

U.S. DEPARTMENT OF LABOR

Employment and Training Administration

Notice of Availability of Funds and Solicitation for Grant Applications for Solicitation for Grant Applications and Announcement of Competition Waivers for Program Years 2012 and 2013

Announcement Type: Solicitation for Grant Applications (SGA)

Funding Opportunity Number: SGA-DFA-PY-11-07

Catalog of Federal Domestic Assistance (CFDA) Number: 17.265

Key Dates: The closing date for receipt of applications under this announcement is **[insert date 30 days after date of publication in Federal Register]**. Applications must be received no later than 4:00 p.m. Eastern Time.

Addresses: Mailed applications must be addressed to the U.S. Department of Labor, Employment and Training Administration, Office of Grants Management, Attention: B. Jai Johnson, Grant Officer, Reference SGA-DFA-PY-11-07, 200 Constitution Avenue, NW, Room N4716, Washington, DC 20210. For complete application and submission information, including online application instructions, please refer to Section IV of the SGA.

Summary: The Employment and Training Administration (ETA), U.S. Department of Labor (the Department or DOL), announces the availability of \$47,561,938 in adult funding and \$12,365,295 in Supplemental Youth program funding to grantees designated to provide employment and training services to Indians, Alaska Natives, and Native Hawaiians under section 166 of the Workforce Investment Act (WIA) for Program Year (PY) 2012 (July 1, 2012 through June 30, 2013). Approximately \$1,418,542 of these funds are available for competition for the Comprehensive Services Program (Adult), and approximately \$8,138 of these funds are available for the Supplemental (Youth) Services Program. This SGA contains the procedures by

which the Department will select and designate the WIA section 166 grantees for PYs 2012 and 2013 (July 1, 2012 through June 30, 2014).

As a general matter, the Department is required to select grantees, on a competitive basis, every two years. However, the Secretary of Labor (the Secretary) has the authority (WIA section 166(c)(2)) to waive the requirement for competition where current grantees are performing satisfactorily. Further, based on our observance of the principles of self-determination embodied in section 102 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450a), as implemented by 20 C.F.R.668.120, entities that have legal jurisdiction over their requested geographic service areas receive the highest priority for designation (20 CFR 668.210(a)). Such tribal entities will be awarded the grants for their geographic service areas without competition provided that they are responsible to manage federal funds and meet all other designation requirements.

Having reviewed the performance and the status of current grantees, the Department hereby identifies the current grantees that will not need to compete for continuation of their grants, because they have either performed satisfactorily under their current grants or have legal jurisdiction over their geographic service areas and qualify for priority designation. Any waiver of competition is conditioned on the grantee's submission of a satisfactory 2-year program plan for the PY 2012-2013 grant period, the grantee's agreeing to serve its entire current geographic service area, and the grantee's meeting the pre-award requirements in Section I.C. Attachment A to this Solicitation lists the grantees (including Public Law 102-477 grantees) that are eligible for exemption from competition. The grantees that are eligible for exemption must submit a letter and SF-424 as specified in Section IV.A.

Therefore, competition for funding under this solicitation is limited to geographic service areas in the states of Alabama, New Hampshire, Vermont, New York, Georgia, and North

Carolina (please see the geographic service areas listed in Attachment B of this SGA). Any eligible entity, (which could include, for example, both new applicants and the current grantee), may apply for funding to serve these areas, but the entity must apply to serve an entire geographic service area, not a portion of an area, listed in Attachment B. All such applicants must submit a Notice of Intent as specified in Section IV.B in order to compete for their geographic service areas.

SUPPLEMENTARY INFORMATION: This Solicitation consists of eight (8) sections and two (2) attachments:

- Section I describes the funding opportunity.
- Section II describes the source of funding.
- Section III sets the eligibility criteria for applicants and program participants.
- Section IV provides information on the application and submission process.
- Section V describes the process for reviewing applications, including the criteria for rating the applications.
- Section VI provides award administration information.
- Section VII contains the Department's agency contact information.
- Section VIII lists additional resources of interest to applicants.
- Section IX provides other information.
- Attachment A lists the grantees eligible to receive waivers.
- Attachment B lists the areas/counties open for competition.

Section I. Funding Opportunity Description

A. Background Information:

Section 166 of the WIA authorizes programs to serve the employment and training needs of Indians, Alaskan Native , and Native Hawaiian adults and youth through competitive two-year

grant awards by the Department with Indian tribes, tribal organizations, Alaska Native entities, Indian-controlled organizations serving Indians, or Native Hawaiian organizations in order to:

- (1) Develop more fully the academic, occupational, and literacy skills of such individuals;
- (2) Make such individuals more competitive in the workforce;
- (3) Promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with goals and values of such communities.

The requirements for WIA Section 166 programs are set forth in WIA Section 166 (29 U.S.C. Section 2911) and the implementing regulations, found at 20 CFR part 668. For PY 2012 and PY 2013 (July 1, 2012 to June 30, 2014), the Department will select service providers to operate such programs under WIA Section 166 within specified “geographic service areas.” A “geographic service area” is defined as the geographic area, described as states, counties, or reservations, or parts or combinations thereof, for which a Section 166 designation is made. A geographic service area, by its very nature, is not defined in terms of a specific population to be served.

B. Waivers:

Under WIA sections 166(c)(2) and (h)(3)(A), (29 U.S.C. 2911(c)(2) and (h)(3)(a)), the Secretary may waive grant competition for grant recipients that have performed satisfactorily under their current grant. The Secretary has reviewed the grantees’ performance, identified the grantees whose current performance is satisfactory (as listed in Attachment A) and has determined that it is appropriate to waive competition for PY 2012 and 2013 for the satisfactorily-performing grantees. Under WIA section 166(e), the grant of waiver is conditioned on the grantee’s submission of a satisfactory two-year program plan. These grantees must also submit a letter and SF-424, as described in Section IV.A of the SGA, and meet the pre-award

requirements described in paragraph C below. As noted earlier, grantees eligible for waiver must agree to serve their current entire geographic service area.

C. Pre-Award Requirements:

Before making a designation determination, the Grant Officer will conduct a “responsibility review” in accordance with 20 CFR 667.170 (a review of the entity’s available records to assess its overall ability to administer Federal funds) of all entities, including applicants competing for geographic service areas listed in Attachment B and grantees eligible for a waiver listed in Attachment A, along with a review of the entity’s ability to administer funds, in accordance with 20 CFR 668.220 and 668.230, to determine if the entity is capable of handling and accounting for Federal funds. Any entity determined to be “not responsible” by the responsibility review process will not be selected as potential grantee.

D. Procedures After Designation:

All designated entities will be required to submit a two-year Comprehensive Service Program (CSP) Plan, following instructions to be provided by the Department. After a WIA Section 166 designee's CSP Plan is approved by the Department, a grant agreement ("Notice of Obligation" or NOO) must be executed in accordance with 20 CFR 668.292. Each NOO will reflect the amount of WIA Section 166 funds awarded, as determined in accordance with 20 CFR 668.296 and 668.440.

Section II. Award Information

A. Award Amount:

The WIA Section 166 program is a “formula funded” program that will receive \$47,561,938 in PY 2012 to fund the Comprehensive Service Program (Adult) and \$12,365,295

for Supplemental (Youth) Services Program under this SGA. Grant awards will be made only to the extent funds are available.

Competition for funding under this solicitation is limited to the states of Alabama, New Hampshire, Vermont, New York, Georgia, and North Carolina and their respective counties (please see the geographic service areas listed in Attachment B of this SGA). As noted earlier, applicants must apply to serve an entire geographic service area listed in Attachment B, not just a portion of an area. Accordingly, \$1,426,680 of these funds (adult and youth combined) are available for competition.

1. Adult Funding

The amount of funding a grantee will receive for adult services is based on a formula specified at 20 CFR 668.296(b). The CSP (Adult) Funding Formula is as follows:

- One-quarter of the funds available will be allocated on the basis of the number of unemployed Native American persons in the grantee's designated geographic service area(s) compared to all such persons in all such areas in the United States (20 CFR 668.296(a)(1)).
- Three-quarters of the funds available will be allocated on the basis of the number of Native American persons in poverty in the grantee's designated INA geographic service area(s) as compared to all such persons in all such areas in the United States (20 CFR 668.296 (a) (2)).

For those grantees eligible for a waiver, the designated service area will be their entire current service area and any other service areas open for competition that the grantee applies for and is awarded. New grant applicants must specify the geographic area(s) they wish to serve in their grant application. Attachment B identifies the geographic service areas in competition for PY 2012-2013, along with the number of Native American persons in each geographic area who

are unemployed, in poverty, or in the youth age bracket. The areas open for competition in Attachment B may not be subdivided for purposes of this competition. Applications that seek to serve only a portion of an area in Attachment B will be deemed non-responsive and not reviewed.

Funds will be awarded under this solicitation through two-year grants. Applicants should plan to fully expend grant funds during the period of performance while ensuring full transparency and accountability for all expenditures.

2. Youth Funding:

In accordance with 20 CFR 668.410, certain grantees are eligible to receive Supplemental Youth Services (SYS) program funds. Youth funds are appropriated under WIA Section 127(b)(1)(C)(i). Funding in the amount of \$12,365,295 is available for youth funding in PY 2012. Youth funds are available for one area open for competition, as listed in Attachment B.

Section III. Eligibility Information

A. Eligible Applicants:

To be eligible for an award of funds under WIA Section 166 and this solicitation, an entity must meet all eligibility requirements of WIA Section 166 and 20 CFR 668.200, as well as the application and designation requirements found at 20 CFR part 668, subpart B. The Federal regulations are available at: <http://www.doleta.gov/dinap/cfml/wiaregfinal.cfm>. Potential applicants are expected to thoroughly review and comply with the statute and regulations.

Organizations that are potentially eligible to apply for WIA Section 166 funds under this solicitation are:

- Federally-recognized Indian Tribes;
- Tribal organizations as defined in 25 U.S.C. Section 450b;

- Alaskan Native-controlled organizations representing regional or village areas, as defined in the Alaska Native Claims Settlement Act;
- Native Hawaiian-controlled entities;
- Native American-controlled organizations serving Indians, including community organizations, such as faith-based organizations (see definition of Native American-controlled organizations described below);
- State-recognized tribal organizations serving individuals who were eligible to participate under Job Training Program Act (JTPA) Section 401, as of August 6, 1998;
- Consortia of eligible entities which individually meets the legal requirements for eligibility to apply for a grant (see definition of consortium below);
- Community organizations, including faith-based organizations, if they are Native American, Alaska Native, or Native Hawaiian-controlled.

