

**APPLICATION
FOR
ROCKFISH QUOTA SHARE (QS)**

U.S. Dept. of Commerce/
NOAA National Marine Fisheries Service
Restricted Access Management
P.O. Box 21668
Juneau, AK 99802-1668
(800) 304-4846 toll free
(907) 586-7202 in Juneau
(907) 586-7354 fax



**This application must be submitted annually and received by NMFS
no later than 1700 hours A.l.t. on January 3, 2012.**

In addition to this application, each year the **holder of an LLP License with Rockfish QS** must join a Rockfish Cooperative or file an Application to Opt-out of the Rockfish fishery.

ELIGIBILITY TYPE

Each person who is eligible to participate in the Rockfish Program must submit this application to receive an allocation of Rockfish Quota Share (QS) for an eligible License Limitation Program (LLP) groundfish license.

Please indicate the type of eligibility to participate for which you are applying.

- Rockfish Quota Share in the Catcher/Processor Sector:

I hold an LLP license that was previously assigned Rockfish QS that participated in the Rockfish Program fishery in the catcher/processor sector during the fishery seasons established in Table 28a to part 679.

YES

NO

- Rockfish Quota Share in the Catcher Vessel Sector:

I hold an LLP license previously assigned Rockfish QS that participated in a Rockfish Program Fishery in the catcher vessel sector during the fishery seasons established in Table 28a to part 679.

YES

NO

- Rockfish Quota Share in the Entry Level Trawl Fishery:

If you hold an LLP license that made rockfish legal landings during the entry level trawl fishery in 2007, 2008, or 2009 you must complete Block C even if you do not wish to receive QS.

Applicants for Rockfish Quota Share in the Entry Level Trawl Fishery must complete Block C.

BLOCK A -- APPLICANT IDENTIFICATION

1. Applicant name		2. NMFS person ID	
		3. Taxpayer ID No. (EIN or SSN):	
4. Permanent business mailing address			
5. Business telephone number	6. Business fax number	7. Business e-mail address (if available)	
<p>NOTE: The Applicant must be a U.S. citizen or U.S. corporation, partnership, or other non-individual business entity to obtain a catch history or halibut PSC history assignment.</p>			
8. Are you a U.S. citizen? <input type="checkbox"/> YES <input type="checkbox"/> NO If YES , enter Date of Birth _____			
9. Are you a U.S. corporation, partnership, association or other non-individual business Entity? <input type="checkbox"/> YES <input type="checkbox"/> NO			
If YES , enter Date of Incorporation _____			
10. Is the applicant a successor-in-interest to a deceased individual or to a non-individual no longer in existence? <input type="checkbox"/> YES <input type="checkbox"/> NO If YES, attach death certificate or evidence of dissolution.			

BLOCK B -- AGREEMENT WITH ROCKFISH PROGRAM OFFICIAL RECORD

- I AGREE** with the Rockfish Program Official Record Summary sent to me by NMFS. I am accepting the Rockfish Program Official Record. Sign and date this application in Block E. Submit completed application no later than January 3, 2012.

- I DO NOT AGREE** with the Rockfish Program Official Record Summary sent to me by NMFS. I have indicated the areas of disagreement in Block C (entry level trawl fishery only). **Attach** a separate page to explain your disagreement and provide evidence to support your claims. Complete Block E.

- I DID NOT RECEIVE** a Rockfish Program Official Record Summary from NMFS. I believe that I am qualified for Quota Share under the Rockfish Program as indicated in Block C (entry level trawl fishery only) or Block D. **Attach** a separate page to explain the basis for your eligibility as an eligible rockfish harvester. Sign and date this application in Block E. Submit completed application no later than January 3, 2012.

BLOCK C – ROCKFISH ENTRY LEVEL TRAWL FISHERY (check one)

I AM APPLYING TO PARTICIPATE IN THE ROCKFISH PROGRAM
based on rockfish legal landings made during the rockfish entry level trawl fishery during 2007, 2008, or 2009.

