Appendix B. Benefit Offset National Demonstration Stage 2 Baseline Survey Instrument

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SECTION A: CONFIRMATION OF RESPONDENT / SCREENER / INTRODUCTIONS

INITIAL CONTACT WITH RESPONDENT

NOTE TO INTERVIEWER: DO NOT READ TEXT IN ALL CAPS.

Hello, my name is _____, and I work for Abt Associates, Inc a research company in Cambridge, MA. Thank you for taking the time to speak with me today.

We are conducting a study for the Social Security Administration. As part of this study, we will interview thousands of people who currently receive Social Security Disability Benefits. The study is about a new program that they are administering called the Benefit Offset National Demonstration or BOND. Thank you for volunteering to participate in this program.

The purpose of this study is to learn about your past work experience and future work goals you may have. We will also ask some questions about your health. Your participation in this interview is completely voluntary. It will in no way affect your current or future receipt of benefits. You can stop the interview at any time. If any question makes you feel uncomfortable, you can refuse to answer that question.

Do you have any questions?

IF YES: Interviewer respond to questions as they arise.

IF NO: Alright then, do you mind if we start the interview now? It should take approximately [41 minutes estimated duration]. At the end of the interview I will give you \$40 to thank you for your time. [IF INTERVIEW DONE IN SITE OFFICE: I will also give you \$10 to help with any transportation or child care costs you incurred.]

REVIEWER NOTE: There is also a screener to verify the identity of the respondent that begins by checking birth date and continues by checking other data (perhaps name of informant) if interviewer cannot verify birth date. To simplify review, verification screeners have been removed from this draft.

Screeners vary depending upon:

- if a proxy is needed; or
- if there is a language barrier.

Let's begin with some general questions. We may have asked similar questions in the past. If we repeat questions you have answered before, it is so we can update our information.

* INDICATES QUESTIONS NOT TO BE ASKED OF PROXIES.

A5.	Compared to {THIS MONTH, LAST YEAR}, how would you rate your health in	n general now?
	Much better now,1	
	Somewhat better now,2	
	About the same,3	
	Somewhat worse now, or4	
	Much worse now?5	
	REFUSED7	
	DON'T KNOW8	
A6.	What is your current marital status? Are you now married, widowed, divorced you never been married?	, separated or have
	MARRIED1	
	WIDOWED2	
	DIVORCED3	
	SEPARATED4	
	NEVER MARRIED5	
	REFUSED7	(SKIP TO SECT B)
	DON'T KNOW8	,
A7.	Are you currently living with a spouse or with someone who is like a spouse to	you?
	YES1	
	NO2	
	REFUSED7	
	DON'T KNOW8	
A8.	CHECK FOR ABILITY TO CONTINUE WITH SELF-RESPONSE. HOW MAN QUESTIONS A1-A7 ARE ANSWERED REFUSED OR DON'T KNOW?	Y ITEMS IN
	1 OR 21	(SKIP TO SECT B)
	3 OR MORE2	,
PROX	RUCTION: IF RESPONDENT FAILS SCREENER, CAPI WILL PROMPT FOR N Y RESPONDENT. IF PROXY IS AVAILABLE SCREENERS WILL REPEAT WI Y AVAILABLE INTERVIEWER WILL TERMINATE]	

A9.		ns like some of these questions are difficult for you. Is there anyone whew you or answer questions for you?	no can help do this
		YES1	
		NO2	(THANK/END)
		REFUSED7	(THANK/END)
		DON'T KNOW	(THANK/END)
		What is his/her first name?	
	b.	What is his/her middle name?	
	d.	What is his/her last name? Does his/her name have a suffix?	
A10.	What is	s (his/her) street address?	
	A10a.	Is there a complex/building name?	
	A10b.	Is there an apartment number?	
	A10c.	In what city?	
	A10d.	In what state?	
		What is the zip code?	
A11.	What's	the best phone number to reach (him/her) at starting with the area coo	de?
	Teleph	one # with area code: ()	
A12.	Is she/	he a friend or a relative, or what is (his/her) relationship to you? ACCEPT ONE RESPONSE ONLY.	
		FRIEND1	
		RELATIVE2	
		LEGAL GUARDIAN3	}
		CASE MANAGER4	
		OTHER (SPECIFY):	
		REFUSED	
		DON'T KNOW	\

SECTION B: EDUCATION AND TRAINING

The next set of questions are about your education.

B1.	INTER	is the <u>highest</u> year or grade in school that you have completed? RVIEWER: ENTER HIGHEST GRADE COMPLETED IN SPACE PROVIDED FOR GRADE. YOND GRADE 12, SELECT APPROPRIATE CODE.
		GRADE (1-12)
		SOME COLLEGE/SOME POSTSECONDARY VOCATIONAL COURSES
		SPECIAL EDUCATION WITH A CERTIFICATE OF COMPLETION
	B1a.	Do you have either a high school diploma or a GED? YES, HIGH SCHOOL DIPLOMA
B2.	-	WORKING TOWARD CERTIFICATE/ LICENSE
		ONLY TAKING CLASSES

DON'T KNOW.....8

B3.	Toward what type	of {degree/certificate of	or license} are y	ou working?
-----	------------------	---------------------------	-------------------	-------------

GED OR HIGH SCHOOL EQUIVALENCE PROGRAM/COURSE	S1
VOCATIONAL PROGRAM	2
ASSOCIATE DEGREE PROGRAM (AA DEGREE)	3
UNDERGRADUATE DEGREE PROGRAM (BA, BS DEGREE)	4
GRADUATE DEGREE PROGRAM (e.g., MA, MS, MD, EdD)	5
OTHER	6
REFUSED	7
DON'T KNOW	8

B4. Are you a full-time or part-time student?