Additionally, to be eligible, entities must have a legal status as a government, an agency of a government, a private non-profit corporation (i.e., incorporated under IRS Section 501(c)(3) or 501(c)(4) (except for Section 501(c)(4) organizations that engage in lobbying, as discussed in Section VI. B and C herein), or a consortium as defined below. Applicants seeking to provide services in a geographic service area for the first time must also satisfy the funding threshold identified below.

1. Definition of Native American, Native Hawaiian, or Native Alaskan Controlled Organization

A Native American, Native Hawaiian, or Native Alaskan-controlled organization is defined as any organization with a governing body where more than 50 percent of the governing board members are Indians, Native Americans, Native Hawaiians, or Native Alaskans. Such an

organization can be a tribal government, Native Alaska entity, Native Hawaiian entity, consortium, or public or private nonprofit agency. For the purpose of this solicitation, the governing board must have decision-making authority for the WIA Section 166 program.

2. Eligible Consortium

A consortium or its members must meet the requirements of an eligible applicant, as defined in 20 CFR 668.200 (a) and (c). The consortium's members must be at least one of the following: (1) a Federally-recognized Indian tribe; (2) a Tribal organization as defined in 25 U.S.C. 450b; (3) an Alaska Native-controlled organization representing regional or village areas, as defined in the Alaska Native Claims Settlement Act; (4) a Native Hawaiian-controlled entity; (5) a Native American-controlled organization serving Indians. A consortium's members must also:

- Be in close proximity to one another but they may operate in more than one State;
- Have an administrative unit legally authorized to run the program and to commit the other members to contracts, grants, and other legally binding agreements; and
- Be jointly and individually responsible for the actions and obligations of the consortium, including debts. See 20 CFR 668.200(b).

B. Funding Thresholds:

To be eligible for funding a new (non-incumbent) entity must request one or more of the geographic service areas in competition that contain an eligible population of sufficient size to result in a funding level of at least \$100,000, under the combined adult and youth funding formulas. See 20 CFR 668.200(a)(3). Current WIA Section 166 grantees that do not meet the \$100,000 threshold are exempt from this requirement. Federally recognized tribes currently receiving, or applying for WIA section 166 funds under Public Law 102-477 only need to meet a

\$20,000 threshold, as long as the combined funding under Public Law 102-477 is at least \$100,000.

C. Cost Sharing or Matching:

The WIA Section 166 program does not require grantees to share costs or provide matching funds.

D. Other Eligibility Criteria:

In accordance with 29 CFR Part 98, entities that are debarred or suspended are excluded from receiving Federal financial assistance and are ineligible to receive a WIA Section 166 grant. Additionally, entities that have been convicted of a violation of 18 U.S.C. 665 and/or 666, or that are in default of any debt repayment agreement signed with the Department or any Federal agency, are ineligible to receive an award under this SGA.

Additionally, an applicant must have the ability to administer WIA Section 166 funds. The ability to administer WIA Section 166 funds is determined in accordance with 20 CFR 668.220 and 668.230.

Applicants should also be aware that there are specific program regulations and Office of Management and Budget (OMB) Information Collection requirements that grantees must adhere to upon receiving a WIA Section 166 grant, which are identified in Section VI.B and C. of this SGA.

E. Recipients of Services:

All recipients of adult and youth services under WIA Section 166 must meet the eligibility requirements of 20 CFR 668.300 and 668.430, respectively.

F. Veterans Priority for Participants:

The Jobs for Veterans Act (Public Law 107-288) requires grantees to provide priority of service for veterans and spouses of certain veterans for the receipt of employment, training, and

placement services in any job training program directly funded, in whole or in part, by the DOL. The regulations implementing this priority of service can be found at 20 CFR part 1010. In circumstances where a grant recipient must choose between two qualified candidates for a service, one of whom is a veteran or eligible spouse, the veterans priority of service provisions require that the grant recipient give the veteran or eligible spouse priority of service by first providing him or her that service. To obtain priority of service, a veteran or spouse must meet the program's eligibility requirements. Grantees must comply with the DOL guidance on veterans' priority. ETA's Training and Employment Guidance Letter (TEGL) No. 10-09 (issued November 10, 2009) provides guidance on implementing priority of service for veterans and eligible spouses in all qualified job training programs funded in whole or in part by the DOL. TEGL No. 10-09 is available at http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=2816.

G. Other Grant Specifications:

1. Transparency

DOL is committed to conducting a transparent grant award process and publicizing information about program outcomes. Posting grant applications on public websites is a means of promoting and sharing innovative ideas. For this grant competition, we will publish the abstracts required by Section IV B for all applications on the Department's public website or similar publicly accessible location. Additionally, we will publish a redacted version of the Technical Proposal required by Section IV B, for all those applications that are placed in competition and awarded grants, on the Department's public website or a similar publicly accessible location. No other parts of or attachments to the application will be published. The Technical Proposals and Abstracts will not be published until after the grants are announced. In addition, information about grant progress and results may also be made publicly available.

DOL recognizes that grant applications sometimes contain information that an applicant may consider proprietary or business confidential information, or may contain personally identifiable information (PII). Proprietary or confidential commercial/business information is information that is not usually disclosed outside your organization and the disclosure of which is likely to cause you substantial competitive harm. PII is any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records, and any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.¹

Abstracts will be published in the form originally submitted, without any redactions. However, in order to ensure that PII and proprietary or confidential commercial/business information is properly protected from disclosure when DOL posts the winning Technical Proposals, applicants whose technical proposals will be posted will be asked to submit a second redacted version of their Technical Proposal, with any proprietary, confidential commercial/business, and PII redacted. All non-public information about the applicant's staff should be removed as well. The Department will contact the applicants whose Technical Proposals will be published by letter or email, and provide further directions about how and when to submit the redacted version of the Technical Proposal. Submission of a redacted version of the Technical Proposal will constitute permission by the applicant for DOL to make the redacted version publicly available. If an applicant fails to provide a redacted version of the Technical Proposal, DOL will publish the original Technical Proposal in full, after redacting PII. (Note that the original, unredacted version of the Technical Proposal will remain part of the

¹ Memorandums 07-16 and 06-19. GAO Report 08-536, *Privacy: Alternatives Exist for Enhancing Protection of Personally Identifiable Information*, May 2008, <http://www.gao.gov/new.items/d08536.pdf>.

complete application package, including an applicant’s proprietary and confidential information and any PII.)

Applicants are encouraged to maximize the grant application information that will be publicly disclosed, and to exercise restraint and redact only information that clearly is proprietary, confidential commercial/business information, or PII. The redaction of entire pages or sections of the Technical Proposal is not appropriate, and will not be allowed, unless the entire portion merits such protection. Should a dispute arise about whether redactions are appropriate, DOL will follow the procedures outlined in the Department’s Freedom of Information Act (FOIA) regulations (29 CFR part 70).

Redacted information in grant applications will be protected by DOL from public disclosure in accordance with federal law, including the Trade Secrets Act (18 U.S.C. § 1905), FOIA, and the Privacy Act (5 U.S.C. § 552a). If DOL receives a FOIA request for your application, the procedures in DOL’s FOIA regulations for responding to requests for commercial/business information submitted to the government will be followed, as well as all FOIA exemptions and procedures (29 CFR 70.26). Consequently, it is possible that application of FOIA rules may result in release of information in response to a FOIA request that an applicant redacted in its “redacted copy.”

1. Evaluation

DOL may require that the program or project participate in an evaluation of overall performance of ETA grants and/or impacts on participants. Therefore, as a condition of award, the grantee is required to cooperate with any evaluation of the program DOL may undertake:

IV. Application and Submission Information

This SGA contains all of the information needed to apply for grant funding. Applications that fail to adhere to the instructions in this section will be deemed non-responsive

and will receive no further consideration. It is the applicant's responsibility to ensure that the funding amount requested is consistent across all parts and sub-parts of the application. Because the Secretary has waived competition for certain grantees, information that must be submitted under this SGA will depend on the applicant's status with the Department/ETA.

A. Grantees eligible for waivers in service areas where competition is waived (listed in Attachment A):

Grantees listed in Attachment A must submit a letter informing ETA of the grantee's interest in continuing its current grant, and serving its current entire geographic service area, and an Application for Federal Assistance Standard Form 424 (SF-424). An SF-424 can be obtained at <http://www.doleta.gov/dinap/pdf/RevisedSF424.pdf>. Directions about particular sections of the SF-424 are provided in Section IV.B.1 below. For purposes of this SGA, grantees eligible to receive a waiver need not submit a Budget Information Form (SF-424A) or Budget Narrative. Grantees will also be required to submit a satisfactory two-year program plan in accordance with 20 CFR 668 subpart G, after their letters and SF-424s are accepted, and they meet the pre-award requirements in Section I.C. The Department will issue instructions about the two-year plan requirements.

The closing date for receipt of the letter and the SF 424 is **[insert date 30 days after date of publication in Federal Register]**. The letter and SF-424 will be accepted after the publication of this announcement and until the closing date. The letter and SF-424 may be submitted in hard copy by mail or hand delivery (**including overnight delivery**). The letter and SF-424 must be received at the address below no later than 4:00 p.m. Eastern Time on the closing date. Letters and SF-424s sent by e-mail, telegram, or facsimile (FAX) will not be accepted.

Mailed letters and SF-424s must be addressed to the U.S. Department of Labor, Employment and Training Administration, Division of Federal Assistance, Attention: B. Jai

Johnson, Grant Officer, Reference SGA-/DFA- PY-11-07, 200 Constitution Avenue, NW, Room N4716, Washington, DC 20210. Applicants are advised that mail delivery in the Washington DC area may be delayed due to mail decontamination procedures. Hand-delivered letters and SF-424s will be received at the above address. All overnight mail will be considered to be hand-delivered and must be received at the designated place by the specified closing date and time.

B. Applicants for Grants in Service Areas Where Waivers Are Not Being Granted:

1. Notice of Intent - Part A

Applicants for grants in service areas where waivers are not being granted, which may be incumbent grantees or new entities, must submit a complete Notice of Intent (NOI) – Part A, **[insert date 30 days after date of publication in Federal Register]**, as described in Section IVC, which must be comprised of the following:

- A cover letter informing ETA of the organization’s interest in applying for WIA section 166 funds, signed by an authorized signatory official.
- Documentation of the applicant’s legal status as a tribal, Native Alaskan, or Native Hawaiian entity, as described in 20 CFR 668.200(a)(1), including articles of incorporation for non-profit organizations or consortium agreement (if applicable). See 20 CFR 668.200.
- Provide the specific geographic service area, as listed on Attachment B that you are applying for. Geographic areas may not be subdivided.
- Project/Performance Site Location(s) form (available at <http://apply07.grants.gov/apply/FormLinks?family=15>). If using grants.gov for submission, this form must be attached under the required forms section. Please note that this is a standard form used for many programs and has a check box for applying

as an individual. Disregard this box on the form as individuals are not eligible to apply for this solicitation.