YES NO

If YES – indicate whether you hold an LLP license that made Rockfish legal landings during the fishery seasons listed in Table 28a or Table 28b.

YES NO

If YES – indicate whether you wish to receive Rockfish QS based on Rockfish legal landings listed in:

Table 28a to part 679

Table 28b to part 679

(you may not select landings from both tables)

I DO NOT WISH TO RECEIVE ROCKFISH QS (Entry Level Trawl Fishery only).
I hold an LLP license that made rockfish legal landings during the fishery seasons established in Table 28a and during the entry-level trawl fishery during 2007, 2008, or 2009 established in Table 28b.

By checking this box, I choose to be excluded from the Rockfish Program and not receive Rockfish QS.

I hereby forego all rights to Rockfish QS in the Rockfish Program.

Sign and date this application in Block E. Submit completed application no later than January 3, 2012.

BLOCK D – CLAIMED LLP LICENSES AND VESSEL LEGAL ROCKFISH LANDINGS

If you are claiming rockfish legal landings from a vessel associated with an LLP license, enter the following information for each LLP license.

LLP license number			
Name of original qualifying vessel (QQV)	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Other vessels used under authority of this LLP license			
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001

Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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LLP license number			
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Name of original qualifying vessel (OQV)	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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Other vessels used under authority of this LLP license

Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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LLP license number			
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Name of original qualifying vessel (OQV)	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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Other vessels used under authority of this LLP license

Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
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BLOCK E -- APPLICANT CERTIFICATION

The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of his/her knowledge and belief. If the application is completed by an authorized representative, attach authorization to application.

Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information presented here is true, correct, and complete.

1. Signature of Applicant (or Authorized Representative)	2. Date Signed
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3. Printed Name of Applicant (or Authorized Representative); if representative, attach authorization

Instructions for
APPLICATION FOR ROCKFISH QUOTA SHARE

**This application must be submitted annually and received by NMFS no later than
1700 Alaska local time (A.l.t.) January 3, 2012.**

Each person who is eligible to participate in the Rockfish Program as a rockfish eligible harvester must submit a timely and complete Application for Rockfish Quota Share (QS) to receive an allocation of Rockfish QS for an eligible License Limitation Program (LLP) groundfish license.

In addition to this application, each year the **holder of an LLP License with Rockfish QS** must join a Rockfish Cooperative or file an Application to Opt-out of the Rockfish fishery.

NOTE: Participant must be a U.S. Citizen or U.S. Corporation, partnership, or other non-individual business.

NMFS will assign rockfish legal landings only if a vessel made those landings:

- ◆ Under the authority of a **permanent fully transferrable LLP** license endorsed for Central Gulf of Alaska (CGOA) groundfish with a trawl gear designation during the season dates for a primary rockfish species as established in Table 28a to part 679;
- ◆ Under the authority of an **interim LLP license** endorsed for CGOA groundfish with a trawl gear designation during the season dates for that primary rockfish species as established in Table 28a to part 679, provided that:

NMFS has determined that interim LLP license is ineligible to receive a designation as a permanent LLP license endorsed for CGOA groundfish with a trawl gear designation; and

A permanent fully transferrable LLP license endorsed for CGOA groundfish with a trawl gear designation was assigned to the vessel that made legal rockfish landings under the authority of an interim LLP license endorsed for CGOA groundfish prior to December 31, 2003 and was continuously assigned to that vessel through June 14, 2010; or

- ◆ Under the authority of a **permanent fully transferrable LLP** license endorsed for CGOA groundfish with a trawl gear designation during the season dates for the entry level trawl fishery in 2007, 2008, or 2009 for a primary rockfish species as established in Table 28b to part 679.