FULL-TIME	1
PART-TIME	2
REFUSED	7
DON'T KNOW	8

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Do you have any questions?

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A2.

A3.

A4. (SF-12)

A1. Are you <u>currently</u> working at a job or business for pay or profit? This includes work you may do for a business that you own.

IF NEEDED READ: By 'working at a job for pay or profit' we mean at a job where you get paid money for the work you do.

[INTERVIEWER: IF R IS SELF-EMPLOYED, CODE RESPONSE AS YES]

	YES	1
	NO	2
	REFUSED	
	DON'T KNOW	
	DON 1 KNOW	O
Are you	u currently enrolled in school or taking any classes?	
	YES	1
	NO	
	REFUSED	
	DON'T KNOW	Ö
Do you	currently do any volunteer work for an organization?	
	YES	1
	NO	
	REFUSED	
	DON'T KNOW	0
In gen	eral, would you say your health is	
	Excellent,	1
	Very good.	2

 Good,
 3

 Fair, or
 4

 Poor?
 5

 REFUSED
 7

 DON'T KNOW
 8

A2.

A3.

A4. (SF-12)

A1. Are you <u>currently</u> working at a job or business for pay or profit? This includes work you may do for a business that you own.

IF NEEDED READ: By 'working at a job for pay or profit' we mean at a job where you get paid money for the work you do.

[INTERVIEWER: IF R IS SELF-EMPLOYED, CODE RESPONSE AS YES]

	YES	1
	NO	2
	REFUSED	
	DON'T KNOW	
	DON 1 KNOW	O
Are you	u currently enrolled in school or taking any classes?	
	YES	1
	NO	
	REFUSED	
	DON'T KNOW	Ö
Do you	currently do any volunteer work for an organization?	
	YES	1
	NO	
	REFUSED	
	DON'T KNOW	0
In gen	eral, would you say your health is	
	Excellent,	1
	Very good.	2

 Good,
 3

 Fair, or
 4

 Poor?
 5

 REFUSED
 7

 DON'T KNOW
 8

SECTION C: CURRENT EMPLOYMENT STATUS

These next questions are about your current work-related activities.

C1.	INTERVIEWER: CHECK A1 IS SAMPLE MEMBER CURRENTLY WORKING AT A JOB OR BUSINESS FOR PAY OR PROFIT?
	YES
C2.	Now I'd like you to think about the last four weeks. Have you been looking for work during the last four weeks?
	NOTE: By looking for work, I mean looking for a job, either full-time or part-time, for which you will be paid.
	YES
C3.	When did you last work for pay?
	_
	REFUSED2 DON'T KNOW1

SKIP TO VOLUNTEER WORK, ITEM C22.

[INTERVIEWER: ASK C4-C21 ONLY OF THOSE WHO ARE WORKING (C1=YES)

Now I am going to ask some questions about the job or jobs you currently have. When answering these questions, please include both part-time and full-time jobs, but only include jobs you work at for pay or profit. This could be work that you do for a business that you own. (NBS modified)

C4.	How many jobs do you currently have?
	NUMBER OF JOBS:
	REFUSED2
	DON'T KNOW1
C5.	Let's talk about your current/main job. What kind of business or industry is this, that is, what do they make or do where you work? (RECORD VERBATIM) (CPS/MTO modified)
	IF MORE THAN ONE JOB [C4>1] READ: Your main job is the job at which you work the most hours
	DON'T KNOW7
	REFUSED8
C6.	What kind of work do you do, that is, what is your occupation? For example, plumber, typist, farmer [RECORD VERBATIM] (CPS/MTO modified)
	DON'T KNOW7
	REFUSED8
C7.	What are your usual duties or activities at this job? For example: typing, keeping account books filing, selling cars, operating printing press, laying brick. [RECORD VERBATIM] (CPS/MTO modified)
	DON'T KNOW7
	REFUSED8

C8. Are you self-employed at this job? (NE

IF NECESSARY	READ:	Self-employ	yed me	eans th	hat you	work for	yourself	or own	your	own
business.										

YES	
NO	2
REFUSED	7
DON'T KNOW	8

C9. Is this job a temporary or seasonal job? (NEW)

YES	1
NO	2
REFUSED	7
DON'T KNOW	8

C10. In what month and year did you start working there?

IF SELF-EMPLOYED [C8=01] ASK: In what month and year did you start this business? (NBS: Modified)

INTERVIEWER: ENTER MONTH HERE AND YEAR ON NEXT SCREEN IF NECESSARY READ: Your best estimate is fine.

	_ MO	_ _ YEAR	_
REFU	SED		-2
רימסכ	Γ KNOW		

C11. How many hours per week do you typically work at this job?

NUMBER OF HOURS PER WEEK:	
REFUSED	-2
DON'T KNOW	-1

For the purpose of this survey, it is important to obtain some information on how much you are paid on this job. Please remember that we will keep all of your responses private.

[Programming in CAPI will control for main job versus current job, depending on the response to C4.]

C12.

