# Survey of Occupational Injuries and Illnesses, 2011



YOUR RESPONSE IS REQUIRED BY LAW IN 30 DAYS.

Please correct your company address as needed.

For your convenience, you can submit your survey response on our website at https://idcf.bls.gov.

We estimate it will take you an average of 24 minutes to complete this survey (ranging from 10 minutes to 5 hours per package), including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this information. If you have any comments regarding the estimates or any other aspect of this survey, including suggestions for reducing this burden, please send them to the Bureau of Labor Statistics, Occupational Safety and Health Statistics (1220-0045), 2 Massachusetts Avenue, N.E., Washington, DC 20212. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. **DO NOT SEND THE COMPLETED FORM TO THIS ADDRESS.** 

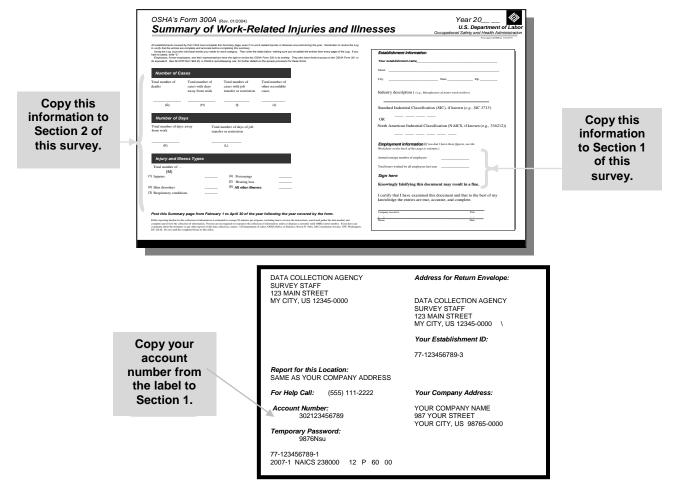
The Bureau of Labor Statistics, its employees, agents, and partner statistical agencies, will use the information you provide for statistical purposes only and will hold the information in confidence to the full extent permitted by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 (Title 5 of Public Law 107-347) and other applicable Federal laws, your responses will not be disclosed in identifiable form without your informed consent.

OMB No. 1220-0045 BLS-9300 N06

## **Steps to Complete this Survey**

This survey requires employers to provide information about work-related injuries and illnesses based upon the information you have maintained for Calendar Year 2011 on your Occupational Safety and Health Administration (OSHA) Forms for Recording Work-Related Injuries and Illnesses. Copies of these forms were mailed to you in late 2010. Under Public Law 91-596, all establishments that receive this **mandatory** survey must complete and return it within 30 days, even if they had **no** work-related injuries and illnesses during 2011. The instructions below outline the steps to complete the survey regardless of whether your establishment did or did not have injuries or illnesses in 2011.

- **Step 1:** Complete this survey only for the establishment(s) noted on the front cover under "**Report for this Location**." If you are unsure, please call the number(s) listed on the front of this form in the "**For Help Call:**" section.
- **Step 2:** Check "**Your Company Address**" printed on the front cover. Make any necessary corrections directly on the front cover.
- **Step 3**: Refer to your establishment's OSHA *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2010. Form 300A from that mailing is shown immediately below.



- If you had no work-related injuries or illnesses in 2011, answer all questions in Sections 1 and 4 of the survey.
- If you had at least one work-related injury or illness in 2011, answer all questions in Sections 1, 2 and 4 of the survey.
- Report cases with *Days Away From Work* (with or without days of job transfer or restriction) in Section 3.
- Report cases with *Job Transfer or Restriction* (without days away from work) in Section 3 if your **NAICS** code begins with these numbers: 238, 311, 444, 481, 493, or 623.
- **Step 4:** In case we have questions, write the name of the person who completed this survey in Section 4: Contact Information, on the last page of this survey.
- **Step 5:** Return this survey and any attachments in the enclosed envelope within 30 days of the date your establishment received it.