**Table 28a to Part 679 –
Qualifying Season Dates for Central GOA Primary Rockfish Species**

A Rockfish Legal Landing includes...	Year						
	2000	2001	2002	2003	2004	2005	2006
Northern rockfish that were harvested between...	July 4 - 26	July 1 – 23 and Oct 1 – 21	June 30 – July 21	June 29 – July 29	July 4-25	July 5 July 24	July 1-21
and landed by ...	Aug. 2	July 30 and Oct 28, respectively	July 28	Aug 5	Aug 1	July 31	July 28
Pelagic shelf rockfish that were harvested between...	July 4 - 26	July 1- 23 and Oct. 1- 21	June 30 – July 21	June 29 – July 31	July 4-25	July 5-24 Sept. 1, Sept 4, and Sept 8-10	July 1-21 and Oct. 2-8
and landed by ...	Aug. 2	July 30 and Oct. 28, respectively	July 28	Aug 7	Aug 1	July, 31, Sept. 11, and Sept 17 respectively	July 28 and Oct. 15 respectively
Pacific ocean perch that were harvested between...	July 4 - 15	July 1 – 12	June 30 - July 8	June 29 – July 8	July 4-12	July 5-14	July 1-6
and landed by ...	July 22	July 19	July 15	July 15	July 19	July 21	July 13

**Table 28b to Part 679 –
Qualifying Season Dates for Central GOA Primary Rockfish Species**

A Rockfish Legal Landing includes...	2007	2008	2009
Northern rockfish that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between ...	Sept.1 – Nov. 8	Sept.1 – Nov. 15	Sept.1 – Nov. 15
and landed by ...	Nov. 15	Nov. 22	Nov. 22
Pelagic shelf rockfish that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between...	July 4 – July 25	July 5 – July 24	July 1 – July 21
and landed by ...	Aug 1		
Pacific ocean perch that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between...	July 4 – July 12	July 5 – July 14	July 1 – July 6
and landed by ...	July 19	July 21	July 13

GENERAL

Application forms are available on the NMFS Alaska Region website at <http://alaskafisheries.noaa.gov>, or by contacting NMFS at 800–304–4846, Option 2.

A completed Application for Rockfish Quota Share must be received by NMFS no later than 1700 hours, A.l.t., on January 3, 2012, or if sent by U.S. mail, postmarked by that time. For applications delivered by hand delivery or carrier only, the receiving date of signature by NMFS staff is the date the application was received. If the application is submitted by fax, the receiving date of the application is the date stamped received by NMFS.

Objective written evidence of timely application will be considered proof of a timely application.

This application may only be submitted to NMFS using the methods described below. Type or print legibly in ink; retain a copy of completed application for your records.

Complete application and submit:

by mail to: **NMFS Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668**

By delivery to: **Room 713, Federal Building
709 West 9th Street
Juneau, AK**

Or by fax to: **(907) 586-7354**

If you need additional information, contact RAM at **(800) 304-4846 (Option 2)** or **(907) 586-7202 (Option 2)**.

Please allow at least 10 working days for your application to be processed. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

COMPLETING THE APPLICATION

ELIGIBILITY TYPE

Each person who is eligible to participate in the Rockfish Program must submit this application to receive an allocation of Rockfish QS for an eligible LLP groundfish license.

Please indicate by a check mark (Y) the type of eligibility to participate or exclusion for which you are applying and answer YES or NO whether you currently have an LLP described.

◆ Rockfish Quota Share in the Catcher/Processor Sector

To be eligible, you must hold an LLP license that was previously assigned Rockfish QS that participated in the Rockfish Program fishery in the catcher/processor sector during the fishery seasons established in Table 28a to part 679.

◆ Rockfish Quota Share in the Catcher Vessel Sector

To be eligible, you must hold an LLP license previously assigned Rockfish QS that participated in a Rockfish Program Fishery in the catcher vessel sector during the fishery seasons established in Table 28a to part 679.

◆ Rockfish Quota Share in the Entry Level Trawl Fishery

To be eligible, you must hold an LLP license that made rockfish legal landings during the fishery seasons established in Table 28b to part 679 and during the entry level trawl fishery during 2007, 2008, or 2009.