		\$	
		REFUSED2	
		DON'T KNOW1	
	C12a.	Is that amount paid daily, weekly, bi-weekly, twice a month, monthly,	annually, or per
		unit?	
		HOURLY1	(SKIP TO C16)
		DAILY2	
		WEEKLY	(SKIP TO C14)
		EVERY TWO WEEKS4	(SKIP TO C17)
		TWICE A MONTH5	(SKIP TO C17)
		MONTHLY6	(SKIP TO C17)
		ANNUALLY7	(SKIP TO C14)
		PER UNIT OR PIECE8	(SKIP TO C15)
		REFUSED97	
		DON'T KNOW98	
C13.	-	TE OF PAY IS NOT DAILY (C12a≠2) SKIP TO C14] How many days work? (CPS; MTO Interim Evaluation) NUMBER OF DAYS PER WEEK:	
C14.		TE OF PAY NOT ANNUAL (C12a≠7 SKIP TO C15] How many weeks r? (CPS; MTO Interim Evaluation) NUMBER OF WEEK:	a year do you get
		DON'T KNOW1	
C15.	-	TE OF PAY NOT PER UNIT (C12a≠8 SKIP TO C16] For how many [U p paid per week (on this job)?	NIT]s are you
		NUMBER OF UNITS:	
		REFUSED2	
		DON'T KNOW1	

Before taxes and other deductions how much are you paid on this job? (NBS-modified)

C16.		TE OF PAY IS NOT HOURLY (C12a≠1) SKIP TO C17] How many hours per week are you at this rate? (CPS; MTO Interim Evaluation)
		NUMBER OF HOURS PER WEEK:
		REFUSED2
		DON'T KNOW1
C17.	Do you	usually receive tips, or commissions (at your main job)? (CPS-modified)
		YES1
		NO
		REFUSED7
		DON'T KNOW8
	C17a.	(At your main job,) how much do you usually earn in tips or commissions, before taxes or other deductions? (CPS-modified)
		\$
		REFUSED2
		DON'T KNOW1
C18.		you to think about your earnings in a typical week. How much do you think you typically before taxes or other deductions, in a typical week.
		\$
		REFUSED2
		DON'T KNOW1
C19.	I'd like past ye	you to think about the past year. Have you received any promotions at this job during the ear?
		YES1
		NO2
		REFUSED7
		DON'T KNOW8

C19a.	I'd like you to think about the past year.	Have you received any bonuses or awards at
	this job during the past year?	

YES	1
NO	2
REFUSED	7
DON'T KNOW	8

C20. (SKIP TO C21 IF SELF-EMPLOYED [C8=1]) Now, I'd like to ask you a few more questions about your <u>current</u> job. I am going to read to you a list of benefits that some employers offer their employees. Please tell me whether or not your current employer offers any of these benefits.

Does your employer offer

PROGRAMMER: USE "MAIN" IF C4>01, OTHERWISE USE "CURRENT." IF NECESSARY READ: Please answer 'yes' if you are eligible for the benefit but haven't yet started to receive it.

		<u>YES</u>	<u>NO</u>	<u>REF</u>	<u>DK</u>
C20a.	Health care insurance? (IF NECESSARY: medical and/or hospital)	1	2	7	8
C20b.	Dental benefits?	1	2	7	8
C20c.	Sick days with pay?	1	2	7	8
C20d.	Paid vacation?	1	2	7	8
C20e.	Free or low-cost childcare?	1	2	7	8
C20f.	Transportation, a transportation allowance, or transportation discounts?	1	2	7	8
C20g.	Long-term disability benefits?	1	2	7	8
C20h.	Pension or retirement benefits?	1	2	7	8
C20i.	Short-term disability benefits?	1	2	7	8
C20j.	Flexible health or dependent care spending accounts?	1	2	7	8

C21. * Taking all things into account, how satisfied are you with your <u>current</u> job? Would you say you are:

PROGRAMMER: USE "MAIN" IF C4>01, OTHERWISE USE "CURRENT."

Very satisfied	1
Somewhat satisfied	2
Not very satisfied	3
Not at all satisfied	4
REFUSED	7
DON'T KNOW	8

C22.	Do you use any special equipment related to your disability that helps you work at your job, for example a brace, cane, wheelchair, modified computer hardware or modified computer software?					
		YES1				
		NO2	(SKIP TO C23)			
		REFUSED7	` ,			
		DON'T KNOW8	(SKIP TO C23)			
	C22a.	What kinds of special equipment do you/ use? Anything else?				
	ENTER	R ALL THAT APPLY. READ IF NECESSARY				
		BRACE1				
		CANE/CRUTCHES/WALKER2				
		WHEELCHAIR3				
		MODIFIED COMPUTER HARDWARE4				
		MODIFIED COMPUTER SOFTWARE5				
		OTHER (SPECIFY)6				
		REFUSED7				
		DON'T KNOW8				
C23.	work, f	u use any personal assistance services related to your/his/her disability for example, a job coach, a sign language interpreter, a reader or interprersonal care attendant?				
		YES1				
		NO2	,			
		REFUSED7	(SKIP TO D1)			
		DON'T KNOW8	(SKIP TO D1)			
	C23a.	What kind of personal assistance services do you use? Anything else?	·			
		ENTER ALL THAT APPLY. READ IF NECESSARY				
		JOB COACH1				

SECTION D: WORK HISTORY FROM 12 MONTHS PRIOR TO RANDOM ASSIGNMENT

IF C3=MORE THAN 12 MONTHS AGO SKIP TO E1

D1. Now, I will ask you about any other jobs you have had since [MONTH/YEAR 12 MONTHS PRIOR TO RADATE]. When answering these questions, please include both part-time and full-time jobs, but only include work you did *for pay or profit at a job that lasted for one month or longer*. You should include self-employment

IF CURRENTLY EMPLOYED (C1=1) ASK: Excluding the job we just talked about, between [MONTH/YEAR 12 MONTHS PRIOR TO RADATE] and today, did you work for pay at any other jobs for longer than one month?

IF NOT CURRENTLY EMPLOYED (C1<>1) ASK: Between [MONTH/YEAR 12 MONTHS PRIOR TO RADATE] and today, did you work for pay at any jobs for longer than one month?

