Transformation Accountability (TRAC)

Center for Mental Health Services

NOMs Client-Level Measures for Discretionary Programs Providing Direct Services

SERVICES TOOL For Adult Programs

CMHS

Center for Mental Health Services SAMHSA March 2011 *Version 7*

Public reporting burden for this collection of information is estimated to average 30 minutes per response if all items are asked of a consumer/participant; to the extent that providers already obtain much of this information as part of their ongoing consumer/participant intake or follow-up, less time will be required. Send comments regarding this burden estimate or any other aspect of this collection of information to SAMHSA Reports Clearance Officer, Room 7-1045, 1 Choke Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The control number for this project is 0930-0285.

RF	CORD MANAGE	MENT							
Co	onsumer ID								
Gr	rant ID (Grant/Con	tract/Coo _j	perative Agre	eement)		_		_	
Sit	e ID		_ _						
1.	Assessment								
	O Baseline Asses O 6-Month Reass O 24-Month Reas O 42-Month Reas O 60-Month Reas	sessment ssessment ssessment	0 0 0	30-Month 48-Month	n Reassessmen n Reassessmen n Reassessmen n Reassessmen	nt O nt O	36-Month	Reassessmen Reassessmen Reassessmen scharge	t
2.	Interview Conduc	eted?							
	O Yes [GO To O No	O 3]							

2a. Why was the interview not conducted? Choose only one.

[PLEASE MARK YOUR ANSWER UNDER THE COLUMN RELATING TO THE ASSESSMENT TYPE]

	Baseline Assessment	Reassessments	Clinical Discharge
Consumer refused interview	0	0	0
Not able to obtain consent from proxy	0	0	0
Consumer was impaired/unable to provide consent	0	0	0
Consumer cannot be reached for interview		0	0
Staff previously indicated "Administrative data only" or "No data" would be submitted		○ [IF THIS ANSWER IS SELECTED, GO TO SECTION H (if applicable) or I]	○ [IF THIS ANSWER IS SELECTED, GO TO SECTION H (if applicable) or J]

[IF THIS IS A CLINICAL DISCHARGE GO TO 2c]

RECORD MANAGEMENT (Continued)

2b. What data will be submitted for the next reassessment	2b.	What	data	will be	submitted	for the	next	reassessment?
-----------------------------------------------------------	-----	------	------	---------	-----------	---------	------	---------------

- O Interview data (all sections)
- O Administrative data only [Record Management, Sections H (if applicable), then I or J, &K] will not attempt any subsequent interviews.
- O No data will only provide discharge status [Record Management & Section J] when discharged.

[GO TO 3]

2c. [CLINICAL DISCHARGE ONLY] What data will be submitted for this Clinical Discharge?

- O Administrative data only [Record Management and Sections H (if applicable), then J, & K]
- O No data will only provide discharge status [Record Management & Section J]

3. When was the interview conducted or attempted?

[REASSESSMENTS AND CLINICAL DISCHARGE: IF ANSWERED "CONSUMER CANNOT BE REACHED FOR INTERVIEW" IN 2a, GO TO INSTRUCTIONS BELOW 4]

<u> </u>		/	
MONTH	DAY	YE	AR

[IF THIS IS A BASELINE GO TO 4, ALL OTHERS GO TO INSTRUCTIONS BELOW]

4. When did the consumer first receive services under the grant for this episode of care?

/		
MONTH	VE	ΛD

[IF THIS IS A BASELINE, GO TO SECTION A.]

FOR ALL REASSESSMENTS:

IF AN INTERVIEW WAS CONDUCTED, GO TO SECTION B.]
IF AN INTERVIEW WAS NOT CONDUCTED, GO TO SECTION H (IF APPLICABLE), THEN SECTION I AND K.]

FOR A CLINICAL DISCHARGE:

IF AN INTERVIEW WAS CONDUCTED, GO TO SECTION B.J
IF AN INTERVIEW WAS NOT CONDUCTED, GO TO SECTION H (IF APPLICABLE), THEN
SECTION J AND K.J

A.	DEMOGRAPHIC DATA		

[SECTION A IS ONLY COLLECTED AT BASELINE. IF THIS IS NOT A BASELINE, GO TO SECTION B.]

1.

What is your gender?

