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Core Clinical Characteristics (Baseline Assessment Form)

Child ID Number: 00000 - 000 - 00000 Child's Initials: 00000 First Middle Last

SYSTEM SCREENING INFORMATION

| Complete the following. | | |
|-------------------------|---|--|
| 1. | Child's initials $\bigcap_{\text{First Middle Last}}$ (Enter a dash if no middle initial) | |
| 2. | Child's date of birth: Open / | |
| 3. | Child's gender: 1 = Male 2 = Female | |
| 4. | Has this child been seen at another NCTSN network center(s) for a previous episode(s) of care? $0 = No$ $1 = Yes$ | |
| | If Yes: Was this child enrolled in the NCTSN's Core Data Set? 0 = No 1 = Yes | |
| If Y | Yes: STOP and e-mail NICON helpdesk (<u>NICONhelp@icfi.com</u>) for further instructions! | |
| 5. | Has this child been seen at this center for a previous episode(s) of care? 0 = No 1 = Yes | |
| | If Yes: Was this child already enrolled in the NCTSN's Core Data Set? | |

0 = No
1 = Yes
If Yes: Was this child already enrolled in the NCTSN's Core Data Set
0 = No. Click Submit to continue Enrollment
1 = Yes
If Yes: STOP, do not proceed with enrollment.

If Yes: GO to the Follow-up Assessment and create a Follow up Visit record.

This form is part of the Core Data Set designed for the National Child Traumatic Stress Initiative funded by SAMHSA based on NCTSN- Baseline- CRF Version 5.0 20080206.

ID Subcenter ID

Child ID

Core Clinical Characteristics (Baseline Assessment Form)

| B | ASELINE VISIT AND DEMOGRAPHIC INFORMATION |
|----|---|
| Co | mplete the following. |
| 1. | Date of visit: On / On / On On On Year |
| Ва | seline Visit Information |
| 2. | Is this the child's first visit at this center for the current episode of care? 0 = No If No: How many visits (including today's visit) has the child had at your center for the current episode of care? Number of visits 1 = Yes |
| 3. | From whom are you collecting information for this form? (Check all that apply) Parent Other adult relative Foster parent Agency staff Child/adolescent/self Other, please specify: |
| 4. | Who is currently the legal guardian for this child? (Select only one) 1 = Parent 2 = Other adult relative 3 = State 4 = Emancipated minor (self) 98 = Other, please specify: |
| De | mographic Information |
| 5. | Child's ethnicity: (Select only one) 1 = Hispanic or Latino 2 = Not Hispanic or Latino 99 = Unknown |
| 6. | Child's race (If multiracial, check all that apply) American Indian or Alaska Native Asian Black/African American Native Hawaiian or other Pacific Islander White Unknown |
| 7. | Was the child born in the United States? 0 = No If No: In what country was the child born? If No: Please complete the Refugee and Immigrant Families Supplement 1 = Yes 99 = Unknown |

| BASELINE VISIT AND DEMOGRAPHIC INFORMATION (CONTINUED) | |
|---|----------------|
| 8. Does the child have one or more siblings enrolled in the Core Data Set? 0 = No 1 = Yes If Yes: How many? If Yes: Please enter the ID numbers for the child's sibling(s) 99 = Unknown | |
| 9. Has anyone in the child's family been in the military since 2001? 0 = No 1 = Yes If Yes: Please complete the Military Families Supplement 99 = Unknown 10. Please indicate health care and early intervention providers currently caring for this child (Checker) | <mark>k</mark> |
| all that apply) Pediatrician Other medical doctor Nurse practitioner Nurse Early interventionist (i.e. physical, speech, or occupational therapist) Other, please specify: | |
| 11. Please indicate whether the child has any chronic or recurrent conditions that affect the child's ability to function. This may include asthma, diabetes, mental retardation, cerebral palsy, or feta alcohol syndrome. 0 = Child does not have any medical problems or physical disabilities 1 = Child has medical problems or physical disabilities; however, they are managed well and do not interfere with the child's functioning | |

- 2 = Child's medical problems or physical disabilities cause stress to the child and/or family and interfere with functioning.
- 3 = Child's medical problems or physical difficulties are a significant source of distress to the child and/or family. Family spends significant time addressing child's problem, and the problem interferes with the family's ability to engage in activities due to the child's needs **If 1-3 selected:** Please indicate the medical condition:

99 = Unknown

12. Please provide an identifier for the health care provider at your center currently caring for this child. ___

| Rı | FUGEE AND IMMIGRANT FAMILIES SUPPLEMENT |
|-----------------|--|
| | the child was not born in the United States, as indicated by question 7 in the Baseline Visit and mographic Information form above, complete the following questions. |
| 1. | In what month and year did the child <u>first</u> enter the United States? Date of entry: |
| 0 | Unknown |
| 2. | Was the child's mother born in the United States? 0 = No If No: In what country was the child's mother born? |
| | 1 = Yes 99 = Unknown |
| <mark>3.</mark> | Was the child's father born in the United States? 0 = No |
| | If No: In what country was the child' father born? |
| | 1 = Yes 99 = Unknown |
| <u>4.</u> | What is the country of origin of the child's family?Unknown |
| 5. | Has the child ever had refugee or asylee status (meaning US government refugee status or formally going through a legal process to become an asylee because of fear of persecution in their country of origin)? 0 = No 1 = Yes 99 = Unknown |
| 6. | Has the child's <u>parents</u> ever had refugee or asylee status (meaning US government refugee status or formally going through a legal process to become an asylee because of fear of persecution in their country of origin)? 0 = No 1 = Yes 99 = Unknown |
| 7. | Did the child ever live in a refugee or detention camp? 0 = No 1 = Yes If Yes: For how months did the child live in a refugee/detention camp(s)? Months: 99 = Unknown |
| 8. | How well do the child's parent(s)/primary caregiver(s) speak English? (Select only one. Please respond with respect to the parent/caregiver who is most proficient in English.) 1 = Speaks English well 2 = Speaks some English 3 = Speaks little or no English 99 = Unknown |
| <mark>9.</mark> | How well does the child speak English (for a child of his/her age)? (Select only one) 1 = Speaks English well 2 = Speaks some English 3 = Speaks little or no English |