YES1	
NO2	(SKIP TO E1)
REFUSED7	
DON'T KNOW8	

IF RESPONDENT IS CURRENTLY WORKING, CAPI WILL INCORPORATE BRACKETED TEXT IN D2.

D2.	[Excluding your current job,] How many (other) jobs did you hold for at least one month during the
	past three years?

NUMBER OF JOBS:	(1-15)
REFUSED	
DON'T KNOW	^

D3. Let us start with the job before your [current one/ last job]. What was the name of the place that you worked before you [current/last job]? [IF SELF-EMPLOYED, RECORD PLACE AS 'SELF-EMPLOYED']

NAME1:		
D3a. What was the name of the place that you worked before that? NAME2:		
NAME3:		
NAME4:		
NAME.		

D4.

D3 LOOPS UNTIL ALL EMPLOYERS IN FOLLOW_UP ARE ACCOUNTED FOR.
D4 TRHOUGH D8 WILL LOOP ACCORDING TO D3RESPONSE. FOR UP TO 5 RESPONSES.

IF NECESSARY READ: Your best estimate is fine.
INTERVIEWER: ENTER MONTH HERE AND YEAR ON NEXT SCREEN

|_|_| | |_|_|_| MO YEAR

In what month and year did you start working at [D3 JOB]?

REFUSED-2
DON'T KNOW-1

D5. In what month and year did you **stop** working at [D3 JOB]?

IF NECESSARY READ: Your best estimate is fine.

INTERVIEWER: ENTER MONTH HERE AND YEAR ON NEXT SCEEN

D5a. That means that you worked at this place [NAME OF EMPLOYER] for about [INSERT NUMBER] months [OR YEARS]. Does that sound right?

D5b. About how many months [OR YEARS] did you work at that job?

____ MONTHS ____YEARS

REFUSED-2
DON'T KNOW-1

D6.		you self-employed at [D3 JOB]? E: Self-employed means that you work	for yourself or own your own business.
		YES NOREFUSED DON'T KNOW	2 7
[IF D6=	=1 THEI	N CAPI WILL SUBSTITUTE 'at this bus	ness' FOR 'at this job' in D7 and D8.]
D7.	How n	nany hours per week did you usually wo	rk [at [D3 JOB]/at this business]?
	IF NE	CESSARY READ: Include overtime if yo	ou usually worked overtime.
	D7a.	HOURS PER WEEK:	-3
		NOREFUSEDDON'T KNOW	7
D8.	How n	nuch did you earn per week on average	when you worked at [D3 JOB]?
	IF R IS		GS WERE, ASK THEM FOR THEIR BEST
		REFUSED DON'T KNOW	

E1a.

E1b.

SECTION E: TRANSPORTATION

Now I'm going to ask you about different types of transportation and which ones you may use.

E1. [IF C21 =1 SKIP to E2] Are you able to drive a car at this time? (NEW)

YES		
NO	2	(SKIP TO E2)
REFUSED		
DON'T KNOW	8	
Do you have a valid driver's license? [IF NEEDED READ: By valid driver's license we m operate a motor vehicle and is current, not suspen		lows you to
YES	1	
NO		(SKIP TO E2)
REFUSED	7	
DON'T KNOW	8	
Do you currently have access to a car, truck or var	n that runs?	
YES	1	

E2. When you have to go places, how do you usually get there? Do you usually:

 NO
 2

 REFUSED
 7

 DON'T KNOW
 8

		<u>YES</u>	<u>NO</u>	<u>REF</u>	<u>DK</u>
E2a.	Use you own car, truck or van?	1	2	7	8
E2b.	Take the bus?	1	2	7	8
E2c.	Take a train or use the subway?	1	2	7	8
E2d.	Rely on Friends or Relatives?	1	2	7	8
E2e.	Walk?	1	2	7	8
E2f.	Use a taxi, van or paratransit service?	1	2	7	8
E2g.	Do you usually wheel?	1	2	7	8
E2h.	Do you usually wheel a motorized scooter?	1	2	7	8
E2i.	Use another form of transportation (SPECIFY)?	1	2	7	8

INTERVIEWER IF NEEDED READ: Paratransit is a transportation service for individuals with disabilities who are unable to use public bus or train transportation systems.

SECTION F: BARRIERS TO EMPLOYMENT

Personal Views

Now I am going to read you a few statements. I'm going to ask you whether or not you agree with each one.

F1. *For the following statements, please tell me whether you strongly agree, agree, disagree, or strongly disagree. (NBS modified)

		Strongly <u>Agree</u>	<u>Agree</u>	Neither Agree Nor <u>Disagree</u>	<u>Disagree</u>	Strongly <u>Disagree</u>	<u>NA</u>	<u>REF</u>	<u>DK</u>
F1a.	I am limited in my ability to work because of a physical or mental condition. Do you strongly agree, agree, neither agree or disagree, disagree, or strongly disagree?	1	2	3	4	5	6	7	8
F1b.	I am limited in my ability to work because I do not have reliable transportation to and from work.	1	2	3	4	5	6	7	8
F1c.	I am limited in my ability to work because I am caring for children or others	1	2	3	4	5	6	7	8
F1d.	It is difficult for me to work because I am afraid I will lose my disability benefits	1	2	3	4	5	6	7	8
F1e.	I am limited in my ability to work because I am finishing a school or training program	1	2	3	4	5	6	7	8
F1f.	Many workplaces are not accessible to people with my disability	1	2	3	4	5	6	7	8
F1g.	I don't have the skills or training I need to return to work.	1	2	3	4	5	6	7	8
F1h.	It will be difficult to re-qualify for Social Security disability benefits in the future if I work.	1	2	3	4	5	6	7	8

F2. Does a physical or mental condition limit your ability to work?