- Evidence to establish the entity's ability to administer funds under [20 CFR 668.220](#) through [668.230](#), which at a minimum should include:
 - (i) A statement that the organization is in compliance with the DOL's debt management procedures;
 - (ii) A statement that fraud or criminal activity has not been found in the organization, or a brief description of the circumstance where fraud or criminal activity has been found and a description of the resolution, corrective action, and current status;
 - (iii) A narrative demonstrating that the entity has or can acquire the necessary program and management personnel to safeguard Federal funds and effectively deliver program services that support the purposes of WIA; and
 - (iv) If not otherwise provided, a narrative demonstrating that the entity has successfully carried out or has the ability to successfully carry out activities that will strengthen the ability of the individuals served to obtain or retain unsubsidized employment, including the past two-year history of publicly funded grants/contracts administered including identification of the fund source and a contact person.
- A very brief summary of the employment and training or human resource development programs serving Native Americans that the entity currently operates or has operated within the previous two-year period. The summary should identify the funding source, contact person, and phone number for the program(s).
- A brief description of the planning process used by the entity, including the involvement of the governing body and local employers.

- An SF-424 “Application for Federal Assistance,” which can be obtained at <http://www.doleta.gov/dinap/pdf/RevisedSF424.pdf>.

This document can also be obtained at <http://apply07.grants.gov/apply/FormLinks?family=15>.

DIRECTIONS ON HOW TO COMPLETE SF-424:

The SF-424 must clearly identify the applicant and must be signed by an individual with authority to enter into a grant agreement. Upon confirmation of an award, the individual signing the SF-424 on behalf of the applicant shall be considered the authorized representative of the applicant. As stated in block 21 of the SF-424 form, the signature of the authorized representative on the SF-424 certifies that the organization is in compliance with the Assurances and Certifications form SF-424B (available at <http://apply07.grants.gov/apply/FormLinks?family=15>). The SF-424B is not required to be submitted with the application. In addition, the applicant’s signature in block 21 of the SF-424 form constitutes assurance by the applicant that it will comply fully with the requirements of 29 CFR 37.20.

The following is additional specific information about how to fill out particular items on the SF 424:

(i) Item #8(c)—Organization DUNS: All applicants for Federal grant and funding opportunities are required to have a Data Universal Numbering System (D-U-N-S®) number, and must supply their D-U-N-S® Number on the SF-424. The D-U-N-S® Number is a nine-digit identification number that uniquely identifies business entities. If you do not have a D-U-N-S® Number, you can get one for free through the D&B website: <http://fedgov.dnb.com/webform/displayHomePage.do>.

- (ii) Item #11—Catalog of Federal Domestic Assistance Number (CFDA): The CFDA number for the WIA § 166 program is 17.265. This number must be provided in item #11.
- (iii) Item #14—Areas Affected by Project: Applicants must include the specific geographic areas they wish to serve. Current grantees who wish to serve only their existing geographic service area and not any area open for competition need to indicate “Existing Service Area” in this section. (As noted earlier, the grantees eligible for a waiver must serve their current entire geographic service area.) Current grantees and new applicants requesting service areas that are open to competition as indicated in Attachment B of this SGA must include the entire geographic service area in line item 14. Applicants may include service areas in an attachment to the SF-424 if additional space is needed.
- (iv) Item #17—Proposed Project Start Date and Ending Date: The WIA Section 166 program is funded for a two-year period and is based on a PY period of July 1 through June 30. The proposed start dates under this solicitation for youth funding and adult funding are April 01, 2012 and July 1, 2012, respectively, and the proposed end date is June 30, 2014.
- (v) Item #18—For those applicants eligible for a waiver, state the estimated amount listed in Attachment A for the applicable geographic service area. For those applicants subject to competition, state the estimated amount listed in Attachment B for the applicable geographic service area.
- (vi) Item #19—Is application Subject to [Review by State Under Executive Order \(E.O.\) 12372](#) process? The WIA Section 166 program is not subject to [E.O. 12372](#).

- An SF-424A Budget Information Form, which is available at <http://apply07.grants.gov/apply/FormLinks?family=15>. In preparing the Budget Information Form, the applicant must provide a concise narrative explanation to support the budget request, explained in detail below.
- Budget Narrative: The budget narrative must provide a description of costs associated with each line item on the SF-424A.
- The amount listed on the SF-424, SF-424A and budget narrative must be the same. Please note, the funding amount included on the SF-424 will be considered the official funding amount requested if any inconsistencies are found. Applications that fail to provide an SF-424 including D-U-N-S® Number, SF-424A, and a budget narrative will be considered non-responsive and will not be reviewed.

Regardless of the method of application submission, all applicants for service areas in competition must register with the Federal Central Contractor Registry (CCR) before submitting an application. Step-by-step instructions for registering with CCR can be found at http://www.grants.gov/applicants/org_step2.jsp. An awardee must maintain an active CCR registration with current information at all times during which it has an active Federal award or an application under consideration. To remain registered in the CCR database after the initial registration, the applicant is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. For purposes of this paragraph, the applicant is the entity that meets the eligibility criteria and has the legal authority to apply and to receive the award. Failure to register with the CCR before application submission will result in your application being found non-responsive and will not be reviewed.

2. Notice of Intent Part B - The Technical Proposal

If two or more eligible entities timely file a complete NOI-Part A, a competitive grantee selection process will be conducted. To that end, the Grant Officer will notify each applicant and invite the applicants to submit the technical proposal (NOI-Part B), in accordance with the instructions provided in Section IV C. An applicant whose initial NOI submission addressed the requirements for both Part A and Part B does not need to submit a separate NOI-Part B, but the applicant must notify the Grant Officer in writing, within the deadline for NOI Part A submissions, that it is relying on what it submitted with its initial submission. In order for the application to be considered, the attachments required for the Technical Proposal (see a. below) must be included as well.

The Technical Proposal must demonstrate the applicant's capability to implement the grant project in accordance with the provisions of this Solicitation. The guidelines for the content of the Technical Proposal are provided in Section V of this SGA. The Technical Proposal is limited to 20 double-spaced single-sided 8.5 x 11 inch pages with 12 point text font and 1 inch margins. Any materials beyond the specified page limit will not be read. (The NOI-Part A and the letters of support referenced in Section V for NOI-Part B recipients, which may be submitted in support of the application, do not count against the NOI-Part B page limits.) Applicants should number the Technical Proposal beginning with page number 1. Applicants invited to submit an NOI-Part B who fail to file the NOI-Part B will be disqualified from the competition and not awarded a grant.

Attachments to the Technical Proposal

In addition to the Technical Proposal, the applicant must submit the following attachment:

- a. Technical Proposal Attachment Required for Review of the Application

- b. An up to one-page abstract summarizing the proposed project, including but not limited to the scope of the project and proposed outcomes. The proposed project must include the applicant's name, project title, and the geographic area to be served. The abstract will not count against the page limit for the Technical Proposal. If using grants.gov for submission, this document must be attached under the Mandatory Other Attachment section and labeled abstract. Applications subject to competition that do not include the required attachment will be considered non-responsive and not reviewed.

All attachments must be affixed as separate, clearly identified appendices to the application. Additional materials, except for letters of support referenced in Section V for NOI-Part B recipients (which are not required), will not be considered.

C. Submission Date, Times, Process and Addresses for Notices of Intent – Parts A and B for applicants subject to competition:

These directions apply to both NOI Part A and NOI Part B, unless otherwise specified. The closing date for receipt of Notices of Intent - Part A under this announcement is **[insert date 30 days after date of publication in Federal Register]**. If the Grant Officer notifies the applicant that the area applied for is subject to competition and invites the applicant to submit the technical proposal (NOI Part B), the applicant will have 15 calendar days from the date of receipt of **notification by the Grant Officer** to submit an NOI Part B (See Section IV.B.2 and Section V). NOIs-Part B will be accepted after notification by the Grant Officer and until the closing date. Applications (NOIs Parts A and B) may be submitted electronically on <http://www.grants.gov> or in hard copy by mail or hand delivery (**including overnight delivery**). Hard copy NOIs-Parts A and B must be received at the address below no later than 4:00 p.m. Eastern Time. Applications submitted on grants.gov must also be successfully submitted (as

described below) no later than 4:00 p.m. Eastern Time. Applications (NOI Parts A or B) sent by e-mail, telegram, or facsimile (FAX) will not be accepted.

Applicants submitting NOIs Parts A or B in hard copy must submit an original signed application (including the SF-424) and one (1) “copy-ready” version free of bindings, staples or protruding tabs to ease in the reproduction of the proposal by the Department. Applicants submitting NOIs Part A or B in hard copy are also required to provide an identical electronic copy of the proposal on compact disc (CD). If discrepancies between the hard copy submission and CD copy are identified, the application on the CD will be considered the official applicant submission for evaluation purposes. Failure to provide identical applications in hardcopy and CD format may have an impact on the overall evaluation.

If an application (NOI Part A or B) is physically submitted by both hard copy and through <http://www.grants.gov>, a letter must accompany the hard-copy application stating which application to review. If no letter accompanies the hard copy, we will review the copy submitted through <http://www.grants.gov>.

Applications (NOI Part A or B) that do not meet the conditions set forth in this notice will be considered non-responsive. No exceptions to the mailing and delivery requirements set forth in this notice will be granted. Further, documents submitted separately from the application, before or after the deadline, will not be accepted as part of the application.

Mailed applications (NOI Part A or B) must be addressed to the U.S. Department of Labor, Employment and Training Administration, Division of Federal Assistance, Attention: B. Jai Johnson, Grant Officer, Reference SGA-DFA- PY- 11-07, 200 Constitution Avenue, NW, Room N4716, Washington, DC 20210. Applicants are advised that mail delivery in the Washington DC area may be delayed due to mail decontamination procedures. Hand-delivered applications will be received at the above address. All overnight mail will be considered to be

hand-delivered and must be received at the designated place by the specified closing date and time.

Applications (NOI Part A or B) that are submitted through Grants.gov must be successfully submitted at <http://www.grants.gov> no later than 4:00 p.m. Eastern Time on the closing date and then subsequently validated by Grants.gov. The submission and validation process is described in more detail below. The process can be complicated and time-consuming. Applicants are strongly advised to initiate the process as soon as possible and to plan for time to resolve technical problems if necessary.

The Department strongly recommends that before the applicant begins to write the application (NOI Part A or B) applicants should immediately initiate and complete the “Get Registered” registration steps at http://www.grants.gov/applicants/get_registered.jsp. Applicants should read through the registration process carefully before registering. These steps may take as much as four weeks to complete, and this time should be factored into plans for electronic submission in order to avoid unexpected delays that could result in the rejection of an application. The site also contains registration checklists to help you walk through the process. The Department strongly recommends that applicants download the “Organization Registration Checklist” at http://www.grants.gov/assets/Organization_Steps_Complete_Registration.pdf and prepare the information requested before beginning the registration process. Reviewing and assembling required information before beginning the registration process will alleviate last minute searches for required information and save time.