99 = Unknown

| MILITARY FAMILIES SUPPLEMENT | MII ITARY | FAMILIES S | LIPPI EMENT |
|------------------------------|-----------|------------|-------------|
|------------------------------|-----------|------------|-------------|

| If the child has a family member(s) who served in the military since 2001, as indicated by que | stion 9 in the |
|--|----------------|
| Baseline Visit and Demographic Information form above, complete the following questions. | |

| 3a | seline Visit and Demographic Information form above, complete the following questions. |
|----------------|---|
| | Has anyone in the child's family served in the military in the last year? |
| | $0 = N_0$ |
| | 1 = Yes |
| | 99 = Unknown |
| | |
| 2. | Has anyone in the child's family been in combat? |
| | <mark>0 = No</mark> |
| | 1 = Yes |
| | 99 = Unknown |
| 3. | What is the relationship of that person (service member) to the child? (Check all that apply) |
| | Mother |
| | Father Father |
| | Brother/Sister |
| | Cousin |
| | Uncle/Aunt |
| | Grandparent |
| | Other, please specify: |
| | |
| \ n | swer the following questions for each family member indicated in question 3 above. |
| 1. | What was the service member's component and branch of services? (Check all that apply) |
| ٠. | |
| | Reserve Reserve |
| | National Guard |
| | Army Army |
| | Air Force |
| | Navy |
| | Marine Corps |
| | Coast Guard |
| ; | Did the service member experience any of the following? (Check all that apply) |
| ,. | |
| | Deployed in support of Combat Operations (e.g., Iraq or Afghanistan) |
| | If Yes: How many times was this person deployed to Combat Operations? |
| | 1 = Once |
| | 2 = Twice |
| | 3 = Three times |
| | 4 = Four times 5 = Five or more times |
| | 99 = Unknown |
| | If Yes: To what degree has the family member's deployment distressed the child? |
| | 1 = Not at all |
| | 2 = Minimally |
| | 3 = Moderately |
| | 4 = Severely |
| | 99 = Unknown |

Core Clinical Characteristics (Baseline Assessment Form)

| Physically injured during Combat Operations |
|--|
| If Yes: Indicate the type of injury(s): (Check all that apply) |
| Amputation |
| Traumatic Brain Injury (TBI)/Blast-Related Concussion |
| Burns |
| Other, please specify: |
| |
| Developed combat stress symptoms/difficulties adjusting following deployment, including PTSD |
| depression, or suicidal thoughts |
| |
| Died or was killed |
| If Yes: What was the nature of the death? |
| 1 = Killed in combat |
| 2 = Accidental death |
| 3 = Medical condition or illness |
| 4 = Suicide |
| 5 = Other, please specify: |
| 99 = Unknown |

BRIEF INTERVENTION SERVICES INFORMATION

Brief Intervention refers to the number of sessions that a child/family may receive. If a child/family is receiving 3–6 sessions, then complete the following.

| 1. | Is this child/family receiving brief intervention services? 0 = No 1 = Yes |
|----|---|
| | If Yes: Please press the Add Entry button and complete the requested information for EACH episode of care where the child/family receives brief intervention services. A new entry is required for each type of treatment and each different set of start/stop dates. |
| 1. | What treatment component(s) is the child/family receiving for this brief episode of care? (Check all that apply) Screening Assessment Case Consultation Case Management Child and Family Traumatic Stress Intervention (CFTSI) Psychological First Aid (PFA) Skills for Psychological Recovery Acute Crisis Response and Management Referral Services Psycho-education Safety Planning Individual Therapy Family Therapy Group Therapy Support Group Other, please specify: |
| 2. | Date this brief episode of care began: Only / Only / Only / Only / Only / Only Year |

NOTE: Answer question 3 after the child/family has completed the selected treatment component(s).

3. Did this child/family complete the treatment component(s) offered during this brief episode of care? 0 = No, left treatment before completing

| If No: Date left treatmen | nt: 🗓 / 🗓 | / 🗓 🗓 🗓 🗓 | |
|---------------------------|--------------|-----------|------|
| | Month | Day | Year |
| = Yes, completed treatmen | t | | |
| If Yes: Date completed | treatment: [| | Day |

Year

Core Clinical Characteristics (Baseline Assessment Form)

NCTSN Breakthrough Series/Learning Collaboratives

Complete the following.

- 1. Is this child/family receiving a treatment from a therapist participating in a breakthrough series or learning collaborative for that treatment?
 - 0 = No
 - 1 = Yes

If Yes: Please press the Add Entry button and complete the requested information for **EACH** treatment the child/family is receiving through a breakthrough series or learning collaborative. A new entry is required for each type of treatment and each different set of start/stop dates.

- What treatment is this child/family receiving through a therapist participating in a breakthrough series or other learning collaborative? (Select only one)
 - 1 = Trauma-Focused Cognitive Behavior Therapy (TF-CBT)
 - 2 = Life Skills/Life Stories
 - 3 = Structured Psychotherapy for Adolescents Responding to Chronic Stress (SPARCS)
 - 4 = Trauma Adaptive Recovery Group Education and Therapy TARGET (TARGET)
 - 5 = Trauma Systems Therapy (TST)
 - 6 = Child Parent Psychotherapy (CPP)
 - 7 = Cognitive-Behavioral Intervention for Trauma in Schools (CBITS)
 - 98 = Other, please specify name of treatment: ___
- 2. Date this treatment began: The part of the part of

NOTE: Complete question 3 after the child/family has terminated this treatment.