YES	1
NO	2
REFUSED	7
DON'T KNOW	8

F3.

	activiti	es you could do?		
		AGE AT ONSET		
		REFUSED	2	
		DON'T KNOW		
F4.	Were y	ou working at a job for pay or profit when you first beca	ame limited?	
		YES	1	
		NO	2	
		REFUSED	7	
		DON'T KNOW	8	
F5.	occupa	kind of work were you doing when you first became limit ation? For example were you a plumber, typist, farmer MTO modified)		•
		DON'T KNOWREFUSED		
	F5a.	Are you able to do the same type of work now?		
		YES	1	
		NO		
		REFUSED		
		DON'T KNOW		
F6.	*Do yo skills?	our personal goals include [IF A1=2: getting a job], movi	ng up in a job or	learning new job
		YES	1	
		NO		
		I CAN'T WORK DUE TO DISABILITY		(GO TO G1)
		REFUSED		(= = = = = = = = = = = = = = = = = = =
		DON'T KNOW		
			_	

How old were you when you first became limited in the kind or amount of work or other daily

Now I'd like to talk about different aspects of the social security disability insurance program.

F7.	*Have you ever heard of a <u>Trial Work Period</u> ? This is a Social Security incentive that lets you earn above \$1,000 per month for nine months without losing your benefits?
	PROBE: If you're not sure or never heard of a <u>Trial Work Period</u> , please let me know.
	YES
F8.	Have you used any of your Trial Work Period?
	PROBE: If you're not sure or never heard of a <u>Trial Work Period</u> , please let me know.
	INTERVIEWER: IF 'NOT SURE' OR 'NEVER HEARD OF' CODE AS NO
	YES
F9.	Have you ever spoken with or received services from a benefit specialist or Work Incentive Planning Assistance (WIPA) program provider?
	PROBE1: These are programs funded by Social Security to provide information to beneficiaries about how their benefits are affected by work.
	YES
F10.	* Have you ever heard of an Extended Period of Eligibility for Medicare? This is a Social Security support that lets you keep Medicare coverage when you go to work, even if your benefits have stopped.
	YES

F11. Have you ever used an Extended Period of Eligibility for Medicare?

PROBE: If you're not sure or never heard of an Extended Period of Eligibility for Medicare, please let me know.

INTERVIEWER: IF 'NOT SURE' OR 'NEVER HEARD OF' CODE AS NO

YES	1
NO	2
REFUSED	7
DON'T KNOW	8

INTERVIEWER READS THIS INTRODUCTION: Under the current rules of the Social Security Disability Insurance program, disability beneficiaries are allowed to earn up to \$1000 per month without a change to your benefits. This limit is called the level of Substantial Gainful Activity or SGA and the Social Security increases this limit each year to adjust for inflation. When disability beneficiaries go to work while receiving disability benefits, SSA ignores the cap of \$1000 for up to 9 months, no matter how much a beneficiary earns from work.

- *We'd like to know which of the following things you think would happen to your **monthly disability cash benefits** if you were to work and earn more than the SGA limit of \$1000 month after those initial months have passed. Thinking about the **amount of your disability cash benefits**, if you earned more than \$1,000 after those initial months...
 - *F12a. Do you think you would lose your monthly benefits completely? That is, would the amount of your benefits fall to \$0?

YES1	(SKIP TO F14e)
NO2	
REFUSED7	
DON'T KNOW8	

*F12b. Do you think your benefits would be reduced but that you would be able to keep receiving some of your monthly disability benefits?

YES1	
NO2	(SKIP TO F14d)
REFUSED7	
DON'T KNOW8	

*F12c.	[IF F5b=YES] How do you think those benefits would be reduced? Do you think that they would be reduced
	By the full amount of your benefit?1
	By half of the amount of your benefits, that is a \$1 reduction in benefits for every \$2 you earn from work?2
	By some other amount?
	REFUSED7
	DON'T KNOW8
*F12d.	Do you think your disability benefits would stay the same? That is, nothing would happen to your monthly disability benefits if you earned more than \$1,000 per month after the initial 9 months that SSA allows?
	YES1
	NO2
	REFUSED7
	DON'T KNOW8
	ve'd like to know which of the following things you think would happen to your eligibility for
disabil those in	ve'd like to know which of the following things you think would happen to your eligibility for ity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits?
disabil those in	ity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how
disabil those in	ity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits?
disabil those in	Do you think you work? That is, you would never have to re-apply for benefits? YES
disabil those in	benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in	benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in *F12e.	Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in *F12e.	lity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in *F12e.	lity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in *F12e.	lity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES
disabil those in *F12e.	lity benefits if you were to work and earn more than the SGA limit of \$1000 month after nitial months have passed. Thinking about your eligibility for disability benefits Do you think you would remain eligible for disability benefits in the future, no matter how much you earn from work? That is, you would never have to re-apply for benefits? YES

SECTION G: HEALTH AND FUNCTIONAL STATUS

The next few questions ask about your health and how well you are able to do your usual activities. As I read each item, please tell me if your health now limits you a lot, limits you a little, or does not limit you at all in these activities.