	O MALE O FEMALE O TRANSGENDER O OTHER (SPECIF								
2.	Are you Hispanic or I	atino?							
		GO TO GO TO							
	[IF YES] What ethni following. You may sa				· yourself	? Pleaso	e answer yes o	r no for each o	f the
	Central American Cuban Dominican Mexican Puerto Rican South American OTHER (SPECIFY)		0	REFUS		SPECIF	TY BELOW]		
3.	What race do you con to more than one.	sider yo	urself?	Please an	swer yes	or no fo	r each of the fo	llowing. You ma	ay say yes
	Black or African A Asian Native Hawaiian of Alaska Native White American Indian			slander	YES	NO 0 0 0 0 0	REFUSED O O O O O O		
4.	What is your month a	nd year	of birth	?					
	_ / MONTH Y	 EAR		O REF	USED				

[STOP HERE IF THE BASELINE INTERVIEW WAS NOT CONDUCTED AND THE DEMOGRAPHIC DATA WAS OBTAINED FROM RECORDS. ALL OTHERS CONTINUE.]

D	FUNCTION	
к	HIINE IIEDIN	

Excellent

e. I do well in social situations.

I do well in school and/or work.

g. My housing situation is satisfactory.

h. My symptoms are not bothering me.

 \circ

How would you rate your overall health right now?

1.

 Very Good Good Fair Poor REFUSED DON'T KNOW 2. In order to provide the best possible mental							
about how well you were able to deal with your disagreement/agreement with each of t [READ EACH STATEMENT FOLLOWED BY TE	he follow	ing state	ments.	-			
STATEMENT	RESPONSE OPTIONS						
	Strongly Disagree Disagree Agree Agree REFUSED NOT					NOT APPLICABLE	
a. I deal effectively with daily problems.	0	0	0	0	0	0	
b. I am able to control my life.	0	0	0	0	0	0	
c. I am able to deal with crisis.	0	0	0	0	0	0	
d. I am getting along with my family.	0	0	0	0	0	0	0

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- B. FUNCTIONING (Continued)
- 3. The following questions ask about how you have been feeling during the past 30 days. For each question, please indicate how often you had this feeling.

[READ EACH QUESTION FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

QUESTION		RESPONSE OPTIONS					
During the past 30 days, about how often did you feel	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time	REFUSED	DON'T KNOW
a. nervous?	0	0	0	0	0	0	0
b. hopeless?	0	0	0	0	0	0	0
c. restless or fidgety?	0	0	0	0	0	0	0
d. so depressed that nothing could cheer you up?	0	0	0	0	0	0	0
e. that everything was an effort?	0	0	0	0	0	0	0
f. worthless?	0	0	0	0	0	0	0

B. FUNCTIONING (Continued)

4. The following questions relate to your experience with alcohol, cigarettes, and other drugs. Some of the substances we'll talk about are prescribed by a doctor (like pain medications). But I will only record those if you have taken them for reasons or in doses other than prescribed.

[READ EACH QUESTION FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

QUESTION	RESPONSE OPTIONS					
In the past 30 days, how often have you used	Never	Once or Twice	Weekly	Daily or Almost Daily	REFUSED	DON'T KNOW
a. tobacco products (cigarettes, chewing tobacco, cigars, etc.)?	0	0	0	0	0	0
b. alcoholic beverages (beer, wine, liquor, etc.)?	0	0	0	0	0	0
b1. [IF B >= ONCE OR TWICE, AND RESPONDENT MALE], How many times in the past 30 days have you had five or more drinks in a day? [CLARIFY IF NEEDED: A standard drink (e.g., 12 oz beer, 5 oz wine, 1.5 oz liquor)].	0	0	0	0	0	0
b2. [IF B >= ONCE OR TWICE, AND RESPONDENT NOT MALE], How many times in the past 30 days have you had four or more drinks in a day? [CLARIFY IF NEEDED: A standard drink (e.g., 12 oz beer, 5 oz wine, 1.5 oz liquor)].	0	0	0	0	0	0
c. cannabis (marijuana, pot, grass, hash, etc.)?	0	0	0	0	0	0
d. cocaine (coke, crack, etc.)?	0	0	0	0	0	0
e. prescription stimulants (Ritalin, Concerta, Dexedrine, Adderall, diet pills, etc.)?	0	0	0	0	0	0
f. methamphetamine (speed, crystal meth, ice, etc.)?	0	0	0	0	0	0
g. inhalants (nitrous oxide, glue, gas, paint thinner, etc.)?	0	0	0	0	0	0
h. sedatives or sleeping pills (Valium, Serepax, Ativan, Librium, Xanax, Rohypnol, GHB, etc.)?	0	0	0	0	0	0
i. hallucinogens (LSD, acid, mushrooms, PCP, Special K, ecstasy, etc.)?	0	0	0	0	0	0
j. street opioids (heroin, opium, etc.)?	0	0	0	0	0	0
k. prescription opioids (fentanyl, oxycodone [OxyContin, Percocet], hydrocodone [Vicodin], methadone, buprenorphine, etc.)?	0	0	0	0	0	0
l. other – specify:	0	0	0	0	0	0