- 3. Did this child/family complete this treatment?
 - 0 = No, left this treatment before completing

1 = Yes, completed treatment

Day

Core Clinical Characteristics (Baseline Assessment Form)

| INSURANCE INFORMATION AND DOMESTIC ENVIRONMENT | | |
|--|--|--|
| | SOLVENIOL IN CHIMATION AND DOMESTIC LITTERCHIMENT | |
| lns | surance Information | |
| 1. | Is the child currently covered by any type of public or private health insurance? 0 = No (If no, skip to Question 3) 1 = Yes If Yes: Specify type below in Question 2 99 = Unknown | |
| 2. | Type of public or private health insurance currently covering the child (Check all that apply) Public: | |
| 3. | Is the child's parent/guardian covered by any type of insurance? 0 = No (If no, skip to Question 5) 1 = Yes If Yes: Specify type below in Question 4 99 = Unknown | |
| 4. | Type of public or private health insurance currently covering the child's parent/guardian (Check all that apply) Public: Medicare Medicaid Indian health service Children's health insurance program (CHIP) Other public, please specify: | |
| | Private: HMO PPO Fee-for-service Other private, please specify: | |
| Do | mestic Environment | |
| 5. | Where is the child's current primary residence? (Select only one) 1 = Independent (alone or with peers) 7 = Correctional facility 2 = Home (With parent(s)) 8 = Homeless 3 = With relatives or other family 9 = Shelter 4 = Regular foster care 99 = Unknown 5 = Treatment foster care 98 = Other, please specify 6 = Residential treatment center | |

Core Clinical Characteristics (Baseline Assessment Form)

Child ID

| 6. | How many months has the child been living in above setting?(Enter number of months or "0" if less than one month) Or, circle one of the following options: 1 = Entire life 99 = Unknown |
|----|---|
| Do | DMESTIC ENVIRONMENT DETAILS |
| | Home with parent(s)' or 'With relatives or other family' is selected for primary residence on the surance Information and Domestic Environment form at Baseline complete the following questions. |
| 1. | What types of adults live in the home with the child? (Check all that apply) Mother (Biological or adopted) Father (Biological or adopted) Parent's partner/significant other Grandparent Other adult relative Other adult non-relative Unknown Other, please specify: |
| 2. | Total number of adults (18 years of age or older) living in child's home: Or, circle the following if unknown: 99 = Unknown |
| 3. | Total number of children younger than 18 years of age (including client) living in child's home: Or, circle the following if unknown: 99 = Unknown |
| 4. | Please specify ZIP code of child's current residence: 0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0. |
| 5. | Primary language spoken at home: (Select only one) 1 = English 2 = Spanish 3 = French 4 = Mandarin 5 = Cantonese 6 = Navaho 7 = Japanese 8 = Korean 9 = Russian 99 = Unknown 98 = Other, please specify: |
| 6. | What is the total income for the child's household for the past year, before taxes and including all sources: \$(US\$) Or. circle the following if unknown: 99 = Unknown |

This form is part of the Core Data Set designed for the National Child Traumatic Stress Initiative funded by SAMHSA based on NCTSN- Baseline- CRF Version 5.0 20080206.

FAMILY ASSESSMENT MODULE - FAMILY APGAR

The following 5 questions are designed to be completed by the child's caregiver.

The following questions have been designed to help us better understand you and your family. You should feel free to ask questions about any item in the questionnaire. Answer each question as "almost always", "sometimes", or "hardly ever". Family is defined as the individual(s) with whom you usually live.

| | O TT 11 |
|---|--------------------------------|
| | 0 = Hardly ever |
| 1. I am satisfied with the help that I receive from my family when | 1 = Some of the time |
| something is troubling me. | <mark>2 = Almost always</mark> |
| | <mark>99 = Unknown</mark> |
| | <mark>0 = Hardly ever</mark> |
| 2. I am satisfied with the way my family discusses items of common | 1 = Some of the time |
| interest and shares problem solving with me. | <mark>2 = Almost always</mark> |
| | <mark>99 = Unknown</mark> |
| | 0 = Hardly ever |
| 3. I find that my family accepts my wishes to take on new activities or | 1 = Some of the time |
| make changes in my life-style. | <mark>2 = Almost always</mark> |
| | <mark>99 = Unknown</mark> |
| | 0 = Hardly ever |
| 4. I am satisfied with the way my family expresses affection and | 1 = Some of the time |
| response to my feelings such as anger, sorrow, and love. | <mark>2 = Almost always</mark> |
| | <mark>99 = Unknown</mark> |
| | <mark>0 = Hardly ever</mark> |
| 5. I am satisfied with the amount of time my family and I spend | 1 = Some of the time |
| together. | <mark>2 = Almost always</mark> |
| | <mark>99 = Unknown</mark> |

INDICATORS OF SEVERITY OF PROBLEMS

This section relates to the types of problems and experiences 'child' might have displayed. Indicate if the child experienced these types of problems within the past month (*within the last 30 days*). Please answer each question. This section should be completed for children ages 6 and older.