G1. (SF-12)	cleaner, bowling, or playing golf? Does it lin	activities such as moving a table, pushing a vacuum nit you
, ,	A lot,	1
	A little, or	2
	Not at all?	3
G2. (SF-12)	Does your health now limit you in climbing s	everal flights of stairs? Does it limit you
,	A lot,	1
	A little, or	2
	Not at all?	3
The nex	xt two questions ask about your physical hea	Ith and your daily activities.
G3.	have liked to as a result of your physical hea	time have you accomplished less than you would alth? Would you say
(SF-12)		1
	All of the time,	
	Most of the time,	
	Some of the time,	
	A little of the time, or	
	None of the time?	5

G4.	During the past 4 weeks, how much of the time were you limited in the kind of work or other regular daily activities you do as a result of your physical health? Would you say
(SF-12)	
	All of the time,1
	Most of the time,2
	Some of the time,3
	A little of the time, or4
	None of the time?5
Now I v	vill ask about any emotional problems and your daily activities.
G5.	* During the past 4 weeks, how much of the time have you accomplished less than you would have liked to as a result of any emotional problems, such as feeling depressed or anxious? Would you say
(SF-12)	
	All of the time,1
	Most of the time,2
	Some of the time,3
	A little of the time, or4
	None of the time?5
00	
G6. (SF-12)	* During the past 4 weeks, how much of the time did you not do work or other activities as carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say
	carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say
	carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say
	carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say All of the time,
	carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say All of the time,
	carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? Would you say All of the time,

These next questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give me the one answer that comes closest to the way you have been feeling.

G8. (SF-12)	say	g the past 4 weeks, how much of the time have you felt calm and peaceful? Would you
(01 - 12)		All of the time, 1 Most of the time, 2 Some of the time, 3 A little of the time, or 4 None of the time? 5
G9. (SF-12)		g the past 4 weeks, how much of the time did you have a lot of energy? Would you say
,		All of the time,1
		Most of the time,2
		Some of the time,3
		A little of the time, or4
		None of the time?5
G10. (SF-12)	Would	g the past 4 weeks, how much of the time have you felt downhearted and depressed? you say
,		All of the time,1
		Most of the time,2
		Some of the time,3
		A little of the time, or4
		None of the time?5
G11. (SF-12)	interfer	g the past 4 weeks, how much of the time has your physical health or emotional problems ed with your social activities, like visiting with friends or relatives? Would you say All of the time,
		Most of the time,2
		Some of the time,
		A little of the time, or4
		None of the time?5

Health Care Service Utilization

G12.	During the past 12 months , have you stayed overnight in a hospital? (HCC)
	YES
G13.	During the past 12 months, how many nights in total did you stay in the hospital? (HCC)
	TIMES REFUSED2 DON'T KNOW
Now I'd	d like to ask some general health related questions.
G14.	How tall are you without shoes? (NHIS 97)
	IF NECESSARY READ: Please respond in feet and inches?
	FEET
G15.	How much do you weigh without shoes? (NHIS97)
	POUNDS(50-300) (50-600) REFUSED
	DON'T KNOW1

Now I'd like to ask you some questions about everyday activities and how much difficulty you have doing these activities. Please give me your best answer even if the questions don't seem to apply to you.

G16.	Do you need help with personal care such as bathing, dressing, or getting around the house because of an impairment or a physical or mental health problem?		
	YES	1	
	NO		
	REFUSED	7	
	DON'T KNOW	8	
G17.	During the past 12 months, about how many days did i than half of the day? (Please include days that you we		
	NUMBER OF DAYS		
	NONE		
	REFUSED		
	DON'T KNOW		
	DON'T INIOW		
G18.	Do you need the help of another person in order to get	around inside your home?	
	YES	1	
	NO	2	
	REFUSED		
	DON'T KNOW		
G19.	Do you need the help of another person in order to get	around outside your home?	
	YES	1	
	NO	2	
	REFUSED	7	
	DON'T KNOW	8	
G20.	* Do you have a lot of trouble concentrating long enoug	gh to finish everyday tasks?	
	YES	1	
	NO	2	
	REFUSED	7	
	DON'T KNOW		

G21. * Do you have a lot of trouble coping with day-to-day stresses?

YES	
NO	2
REFUSED	7
DON'T KNOW	g

SECTION H: HEALTH INSURANCE

Now, I'm going to ask you about different types of health insurance coverage you might have.

H1. (HCC)	Do you have health insurance coverage now?			
(1100)	[INTERVIEWER: PROBE IF NECESSARY: "For instance, are you covered by someone else in your family has, or through a health plan your employer prov Medicaid, or a plan you bought on your own?"]	•		
	YES	,		
H2. (HCC)	So, you are uninsured, is that correct?			
1100)	[INTERVIEWER: PROBE IF NECESSARY: "This means no Medicaid covera government sponsored health insurance coverage."]	ge or any other		
	YES	,		
⊣ 3.	What kinds of health coverage do you have? PROBE: Any other kind?			
	INTERVIEWER: CODE ALL THAT APPLY. MEDICAID/{STATMED}			
	REFUSED97			

The next set of questions is about the use of health care. Please do not include dental care.

H4.	During the past 12 months, have you delayed seeking medical care for you or a member of your
	family because of worry about the cost?

YES	
NO	2
REFUSED	7
DON'T KNOW	8

H5. During the past 12 months, was there any time when you needed medical care, but did not get it because you couldn't afford it?

YES	1
NO	2
REFUSED	7
DON'T KNOW	8

SECTION I: PERSONAL CHARACTERISTICS

D	en	no	q	ra	p	hi	cs

۱r	nave a	few	more	questions	about	vou.
----	--------	-----	------	-----------	-------	------

1.4	AA/II ('-		. 0	1 1 10	Δ	_
l1.	vvnat is v	vour	etnnic	background?	Are v	vou:

Hispanic or Latino, or	1
Not Hispanic or Latino?	2
REFUSED	7
DON'T KNOW	8

I2. What is your race? Do you think of yourself as:

INTERVIEWER: CODE ALL THAT APPLY.

