obtaining cooperation
- Enter the QC ID and the Case ID when prompted and follow Quality Control Form procedures
  - For a youth, the Quality Control Form should be completed by a parent or guardian (If no parent is available, it is acceptable for the youth to complete the form)
  - The R/parent should place the Quality Control Form into the envelope and seal it (If R/parent refuses to complete the Quality Control Form, ask him/her to write "REFUSED" across the top)
- Give R the \$30 cash payment, sign, date and mark the appropriate box on the Interview Payment Receipt and give the respondent the top copy
- Give adult interview respondents and parent/guardian of youth respondents a Q&A Brochure (if not done earlier)
- Answer the observation questions

## When Finished with the Interview

- Pack up your equipment:
  - Unplug the power cord from the computer first, unplug from the wall, then wrap them up and place in carrying case
  - Close the screen display until you hear a click, place the laptop in the case and zip closed
  - Remember your iPAQ, Showcard Booklet, Reference Date Calendar, and other papers

### THANK YOUR RESPONDENT!

- Enter a Code 70 in your iPAQ.
- Mail Quality Control Form to RTI within 24 hours of completion of the interview
- Mail Reference Date Calendar and Interview Payment Receipt (FS copy) to your FS each week

# **Possible Respondent Difficulties**

- Is the respondent physically or emotionally capable of participating?
  - Slow the pace of the questions to see if comprehension improves
  - Allow adequate time to answer
  - If respondent does not seem to understand, politely discontinue the interview and speak with your FS
- Is the respondent intoxicated or under the influence of other drugs?
  - Attempt to schedule another time to return
- Is the respondent blind or paralyzed?
  - Take the time to figure out if respondent can complete interview-each interview is precious!
  - Only in these situations are you allowed to enter the responses during the ACASI portion for the respondent (Make note of this in the FI Observation questions)
- Does the respondent exhibit behaviors that suggest he or she may have difficulty reading?
  - Remember that the respondent can listen to all the questions through the headphones and answer most questions with a number

# **Helpful Hints for Gathering Good I&O Data**

What you record in the Industry and Occupation questions is used to classify the respondent's work by assigning two separate codes. To assign these codes, the coding staff needs <u>descriptive</u>, <u>yet concise information</u>. For all of the industry and occupation questions, it is important to probe for further details. Use adjectives in your probes—for example, find out what <u>type</u> of doctor or salesperson, what <u>kind</u> of engineer or programmer, supervisor of <u>what?</u>

Also, keep in mind the following:

- List the most important information first, as each answer field has a character limit.
- Consider abbreviating when possible. Use typical abbreviations that coding staff will
  understand, such as dr for doctor and mgr for manager. Don't use abbreviations that are
  not standard—deciphering abbreviations takes a lot of time and the end result may not be
  what you originally intended.

The chart below lists the open-ended I&O questions, plus hints and character limits for each.

Name	Question	Character Limit	Hints
INOC01	In what kind of business or industry do you work? That is, what product is made or what kind of service is offered?	100	Don't enter just the name of a company as the Industry—include a description of the type of company. There could be multiple industries with the same name (e.g., Lowe's Foods or Lowe's Home Improvement Store).
INOC02M	What do they make?	35	<ul> <li>Probe for the <u>specific</u> product the respondent's employer makes (for example, car timing belts, small aircraft propellers).</li> <li>One word answers are usually too general and not descriptive enough.</li> </ul>
INOC02T	What do they sell?	35	<ul> <li>Probe for the <u>specific</u> product the respondent's employer sells.</li> <li>If the respondent has difficulty specifying what is sold, it could be the response to the previous question should not have been "wholesale or retail trade." Probe for accuracy.</li> </ul>
INOC03	PLEASE SPECIFY THE TYPE OF INDUSTRY	35	Probe for the specific business or industry in which the respondent works. Company name and/or job title are not sufficient descriptions.
INOC04	What kind of work do you do? That is, what is your occupation?	50	<ul> <li>Try to use <u>detailed</u> terms that describe the Occupation (for example, "Electrical Engineer" rather than "Engineer").</li> <li>Don't list a generic job title as the Occupation (for example, manager). Try to get a specific title for the occupation.</li> <li>Be sure to list only one occupation.</li> </ul>
INOC05	What are your most important activities or duties in that job?	100	Describe the work the R spends most of his/her time doing for that business or occupation.

The following are examples of probes and the types of details required for certain jobs in order to correctly assign the industry and occupation codes.

