## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## **FORM X-17A-19**

INFORMATION REQUIRED OF NATIONAL SECURITIES EXCHANGES AND REGISTERED NATIONAL SECURITIES ASSOCIATIONS PURSUANT TO SECTIONS 17 AND 19 OF THE SECURITIES EXCHANGE ACT OF 1934 AND RULE 17a-19 THEREUNDER REPORT OF CHANGE IN MEMBERSHIP STATUS

1.	identify the sen-regulatory organization filling this report.	
	AMEX BSE CBOE CSE CHX ISE NASD NYSE PCX PHLX	
2.	The change in membership with the reporting self-regulat	ory organization is:
	(a) New Membership (b) Termina	ation of Membership (c) Suspension of Membership
	(d) Reinstatement from Suspension (e) Change	of Address (f) Other Change in Membership Status
	If (b), (c), (d) or (f) give a brief description of the circumstant	nces
3.	Provide the following information concerning the subject.	
	Present Status: (a) thru (d)	To be changed to (a) thru (d)
	(a) Name	(a) Name
	(b) Corporation Partnership Sole Proprietor	(b) Corporation Partnership Sole Proprietor
	LLC Other	LLC Other
	(c) SEC File No	(c) SEC File No.
	(d) Principal Place of Business:	(d) Principal Place of Business:
	(Number and Street)	(Number and Street)
4.	(City) (State) (Zip Code) The subject is also a member of the:	(City) (State) (Zip Code)
	AMEXBSECBOECSECHX	ISE NASD NYSE PCX PHLX
5.	The examining authority and SIPC collection agent prior to this change in membership status is:	
	AMEX BSE CBOE CSE CHX ISE NASD NYSE PCX PHLX	
6.	Name of person completing report	Title
	Telephone No Signature	Effective Date of Change
	(ADVISE BROKER-DEALER OF ITS OBLIGATIO	N TO AMEND ITS FORM BD OR FILE FORM BDW)
	RESERVED F	OR SEC ACTION
	Examining Authority Not Changed Examining Au	thority Changed From to
	Signature Date	
	INSTRUCTIO	NS ON BACK

## **GENERAL INSTRUCTIONS**

## **FORM X-17A-19**

1. This Form shall be completed and filed within 5 business days of the occurrence of one or more of the changes in membership status listed in Item 2 and/or 3 on this Form or promptly upon learning that such changes will occur. If the information first becomes available after the change in membership status has occurred, the Form shall be completed and filed promptly.

2. Original - Mail to: U.S. Securities and Exchange Commission

Division of Market Regulation

100 F Street, N.E.

Washington, D.C. 20549-6628

Copy No. 1 - Mail to: Securities Investor Protection Corporation

805 15th Street, N.W., Suite 800

Washington, D.C. 20005

Copy No. 2: Retain for your files.

3. The original filed with the Securities and Exchange Commission and the copy filed with the Securities Investor Protection Corporation shall be executed with a manual signature by a duly authorized official of the national securities exchange or registered securities association (self-regulatory organization).

- 4. If the space provided in the Form for an answer to any Item is insufficient, the answer may be printed or typed on a separate insert page or pages which shall be incorporated into the statement by reference thereto in the space provided for the Item.
- 5. If any Item of the Form is inapplicable, a statement to that effect shall be made following the Item.
- 6. Change of member's address is required to be reported only by the examining authority.
- 7. Copies of this Form may be obtained from the main office of the Securities and Exchange Commission in Washington, D.C.
- 8. The Securities and Exchange Commission will use a copy of this Form to notify Securities Investor Protection Corporation and the affected self-regulatory organizations of any action that is taken.