BLOCK A – APPLICANT IDENTIFICATION

- 1-2. Enter Applicant Name and NMFS Person ID
3. Taxpayer ID Number (EIN or SSN)

4. Permanent Business Mailing Address
- 5-7. Business telephone number, business fax number, and business e-mail address (if available)
8. If applicant is a U.S. citizen, enter date of birth.
9. If applicant is a U.S. corporation, partnership, association, or other non-individual business entity, enter date of incorporation
10. If applicant is a successor-in-interest to a deceased individual or to a non-individual no longer in existence, **attach** death certificate or evidence of dissolution to the application.

BLOCK B -- AGREEMENT WITH ROCKFISH PROGRAM OFFICIAL RECORD (*check one*)

I Agree

If you agree with the Rockfish Program Official Record Summary sent to you by NMFS, check this box to indicate that you are accepting the Rockfish Program Official Record. Sign and date this application in Block E. Submit completed application no later than January 3, 2012.

I Do Not Agree

If you do not agree with the Rockfish Program Official Record Summary sent to you by NMFS, check this box. Indicate the areas of disagreement in Block C or D or attach a separate page to explain your disagreement and provide evidence to support your claims. Complete Block E.

I Did Not Receive

If you did not receive a Rockfish Program Official Record Summary from NMFS, check this box. If you believe that you are qualified for QS under the Rockfish Program as an eligible rockfish harvester, indicate in Block C or D or attach a separate page to explain the basis for your eligibility as an eligible rockfish harvester. Sign and date this application in Block E. Submit completed application no later than January 3, 2012.

BLOCK C – ROCKFISH ENTRY LEVEL TRAWL FISHERY (*check one*)

If you hold an LLP license that made rockfish legal landings during the entry level trawl fishery in 2007, 2008, or 2009 you must complete Block C even if you do not wish to receive QS. Complete questions below as appropriate.

I Am Applying To Participate In The Rockfish Program

Indicate YES or NO whether you are applying to participate in the Rockfish Program based on rockfish legal landings made during the rockfish entry level trawl fishery during 2007, 2008, or 2009.

If YES – indicate whether you hold an LLP license that made Rockfish legal landings during the fishery seasons listed in Table 28a or Table 28b.

If YES – indicate whether you wish to receive Rockfish QS based on Rockfish legal landings listed in Table 28a to part 679 or Table 28b to part 679 (you may not select landings from both tables)

I Do Not Wish To Receive Rockfish QS (Entry Level Trawl Fishery Only)

If you do not wish to receive Rockfish QS based on rockfish legal landings made during the rockfish entry level trawl fishery under the authority of your LLP license in 2007, 2008, or 2009, you must check this box and sign the application form in Block E. By doing so, you are foregoing all rights to Rockfish QS in the Rockfish Program.

BLOCK D – CLAIMED LLP LICENSES AND VESSEL LEGAL ROCKFISH LANDINGS

NMFS will assign Rockfish legal landings to an LLP license only if the vessel that made the landings was named on the LLP license at the time the landings were made. Because no vessels were named on LLP licenses

in 2000 and 2001, the official record assumes the original qualifying vessel was the vessel that made landings under the authority of the eligible LLP license.

If a vessel other than the original qualifying vessel made landings under the authority of the eligible LLP license you must list that vessel here and indicate the dates in 2000 and/or 2001 that rockfish legal landings were made under the authority of this license.

1. LLP license number of original qualifying vessel (OOV)

Name of vessel

Alaska Department of Fish & Game (ADF&G) vessel registration number

U.S. Coast Guard (USCG) documentation number

Date landings made under the authority of an LLP license for 2000 and 2001

2. Other vessels used under this LLP license

Name of vessel

Vessel ADF&G vessel registration number

USCG documentation number

Date landings made under the authority of an LLP license for 2000 and 2001

BLOCK E -- APPLICANT CERTIFICATION

The applicant must print name, sign, and date the application certifying that all information is true, correct, and complete to the best of his/her knowledge and belief. If the application is completed by an authorized representative, **attach** authorization.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.