Industry/Occupation	Questions to Ask
Service	What type of service? (housekeeping, customer service, waiter)
[Businesses and	What type of location? (restaurant, hotel, private home)
occupations that work with the public]	For whom is the service done? (airline industry, the government, bank customers)
	What type of medical field or office? (doctor, dentist, pediatrician, orthopedic surgeon)
Medical Field	What is their <u>full</u> title? (Write out the word–orthodontist, orthopedist, podiatrist–do not use abbreviations such as "ortho")
	What type of location? (hospital, private doctor's office, Planned Parenthood)
	What grade level do they teach? (K-5, 6-9, 10-12, college)
	What subject do they teach? (English, Biology, French)
Education	What type of position? (Dean, Professor, Principal, Teacher, Teacher's aide, School Board member, Janitor, Cafeteria Worker)
	What type of location? (the YMCA, a recreation center, a school)
	Is the school <u>public</u> or <u>private</u> ?
Childcare	Where is the service given? (private home, someone else's home such as a neighbor, daycare center)
[Babysitter, Nanny,	What is their employment status? (self-employed, employee in a business)
Daycare Center, etc.]	What type of position? (teacher, care provider, cleaner)
Supervisors/	Who or what type of activity do you supervise or manage? (sales clerks, laborers, accountants)
Managers	What type of manager are you? (hotel, office, property, bakery)
Government Contractors	Is the company run by the government or is it a private company? (for example, RTI is a contractor for the federal government—RTI is not a government agency)
Call Centers	What type of call center is it? (retail, credit card, delinquent debt, market research, non-market research—do <b>not</b> only give the company name)
	What is the <u>primary purpose</u> of the call center? (repair service center, catalog ordering center, merchandising)
Manufacturing	What specific product do they make? (for example, does the company develop the software for a program or manufacture the products holding the software (e.g., discs, CD-ROMs))?
	What type of machine do they operate? (Riveter, lathe operator, punch press operator)

Additional examples and information on Industry and Occupation Questions can be found in your FI Manual, pages 8-18 through 8-20.

# **Result Codes**

	Pending Screening Codes	Requires FS Approval	Verification Info Required	iPAQ Auto Codes
01	No One at DU			
02	Screening Respondent Unavailable			
03	Neighbor Indicates Occupancy			
04	Incapable			
05	Language Barrier (Spanish)			
06	Language Barrier (Other)			
07	Refusal to Screening Questions			
80	Unable to Locate SDU			
09	Other			

		Requires	Verification	iPAQ
	Final Screening Codes	FS Approval	Info Required	Auto Codes
Inelig	ible SDUs			
10	Vacant		✓	
13	Not a Primary Residence		✓	
18	Not a Dwelling Unit		✓	
19	GQU Listed as HU			
20	HU Listed as GQU			
22	<b>DU Contains Only Military Personnel</b>		✓	✓
25	No Eligible SDU Members		✓	✓
26	In DU less than ½ of the Quarter		✓	✓
29	Listing Error	✓		
Scree	ning Not Obtained			
11	No One at DU after Repeated Visits	✓		
12	SR Unavailable after Repeated Visits	✓		
14	Incapable	✓		
15	Language Barrier (Spanish)	✓		
16	Language Barrier (Other)	✓		
17	Refusal	✓		
21	Denied Access to Building/Complex	✓		
23	Other	✓		
Scree	ning Completed			
30	No One Selected for Interview		✓	✓
31	One Selected for Interview			✓
32	Two Selected for Interview			✓

# Result Codes (continued)

		Requires	Verification	iPAQ
	Pending Interview Codes	FS Approval	Info Required	Auto Codes
50	Appointment for Interview			
51	No One at DU			
52	Respondent Unavailable			
53	Breakoff (Partial Interview)			
54	Incapable			
55	Language Barrier (Spanish)			
56	Language Barrier (Other)			
57	Refusal (By Respondent)			
58	Parental Refusal for 12-17 Year Old	_		
59	Other			

	Final Interview Codes	Requires FS Approval	Verification Info Required	iPAQ Auto Codes
70	Interview Complete		✓	
71	No One at Home after Repeated Visits	✓		
72	Respondent Unavailable	✓		
73	Breakoff (Partial Interview)	✓		
74	Incapable	✓		
75	Language Barrier (Spanish)	✓		
76	Language Barrier (Other)	✓		
77	Final Refusal by Respondent	✓		
78	Parental Refusal for 12-17 Year Old	✓		
79	Other	<b>✓</b>		

# Instructions for Using the Certificate of Participation

At the end of the interview, you can give a Certificate of Participation that authenticates the respondent's participation in NSDUH. Youth and other interested respondents may use the completed certificate to verify with teachers or group leaders that they participated in NSDUH, and may then receive credit for participating in the study. Possibilities include:

- extra credit for school
- incorporating the NSDUH experience into a school project
- credit towards community service hours.

It is the <u>respondents' responsibility</u> to arrange to receive any possible credit. Respondents and parents must understand that:

- they are responsible for making arrangements for any credit
- their school or group may or may not give credit; credit is <u>not</u> guaranteed
- no advance arrangements have been made with any school or community group in the nation.

The certificate is worded to allow for use with respondents other than middle or high school students, such as a young adult required to perform community service or for classes beyond high school. However, the certificate should be used primarily with youth respondents. Adult respondents should not receive a certificate unless they ask for acknowledgement that he/she completed the survey or seem very interested in receiving one.