### **Section 1: Establishment Information**

**Instructions:** Using your completed Calendar Year 2011 *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A), copy the establishment information into the boxes. If these numbers are not available on your OSHA Form 300A, or if your establishment does not keep records needed to answer (2) and (3) below, you can estimate using the steps that follow on the next page.

1.	Enter your account number from the front cover.			
2.	2. Enter the annual average number of employees for 2011.			
3.	3. Enter the total hours worked by all employees for 2011.			
4.	4. Check any conditions that might have affected your answers to questions 2 and 3 above during 2011:			
	<ul> <li>Strike or lockout</li> <li>Shutdown or layoff</li> <li>Seasonal work</li> <li>Natural disaster or adverse weather conditions</li> </ul>	<ul> <li>□ Shorter work schedules or fewer pay periods than usual</li> <li>□ Longer work schedules or more pay periods than usual</li> <li>□ Other reason:</li> <li>□ Nothing unusual happened to affect our employment or hours figure</li> </ul>		
5.	<ul> <li>Did you have ANY work-related injuries or illnesses during 2011?</li> <li>☐ Yes. Go to Section 2: Summary of Work-Related Injuries and Illnesses, 2011, directly below.</li> <li>☐ No. Go to Section 4: Contact Information, on the back cover.</li> </ul>			

# Section 2: Summary of Work-Related Injuries and Illnesses, 2011

#### **Instructions:**

- 1. Refer to the OSHA *Forms for Recording Work-Related Injuries and Illnesses* for the location referenced on the front cover of the survey under "**Report for this Location**." If you prefer, you may enclose a photocopy of your *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A).
- 2. If more than one establishment is noted on the front cover of this survey, be sure to include the OSHA Form 300A for all of the specified establishments.
- 3. If any total is zero on your OSHA Form 300A, write "0" in that total's space below.
- 4. The **total** Number of Cases recorded in G + H + I + J must equal the **total** Injury and Illness Types recorded in M (1 + 2 + 3 + 4 + 5 + 6).

Number of Cases			
Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
(G)	(H)	(I)	(J)
Number of Days			
Total number of days		Total number of days	
away from work		of job transfer or restriction	
(K)		(L)	
Injury and Illness Typ	es		
Total number of			
(M)			
(1) Injuries		(4) Poisonings	
(2) Skin disorders		(5) Hearing loss	<del></del>
(3) Respiratory conditions		(6) All other illnesses	

If you had any work-related deaths in 2011, please tell us on the line below where you assigned/classified each death within the list of items (M1) through (M6) provided under *Injury and Illness Types* above (e.g., "fatal case was due to injury resulting from fall" or "death resulted from respiratory conditions")

## Steps to estimate annual average number of employees for 2011:

#### Step 1:

To calculate the annual average number of employees your establishment paid during 2011, you must calculate the total number of employees your establishment paid for all periods. Add the number of employees your establishment paid in every pay period during Calendar Year 2011. Count all employees that you paid at any time during the year and include full-time, part-time, temporary, seasonal, salaried, and hourly workers. Note that pay periods could be monthly, weekly, bi-weekly, etc.

#### Example:

Acme Construction paid its employees in 12 pay periods during 2011:

Pay Period Number of Employees Paid				
	Per Pay Period			
1	30			
2	0			
3	35			
4	37			
5	37			
6	40			
7	43			
8	42			
9	37			
10	35			
11	30			
12	<u>+26</u>			
	392 (total number of employees paid			
	over all pay periods)			
Example:				
Acme Consti	Acme Construction had 12 pay periods and paid a total of			
392 employees during these pay periods.				
392  divided by  12 = 32.67				
Example:				

#### Step 2:

Divide the total number of employees (from Step 1) by the number of pay periods your establishment had in 2011. Be sure to count any pay periods when you had no (zero) employees.

#### Step 3:

Round the answer you computed in Step 2 to the next highest whole number. Write that number in the box for Section 1, Question 2 on the previous page.