All responses should be the Indicator of Severity for problems experienced within the past month.

| 1. | Academic problems (e.g., Problems with school work or grades) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
|-----|--|--|
| 2. | Behavior problems in school or daycare (e.g., Getting into trouble, detention, suspension, expulsion) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 3. | Problems with skipping school or daycare (e.g., Where he/she skipped at least 4 days in the past month, or skipped parts of the day on at least half of the school days) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 4. | Behavior problems at home or community (e.g., Violent or aggressive behavior; breaking rules, fighting, destroying property, or other dangerous or illegal behavior) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 5. | Suicidality (e.g., Thinking about killing himself/herself or attempting to do so) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 6. | Other self-injurious behaviors (e.g., Cutting him/herself, pulling out his/her own hair) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 7. | Developmentally inappropriate sexualized behaviors (e.g., Saying or doing things about sex that children his/her age do not usually know) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 8. | Alcohol use (e.g., Use of alcohol) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 9. | Substance use (e.g., Use of illicit drugs or misuse of prescription medication) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 10. | Attachment problems (e.g., Difficulty forming and maintaining trusting relationships with other people) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |
| 11. | Criminal activity (e.g., Activities that have resulted in being stopped by the police or arrested) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown |

Subcenter ID Child ID

Core Clinical Characteristics (Baseline Assessment Form)

| INDICATORS OF SEVERITY OF PROBLEMS (CONTINUED) | | | | |
|--|---|--|--|--|
| 12. Running away from home (e.g., Staying away for at least one night) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown | | | |
| 13. Prostitution (e.g., Exchanging sex for money, drugs or other resources) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown | | | |
| 14. Child has other medical problems or disabilities (e.g., Chronic or recurrent condition that affects the child's ability to function) | 0 = Not a problem 1 = Somewhat/sometimes a problem 2 = Very much/often a problem 99 = Unknown | | | |