Upon completion of the interview, prepare the certificate by:

- filling in the date the interview was completed
- and your FI name and ID number.

To preserve confidentiality, the respondent adds his or her name at a later time.

Also provide a Question and Answer Brochure along with the certificate, as it contains additional details and includes contact information and Website addresses where interested persons can obtain additional information.

# Procedures After an Automobile Accident

### Immediately After an Accident...

- Check for injuries. Life and health are more important than damage to vehicles.
- Make note of specific damages to all vehicles involved.
- Write down the names, addresses and license numbers of persons involved in the accident. Also, write a description of the other vehicles.
- Call the police, even if the accident is minor.
- Jot down names and addresses of anyone who may have witnessed the accident. This can prevent disagreement concerning how the accident actually happened.

### DOs and DON'Ts

- **DO** jot down details about the accident and circumstances such as weather conditions and visibility.
- **DO** notify your FS, Headway, and your insurance agent about the accident immediately. If you are working as a traveling interviewer in a rental car, notify the car rental agency as well.
- **DO NOT** sign any document unless it is for the police or your insurance agent.

### **Headway Accident/Injury Reporting Procedures**

- In the event of an accident, the employee must contact his/her FS immediately. The employee must also contact a Headway Representative directly to report the accident within 24 hours of the injury. <u>If you're calling after hours, leave a message.</u>
- Employees are expected to seek treatment at an Emergency Room, Urgent Care Facility, or General Practitioner. If the employee seeks treatment elsewhere, the employee may be responsible for payment depending on the applicable state workers' compensation laws.
- Whenever possible, the employee will notify a Headway representative before seeking medical treatment.
- The employee will provide a signed written statement to Headway detailing the nature and extent of all injuries sustained at work.
- The employee is required to submit the results of all evaluations to a Headway representative, as well as any doctor's notes that prevent the employee from working, within 24 hours of any doctor's visit related to injury.
- Headway will investigate all on-the-job injuries thoroughly to determine causes and contributing factors. The employee will cooperate with the investigation of any accident he/she is involved in or witness to. Workers' Compensation fraud is a felony offense and Headway will prosecute anyone proven to be committing fraud.
- If physical therapy or surgery (in the event of a non-threatening injury) is recommended, preauthorization must be obtained from the worker's compensation insurance carrier in order to guarantee payment of services. The physician should contact the Human Resources Department of Headway at (800) 948-9379 for approval.

<u>Failure to comply with these policies and procedures may jeopardize your workers' compensation benefits</u>. Please also be reminded that workers' compensation laws vary from state to state. There may be additional requirements that you must meet in compliance with your state's laws.

# **EQUIPMENT MAINTENANCE CHECKLIST**

Before Leaving Home to Screen/Interview:
Ensure iPAQ is in the canvas case and fully charged
Ensure you have all accessories needed [car charger and mini-USB cable]
Check iPAQ date & time, and transmit to correct if necessary
While Screening/Interviewing:
Reset from Today Screen or Admin menu every 30 minutes *
Turn off iPAQ between screenings
Be careful not to drop iPAQ
Be extremely careful to select the correct case when screening
Keep an eye on the battery level and charge as needed
Before leaving the field, exit screener program to the Today Screen **
Upon Returning Home from Screening/Interviewing:
Exit to the Today Screen if not done earlier **
Transmit
Plug in iPAQ to charge when not in use, noticing an orange light to ensure you have a good connection
When Transmitting/Charging:
Reset iPAQ from Today Screen or Admin Menu
Follow steps in computer manual for transmission
If problems occur, refer to computer manual

**Never** remove the battery from the iPAQ unless instructed to do so while on the phone with Tech Support. Data loss can occur!

<sup>\*</sup> Resetting enhances the function of your iPAQ by cleaning up memory leaks

<sup>\*\*</sup> Exiting screening program saves all data to the storage card—if the iPAQ battery runs down before you have exited the screening program, you will lose data

# **Quick Reference Guide**

In the CAI Manager, if you want to:  Start an interview
To begin a CAI Interview:
Enter QuestID from iPAQ for selected sample member. Double check!
In the Interview, if you need to:  Break off an interview
To adjust the main laptop volume*:  Increase volume
*Keep the main laptop volume turned all the way UP at all times. Respondents will

# To suppress a Hard Error in the interview, involving two questions:

- Read the message box carefully.
  - If the first question listed is the one to be changed, press [Enter].
  - If the second question listed is the one to be changed, press the down arrow key to highlight the second question, then press [Enter].
  - In the rare but possible event that neither question needs to be changed and the data entered are correct, press [Tab] until the Suppress box is highlighted and then press [Enter].

# To edit a lengthy response:

• With the cursor blinking in the answer field, press the Insert key [Ins]. You can then use the arrow keys to move to the precise place within the answer field and add additional text or edit existing text.