		<u>YES</u>	<u>NO</u>	REF	<u>DK</u>
I2a.	IF VOLUNTEERED: MULTIRACIAL,	1	2	7	8
l2b.	Alaska Native or American Indian	1	2	7	8
I2c.	Asian	1	2	7	8
I2d.	Black or African American	1	2	7	8
l2e.	Native Hawaiian or Other Pacific Islander	1	2	7	8
I2f.	White	1	2	7	8
I2g.	OTHER (SPECIFY)	1	2	7	8

13. What is the primary language spoken in your home?

ENGLISH	1
SPANISH	2
AMERICAN SIGN LANGUAGE	3
OTHER(SPECIFY)4
REFUSED	7
DON'T KNOW	8

14. INTERVIEWER: RECORD RESPONDENT'S GENDER:

MALE / FEMALE[query or interviewer observation]

Current Living Situation

The next questions are about your current living situation.

I5.	Thinking about the place you live, would you say that this place is a	
	[INTERVIEWER: CODE ONE ANSWER.] [IF RESPONDENT LIVES IN ONE UNIT WITHIN A TWO- OR THR AS REGULAR APARTMENT (03).]	EE-FAMILY HOME, CODE
	Single family home	1
	Mobile home.	2
	Regular apartment	3
	Supervised apartment	4
	Group home	5
	Halfway house	6
	Personal care or board and care home	7
	Assisted living facility	8
	Nursing or convalescent home	9
	Shelter	10
	Some other type of supervised group residence or facility	11
	Something else	12
	REFUSED	97
	DON'T KNOW	98
I6.	Is this place primarily for people with hearing or vision impairments, disabilities, mental retardation, or developmental disabilities?	mental illness psychiatric
	YES	1
	NO	2
	REFUSED	7
	DON'T KNOW	8
17.	Not including yourself, how many other people live in your househo	ld with you now?

NUMBER OF PEOPLE_____

REFUSED --2
DON'T KNOW --1

18. The next set of questions asks about the other people who currently live with you.

	(Household Roster)	OTHER MEMBER #1	OTHER MEMBER #2	OTHER MEMBER #3
I8a1.	What is the (FIRST/ SECOND/THIRD) other member's first name? Does his/her name have a suffix, such as Jr or Sr.?	FIRST:	FIRST:	FIRST:SUFFIX:97 DON'T KNOW98
18b.	How old was (OTHER MEMBER'S) on her/his last birthday?	AGE REFUSED2 DON'T KNOW1	AGE	AGE REFUSED2 DON'T KNOW1
18c.	What is (OTHER MEMBER'S) relationship to you?	BIRTH CHILD	BIRTH CHILD	BIRTH CHILD
I8d.	JOBAGE FLAG IF I8b>15 CODE YES	YES	YES	YES
l8e.	Last week, did [OTHER MEMBER] do any work for pay?	YES 1 NO 2 REFUSED 7 DON'T KNOW 8	YES	YES
18f.	<18f_01-18f_06> Are there any other members in your household?	YES (REPEAT I8a-I8f)	YES (REPEAT I8a-I8f)	YES (REPEAT I8a-I8f)

COMPLETE SUPPLEMENTAL FORMS AS NEEDED

SECTION J: CONTACT INFORMATION

Thank you very much for your time today. To help us be able to get back in touch with you in the future, we would like to collect the names, telephone numbers and addresses of two people who will always know how to reach you. Please tell me about people who live at a <u>different</u> address than you. This information will be kept strictly confidential and will only be used if we are unable to contact you.

J1.		you tell us the name of a primary person who does not live with you and contact you?	will always know
		YES	(SKIP TO K1)
CON	TACT#	<u>1:</u>	
J2.	What	is his/her first name?	_
	J2a.	What is his/her middle name?	=
	J2b.	What is his/her last name?	_
	J2c.	Does his/her name have a suffix?	_
J3.	What	is (his/ <u>her</u>) street address?	_
	J3a.	Is there a complex/building name?	_
	J3b.	Is there an apartment number?	_
	J3c.	In what city?	_
	J3d.	In what state?	
	J3e.	What is the zip code?	_
J4.	What's	s the best phone number to reach (him/her) at starting with the area code	9?
		Telephone # with area code: ()	
	J4a.	Is she/he a friend or a relative, or what is (his/her) relationship to you?	
		ACCEPT ONE RESPONSE ONLY.	
		FRIEND1	
		RELATIVE2	
		OTHER (SPECIFY):3	
		REFUSED7	
		DON'T KNOW8	

CONTACT #2:

J5.	Could you tell us the name of a second person who does not live with you and will always know how to contact you?							
		YES1						
		NO2	(SKIP TO K1)					
		REFUSED7	(SKIP TO K1)					
		DON'T KNOW8	(SKIP TO K1)					
J6.	What	is the name of someone else who keeps in contact with you?						
	J6a.	What is his/her first name?	_					
	J6b.	What is his/her middle name?	_					
	J6c.	What is his/her last name?	_					
	J6d.	Does his/her name have a suffix?	_					
J7.	What	is (his/her) <u>street</u> address?	_					
	J7a.	Is there a complex/building name?	_					
	J7b.	Is there an apartment number?	_					
	J7c.	In what city?	_					
	J7d.	In what state?	_					
	J7e.	What is the zip code?	_					
J8.	What's	s the best phone number to reach (him/her) at starting with the area code	?					
		Telephone # with area code: ()						
	J8a.	Is she/he a friend or a relative, or what is (his/her) relationship to you?						
		ACCEPT ONE RESPONSE ONLY.						
		FRIEND1						
		RELATIVE2						
		OTHER (SPECIFY):3						
		REFUSED						
		DON'T KNOW8						

SECTION K: RESPONDENT CONTACT INFORMATION

Thank you very much for your time today. At this time we'd like to just confirm some information about you. The information we confirm now will allow us to help us be able to get back in touch with you in the future. [It will also allow us to ensure that your incentive payment is sent to the correct address.]