Acme would round 32.67 to 33.

## Steps to estimate total hours worked by all employees for 2011:

#### Step 1:

Determine the number of full-time employees at your establishment.

#### Example

Of Acme's 33 employees in 2011, 28 were full-time.

#### Step 2:

Determine the number of hours generally worked by a full-time employee for a year. Multiply the number of full-time employees you calculated in Step 1 by this number. This total number of full-time hours worked should exclude vacation, sick leave, holidays, and any other non-work time.

#### Example:

Each of Acme's 28 full-time employees worked an average of 2,000 hours per year after excluding vacation, sick leave, holidays, and other non-work time. This works out to 40 hours per week for 50 weeks of the year.

28 full-time employees X 2,000 hours per year 56,000 total full-time hours

#### Step 3:

Determine the number of hours of overtime worked by your full-time employees.

Determine the number of regular hours worked by your non-full-time employees. (Non-full-time employees include part-time, seasonal, and temporary employees.)

Add these numbers to the number you calculated in Step 2 above. This is the estimated number of hours worked by all of your employees, full-time and non-full-time, during 2011. Write this number in Section 1, Question 3 on the previous page.

#### Example:

Acme's 28 full-time employees worked a total of 2,800 hours of overtime during 2011 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,715 hours during 2011.

56,000	full-time hours from Step 2
2,800	over time hours
+2,715	part-time hours
61,515	total hours worked

## **Section 3: Reporting Cases**

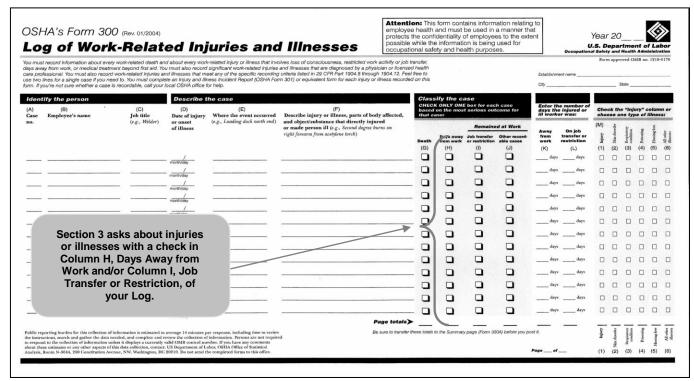
#### **Instructions:**

- 1. If you had **NO** cases with days away from work (Column H) and **NO** cases with days of job transfer or restriction (Column I), please proceed to Section 4: Contact Information.
- 2. If you had cases with days away from work (Column H) and/or cases with days of job transfer or restriction only (Column I), please complete Section 3. You should report all cases with days away from work (with or without job transfer or restriction). If your **NAICS code begins with: 238, 311, 444, 481, 493, or 623**, you should also report all cases with days of job transfer or restriction (without days away from work). To identify the individual cases to report, follow these steps:
  - Step 1: Go to your completed OSHA Form 300.

    Note each case that has a check in Column (H) and/or Column (I).

    These are the only cases you should report.

    See the illustration in Step 3 below.
  - **Step 2:** Fill out one Injury and Illness Case Form for each case that you identified in Step 1. You can find most of the information on a supplementary document such as the *Injury and Illness Incident Report* (OSHA Form 301), a workers' compensation report, an accident report, or an insurance form.
  - **Step 3:** If more than one establishment is noted on the front cover under "**Report for this Location**," be sure to look at all your OSHA Form 300's to find which cases to report.



- **Step 4:** We have designed this survey to ensure that you do not have to report more than approximately 15 cases. If you have significantly more than 15 cases, please go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State for assistance. If you need additional Injury and Illness Case Forms, you may either photocopy a blank form or go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State.
- **Step 5:** When you are finished, proceed to Section 4: Contact Information on the back cover of this booklet and provide information for the person who completed this survey.

# **Injury and Illness Case Form**

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Tell us about a 2011 work-related injury or illness only if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of Section 3: Reporting Cases.