В.	FUNCTIONING (Continued)		
[OPTI	ONAL: GAF SCORE REPORTED BY GI	RANTEE STAFF AT PROJECT	'S DISCRETION.]
DATE	GAF WAS ADMINISTERED:	/ /	YEAR
WHAT	WAS THE CONSUMER'S SCORE?	GAF =	

C.	ST	ABILITY IN HOUSING			
1.	In	the past 30 days how many	Number of Nights/ Times	REFUSED	DON'T KNOW
	a.	nights have you been homeless?		0	0
	b.	nights have you spent in a hospital for mental health care?		0	0
	c.	nights have you spent in a facility for detox/inpatient or residential substance abuse treatment?		0	0
	d.	nights have you spent in correctional facility including jail, or prison?		0	0
HOSE RESI	PITAL DEN'I RECT	THE TOTAL NUMBER OF NIGHTS SPENT HOMELESS, IN L. FOR MENTAL HEALTH CARE, IN DETOX/INPATIENT OR TIAL SUBSTANCE ABUSE TREATMENT, OR IN A GIONAL FACILITY. (ITEMS A-D, CANNOT EXCEED 30	1 1 1		
	e.	times have you gone to an emergency room for a psychiatric or emotional problem?		0	0
[IF 12	1, 1B,	1C, OR 1D IS 16 OR MORE NIGHTS, GO TO SECTION D.]			
2.	In t	the past 30 days, where have you been living most of the time?			
[DO 1	VOT .	READ RESPONSE OPTIONS TO THE CONSUMER. SELECT OF	NLY ONE.]		
	0	OWNED OR RENTED HOUSE, APARTMENT, TRAILER, ROOM SOMEONE ELSE'S HOUSE, APARTMENT, TRAILER, ROOM HOMELESS (SHELTER, STREET/OUTDOORS, PARK) GROUP HOME ADULT FOSTER CARE TRANSITIONAL LIVING FACILITY HOSPITAL (MEDICAL) HOSPITAL (PSYCHIATRIC) DETOX/INPATIENT OR RESIDENTIAL SUBSTANCE ABUSE TR CORRECTIONAL FACILITY (JAIL/PRISON) NURSING HOME VA HOSPITAL VETERAN'S HOME MILITARY BASE OTHER HOUSED (SPECIFY)		ACILITY	
	\circ	DON'T KNOW			

D.	EDUCATION AND EMPLOYMENT								
1.	Are you currently enrolled in school or a job training program? [IF ENROLLED] Is that full time or part time?								
	 NOT ENROLLED ENROLLED, FULL TIME ENROLLED, PART TIME OTHER (SPECIFY) REFUSED DON'T KNOW 								
2.	What is the highest level of education you have finished, wh	ether o	or not y	ou received a	degree?				
	 LESS THAN 12TH GRADE 12TH GRADE/HIGH SCHOOL DIPLOMA/EQUIVALEN VOC/TECH DIPLOMA SOME COLLEGE OR UNIVERSITY BACHELOR'S DEGREE (BA, BS) GRADUATE WORK/GRADUATE DEGREE REFUSED DON'T KNOW 	IT (GE)	D)						
3.	Are you currently employed? [CLARIFY BY FOCUSIN PREVIOUS WEEK, DETERMINING WHETHER CON REGULAR JOB BUT WAS OFF WORK.]								
	 EMPLOYED FULL TIME (35+ HOURS PER WEEK, OR EMPLOYED PART TIME UNEMPLOYED, LOOKING FOR WORK UNEMPLOYED, DISABLED UNEMPLOYED, VOLUNTEER WORK UNEMPLOYED, RETIRED UNEMPLOYED, NOT LOOKING FOR WORK OTHER (SPECIFY) REFUSED DON'T KNOW 	k WOU:	LD HA'	VE BEEN)					
3a.	 [IF EMPLOYED] Are you paid at or above the minimum wage¹? 	Yes	No O	REFUSED	DON'T KNOW				
	 Are your wages paid directly to you by your employer? Could anyone have applied for this job? 	0	0	0	0				

¹ For information on Federal minimum wage go to http://www.dol.gov/esa/whd/flsa/.