K1. I have your name listed as [READ AND CONFIRM SPELLING OF NAME, FIRST MIDDLE LAST SUFFIX]. Is that correct?

YES, ALL CORRECT1	(SKIP TO K3)
NO, CORRECT FIRST NAME2	(GO TO K2A)
NO, CORRECT MIDDLE NAME3	(GO TO K2B)
NO, CORRECT LAST NAME4	(GO TO K2C)
NO, CORRECT SUFFIX5	(GO TO K2D)
REFUSED7	(SKIP TO K3)
DON'T KNOW8	(SKIP TO K3)

K2. Could you please tell me how to spell your name?

K2a.	FIRST:	What is your first name?
K2b.	MIDDLE:	What is your middle name?
K2c.	LAST:	What is your last name?
K2d.	SUFFIX:	Is there anything after your last name, like Jr. or Sr.?

K3.	Our records show that your current address is (READ FROM SAMPLE SHEET). Is this corr			Γ). Is this correct?
		YES, ALL	OF THAT IS CORRECT)1	(SKIP TO K4)
			ATE STREET2	` ,
		NO-UPDA	ATE APARTMENT/UNIT3	(GO TO K3b)
		NO-UPDA	ATE CITY4	(GO TO K3c)
		NO-UPDA	ATE STATE5	(GO TO K3d)
		NO-UPDA	TE ZIP6	(GO TO K3e)
	REFUSED		97	` ,
		DON'T KN	OW98	(SKIP TO K4)
	K3a.	STREET:	What is your current street address?	
	K3b.	APT:	Is there an apartment number?	
	K3c.	CITY:	In what city do you live?	
	K3d.	STATE:	In what state do you live?	
	K3e.	ZIP:	What is your zip code?	
			, ,	
K4.	IF CA	TI: I called yo	rds show your phone number as [AREA CODE/PHONE NUbu at [AREA CODE/PHONE NUMBER]. Inber to reach you at?	MBER]
		YES	1	(SKIP TO K7)
		NO	2	,
		REFUSED)7	(SKIP TO K7)
		DON'T KN	88	(SKIP TO K7)
K5.	What	is your home	phone number, starting with area code?	
		()		
		DON'T KN	88	
K6.	Do yo	u have a cell	phone number?	
		YES	1	
		NO	2	(SKIP TO K7)
		REFUSED)7	•
		DON'T KN	8WOI	

	K6a.	What is your cell phone number, starting with area code?			
		()			
		REFUSED7			
		DON'T KNOW8			
(7.	Do yo	u have an email address?			
		YES1			
		NO2	(SKIP TO K8)		
		REFUSED7	(SKIP TO K8)		
		DON'T KNOW8	` ,		
	K7a.	What is your email address?			
		REFUSED7			
		DON'T KNOW8			
⟨8.		is the best way for me to reach you in the future? Would you prefer that s, send you a letter in the mail, send you an email, or should I call someo	•		
		PHONE1			
		LETTER2	(SKIP TO K9)		
		EMAIL7	(SKIP TO K10)		
		SOMEONE ELSE7	(SKIP TO K11)		
	K8a.	What is the best phone number to call you at, your home phone or you number?	ır cell phone		
		HOME PHONE1	(SKIP TO END		
		CELL PHONE2	(SKIP TO END		
		REFUSED7	`		
		DON'T KNOW8	`		
(9.	ls [CO	RRECTED CURRENT ADDRESS IN G4] the best address to mail some	ething to you?		
		YES1	(SKIP TO END		
		NO2			
		REFUSED7	(SKIP TO END		
		DON'T KNOW8	(SKIP TO END		

	K9a.	What address should we use if we mail something to you?				
		STREET ADDRESS:				
		APT NUMBER:				
		CITY:				
		STATE: ZIP:				
K10.	Is [G7EMAIL] the best email address to contact you at?					
		YES	1	(SKIP TO END)		
		NO		(ORII TO EIVD)		
		REFUSED		(SKIP TO END)		
		DON'T KNOW		,		
	K10a.	What is a better email address to use to contact you?				
		REFUSED	7			
		DON'T KNOW	8			
K11.	What is the name of the person I should contact first when I need to reach you in the future?					
	a.	What is his/her first name?				
		What is his/her middle name?		<u></u>		
	C.	What is his/her last name?		<u> </u>		
	d.	Does his/her name have a suffix?				
K12.	What i	s (his/her) street address?				
	K12a.	Is there a complex/building name?				
	K12b.	Is there an apartment number?		<u>—</u>		
	K12c.	In what city?				
	K12d.	In what state?				
	K12e.	What is the zip code?				
K13.	What i	s the best phone number to reach (him/her) at, starting with the area of	code	e?		
	Teleph	none # with area code: ()				

K14.	Is she/he a friend or a relative, or what is (his/her) relationship to you?
	ACCEPT ONE RESPONSE ONLY

FRIEND	1
RELATIVE	2
LEGAL GUARDIAN	3
CASE MANAGER	4
OTHER (SPECIFY):	5
REFUSED	
DON'T KNOW	8

Thank you very much for your time today.