As described above, applicants must have a D–U–N–S® Number and must register with the Federal Central Contractor Registry (CCR).

The next step in the registration process is creating a username and password with Grants.gov to become an Authorized Organizational Representative (AOR). AORs will need to

know the D-U-N-S® Number of the organization for which they will be submitting applications to complete this process. To read more detailed instructions for creating a profile on Grants.gov visit: http://www.grants.gov/applicants/org_step3.jsp.

After creating a profile on Grants.gov, the E-Biz point of Contact (E-Biz POC) - a representative from your organization who is the contact listed for CCR – will receive an email to grant the AOR permission to submit applications on behalf of their organization. The E-Biz POC will then log in to Grants.gov and approve an applicant as the AOR, thereby giving him or her permission to submit applications. To learn more about AOR Authorization visit:

http://www.grants.gov/applicants/org_step5.jsp, or to track AOR status visit:

http://www.grants.gov/applicants/org_step6.jsp.

An application submitted through Grants.gov constitutes a submission as an electronically signed application. The registration and account creation with Grants.gov, with E-Biz POC approval, establishes an AOR. When you submit the application through Grants.gov, the name of your AOR on file will be inserted into the signature line of the application.

Applicants must register the individual who is able to make legally binding commitments for the applicant organization as the AOR; this step is often missed and it is crucial for valid submissions.

When a registered applicant submits an application with Grants.gov, an electronic time stamp is generated within the system when the application is successfully received by Grants.gov. Within two business days of application submission, Grants.gov will send the applicant two email messages to provide the status of the application's progress through the system. The first email, sent almost immediately, will contain a tracking number and will confirm receipt of the application by Grants.gov. The second email will indicate the application has either been successfully validated or has been rejected due to errors. Only applications that

have been successfully submitted by the deadline and subsequently successfully validated will be considered. It is the sole responsibility of the applicant to ensure a timely submission. While it is not required that an application be successfully validated before the deadline for submission, it is prudent to reserve time before the deadline in case it is necessary to resubmit an application that has not been successfully validated. Therefore, sufficient time should be allotted for submission (two business days) and, if applicable, additional time to address errors and receive validation upon resubmission (an additional two business days for each ensuing submission). It is important to note that if sufficient time is not allotted and a rejection notice is received after the due date and time, the application will not be considered.

To ensure consideration, the components of the application must be saved as .doc, .docx, .xls, .xlsx, .rtf or .pdf files. If submitted in any other format, the applicant bears the risk that compatibility or other issues will prevent us from considering the application. ETA will attempt to open the document but will not take any additional measures in the event of problems with opening. In such cases, the non-conforming application will not be considered for funding.

We strongly advise applicants to use the various tools and documents, including FAQs, which are available on the “Applicant Resources” page at <http://www.grants.gov/applicants/resources.jsp>.

ETA encourages new prospective applicants to view the online tutorial, “Grant Applications 101: A Plain English Guide to ETA Competitive Grants,” available through Workforce3One at: http://www.workforce3one.org/page/grants_toolkit.

To receive updated information about critical issues, new tips for users and other time sensitive updates as information is available, applicants may subscribe to “Grants.gov Updates” at http://www.grants.gov/applicants/email_subscription_signup.jsp.

If applicants encounter a problem with Grants.gov and do not find an answer in any of the other resources, call 1-800-518-4726 to speak to a Customer Support Representative or email “support@grants.gov”. The Contact Center is open 24 hours a day, seven days a week. It is closed on federal holidays.

1. Late Applications:

For applications submitted on Grants.gov, only applications that have been successfully submitted no later than 4:00 p.m. Eastern Time on the closing date and then successfully validated will be considered. Applicants take a significant risk by waiting to the last day to submit by Grants.gov.

Any hard copy application received after the exact date and time specified for receipt at the office designated in this notice will not be considered, unless it is received before awards are made, it was properly addressed, and it was: (a) sent by U.S. Postal Service mail, postmarked not later than the fifth calendar day before the date specified for receipt of applications (e.g., an application required to be received by the 20th of the month must be postmarked by the 15th of that month); or (b) sent by professional overnight delivery service to the addressee not later than one working day before the date specified for receipt of applications. “Postmarked” means a printed, stamped or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable, without further action, as having been supplied or affixed on the date of mailing by an employee of the U.S. Postal Service. Therefore, applicants should request the postal clerk to place a legible hand cancellation “bull’s eye” postmark on both the receipt and the package. Failure to adhere to these instructions will be a basis for a determination that the application was not filed timely and will not be considered. Evidence of timely submission by a professional overnight delivery service must be demonstrated by equally reliable evidence created by the delivery service provider indicating the time and place of

receipt.

D. Intergovernmental Review:

This funding opportunity is not subject to Executive Order 12372, “Intergovernmental Review of Federal Programs.”

E. Funding Restrictions:

All proposal costs must be necessary and reasonable and in accordance with Federal guidelines. Determinations of allowable costs will be made in accordance with the applicable Federal cost principles. Disallowed costs are those charges to a grant that the grantor agency or its representative determines not to be allowed in accordance with the applicable Federal cost principles or other conditions contained in the grant.

Applicants, whether successful or not, will not be entitled to reimbursement of pre-award costs.

1. Indirect Costs

As specified in OMB Circular Cost Principles, indirect costs are those that have been incurred for common or joint objectives and cannot be readily identified with a particular final cost objective. An indirect cost rate (ICR) is required when an organization operates under more than one grant or other activity, whether Federally-assisted or not. Organizations must use the ICR supplied by the Federal Cognizant Agency. If an organization requires a new ICR or has a pending ICR, the Grant Officer will award a temporary billing rate for 90 days until a provisional rate can be issued. This rate is based on the fact that an organization has not established an ICR agreement. Within this 90 day period, the organization must submit an acceptable indirect cost proposal to their Federal Cognizant Agency to obtain a provisional ICR.

2. Administrative Costs

Under this SGA, an entity that receives a grant to carry out a project or program may not use more than 15 percent of the amount of the grant to pay administrative costs associated with the program or project. Administrative costs could be direct or indirect costs, and are defined at 20 CFR 667.220. Administrative costs do not need to be identified separately from program costs on the SF-424A Budget Information Form. However, they must be tracked through the grantee's accounting system. To claim any administrative costs that are also indirect costs, the applicant must obtain an Indirect Cost Rate Agreement from its Federal Cognizant agency, as specified above.

3. Salary and Bonus Limitations

Funds awarded under this SGA may not be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II, except as provided for in section 101 (Section 2, Division D, Title I) of Public Law 111-117. The salary and bonus limitation does not apply to vendors providing goods and services as defined in OMB Circular A-133 (codified at 29 CFR Parts 96 and 99). See Training and Employment Guidance Letter number 5-06 for further clarification:

http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=2262.

4. Intellectual Property Rights

The Federal Government reserves a paid-up, nonexclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for Federal purposes: i) the copyright in all products developed under the grant, including a subgrant or contract under the grant or subgrant; and ii) any rights of copyright to which the grantee, subgrantee or a contractor purchases ownership under an award (including but not limited to curricula, training models, technical assistance products, and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or

otherwise. The grantee may not use federal funds to pay any royalty or license fee for use of a copyrighted work, or the cost of acquiring by purchase a copyright in a work, where the Department has a license or rights of free use in such work. If revenues are generated through selling products developed with grant funds, including intellectual property, these revenues are program income. Program income is added to the grant and must be expended for allowable grant activities.

If applicable, the following needs to be on all products developed in whole or in part with grant funds:

“This workforce product was funded by a grant awarded by the U.S. Department of Labor’s Employment and Training Administration. The product was created by the grantee and does not necessarily reflect the official position of the U.S. Department of Labor. The Department of Labor makes no guarantees, warranties, or assurances of any kind, express or implied, with respect to such information, including any information on linked sites and including, but not limited to, accuracy of the information or its completeness, timeliness, usefulness, adequacy, continued availability, or ownership. This product is copyrighted by the institution that created it. Internal use by an organization and/or personal use by an individual for non-commercial purposes is permissible. All other uses require the prior authorization of the copyright owner.”

5. Use of Grant Funds for Participant Wages:

Organizations that receive grants through this SGA may use grant funds to pay for the wages of participants. See 20 CFR 668.350. The provision of stipends to training enrollees for the purposes of wage replacement is not an allowable cost under this SGA.

F. Other Submission Requirements:

Withdrawal of Applications: Applications may be withdrawn by written notice to the

Grant Officer at any time before an award is made.

Section V. Application Review Information

A. Evaluation Criteria for NOI Part B Applications:

This section identifies and describes the criteria that will be used for each category to evaluate grant technical proposals. The criteria apply only to those applicants that submit the NOI-Part B, described in Section IV.B of this SGA, and will be considered in evaluating these applicants' capability to provide services and their ability to produce the best outcomes for the individuals residing in the proposed geographic service area.

Evaluation Criteria	
Criteria	Points
Understanding the Unique Problems of Eligible Indian, Alaska Native, Native Hawaiian Adults Residing On Reservations, or In Urban Areas	35
Capability and Administrative Capacity To Operate an Employment and Training Program Established for Serving Indians, Alaskan Natives, and/or Hawaiian Natives.	35
Strategy and Linkages	30

The evaluation criteria are described below:

1. Understanding the Unique Problems of Eligible Indian, Alaska Native, Native Hawaiian Adults and Youth Residing On Reservations, or In Urban Areas (35 Points):

Applicants must fully demonstrate a clear and specific understanding of the employment, training, and educational barriers encountered by the requested service population. It is critical throughout this section that applicants are as explicit and specific as possible in citing sources of data and analysis. Applicants should use relevant data from a wide variety of traditional sources (e.g., BLS reports, State surveys, “people” link on the U.S. Census Bureau website at <http://www.factfinder.census.gov>.) and nontraditional information sources including consultation with Tribal economic development programs and Tribal colleges. Points for this rating factor

will be based on the relevance, completeness, and quality of data and analysis presented, as follows:

a. Socioeconomic Factors - 20 points

Provide data and analysis of socioeconomic factors of and conditions faced by the eligible population in the geographic service area you propose to serve, including: Number of Indians, Native Americans, Native Hawaiians, and /or Native Alaskans in the requested service area; corresponding poverty rate; unemployment rate; potential or actual layoffs; education level, including graduation rates; skill levels and skill gaps currently existing and projected for eligible participants in the proposed geographic service area; potential barriers to employment for the service population (such as transportation needs, education needs, and child care needs). Also describe how traditional customs and values impact economic factors.

b. Employment Outlook- 15 points.