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15. Has the child ever talked about committing suicide?
```

0 = No

1 = Yes

If Yes: In the past 3 months, has the child talked about committing suicide?

0 = No

1 = Yes

99 = Unknown

99 = Unknown

16. Has the child ever attempted suicide?

0 = No

1 = Yes

If Yes: In the past 3 months, has the child attempted suicide?

0 = No

1 = Yes

99 = Unknown

99 = Unknown

YOUNG CHILD INDICATORS OF SEVERITY OF PROBLEMS

This section relates to the types of problems and experiences 'child' might have displayed. Indicate if the child experienced these types of problems within the past month (within the last 30 days). Please answer each question. This section should be completed for children younger than age 6.

All responses should be the Indicator of Severity for problems experienced within the past month.

| 1 | 1. Immediate Risk – Child's current risk of self-harm | | | | |
|---|---|--|--|--|--|
| | 0 | No current self injurious behaviors | | | |
| | 1 | Mild risk of self injury due to dysregulated behaviors (i.e. climbing high furniture, etc.) | | | |
| Ī | 2 | Moderate problems with dangerous or self injurious behaviors, e.g. running from caregivers, pulls own hair, or head banging. | | | |
| | 3 | Severe problems with dangerous and self injurious behaviors, e.g. child runs into street, tries to hang | | | |

Severe problems with dangerous and self injurious behaviors, e.g. child runs into street, tries to hang or injury self or talks about wanting to kill themselves even though their understanding of death is not complete

Unknown/unable to rate

2. Emotional Regulation – Child's ability: 1) to have developmentally appropriate control over emotions (including joy, excitement, anger, sadness, and fear); 2) to be comforted, and 3) to regulate the intensity of emotional expression particularly when faced with frustration.

| 1110110 | nai expression particularly when faced with frastitution. |
|-----------------|--|
| 0 | No evidence of regulatory problems. |
| 1 | Mild problems with emotional regulation. Child may be difficult to choose or may require more |
| | structure and support than other children. in coping with frustration and difficult emotions. |
| 2 | Moderate problems with emotion regulation that may include: 1) difficulties with transitions; 2) severe |
| | irritability including extreme or prolonged tantrums; 3) low frustration tolerance; 4) age inappropriate |
| | ability to delay gratification. Problems interview with child's developmental functioning and may |
| | require consistent adult intervention. |
| 3 | Profound problems with emotional regulation that place the child's safety, well-being and/or |
| | development at risk. |
| <mark>99</mark> | Unknown/unable to rate |

3. Feeding – Issues with feeding (e.g. difficulty sucking, chewing or swallowing, sensory food aversions, symptoms of failure to thrive, overeating and/or Pica)

| 0 | No evidence of any feeding problems. | | |
|-----------------|--|--|--|
| 1 | Child has minor feeding problems; however, problems have not interfered with the child's functioning | | |
| | or the parent-child relationship. | | |
| 2 | Child has moderate symptoms of feeding problems | | |
| 3 | Child's feeding problems have become so significant that the child has had medical problems | | |
| | associated with feeding issues | | |
| <mark>99</mark> | Unknown/unable to rate | | |
| | | | |

ID Subcenter ID

Child ID

| 4. Child Slee | p Problems – | Problems | with sleer | including including | insomnia, | frequent | awakening, | and nightmares. |
|---------------|--------------|----------|------------|---------------------|-----------|----------|------------|-----------------|
| | | | | | | | | |

| 0 | No evidence of sleep disturbance. |
|----|---|
| 1 | Mild sleep disruption, including occasional nightmares or difficulty falling asleep, i.e., mild insomnia |
| | of up to 1 hour. |
| 2 | Moderate sleep disturbance including frequent (at least once per week to nearly daily) resistance to |
| | going to bed, difficulty falling asleep, or nightmares. May include insomnia for up to 2 hours each night |
| | or frequent awakening with difficulty falling back asleep. |
| 3 | Severe sleep disturbance that could include daily sleep problems, including difficulty falling asleep, |
| | awakening in the night. The child has less than 4 hours of sleep per night or has day/night reversal. |
| go | Unknown/unable to rate |

5. Play – Consider child's developmental age when considering the child's ability to engage in age appropriate play.

| | J - | |
|---|-----|---|
| 0 | | Child demonstrates age appropriate play. |
| 1 | | Child demonstrates age appropriate play most of the time or is responsive to adult prompts to play. |
| 2 | | Child demonstrates moderate problems with age appropriate play (e.g. child shows little interest or |
| | | enjoyment in playing with peers or adults, child does not explore toys for significant length of time). |
| 3 | | Child does not demonstrate age appropriate play skills. Child does not often respond to or engage in |
| | | play activities with adults or peers, s/he does not explore or uses toys in a way that is appropriate for |
| | | <mark>their age.</mark> |
| 9 | 9 | Unknown/unable to rate |
| | | |

6. Preschool/Childcare – Child's behavior in preschool and/or childcare.

| I | NA | Not applicable, child not in preschool or daycare | | |
|---|----|--|--|--|
| (|) | No evidence of problems with functioning in current preschool or childcare environment. | | |
| | 1 | Mild problems with functioning in current preschool or daycare environment. | | |
| 2 | 2 | Moderate problems with functioning in current preschool or daycare environment. Child has | | |
| | | difficulties with behavior in this setting creating significant concerns or problems for others. | | |
| | 3 | Profound problems with functioning in current preschool or daycare environment. Child has been | | |
| | | removed or is at immediate risk of being removed from program due to behaviors or unmet needs. | | |
| [| 99 | Unknown/unable to rate | | |
| | | | | |

7. Social functioning – Child difficulties with social skills and relationships.

| 0 | No evidence of problems and/or child has developmentally appropriate social functioning. |
|-----------------|---|
| 1 | Minor problems in social relationships. (i.e. Infants may be slow to respond to or engage adults, toddlers |
| | may need support to interact positively with peers and toddlers and preschoolers may be withdrawn or |
| | aggressive. |
| <mark>2</mark> | Moderate problems with social relationships. (i.e. Infants and toddlers may be disengaged from adults |