E.	CRIME AND CRIMINAL JUSTICE STATUS						
1.	In the past 30 days, how many times have you been arrested?						
	L TIMES	O REFUSED	O DON'T KNOW				
[IF TH	HS IS A BASELINE, GO TO	O SECTION G. O	THER WISE, GO TO SECTION F.]				

F. PERCEPTION OF CARE

[SECTION F IS NOT COLLECTED AT BASELINE. FOR BASELINE INTERVIEWS, GO TO SECTION G.]

1. In order to provide the best possible mental health and related services, we need to know what you think about the services you received <u>during the past 30 days</u>, the people who provided it, and the results. Please indicate your disagreement/agreement with each of the following statements.

[READ EACH STATEMENT FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

STATEMENT		RESPONSE OPTIONS					
	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree	REFUSED	NOT APPLICABLE
a. Staff here believe that I can grow, chang recover.	ge and	0	0	0	0	0	
b. I felt free to complain.	0	0	0	0	0	0	
c. I was given information about my rights	s. O	0	0	0	0	0	
d. Staff encouraged me to take responsibili how I live my life.	ty for	0	0	0	0	0	
e. Staff told me what side effects to watch o	out for.	0	0	0	0	0	0
f. Staff respected my wishes about who is a is not to be given information about my treatment.	and who	0	0	0	0	0	
g. Staff were sensitive to my cultural backs (race, religion, language, etc.)	ground	0	0	0	0	0	
h. Staff helped me obtain the information l so that I could take charge of managing illness.		0	0	0	0	0	
i. I was encouraged to use consumer run p (support groups, drop-in centers, crisis p line, etc.)	C	0	0	0	0	0	
j. I felt comfortable asking questions abou treatment and medication.	t my	0	0	0	0	0	
k. I, not staff, decided my treatment goals.	0	0	0	0	0	0	
I. I like the services I received here.	0	0	0	0	0	0	
m. If I had other choices, I would still get see from this agency.	ervices	0	0	0	0	0	
n. I would recommend this agency to a frie family member.	end or	0	0	0	0	0	

F. P	PERCEPTION	OF CARE	(Continued)
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- 2. [INDICATE WHO ADMINISTERED SECTION F PERCEPTION OF CARE TO THE RESPONDENT FOR THIS INTERVIEW.]
 - O ADMINISTRATIVE STAFF
 - O CARE COORDINATOR
 - O CASE MANAGER
 - O CLINICIAN PROVIDING DIRECT SERVICES
 - O CLINICIAN NOT PROVIDING SERVICES
 - O CONSUMER PEER
 - O DATA COLLECTOR
 - O EVALUATOR
 - O FAMILY ADVOCATE
 - O RESEARCH ASSISTANT STAFF
 - O SELF-ADMINISTERED
 - O OTHER (SPECIFY)

G. SOCIAL CONNECTEDNESS

1. Please indicate your disagreement/agreement with each of the following statements. Please answer for relationships with persons other than your mental health provider(s) over the past 30 days.

[READ EACH STATEMENT FOLLOWED BY THE RESPONSE OPTIONS TO THE CONSUMER.]

STATEMENT	RESPONSE OPTIONS					
	Strongly Disagree	Disagree	Undecided	Agree	Strongly Agree	REFUSED
a. I am happy with the friendships I have.	0	0	0	0	0	0
b. I have people with whom I can do enjoyable things.	0	0	0	0	0	0
c. I feel I belong in my community.	0	0	0	0	0	0
d. In a crisis, I would have the support I need from family or friends.	0	0	0	0	0	0

IF YOUR PROGRAM DOES NOT REQUIRE SECTION H:

[IF THIS IS A BASELINE INTERVIEW, STOP NOW. THE INTERVIEW IS COMPLETE.]