Provide data and analysis of the employment outlook for the geographic service area that you propose to serve, including opportunities by industry and occupation, and identification of the job skills necessary to obtain those employment opportunities. Specific employers that need or are likely to need skilled workers during the grant period should be identified. Applicants should discuss any negative employment factors in the geographic service area.

2. Capability and Administrative Capacity to Operate an Employment and Training Program Established for Serving Indians, Alaskan Natives, and/or Hawaiian Natives (35 Points):

The applicant must fully describe its capability to staff the proposed initiative and maximize service to eligible participants. The application must also demonstrate the applicant's fiscal, administrative, and performance management capacity to implement the key components of this project. Scoring under this criterion will be based on the following:

a. Staff Capacity-10 points.

The discussion must include a description of the applicant's organizational structure, as well as the proposed staffing pattern for the project, including management staff, administrative staff, and program staff. The description must demonstrate that the designated role(s) and time commitment of the proposed staff will be sufficient to ensure proper direction, management, implementation, and timely completion of each project. Where a project manager is identified, the applicant must demonstrate that the qualifications and level of experience of the proposed project manager are sufficient to ensure proper management of the project. Where no project manager is identified, the applicant must discuss the minimum qualifications and level of experience that will be required for the position.

b. Applicant's ability to maximize services to eligible participants-15 points.

The applicant must describe its capacity to serve the greatest number of eligible participants with available funding. The applicant must describe its capacity to use the entire amount of the funds provided by the grant to provide services to eligible participants, and to minimize the amount of unobligated funds that will be carried over from one program year to another.

c. Fiscal, Administrative and Performance Management Capacity-10 points.

The application must provide evidence that the applicant has the fiscal, administrative, and performance management capacity to administer this grant. The discussion must describe:

- The applicant's capacity, including its systems, processes, and administrative and fiscal controls that will enable it to comply with Federal rules and regulations related to the grant's fiscal and administrative requirements;

- The applicant's participant eligibility determination and verification system, as well as its systems and processes, to effectively track participant status and performance outcomes;
- The applicant's capacity to collect data and to ensure that the data collected and reports submitted are accurate and timely;
- The applicant's system to support program integrity, including the management and security of participant records;
- If applicable, the applicant's capacity to administer multiple funding streams and to track spending by program. The description must include the applicant's capacity to ensure that expenditures are posted against the appropriate program for applicants that receive funding from more than one Federal program;
- The applicant's capacity to manage supportive services (such as transportation and child care), and to account for expenditures related to these services. Additionally, the applicant should provide a description of the electronic tools that it will use (such as personal computer, Internet access, and e-mail accounts); and
- The applicant's capacity to begin program operations in the proposed geographic service area by July 1, 2012.

3. Strategy and Linkages (30 Points):

a. Education/Training, Placement, and Retention Strategies-15 points.

The applicant must provide a complete and clear explanation of its proposed strategy and its implementation plans. The applicant must describe the proposed workforce development

strategy in full and explain how the proposed education/training services will benefit Indians, Alaskan Natives, and/or Hawaiian Natives in the proposed geographic service area, as follows:

- During all phases of the grant: The applicant must describe how it will assist eligible participants in determining what supportive services are needed and assist eligible participants in obtaining those services.
- Training: The applicant must describe how the project will address the education/training needs of Indians, Alaskan Natives, and/or Hawaiian Natives. The applicant must demonstrate that the education/training will focus on industries, occupations, skills, and competencies that lead to a career pathway. Further, the proposed strategy should include training in basic skills, such as literacy and numeracy, GED preparation and describe how the proposed education/training will lead to an appropriate employer-or-industry-recognized credential or degree (which can include a license, as well as a registered apprenticeship certificate or degree) and/or to employment. In proposing a strategy for placing Native Americans, Alaskan Natives, and/or Hawaiian Natives into employment, the applicant must identify specific job needs and describe the specific employers within the proposed geographic service area, and identify methods for engaging employers and referring participants to employers. Applicants serving incumbent workers should include a strategy for working with employers to support worker career advancement.
- Retention: The applicant must propose a strategy for promoting job retention among the Native Americans, Alaskan Natives, and/or Hawaiian Natives in the proposed geographic service area, including identifying specific activities and partners that could help participants retain employment. The proposal must include strategies for engaging

employers and identifying the barriers to retention faced by participants after placement.

b. Linkages with the range of employment and training resources within the proposed geographic service area-15 points.

Scoring on this section will be based on the extent to which the applicant demonstrates that it has linkages, or the capacity to form linkages, with other entities within the proposed geographic service area, by addressing the following factors:

- Applicants must fully demonstrate that they have established, or have the capacity to establish, linkages with entities such as Tribal economic development programs, the workforce investment system, including One-Stop Career Centers, educators, educational institutions or Tribal colleges, veterans organizations, social service programs, and other stakeholders in the proposed geographic service area in order to maximize educational and career opportunities for the service population. The applicant may include letters of support from the entities.
- Applicants must fully describe any partnerships that they have developed or initiated with (or must fully describe how they plan to develop partnerships with) Tribal economic development programs, the workforce investment system, including One-Stop Career Centers, educators, including the Department of Education or Tribal Colleges, veterans organizations, youth councils, and other key stakeholders in the geographic service area, and the degree to which each partner will play a role in providing employment, training, and educational services to the service population. The applicant may include letters of support from the partner(s).

- Applicants must fully describe any funds and other resources that will be leveraged to support grant activities and how these funds and other resources will be used to contribute to the proposed outcomes for the project related to the provision of supportive services for program participants.
- The applicant must describe services available to veterans, and how the applicant plans to implement veteran and spousal priority of service in the proposed geographic service area.

B. Review Process of Applications for Geographic Service Areas Subject to Competition:

1. Initial Review Process

DOL's Division of Indian and Native American Programs, with the concurrence of the Grant Officer, will conduct an initial review of all NOI- Part A submissions for Section 166 designation for compliance with the statute, regulations, and this SGA. The initial review will consider the timeliness and completeness of the submission, applicant eligibility, population size and priorities. The review will also include the pre-award requirements discussed in Section I.C. Applicants that do not satisfy these conditions will not be funded.

The Grant Officer may require additional or clarifying information or action, including a site visit, before designating new applicants and/or before determining whether to conduct a competition for a particular geographic service area.

2. Further Review Process

Where two or more applicants satisfy the initial review described in paragraph B.1 above, the Grant Office will determine whether any applicant is entitled to a designation priority. Federally-recognized Indian tribes, Alaskan Native entities, or consortia that include a tribe or entity will have the highest priority for designation, and will be designated for those geographic

service areas over which they have legal jurisdiction (20 CFR 668.210). In geographic service areas not served by Indian Tribes or Alaska Native entities, entities with a Native American-controlled governing body and which are representative of the Native American Community or communities involved will have priority for designation. See 20 CFR 668.210(c).

Where competitive evaluation is required, the Grant Officer will use a formal panel review process to score the information submitted with the complete NOI (Part A and B), using the criteria listed in Section V.A. The review panel will include individuals with knowledge of or expertise in programs dealing with Indians and Native Americans. The purpose of the panel is to review and evaluate an organization's potential, based on its application (including the supplemental information required in NOI-Part B), to provide services to a specific Native American community, to rate the proposals in accordance with the rating criteria described in Section V.A, and to make recommendations to the Grant Officer. During the review, the panel will not give weight to undocumented assertions. Any information must be supported by adequate and verifiable documentation, *e.g.*, supporting references must contain the name of the contact person, an address, and telephone number. Up to 100 points may be awarded to an application, depending on the quality of the responses to the required information described in Section V (A). The ranked scores will serve as the primary basis for selection of applications for funding. The panel results are advisory in nature and not binding on the Grant Officer.

Panels will consider only the applications (NOI-part A and B) and will not be provided with any additional information. All submitted information must be in writing. The Grant Officer may consider any information that comes to his/her attention, and is not precluded from requesting or considering additional information independent of the panel review process.

The Grant Officer will make the final determination of Section 166 designees and of the geographic service area for which each designation is made. In accordance with [20 CFR](#)

[668.250\(b\)](#) (4), the Grant Officer will select the entity that demonstrates the ability to produce the best outcomes for its customers, based on all available evidence. In addition to considering the review panel's rating, the Grant Officer will consider input from DOL's Indian and Native American Program, other offices within ETA, the DOL Office of the Inspector General, and any other available information about an applicants' financial capability, operational capability, and responsibility in order to make funding determinations that are most advantageous to the government.

The Grant Officer need not designate an entity for every geographic area (see 20 CFR 668.294). If no entities submit an NOI for a geographic service area currently served by a grantee that did not receive a waiver, or if only the incumbent grantee who is not eligible for a waiver submits an NOI for the geographic service area, or if a grantee eligible for a waiver failed to submit a timely and acceptable letter and/or SF-424 for its service area or does not meet the pre-award requirements in Section I.C., the Grant Officer, in consultation with the Division of Indian and Native American Program (DINAP) and consistent with 20 CFR 668.210, 668.280, and 668.294, will make a decision about whether to continue funding to the current grantee, or to designate the service area to another WIA Section 166 grantee that is willing to serve the area, or to transfer funding into the funds currently available for obligation so that it can be distributed among all WIA Section 166 grantees. If only one new entity submits an NOI for the geographic service area in competition, and the current grantee does not submit an NOI, the procedures in this paragraph will be followed, except that a decision will be made about whether to award the grant to the new applicant.

The government may elect to award the grant(s) with or without discussions with the applicant. Should a grant be awarded without discussions, the award will be based on the applicant's signature on the SF-424, including electronic signature via E-Authentication on

<http://www.grants.gov>, which constitutes a binding offer by the applicant.

C. Anticipated Announcement and Award Dates for All Applicants:

The Department anticipates that designation decisions will be made by on or about April 1, 2012.

VI. Award Administration Information

A. Award Notices:

All award notifications will be posted on the ETA Homepage (<http://www.doleta.gov>). Applicants selected for award will be contacted directly before the grant's execution. Non-selected applicants will be notified by mail or email and may request a written debriefing on the significant weaknesses of their proposal. The Grant Officer will notify the applicants of designation results as follows:

1. Designation Letter. The designation letter signed by the Grant Officer will serve as official notice of an organization's designation. The designation letter will include the geographic service area for which the designation is made. Upon receipt of the designation letter, designated entities must ensure and provide evidence to DOL that a system is in place to afford all members of the eligible population within their service area an equitable opportunity to receive employment and training activities and services. See 29 CFR 668.260(b). As noted in Section I.D, all designated entities will be required to submit a two-year Comprehensive Service Program (CSP) Plan, following instructions to be provided by the Department.
2. Nondesignation Letter. Any organization not designated, in whole or in part, for a requested geographic service area will be notified formally, in writing, of the nondesignation and provided the reasons for the determination. Notification by a person or entity other than the Grant Officer that an organization has been designated

is not valid.