| | or peers, hard to soothe, and show difficulty in focusing on toys in social situations. Preschoolers may |
| | hit, bite or having difficulty sharing and taking turns even when adults offer support.) |
| <mark>3</mark> | Severe disruptions in social relationships. (i.e. Infants and toddlers show limited ability to signal needs |
| | or express pleasure. Infants, toddlers, preschoolers are consistently withdrawn and unresponsive to |
| | familiar adults. Preschoolers show no joy or sustained interaction with peers or adults, and/or |
| | aggression, and or may be place themselves or others at risk.) |
| <mark>99</mark> | Unknown/unable to rate |
| | |

Core Clinical Characteristics (Baseline Assessment Form)

| 8 | B. Ag | gression – Aggressive behaviors include biting, hitting, kicking, throwing toys and other objects | | |
|---|-----------------|--|--|--|
| | 0 | No evidence of aggressive behaviors. | | |
| | 1 | Mild concerns but does not interfere with functioning; adults are able to manage challenging behaviors. | | |
| | 2 | Clear evidence of aggressive behavior. Behavior is persistent, and caregiver's attempts to change behavior have not been successful. | | |
| | 3 | Significant challenges with aggressive behaviors, characterized as dangerous and involves threat of harm to others or problems in more than one life domain that significantly threatens child's growth and development. | | |
| | <mark>99</mark> | Unknown/unable to rate | | |

9. Sexualized behaviors –Sexualized behavior includes both age-inappropriate talking or acting out in sexualize ways.

| 0 | No evidence of problems with sexualized talk or behaviors. |
|-----------------|---|
| 1 | Some evidence of sexualize talk or behavior. Child may exhibit occasional inappropriate sexual |
| | language or behavior or engages in behaviors that mimic sexualized behaviors. |
| <mark>2</mark> | Moderate problems with sexualized behavior, Child may exhibit more frequent masturbation than is age |
| | appropriate, may frequently use sexualized language or say or do things related sex that children his/her |
| | age do not usually know |
| <mark>3</mark> | Significant problems with sexualize behaviors. Child exhibits sexual behaviors that indicates exposure |
| | to sexual activity or possible victimization and may try to touch other children. |
| <mark>99</mark> | Unknown/unable to rate |

10. Child attachment difficulties - Item should be rated within the context of the child's significant parental or caregiver relationships.

| | curegiver returnismps. | | | | | |
|----------------|--|--|--|--|--|--|
| 0 | No evidence of attachment problems. Child appears able to respond to caregiver cues in a consistent, | | | | | |
| | appropriate manner, and child seeks age-appropriate contact with caregiver for both nurturing and safety | | | | | |
| | needs. Child experiences a sense of security and trust within his/her attachment relationships. | | | | | |
| 1 | Mild problems with attachment. Child may have difficulty accurately reading caregiver efforts to provide | | | | | |
| | attention and nurturance; may be inconsistent in response; or may be occasionally needy. Child may have | | | | | |
| | mild problems with separation (e.g., anxious/clingy behaviors in the absence of obvious cues of danger) | | | | | |
| | or may avoid contact with caregiver in age-inappropriate way. | | | | | |
| 2 | Moderate problems with attachment. Child may consistently misinterpret cues, act in an overly needy | | | | | |
| | way, or ignore/avoid contact even when distressed. Child may have ongoing difficulties with separation, | | | | | |
| | may consistently avoid contact with caregivers. | | | | | |
| <mark>3</mark> | Severe problems with attachment. Child is unable to form attachment relationships with others (e.g., | | | | | |
| | chronic dismissive/avoidant/detached behavior in care giving relationships) OR child presents with | | | | | |
| | diffuse emotional/physical boundaries leading to indiscriminate friendliness with others. Child is | | | | | |
| | considered at ongoing risk due to the nature of his/her attachment behaviors. A child who meets the | | | | | |
| | criteria for an Attachment Disorder in DSM-IV would be rated here. | | | | | |
| 99 | Unknown/unable to rate | | | | | |
| | | | | | | |

Subcenter ID C

Child ID

11. Developmental concerns —Problems may occur in cognitive, receptive language, expressive language, motor, or social domains

| 0 | Child meets or exceeds all developmental milestones. | | | | |
|-----------------------|--|--|--|--|--|
| 1 | Child is close to meeting all developmental milestones. | | | | |
| | Circle domain(s) that needs further consideration: | | | | |
| | Cognitive | | | | |
| | Receptive Language | | | | |
| • Expressive Language | | | | | |
| | Motor | | | | |
| | • Social | | | | |
| 2 | Child has some problems with immaturity or delay in meeting developmental milestones. Problems | | | | |
| | occasionally interfere with child's ability to function. | | | | |
| | Circle domain(s) that needs further consideration: | | | | |
| | Cognitive | | | | |
| | • Receptive Language | | | | |
| | • Expressive Language | | | | |
| | • Motor | | | | |
| | • Social | | | | |
| 3 | Significant difficulties or unevenness with development. Developmental delays significantly impair | | | | |
| | child's functioning. | | | | |
| | Circle domain(s) that needs further consideration: | | | | |
| | Cognitive | | | | |
| | Receptive Language | | | | |
| | Expressive Language | | | | |
| | • Motor | | | | |
| | • Social | | | | |
| <mark>99</mark> | Unknown/unable to rate | | | | |

12. Atypical behaviors - Includes mouthing after 1 year, head banging, smelling objects, spinning, twirling, hand flapping, finger-flicking, rocking, toe walking, staring at lights, or repetitive and bizarre verbalizations

| | 0 | No evidence of atypical behaviors in the infant/child |
|---|----------------|---|
| | 1 | Child engages in atypical behaviors at times |
| | <mark>2</mark> | Clear evidence of atypical behaviors reported by caregivers that are observed on an ongoing basis |
| | 3 | Clear evidence of atypical behaviors that are consistently present and interfere with the infants/child's |
| | | functioning on a regular basis |
| Г | 99 | Unknown/unable to rate |

SERVICES RECEIVED IN PAST MONTH

BASELINE INSTRUCTIONS: Has the child received any of these services or been placed in any of the following (excluding today's visit) within the past month (within the past 30 days)? These may include services provided by your Center as well as services provided by any other clinician, setting or sector.

| 1. | Inpatient psychiatric unit or a hospital for mental health problems | $0 = N_0$ |
|-------------------|--|-------------------------|