Tell us about the Case				
Go to your completed OSHA Form	n 300. Copy the case information	from that form into the	spaces below.	
Employee's name (Column B)	Job title (Column C)	Date of injury or onset of illness (Column D)  / /11 month day year	Number of days away from work (Column K)	Number of days of job transfer or restriction (Column L)
Tell us about the Emplo	yee	Tell us about	the Incident	
1. Check the category which best describes the employee's regular type of job or work: (optional)  Office, professional, business, or management staff Sales Product assembly, product manufacture Repair, installation or service of machines, equipment Construction Other:  Temployee's race or ethnic background: (optional-check one or more)  American Indian or Alaska Native Asian Black or African American Hispanic or Latino Native Hawaiian or Other Pacific Islander		Answer the questions below or attach a copy of a supplementary document that answers them.  6. Was employee treated in an emergency room? \[ \begin{align*} \limbda percorder* \Bigsigma percorder* \B		
White Not available  NOTE: You may either answer question supplementary document that answers the		Examples: "When "Worker was spray	ladder slipped on wet yed with chlorine wher	floor, worker fell 20 feet";
3. Employee's age:OR date of birth:/		12. <b>What was the injury or illness?</b> Tell us the part of the body that was affected and how it was affected; be more specific than "hurt," "pain," or "sore." <i>Examples</i> : "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."		
OR check length of service at estable occurred:  Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years  Employee's gender:	lishment when incident			'radial arm saw." If this
Male Female  P	S E	ss	oc	

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# **Injury and Illness Case Form**

Tell us about a 2011 work-related injury or illness only if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of Section 3: Reporting Cases.

Tell us about the Case	
Go to your completed OSHA Form 300. Copy the case information  Employee's name (Column B)  Job title (Column C)	Date of injury or onset of illness (Column D)    Mumber of days of job transfer or saway from work (Column K)    Mumber of days of job transfer or restriction (Column L)    Mumber of days of job transfer or restriction (Column L)
Tell us about the Employee	Tell us about the Incident
. Check the category which best describes the employee's regular type of job or work: (optional)  Office, professional, business, or management staff Sales Product assembly, product manufacture Repair, installation or service of machines, equipment Construction Other:  Employee's race or ethnic background: (optional-check one or more)  American Indian or Alaska Native Asian Black or African American Hispanic or Latino Native Hawaiian or Other Pacific Islander White Not available	Answer the questions below or attach a copy of a supplementary document that answers them.  8. Was employee treated in an emergency room? \[ \]_{yes} \[ \]_{no}  9. Was employee hospitalized overnight as an in-patient? \[ \]_{yes} \[ \]  8. Time employee began work: \[ \]_{am} \[ \]_{pm}  9. Time of event: \[ \]_{am} \[ \]_{pm} \[ OR \[ \]_{check if time carbe determined} \[ Event occurred: (optional) \[ \]_{before} \[ \]_{during} \[ \]_{after} \[ work s \]  10. What was the employee doing just before the incident occurred Describe the activity as well as the tools, equipment, or material the employee was using. Be specific. \[ Examples: "climbing a ladder while carrying roofing materials"; "spraying chlorine from hand sprayer"; "daily computer key-entry."  11. What happened? Tell us how the injury or illness occurred. \[ Examples: "When ladder slipped on wet floor, worker fell 20 fee "Worker was sprayed with chlorine when gasket broke during"
<b>IOTE:</b> You may either answer questions (3) to (13) or attach a copy of a upplementary document that answers them. <b>Employee's age:</b> OR date of birth:	replacement"; "Worker developed soreness in wrist over time."  12. What was the injury or illness? Tell us the part of the body that was affected and how it was affected; be more specific than "hurt
Employee's date hired:/	"pain," or "sore." <i>Examples</i> : "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."  13. What object or substance directly harmed the employee?  [Stramples: "carpate floor", "chloring", "solid arm say: "If this
Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years  Employee's gender: Male Female	Examples: "concrete floor"; "chlorine"; "radial arm saw." If this question does not apply to the incident, leave it blank.