3. Conditional Designation Letter. Conditional designations will include identification of the geographic service area, the nature of the conditions, actions required for the designee to achieve full designation status, and the timeframe in which such actions must be accomplished.
4. Appeal rights. An applicant for WIA Section 166 designation that is not awarded such designation, in whole or in part, may be afforded the opportunity to appeal its nondesignation, as provided at 20 CFR 668.270 and 20 CFR part 667, subpart H. Information about termination of designation can be found at 20 CFR 668.290.
5. Plan Required. Selection of an organization as a grantee does not constitute approval of the grant application as submitted. As noted above, all designated entities will be required to submit a two-year Comprehensive Service Program (CSP) Plan, following instructions to be provided by the Department. Once the plan is approved, a grant modification will be executed, finalizing the award.

B. Administrative and National Policy Requirements:

1. Administrative Program Requirements

All grantees will be subject to all applicable Federal laws, regulations, and the applicable OMB Circulars. The grant(s) awarded under this SGA will be subject to the following administrative standards and provisions:

- i. Non-Profit Organizations – OMB Circular A–122 (Cost Principles), relocated to 2 CFR Part 230, and 29 CFR Part 95 (Administrative Requirements)
- ii. Educational Institutions – OMB Circular A–21 (Cost Principles), relocated to 2 CFR Part 220, and 29 CFR Part 95 (Administrative Requirements).
- iii. State, Local and Indian tribal Governments – OMB Circular A–87 (Cost Principles),

relocated to 2 CFR Part 225, and 29 CFR Part 97 (Administrative Requirements).

iv. Profit Making Commercial Firms – Federal Acquisition Regulation (FAR) – 48 CFR part 31 (Cost Principles), and 29 CFR Part 95 (Administrative Requirements).

v. All Grant Recipients must comply with the applicable provisions of The Workforce Investment Act of 1998, Public Law No. 105-220, 112 Stat. 936 (codified as amended at 29 U.S.C. 2801 et seq.) and the applicable provisions of the regulations at 20 CFR 660 et seq. Note that 20 CFR part 667 (General Fiscal and Administrative Rules) includes unsuccessful applicant appeal information.

vi. All entities must comply with 29 CFR Part 93 (New Restrictions on Lobbying), 29 CFR Part 94 (Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)), 29 CFR 95.13 and Part 98 (Governmentwide Debarment and Suspension, and drug-free workplace requirements), and, where applicable, 29 CFR Part 96 (Audit Requirements for Grants, Contracts, and Other Agreements) and 29 CFR Part 99 (Audits of States, Local Governments and Non-Profit Organizations).

vii. 29 CFR Part 2, subpart D—Equal Treatment in Department of Labor Programs for Religious Organizations, Protection of Religious Liberty of Department of Labor Social Service Providers and Beneficiaries.

viii. 29 CFR Part 31—Nondiscrimination in Federally Assisted Programs of the Department of Labor—Effectuation of Title VI of the Civil Rights Act of 1964.

ix. 29 CFR Part 32—Nondiscrimination on the Basis of Handicap in Programs or Activities Receiving Federal Financial Assistance.

x. 29 CFR Part 35— Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance from the Department of Labor.

xi. 29 CFR Part 36—Nondiscrimination on the Basis of Sex in Education Programs or

Activities Receiving Federal Financial Assistance.

xii. 29 CFR Part 37 – Implementation of the Nondiscrimination and Equal Opportunity Provisions of the Workforce Investment Act of 1998.

xiii. 29 CFR Parts 29 and 30—Labor Standards for the Registration of Apprenticeship Programs, and Equal Employment Opportunity in Apprenticeship and Training, as applicable.

2. Other Legal Requirements:

i. Religious Activities

The Department notes that the Religious Freedom Restoration Act (RFRA), 42 U.S.C. Section 2000bb, applies to all Federal law and its implementation. If your organization is a faith-based organization that makes hiring decisions on the basis of religious belief, it may be entitled to receive Federal financial assistance under Title I of the Workforce Investment Act and maintain that hiring practice even though Section 188 of the Workforce Investment Act contains a general ban on religious discrimination in employment. If you are awarded a grant, you will be provided with information on how to request such an exemption.

ii. Lobbying or Fundraising the U.S. Government with Federal Funds

In accordance with Section 18 of the Lobbying Disclosure Act of 1995 (Public Law 104-65) (2 U.S.C. 1611), non-profit entities incorporated under Internal Revenue Service Code Section 501(c) (4) that engage in lobbying activities are not eligible to receive Federal funds and grants. No activity, including awareness-raising and advocacy activities, may include fundraising for, or lobbying of, U.S. Federal, State or Local Governments (see OMB Circular A-122).

iii. Transparency Act Requirements

Applicants must ensure that they have the necessary processes and systems in place to comply with the reporting requirements of the Federal Funding Accountability and Transparency

Act of 2006 (Pub. Law 109-282, as amended by section 6202 of Pub. Law 110-252)

(Transparency Act), as follows:

- All applicants, except for those excepted from the Transparency Act under subparagraphs 1, 2, and 3 below, must ensure that they have the necessary processes and systems in place to comply with the subaward and executive total compensation reporting requirements of the Transparency Act, should they receive funding.
- Upon award, applicants will receive detailed information on the reporting requirements of the Transparency Act, as described in 2 CFR Part 170, Appendix A, which can be found at the following website: <http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf>

The following types of awards are not subject to the Federal Funding Accountability and Transparency Act:

- (1) Federal awards to individuals who apply for or receive Federal awards as natural persons (i.e., unrelated to any business or non-profit organization he or she may own or operate in his or her name);
- (2) Federal awards to entities that had a gross income, from all sources, of less than \$300,000 in the entities' previous tax year; and
- (3) Federal awards, if the required reporting would disclose classified information.

iv. Safeguarding Data Including Personally Identifiable Information (PII)

Applicant's submitting proposals in response to this SGA must recognize that confidentiality of PII and other sensitive data is of paramount importance to the Department of Labor and must be observed except where disclosure is allowed by the prior written approval of the Grant Officer or by court order. By submitting a proposal, Grantees are assuring that all data exchanges conducted through or during the course of performance of this grant will be conducted

in a manner consistent with applicable Federal law. All such activity conducted by ETA and/or Grantee/s will be performed in a manner consistent with applicable state and Federal laws.

By submitting a grant proposal, the applicant agrees to take all necessary steps to protect such confidentiality by complying with the following provisions that are applicable in governing their handling of confidential information:

1. Grantees shall not extract information from data supplied by DOL/ETA for any purpose not stated in the SGA.
2. Grantees shall retain data received from DOL/ETA only for the period of time required to utilize it for assessment and other purposes, or to satisfy applicable federal records retention requirements, if any. Thereafter, the Grantee agrees that all data will be destroyed, including the degaussing of magnetic tape files and permanent deletion of electronic data.
3. Grantees shall ensure that any information used during the performance of this Grant has been obtained and is being transmitted in conformity with applicable Federal and state laws governing the protection of PII and the confidentiality of information. Information transmitted to DOL/ETA containing sensitive information including personally identifiable information (PII) must be encrypted using National Institute of Standards and Technology (NIST) Federal Information Processing Standards (FIPS) 140-2 validated products. The encrypted information must be encrypted in a form that would allow the receiver of the information to decrypt the information without installing additional software or tools.
4. Access to any information created by DOL/ETA shall be restricted to only those employees of the Grant recipient who need it in their official capacity to perform duties in connection with the Scope of Work outlined in this SGA.

5. Grantee employees and other personnel who will have access to sensitive/confidential/proprietary/private data and PII shall be advised of the confidential nature of the information, the safeguards required to protect the information, and the civil and criminal sanctions for noncompliance with such safeguards that are contained in Federal and state laws.
6. Prior to being able to have access to PII and other confidential data, Grantee employees and other personnel shall execute a standard document acknowledging their understanding of the confidential nature of the data and the safeguards with which they must comply in their handling of such data as well as the fact that they may be liable to civil and criminal sanctions for improper disclosure.
7. Grantees further acknowledge that all data obtained through DOL/ETA shall be stored in an area that is physically safe from access by unauthorized persons at all times and the data will be processed using grantee issued equipment, managed information technology (IT) services, and designated locations approved by DOL/ETA. Accessing, processing, and storing of DOL/ETA data on personally owned equipment, at off-site locations e.g. employee's home, and non-Grantee managed IT services e.g. yahoo mail, is strictly prohibited unless approved by DOL/ETA.
8. All PII and other data shall be processed in a manner that will protect the confidentiality of the records/documents and is designed to prevent unauthorized persons from retrieving such records by computer, remote terminal or any other means. Data may be downloaded to, or maintained on, mobile or portable devices only if the data are encrypted using NIST FIPS 140-2 validated products. In addition, wage data may only be accessed from secure locations.

9. Data obtained by the Grantee through a request shall not be disclosed to third parties except as permitted by the Grant Officer.
10. Grantees shall permit ETA to make onsite inspections during regular business hours for the purpose of conducting audits and/or to conduct other investigations to assure that the Grantee is complying with the confidentiality requirements described above. In accordance with this responsibility, Grantees shall make records applicable to this Agreement available to authorized persons for the purpose of inspection, review, and/or audit.
11. Grantees shall take the steps necessary to ensure the privacy of all PII obtained from participants and/or other individuals and to protect such information from disclosure to unauthorized individuals. Grantees shall maintain such PII in accordance with the DOL/ETA standards for information security provided herein, including any updates to such standards provided to the Grantee by DOL/ETA. Grantees shall report immediately to the DOL ETA Information Security Officer (ISO) any suspected or confirmed breaches or compromise of PII obtained from participants and/or other individuals.

3. Other Administrative Standards and Provisions

Except as specifically provided in this SGA, the DOL /ETA's acceptance of a proposal and an award of Federal funds to sponsor any programs(s) does not provide a waiver of any grant requirements and/or procedures. For example, the OMB Circulars require that an entity's procurement procedures must ensure that all procurement transactions are conducted, as much as practical, to provide open and free competition. If a proposal identifies a specific entity to provide services, the DOL award does not provide the justification or basis to sole source the

procurement, i.e., avoid competition, unless the activity is regarded as the primary work of an official partner to the application.

C. Reporting:

Grantees must agree to meet Department reporting requirements. Quarterly financial reports, quarterly progress reports, and MIS data must be submitted by the grantee electronically.

The grantee is required to provide the reports and documents listed below:

1. Quarterly Financial Reports

A Quarterly Financial Status Report (ETA 9130) is required until such time as all funds have been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each calendar year quarter. Grantees must use the Department's Online Electronic Reporting System and information and instructions will be provided to grantees.