| | | 1 = Yes |
| | | 99 = Unknown |
| 2. | Residential treatment center (A self-contained treatment facility where the child lives and | $0 = N_0$ |
| | · · · · · · · · · · · · · · · · · · · | 1 = Yes |
| | goes to school) | 99 = Unknown |
| | | $0 = N_0$ |
| 3. | Detention center, training school, jail, or prison | 1 = Yes |
| | | 99 = Unknown |
| | | $0 = N_0$ |
| 4. | Group home (A group residence in a community setting) | 1 = Yes |
| | | 99 = Unknown |
| 5. | Treatment foster care (Placement with foster parents who receive special training and | $0 = N_0$ |
| э. | | 1 = Yes |
| | supervision to help children with problems) | 99 = Unknown |
| | | 0 = No |
| 6. | Probation officer or court counselor | 1 = Yes |
| | | 99 = Unknown |
| | Destruction of the Control of the Co | 0 = No |
| 7. | Day treatment program (A day program that includes a focus on therapy and may also | 1 = Yes |
| | provide education while the child is there) | 99 = Unknown |
| | | 0 = No |
| 8. | Case management or care coordination (Someone who helps the child get the kinds of | 1 = Yes |
| | services he/she needs) | 99 = Unknown |
| | | 0 = No |
| 9. | In-home counseling (Services, therapy, or treatment provided in the child's home) | 1 = Yes |
| | | 99 = Unknown |
| | Outpatient therapy (From psychologist, social worker, therapist, or other counselor) | 0 = No |
| 10. | | 1 = Yes |
| | | 99 = Unknown |
| | . Outpatient treatment from a psychiatrist | 0 = No |
| 11. | | 1 = Yes |
| | | 99 = Unknown |
| -12 | | 0 = No |
| 12. | Primary care physician/pediatrician for symptoms related to trauma or | 1 = Yes |
| | emotional/behavioral problems. (Excluding emergency room) | 99 = Unknown |
| 10 | | 0 = No |
| 13. | School counselor, school psychologist, or school social worker (For behavioral or | 1 = Yes |
| | emotional problems) | 99 = Unknown |
| 1 1 | | 0 = No |
| 14. | Special class, special school or Early Intervention Services (Part C or B) (For all or part of | 1 = Yes |
| | the day) | 99 = Unknown |
| | . Child welfare (excluding foster care) | $0 = N_0$ |
| 15. | | 1 = Yes |
| | | 99 = Unknown |
| | | $0 = N_0$ |
| <mark>16</mark> . | Social services other than child welfare (e.g., TANF, food stamps, child care) | 1 = Yes |
| | | 99 = Unknown |
| 17. | | 0 = No |
| | . Foster care (Placement in kinship or non-relative foster care) | |
| | , | 1 = Yes 99 = Unknown |
| | | |

nter ID Chil

Child ID

| SERVICES RECEIVED IN PAST MONTH (CONTINUED) | |
|--|----------------------------|
| | 0 = No |
| 18. Therapeutic recreation services or mentor | 1 = Yes |
| | 99 = Unknown |
| (I | 0 = No |
| 19. Hospital emergency room (For problems related to trauma or emotional or behavioral | 1 = Yes |
| problems) | 99 = Unknown |
| | $0 = N_0$ |
| 20. Self-help groups (e.g., AA, NA) | 1 = Yes |
| | 99 = Unknown |
| | $0 = N_0$ |
| 21. Medication management | 1 = Yes |
| | <mark>99 = Unknown</mark> |
| | $0 = N_0$ |
| 22. Home Visiting | 1 = Yes |
| | <mark>99 = U</mark> nknown |
| | $0 = N_0$ |
| 23. Head Start Program and service coordination | 1 = Yes |
| | <mark>99 = U</mark> nknown |
| | 0 = No |
| 24. Parent education and skill-building programs | 1 = Yes |
| | <mark>99 = U</mark> nknown |
| | 0 = No |
| 25. Peer support / therapy | 1 = Yes |
| | <mark>99 = U</mark> nknown |
| | $0 = N_0$ |
| <mark>26. 'Wraparound' services</mark> | 1 = Yes |
| | <mark>99 = U</mark> nknown |
| 27. Other, Specify | $0 = N_0$ |
| 27. Other, opening | 1 = Yes |
| | 99 = Unknown |

28. If the child received outpatient therapy / treatment, please indicate which of the following treatment modalities were received (check all that apply):

| Attachment-based therapy | |
|---|--|
| Behavioral therapy | |
| Cognitive therapy | |
| Cognitive behavioral therapy | |
| Expressive therapies (drawing, movement, theater) | |
| Family therapy | |
| Narrative therapy | |
| Phase-oriented trauma treatment | |
| Play therapy | |
| Psychoanalysis Psychoanalysis Psychoanalysis | |
| Psychodynamic psychotherapy | |
| Social skills training | |
| Solution-focused therapy | |
| Stress management / relaxation training | |
| Supportive therapy | |

SERVICES RECEIVED IN PAST YEAR

BASELINE INSTRUCTIONS: Has the child received any of these services or been placed in any of the following (excluding today's visit) within the past year (within the past 12 months)? If so, were the services received in response to the child's trauma? These may include services provided by your Center as well as services provided by any other clinician, setting or sector.

| Service | Service received by child? | Service received in response to child's trauma? |
|--|---|---|
| 1. Inpatient psychiatric unit or a hospital for mental health problems | 0 = No 1 = Yes 99 = Unknown | 0 = No 1 = Yes 99 = Unknown |
| 2. Residential treatment center (A self-contained treatment facility where the child lives and goes to school) | 0 = No 1 = Yes 99 = Unknown | 0 = No 1 = Yes 99 = Unknown |
| 3. Detention center, training school, jail, or prison | 0 = No 1 = Yes 99 = Unknown | <mark>0 = No</mark> 1 = Yes 99 = Unknown |
| 4. Group home (A group residence in a community setting) | 0 = No 1 = Yes 99 = Unknown | 0 = No 1 = Yes 99 = Unknown |
| 5. Treatment foster care (Placement with foster parents who receive special training and supervision to help children with problems) | 0 = No 1 = Yes 99 = Unknown | 0 = No 1 = Yes 99 = Unknown |
| 6. Probation officer or court counselor | 0 = No 1 = Yes 99 = Unknown | 0 = No 1 = Yes 99 = Unknown |
| 7. Day treatment program (A day program that includes a focus on therapy and may also provide education while the child is there) | 0 = No 1 = Yes 99 = Unknown 0 = No | 0 = No 1 = Yes 99 = Unknown 0 = No |
| 8. Case management or care coordination (Someone who helps the child get the kinds of services he/she needs) | 0 - No 1 = Yes 99 = Unknown 0 = No | 0 - N0 1 = Yes 99 = Unknown 0 = No |
| 9. In-home counseling (Services, therapy, or treatment provided in the child's home) | 1 = Yes 99 = Unknown 0 = No | 0 - No 1 = Yes 99 = Unknown 0 = No |
| 10. Outpatient therapy (From psychologist, social worker, therapist, or other counselor) | 1 = Yes 99 = Unknown 0 = No | 1 = Yes 99 = Unknown 0 = No |
| 11. Outpatient treatment from a psychiatrist | 1 = Yes 99 = Unknown 0 = No | 1 = Yes 99 = Unknown 0 = No |
| 12. Primary care physician/pediatrician for symptoms related to trauma or emotional/behavioral problems. (Excluding emergency room) | 1 = Yes 99 = Unknown 0 = No | 1 = Yes 99 = Unknown 0 = No |
| 13. School counselor, school psychologist, or school social worker (For behavioral or emotional problems) | 1 = Yes 99 = Unknown 0 = No | 1 = Yes 99 = Unknown 0 = No |