[IF THIS IS A REASSESSMENT INTERVIEW, PLEASE GO TO SECTION I THEN K.]

[IF THIS IS A CLINICAL DISCHARGE INTERVIEW, PLEASE GO TO SECTION J THEN K.]

IF YOUR PROGRAM <u>DOES</u> REQUIRE SECTION H:

[IF THIS IS A BASELINE INTERVIEW, PLEASE PROCEED TO SECTION H THEN STOP. THE INTERVIEW WILL BE COMPLETE.]

[IF THIS IS A REASSESSMENT INTERVIEW, PROCEED TO SECTION H, THEN I AND K.]

[IF THIS IS A CLINICAL DISCHARGE INTERVIEW, PROCEED TO SECTION H, SKIP SECTION I, AND GO TO SECTION J AND K.]

H. PROGRAM SPECIFIC QUESTIONS

SOME PROGRAMS HAVE PROGRAM SPECIFIC DATA THAT IS SUBMITTED TO TRAC. CMHS WILL LET YOU KNOW IF YOU ARE REQUIRED TO DO SECTION H, AND YOU WILL HAVE A SEPARATE SECTION H FORM.

FOR A LIST OF PROGRAMS THAT HAVE PROGRAM SPECIFIC DATA, SEE APPENDIX A OF THE NOMS CLIENT-LEVEL MEASURES FOR DISCRETIONARY PROGRAMS PROVIDING DIRECT SERVICES QUESTION-BY-QUESTION INSTRUCTION GUIDE FOR ADULT PROGRAMS.

I. REASSESSMENT STATUS

GO TO SECTION K.

[SECTION I IS REPORTED BY GRANTEE STAFF AT REASSESSMENT.]

1.	Have you or other grant staff had contact with the consumer within 90 days of the last encounter?
	O Yes
	O No
2.	Is the consumer still receiving services from your project?
	O Yes
	O No

J. CLINICAL DISCHARGE STATUS

[SECTION J IS REPORTED BY GRANTEE STAFF ABOUT THE CONSUMER AT CLINICAL DISCHARGE]

1. (On what	date was	the consumer	discharged?
------	---------	----------	--------------	-------------

	/		
MONTH		YEAR	

2. What is the consumer's discharge status?

- O Mutually agreed cessation of treatment
- O Withdrew from/refused treatment
- O No contact within 90 days of last encounter
- O Clinically referred out
- O Death
- O Other (Specify)

IF A DISCHARGE INTERVIEW WAS CONDUCTED, CONTINUE TO SECTION K.

IF A DISCHARGE INTERVIEW WAS NOT CONDUCTED:

- IF STAFF PREVIOUSLY INDICATED "ADMINISTRATIVE DATA ONLY" WOULD BE SUBMITTED, CONTINUE TO SECTION K.
- IF STAFF PREVIOUSLY INDICATED "NO DATA" WOULD BE SUBMITTED, STOP HERE.

K. SERVICES RECEIVED

[SECTION K IS REPORTED BY GRANTEE STAFF AT REASSESSMENT AND DISCHARGE UNLESS STAFF PREVIOUSLY INDICATED "NO DATA" WOULD BE SUBMITTED]

1.	On what date did the consumer	last receive se	ervices?			
	MONTH YEAR	_				
	DENTIFY ALL OF THE SERVICES Y <u>IST NOMS INTERVIEW;</u> THIS INCL					
Co	ore Services	Prov				
2. 3. 4.	Screening Assessment Treatment Planning or Review Psychopharmacological Services Mental Health Services [IF YES, PLEASE ESTIMATE H DELIVERED.] Number of times per	Yes O O O O O O O O O O O O O O O O O O	<u> </u>	ENTAL HEALT	TH SERVICES WI	ERE
7. 8.	Co-Occurring Services Case Management Trauma-specific Services Was the Consumer referred to another Yes O No O	Yes O O provider for a	No O O O ny of the abo	ove core service	es?	
Su	apport Services	Prov	vided			
1. 2. 3. 4. 5. 6. 7. 8. 9.	Medical Care Employment Services Family Services Child Care Transportation Education Services Housing Support Social Recreational Activities Consumer Operated Services HIV Testing	Yes	No 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			

11. Was the consumer referred to another provider for any of the above support services?

Yes ○ No ○