2. Quarterly Performance Reports

The grantee must submit a quarterly progress report within 45 days after the end of each calendar year quarter. The report must include quarterly information regarding grant activities. The last quarterly progress report that grantees submit will serve as the grant's Final Performance Report. This report should provide both quarterly and cumulative information on the grant activities. It must summarize project activities, employment outcomes and other deliverables, and related results of the project, and should thoroughly document the training or labor market information approaches used by the grantee. The Department will provide grantees with formal guidance about the data and other information that is required to be collected and reported on either a regular basis or special request basis. Grantees must agree to meet the Department reporting requirements.

3. Record Retention

Applicants must be prepared to follow Federal guidelines on record retention, which require grantees to maintain all records pertaining to grant activities for a period of not less than three years from the time of final grant close-out.

VII. Agency Contacts

For further information about this SGA, please contact Ms. Gwendolyn Baron-Simms, Grants Management Specialist, Division of Federal Assistance, at (202) 693-3309. Applicants should e-mail all technical questions to baron-simms.gwendolyn@dol.gov and must specifically reference SGA-DFA-PY-11-07, and along with question(s), include a contact name, fax and phone number. This announcement is being made available on the ETA Web site at <http://www.doleta.gov/grants> and at <http://www.grants.gov>.

VIII. Additional Resources of Interest to Applicants

A. Web-Based Resources:

DOL maintains a number of web-based resources that may be of assistance to applicants. For example, the Career-One-Stop portal (<http://www.careeronestop.org>), which provides national and state career information on occupations; the Occupational Information Network (O*NET) Online (<http://online.onetcenter.org>) which provides occupational competency profiles; and America's Service Locator (<http://www.servicelocator.org>), which provides a directory of our nation's One-Stop Career Centers.

B. Industry Competency Models and Career Clusters:

ETA supports an Industry Competency Model Initiative to promote an understanding of the skill sets and competencies that are essential to an educated and skilled workforce. A competency model is a collection of competencies that, taken together, define successful performance in a particular work setting. Competency models serve as a starting point for the design and implementation of workforce and talent development programs. To learn about the

industry-validated models visit the Competency Model Clearinghouse (CMC) at <http://www.careeronestop.org/CompetencyModel>. The CMC site also provides tools to build or customize industry models, as well as tools to build career ladders and career lattices for specific regional economies.

Career Clusters and Industry Competency Models both identify foundational and technical competencies, but their efforts are not duplicative. The Career Clusters link to specific career pathways in sixteen career cluster areas and place greater emphasis on elements needed for curriculum performance objectives; measurement criteria; scope and sequence of courses in a program of study; and development of assessments. Information about the sixteen career cluster areas can be found by accessing: www.careerclusters.org.

C. Workforce3One Resources:

1. ETA encourages applicants to view the information gathered through the conference calls with Federal agency partners, industry stakeholders, educators, and local practitioners. The information on resources identified can be found on Workforce3One.org at:

<http://www.workforce3one.org/view/2001008333909172195/info>.

2. ETA encourages applicants to view the online tutorial, “Grant Applications 101: A Plain English Guide to ETA Competitive Grants,” available through Workforce3One at:

http://www.workforce3one.org/page/grants_toolkit.

IX. Other Information

OMB Information Collection No 1225-0086, Expires November 30, 2012.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 20 hours per response, including time for reviewing instructions, searching existing data sources, gathering and

maintaining the data needed, and completing and reviewing the collection of information. Send comments about the burden estimated or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, to the attention of the Departmental Clearance Officer, 200 Constitution Avenue NW, Room N1301, Washington, DC 20210. Comments may also be emailed to DOL_PRA_PUBLIC@dol.gov.

PLEASE DO NOT RETURN THE COMPLETED APPLICATION TO THIS ADDRESS.

SEND IT TO THE SPONSORING AGENCY AS SPECIFIED IN THIS SOLICITATION.

This information is being collected for the purpose of awarding a grant. The information collected through this “Solicitation for Grant Applications” will be used by the Department of Labor to ensure that grants are awarded to the applicant best suited to perform the functions of the grant. Submission of this information is required in order for the applicant to be considered for award of this grant.

Signed _____, in Washington, D.C. by:

B. Jai Johnson

Grant Officer, Employment and Training Administration

Attachment A. -- Current Grantees Eligible to Receive a Waiver

**U. S. Department of Labor
Employment and Training Administration
WIA Title I Sec. 166 Comprehensive Services and Supplemental Youth
Services
for Native Americans
PY 2012 Allotments**

Grant Type	State	Grantee	Total	
		Appropriation Total	47,561,938	\$12,365,295
		TAT	475,619	
		Available for Adult Competition	1,418,542	
		Available for Youth Competition		8,138
	AL	Area of Competition I	249,890	
	AL	Poarch Band of Creek Indians	80,113	4,548
	AK	Aleutian/Pribilof Islands Association	24,276	10,771
	AK	Association of Village Council Presidents	348,320	147,440
477	AK	Bristol Bay Native Association	100,353	43,083
477	AK	Central Council of Tlingit and Haida	164,010	61,034
477	AK	Chugachmiut	23,653	5,266
477	AK	Cook Inlet Tribal Council	375,561	131,163
477	AK	Copper River Native Association	15,892	8,377
477	AK	Kawerak Incorporated	130,643	53,854
477	AK	Kenaitze Indian Tribe	37,536	20,344
477	AK	Kodiak Area Native Association	24,668	8,377
	AK	Maniilaq Association Inc.	97,850	46,673
477	AK	Metlakatla Indian Community	15,824	4,787
477	AK	Orutsararmuit Native Council	44,824	14,361
477	AK	Tanana Chiefs Conference, Inc.	245,945	101,724
477	AZ	American Indian Association of Tucson	293,350	0
477	AZ	Colorado River Indian Tribes	53,712	28,722
	AZ	Gila River Indian Community	442,776	189,086
	AZ	Hopi Tribal Council	188,559	105,314
	AZ	Hualapai Tribe	28,253	17,952
	AZ	Inter Tribal Council of Arizona, Inc.	69,176	34,227
	AZ	Native Americans for Community Action	171,465	0
			5,288,334	
	AZ	Navajo Nation	4	2,745,345
	AZ	Pasqua Yaqui Tribe	87,179	49,067

			1,066,20	
	AZ	Phoenix Indian Center, Inc.	4	0
	AZ	Quechan Indian Tribe	29,148	15,558
	AZ	Salt River Pima-Maricopa Indian Council	73,442	46,673
	AZ	San Carlos Apache Tribe	334,382	198,661
	AZ	Tohono O'Odham Nation	321,557	199,857
	AZ	White Mountain Apache Tribe	393,888	238,153
477	AR	American Indian Center of Arkansas, Inc.	276,474	0
			2,890,23	
	CA	California Indian Manpower Consortium, Inc.	7	99,569
	CA	Candelaria American Indian Council	278,276	0
	CA	Indian Human Resources Center, Inc.	291,676	0
	CA	Northern CA Indian Development Council, Inc.	259,720	55,290
			1,425,05	
	CA	Southern CA Indian Center, Inc.	7	0
	CA	Tule River Tribal Council	106,352	7,181
	CA	United Indian Nations, Inc.	382,767	0
	CA	Ya-Ka-Ama Indian Education & Development	54,319	0
	CO	Denver Indian Center	539,187	0
	CO	Southern Ute Indian Tribe	32,489	9,573
	CO	Ute Mountain Ute Indian Tribe	74,874	20,344
	FL	Florida Governor's Council on Indian Affairs	990,726	0
	FL	Miccosukee Corporation	103,160	5,027
			1,141,90	
	HI	Alu Like, Inc.	3	1,673,057
	ID	Nez Perce Tribe	59,493	13,882
	ID	Shoshone-Bannock Tribes	154,543	51,460
477	IN	American Indian Center of Indiana, Inc.	204,002	0
477	KS	United Tribes of Kansas and S.E. Nebraska	173,055	9,335
	LA	Inter-Tribal Council of Louisiana, Inc.	419,566	3,590
	ME	Penobscot Nation	162,586	22,498
	MA	Mashpee-Wampahoag Indian Tribal Council, Inc.	50,030	0
	MA	North American Indian Center of Boston, Inc.	181,128	0
	MI	Grand Traverse Band of Ottawa & Chippewa Indians	26,735	0
	MI	Inter-Tribal Council of Michigan, Inc.	58,237	26,329
477	MI	MI Indian Employment and Training Services, I	398,148	0

	MI	North American Indian Association of Detroit	118,742	0
	MI	Potawatomi Indian Nation	51,714	0
	MI	Sault Ste. Marie Tribe of Chippewa Indians	142,303	17,473
	MI	Southeastern Michigan Indians. Inc.	63,528	0
	MN	American Indian OIC	221,939	0
	MN	Bois Forte R.B.C.	16,968	8,138
	MN	Fond Du Lac R.B.C.	165,559	16,275
	MN	Leech Lake R.B.C.	127,694	47,631
	MN	Mille Lacs Band of Chippewa Indians	45,968	21,302
	MN	Minneapolis American Indian Center	294,591	0
477	MN	Red Lake Tribal Council	185,046	75,396
	MN	White Earth R.B.C.	100,475	49,067
477	MS	Mississippi Band of Choctaw Indians	250,272	60,794
477	MO	American Indian Council	615,286	8,377
	MT	Assiniboine & Sioux Tribes	227,722	123,265
	MT	B.C. of the Chippewa Cree Tribe	117,702	34,706
477	MT	Blackfeet Tribal Business Council	239,729	113,692
	MT	Confederated Salish & Kootenai Tribes	235,617	124,462
477	MT	Crow Indian Tribe	137,196	78,746
477	MT	Fort Belknap Indian Community	101,761	45,477
	MT	Montana United Indian Association	286,033	0
477	MT	Northern Cheyenne Tribe	178,335	88,559
	NE	Indian Center, Inc.	235,918	0
	NE	Omaha Tribe of Nebraska	66,078	41,886
	NE	Winnebago Tribe	37,334	19,148
	NV	Inter-Tribal Council of Nevada	236,027	43,801
477	NV	Las Vegas Indian Center, Inc.	159,298	0
	NV	Reno Sparks Indian Colony	14,168	8,377
	NV	Shoshone-Paiute Tribes	101,790	13,164
477	NM	Alamo Navajo School Board	74,320	44,280
477	NM	Eight Northern Indian Pueblo Council	34,133	12,446
	NM	Five Sandoval Indian Pueblos, Inc.	127,744	83,533
	NM	Jicarilla Apache Tribe	51,502	25,132
	NM	Mescalero Apache Tribe	73,094	55,050