| 14. Special class, special school or Early Intervention Services (Part C or B) (For all or part of the day) | 1 = Yes 99 = Unknown 0 = No | 1 = Yes 99 = Unknown 0 = No |
| 15. Child welfare (excluding foster care) | 1 = Yes 99 = Unknown | 1 = Yes 99 = Unknown |

SERVICES RECEIVED IN PAST YEAR (CONTINUED)

| | C | Carrier |
|--|----------------------------|----------------------------|
| Camia | Service | Service received |
| Service Servic | received | in response to |
| | by child? | child's trauma? |
| 16. Social services other than child welfare (e.g., TANF, food stamps, child | 0 = No | 0 = No |
| care) | 1 = Yes | 1 = Yes |
| | <mark>99 = U</mark> nknown | <mark>99 = U</mark> nknown |
| | $0 = N_0$ | 0 = No |
| 17. Foster care (Placement in kinship or non-relative foster care) | 1 = Yes | 1 = Yes |
| | <mark>99 = U</mark> nknown | <mark>99 = U</mark> nknown |
| | 0 = No | $0 = N_0$ |
| 18. Therapeutic recreation services or mentor | 1 = Yes | 1 = Yes |
| | <mark>99 = U</mark> nknown | <mark>99 = U</mark> nknown |
| 19. Hospital emergency room (For problems related to trauma or emotional | $0 = N_0$ | 0 = No |
| or behavioral problems) | 1 = Yes | 1 = Yes |
| or beliavioral problems) | <mark>99 = Unknown</mark> | 99 = Unknown |
| | 0 = No | $0 = N_0$ |
| 20. Self-help groups (e.g., AA, NA) | 1 = Yes | 1 = Yes |
| | <mark>99 = U</mark> nknown | 99 = Unknown |
| | $0 = N_0$ | $0 = N_0$ |
| 21. Medication management | 1 = Yes | 1 = Yes |
| | <mark>99 = Unknown</mark> | 99 = Unknown |
| | $0 = N_0$ | $0 = N_0$ |
| 22. Home Visiting | 1 = Yes | 1 = Yes |
| - | 99 = Unknown | 99 = Unknown |
| | $0 = N_0$ | $0 = N_0$ |
| 23. Head Start Program and service coordination | 1 = Yes | 1 = Yes |
| | <mark>99 = Unknown</mark> | 99 = Unknown |
| | $0 = N_0$ | $0 = N_0$ |
| 24. Parent education and skill-building programs | 1 = Yes | 1 = Yes |
| | 99 = Unknown | 99 = Unknown |
| | $0 = N_0$ | $0 = N_0$ |
| 25. Peer support / therapy | 1 = Yes | 1 = Yes |
| 11 13 | 99 = Unknown | 99 = Unknown |
| | $0 = N_0$ | 0 = No |
| 26. 'Wraparound' services | 1 = Yes | 1 = Yes |
| | 99 = Unknown | <mark>99 = Unknown</mark> |
| | $0 = N_0$ | 0 = No |
| 27. Other, Specify | 1 = Yes | 1 = Yes |
| | 99 = Unknown | 99 = Unknown |

28. If the child received outpatient therapy / treatment, please indicate which of the following treatment modalities were received (check all that apply):

| Attachment-based therapy | |
|---|--|
| Behavioral therapy | |
| Cognitive therapy | |
| Cognitive behavioral therapy | |
| Expressive therapies (drawing, movement, theater) | |
| Family therapy | |
| Narrative therapy | |
| Phase-oriented trauma treatment | |

Child ID Number: 0.0.0.0 - 0.0 - 0.0.0.00

Subcenter ID Child ID

Core Clinical Characteristics (Baseline Assessment Form)

| Play therapy | |
|---|--|
| Psychoanalysis | |
| Psychodynamic psychotherapy | |
| Social skills training | |
| Solution-focused therapy | |
| Stress management / relaxation training | |
| Supportive therapy | |

CLINICAL EVALUATION

Based on your clinical evaluation, for questions 1-21 please check each problem/symptom/disorder currently displayed by the child. For question 22 please indicate the *primary* problems/symptom/disorder currently displayed by the child.

| Clinical Problems, Symptoms, and Disorders | Child has/exhibits this problem? (Answer all that apply) | | |
|---|--|--------------|--------------|
| Acute stress disorder | 0 = No | 1 = Probable | 2 = Definite |
| 2. Post traumatic stress disorder | 0 = No | 1 = Probable | 2 = Definite |
| 3. Traumatic/complicated grief | 0 = No | 1 = Probable | 2 = Definite |
| 4. Dissociation | 0 = No | 1 = Probable | 2 = Definite |
| 5. Somatization | 0 = No | 1 = Probable | 2 = Definite |
| 6. Generalized anxiety | 0 = No | 1 = Probable | 2 = Definite |
| 7. Separation disorder | 0 = No | 1 = Probable | 2 = Definite |
| 8. Panic disorder | 0 = No | 1 = Probable | 2 = Definite |
| 9. Phobic disorder | 0 = No | 1 = Probable | 2 = Definite |
| 10. Obsessive compulsive disorder | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 11. Depression | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 12. Attachment problems | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 13. Sexual behavioral problems | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 14. Oppositional defiant disorder | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 15. Conduct disorder | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 16. General behavioral problems | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 17. Attention deficit hyperactivity disorder | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 18. Suicidality | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 19. Substance abuse | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 20. Sleep disorder | $0 = N_0$ | 1 = Probable | 2 = Definite |
| 21. Adjustment disorder | 0 = No | 1 = Probable | 2 = Definite |
| 22. Disorders of infancy, childhood, or adolescence NOS | 0 = No | 1 = Probable | 2 = Definite |
| 23. Feeding disorder of infancy or early childhood | $0 = N_0$ | 1 = Probable | 2 = Definite |

24. Are there any other additional problems currently displayed by this child? Please specify:

25. Please indicate the *primary* problem/symptom/disorder currently displayed by this child. **(Select only one)**

- 1 = Acute stress disorder
 - 2 = Post traumatic stress disorder
 - 3 = Traumatic/complicated grief
 - 4 = Dissociation
 - 5 = Somatization
 - 6 = Generalized anxiety
 - 7 = Separation disorder
 - 8 = Panic disorder
 - 9 = Phobic disorder
 - 10 = Obsessive compulsive disorder
 - 11 = Depression
 - 12 = Attachment problems
 - 13 = Sexual behavioral problems
 - 14 = Oppositional defiant disorder
 - 15 = Conduct disorder
 - 16 = General behavioral problems
 - 17 = Attention deficit hyperactivity disorder
 - 18 = Suicidality

- 19 = Substance abuse
- 20 = Sleep disorder
- 21 = Adjustment disorder
- 22 = Disorders of infancy, childhood, or adolescence NOS
- 23 = Feeding disorder of infancy or early childhood
- 24 = Other
- 26. Please rate the child and caregiving system
 - 0 = Resilient
 - 1 = Average adaptive, could benefit from education or information on post-trauma adjustment
 - 2 = Risk of disturbance and intervention recommended
 - 3 = Disturbance and need of intensive intervention
 - 99 = Unknown
- 27. Please rate the family's resources (income and other resources available to address family needs)
 - 0 = Family has financial resources necessary to meet needs
 - 1 = Family has financial resources necessary to meet most needs; however, some limitations exist
 - 2 = Family has financial difficulties that limit their ability to meet significant family needs
 - 3 = Family experiencing financial hardship, poverty
 - 99 = Unknown