			1,334,75	
	NM	National Indian Youth Council	4	0
	NM	Ohkay Owingeh	22,238	11,967
	NM	Pueblo of Acoma	113,550	27,525
477	NM	Pueblo of Isleta	33,274	10,531
	NM	Pueblo of Laguna	72,729	33,509
	NM	Pueblo of Taos	33,953	16,754
477	NM	Pueblo of Zuni	237,725	116,084
477	NM	Ramah Navajo School Board, Inc.	75,128	27,525
477	NM	Santa Clara Indian Pueblo	27,053	11,967
	NM	Santo Domingo Tribe	83,533	40,690
	NY	Area of Competition III	959,734	8,138
	NY	Native Am. Comm. Services of Erie & Niagara Co	132,795	0
	NY	Native American Cultural Center, Inc.	173,320	2,873
	NY	Seneca Nation of Indians	198,422	28,722
	NY	St. Regis Mohawk Tribe	115,983	20,344
477	NC	Cumberland County Association for Indian People	54,213	0
	NC	Area of Competition IV	138,493	0
	NC	Eastern Band of Cherokee Indians-Reservation Only	97,160	51,460
	NC	Guilford Native American Association	65,342	0
	NC	Haliwa-Saponi Tribe, Inc.	50,905	0
	NC	Lumbee Regional Development Association	855,806	0
	NC	North Carolina Commission of Indian Affairs	247,991	0
	ND	Spirit Lake Sioux Tribe	130,237	67,017
	ND	Standing Rock Sioux Tribe	188,543	111,298
477	ND	Three Affiliated Tribes - Ft. Berthold Reservation	157,476	50,263
	ND	Turtle Mountain Band of Chippewa Indians	256,715	114,888
477	ND	United Tribes Technical College	200,931	0
	OH	North America Indian Cultural Centers	440,315	0
	OK	Absentee Shawnee Tribe of Oklahoma	19,533	11,728
	OK	Cherokee Nation of Oklahoma	1,131,28	4
	OK	Cheyenne-Arapaho Tribes	135,466	93,346
477	OK	Chickasaw Nation of Oklahoma	309,669	181,667
	OK	Choctaw Nation of Oklahoma	497,393	260,891

477	OK	Citizen Band Potawatomi Indians of Oklahoma	277,717	196,028
477	OK	Comanche Tribe of Oklahoma	132,295	64,625
477	OK	Creek Nation of Oklahoma	622,124	318,335
	OK	Four Tribes Consortium of Oklahoma	83,530	60,794
477	OK	Inter-Tribal Council of N.E. Oklahoma	64,129	24,175
	OK	Kiowa Tribe of Oklahoma	110,004	80,661
	OK	Osage Tribal Council	83,948	46,673
	OK	OTOE-Missouria Tribe of Oklahoma	28,336	15,079
477	OK	Pawnee Tribe of Oklahoma	26,994	13,164
	OK	Ponca Tribe of Oklahoma	70,758	50,024
477	OK	Seminole Nation of Oklahoma	86,317	64,625
	OK	Tonkawa Tribe of Oklahoma	54,007	25,132
	OK	United Urban Indian Council, Inc.	315,117	178,316
	OK	Wyandotte Tribe of Oklahoma	94,268	0
	OR	Confed. Tribes of Siletz Indians of Orego	378,770	958
	OR	Confed. Tribes of the Umatilla Indian Res	23,630	13,164
477	OR	Confederated Tribes of Warm Springs	110,871	37,100
477	OR	Organization of Forgotten Americans	256,807	5,505
	PA	Council of Three Rivers	810,301	0
	RI	Rhode Island Indian Council	548,284	0
	SC	South Carolina Indian Development Council, Inc.	212,785	2,394
	SD	Cheyenne River Sioux Tribe	194,393	126,855
	SD	Lower Brule Sioux Tribe	48,881	17,952
477	SD	Oglala Sioux Tribe	576,001	372,189
	SD	Rosebud Sioux Tribe	424,075	219,005
	SD	Sisseton-Wahpeton Sioux Tribe	108,174	52,657
477	SD	United Sioux Tribe Development Corp.	491,052	11,967
477	SD	Yankton Sioux Tribe	93,956	47,870
	TN	Native American Indian Association, Inc.	201,050	0
	TX	Alabama-Coushatta Indian Tribal Council	763,499	958
	TX	Dallas Inter-Tribal Center	336,834	0
	TX	Ysleta del Sur Pueblo	565,303	15,558
	UT	Indian Training & Education Center	309,645	5,266
	UT	Ute Indian Tribe	102,971	53,854

	VT	Area of Competition II	70,425	0
	VA	Mattaponi Pamunkey Monacan Consortium	218,757	0
	W			
	A	American Indian Community Center	351,173	16,275
	W			
	A	Colville Confederated Tribes	159,161	44,280
	W			
	A	Confederated Tribes & Bands of the Yakama Nation	175,925	97,894
	W			
477	A	Lummi Indian Business Council	97,241	23,935
	W			
	A	Makah Tribal Council	29,794	11,967
	W			
	A	Puyallup Tribe of Indians	113,818	12,925
	W			
477	A	United Indians for All Tribes Foundation	248,607	0
	W			
	A	Spokane Reservation	34,848	21,542
	W			
	A	The Tulalip Tribes	38,603	19,148
	W			
477	A	Western WA Indian Empl. and Trng Pgm.	549,154	70,130
477	WI	Ho-Chunk Nation	152,785	4,308
	WI	Lac Courte Oreilles Tribal Governing Board	74,190	29,919
477	WI	Lac Du Flambeau Band of Lake Superior Chippewa	36,754	10,771
	WI	Menominee Indian Tribe of Wisconsin	85,786	44,280
	WI	Oneida Tribe of Indians of WI, Inc.	143,344	14,361
477	WI	Spotted Eagle, Inc.	189,407	0
	WI	Stockbridge-Munsee Community	48,416	3,350
	WI	Wisconsin Indian Consortium	74,320	23,696
477	WY	Eastern Shoshone Tribe	112,658	32,073
	WY	Northern Arapaho Business Council	191,555	72,044

**Attachment B.—Geographic Service Areas
and their Respective Counties Open for Competition**

Area I

		Unemployed		Poverty		Youth
State: Alabama						
Autauga County	0	20	60
Barbour County	0	40	10
Bibb County	0	4	0
Blount County	15	35	15
Bullock County	0	0	0
Butler County	0	0	4
Calhoun County	4	140	80
Chambers County	4	40	0
Cherokee County	10	30	15
Chilton County	4	60	10
Choctaw County	0	4	0
Clarke County	0	0	0
Clay County	0	0	15
Cleburne County	0	10	0
Coffee County	15	70	40
Colbert County	4	10	4
Conecuh County	0	4	0
Coosa County	0	4	10
Covington County	25	65	25
Crenshaw County	0	4	4

Cullman County	4	35	60
Dale County	10	45	10
Dallas County	4	15	15
DeKalb County	15	100	80
Elmore County	15	30	30
Etowah County	4	100	65
Fayette County	0	4	4
Franklin County	4	25	30
Geneva County	15	70	4
Greene County	0	0	0
Hale County	0	0	0
Henry County	0	4	0
Houston County	25	80	45
Jackson County	20	70	170
Jefferson County	65	230	125
Lamar County	4	15	0
Lauderdale County	10	75	10
Lawrence County	55	280	405
Lee County	20	35	90
Limestone County	0	50	10
Lowndes County	0	4	0
Macon County	0	0	0
Madison County	70	230	545
Marengo County	4	10	15
Marion County	4	15	20
Marshall County	25	160	50
Montgomery County	45	135	90
Morgan County	35	105	105
Perry County	0	4	0
Pickens County	4	4	4
Pike County	20	20	55
Randolph County	0	15	0

Russell County	10	85	45
Shelby County	35	80	145
St. Clair County	4	45	15
Sumter County	0	4	4
Talladega County	4	65	30
Tallapoosa County	0	15	10
Tuscaloosa County	20	85	125
Walker County	10	30	35
Washington County	65	255	175
Wilcox County	4	4	10
Winston County	20	15	40
*Native Hawaiian	20	30	0

PY 2012 Adult Funding Estimate: \$249,890

No Youth Funding

Area II

	Unemployed	Poverty	Youth
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State: New Hampshire and Vermont

Belknap County	10	4	15
Carroll County	0	30	20
Cheshire County	4	10	15
Coos County	4	15	4
Grafton County	10	25	115
Hillsborough County	25	140	165
Merrimack County	0	15	35
Rockingham County	20	45	65
Strafford County	0	25	25

Sullivan County	15	25	10
Addison County	0	0	4
Bennington County	10	30	4
Caledonia County	4	25	20
Chittenden County	35	95	70
Essex County	0	15	4
Franklin County	30	120	75
Grand Isle County	0	20	15
Lamoille County	4	4	15
Orange County	4	30	4
Orleans County	10	40	15
Rutland County	20	70	65
Washington County	0	80	35
Windham County	4	15	35
Windsor County	15	30	30
*Native Hawaiian	11	12	0

PY 2012 Adult Funding Estimate: \$70,425.00

No Youth Funding

Area III

Unemployed	Poverty	Youth

State: New York

Bronx County	675	4,135	595
Kings County	485	2,765	340
Nassau County	55	205	4
New York County	450	2,245	245

Putnam County	0	15	10
Queens County	575	1,750	165
Richmond County	50	95	10
Rockland County	4	90	10
Suffolk County						
Non-Reservation	180				
.....	375	60			
Poospatuck (state Res)	4	80	4
Shinnecock (state Res)	20	235	30
Westchester County	65	730	90
*Native Hawaiian	104	234	0

PY 2012 Adult Funding Estimate: \$959,734.00

PY 2012 Youth Funding Estimate: \$8,138.00

Area IV

Unemployed	Poverty	Youth

State: Georgia and North Carolina

Buncombe County	4	110	115
Cherokee County						
Cabarrus County	0	20	60
Catawba County	0	40	10
Clay County	0	0	0
Cleveland County	0	4	0
Gaston County	15	35	15
Graham County						
Habersham County	0	4	4
Haywood County	10	105	10

Henderson County	10	70	10
Iredell County	0	0	0
Jackson County						
Non-Reservation	35	175	15
Lincoln County	0	0	4
Macon County	0	0	0
McDowell County	4	15	4
Mecklenburg County	4	140	80
Non-Reservation	10	30	4
*Native Hawaiian	2	4	0
*Native Hawaiian	2	4	0
Non-Reservation	10	30	0
Non-Reservation	4	15	4
Rabun County	0	4	0
Rowan County	4	40	0
Rutherford County	0	25	0
Stanly County	10	30	15
Swain County						
Towns County	0	4	0
Union County	0	0	0
Union County	4	60	10

PY 2012 Adult Funding Estimate: \$138,679.00

No Youth Funding

Total Areas open for Competition: 4