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## **Section 4: Contact Information**

	_ ( ) -		_( ) -	
Printed name	Telephone number	Ext.	Fax number	
	/ /			
Title	Today's date			

Use the return envelope to send us the **entire package** -- everything that we sent you -- within 30 days of the date your establishment received it. If the return envelope is missing, send the **entire package** to the return address on the front cover (look for *Address for Return Envelope*).

Fill in the name, title, and phone number of the person who completed this survey in case we have questions.

## Section 5: If You Need Help . . .

If you have any questions or if you need help completing this survey, call the phone number(s) that is listed below for your State. The phone number(s) may be for an office outside your State, but they will be able to help you. If you prefer to write, send your letter to the return address on the front of this package.

prefer to write, send your letter to the return address on the front of this package.				
Alabama	Illinois	Nebraska	Rhode Island	
(334) 242-3461, 3463	(217) 524-2098	(402) 471-3547, 1545	(617) 565-2302	
(334) 240-3417 fax	(217) 558-4122 fax	(800) 599-5155	(617) 565-3847 fax	
Alaska	Indiana	(402) 742-2352 fax	South Carolina	
(907) 465-4539	(317) 232-2668	Nevada	(803) 896-7659, 7683	
(907) 465-4506 fax	(317) 233-3790 fax	(866) 931-1215	(803) 896-4676 fax	
Arizona	Iowa	(775) 684-7081	South Dakota	
(602) 542-3739	(515) 281-5151	(775) 687-3826 fax	(312) 353-7253	
(602) 542-6360 fax	(515) 242-5076 fax	New Hampshire	(312) 353-7230 fax	
Arkansas	Kansas	(617) 565-2302	Tennessee	
(501) 682-4509	(785) 296-1640	(617) 565-3847 fax	(615) 741-1748	
(501) 682-4754 fax	(785) 296-2151 fax	New Jersey	(800) 778-3966	
California	Kentucky	(609) 292-8999	(615) 253-5501 fax	
(415) 703-3020	(502) 564-4137, 4259, 4136	(609) 633-0618 fax	Texas	
(415) 703-3029 fax	(502) 564-0091 fax	New Mexico	(866) 237-6405	
Colorado	Louisiana	(505) 476-8740	(512) 804-4652 fax	
(816) 285-7146	(225) 342-3126	(505) 476-8735 fax	Utah	
(972) 850-4810 fax	(225) 342-3269 fax	New York	(801) 530-6926, 6823	
Connecticut	Maine	(888) 425-1323	(801) 536-7906 fax	
(860) 263-6941	(207) 623-7903, 7904	(888) 807-0410 fax	Vermont	
(860) 263-6950 fax	(207) 623-7937 fax	North Carolina	(802) 828-5076	
Delaware	Maryland	(919) 733-2758	(802) 828-2195 fax	
(302) 761-8221	(410) 527-4460, 4461, 4462	(919) 733-2186 fax	Virgin Islands	
(302) 762-3590 fax	(410) 527-4497 fax	North Dakota	(340) 776-3700 ext. 2135, 2667	
District of Columbia	Massachusetts	(312) 353-7253	(340) 777-4803 fax	
(202) 442-9010, 5926, 5930	(617) 626-6945	(312) 353-7230 fax	Virginia	
(202) 442-4833 fax	(617) 626-6944 fax	Ohio	(804) 786-1035, 1995, 7616	
Florida	Michigan	(312) 353-7253	(804) 786-8418 fax	
(850) 413-1611	(517) 322-1848	(312) 353-7230 fax	Washington	
(850) 922-0024 fax	(517) 322-5117 fax	Oklahoma	(360) 902-5640	
Georgia	Minnesota	(405) 521-6857	(360) 902-4249 fax	
(404) 679-1746, 1747, 1656	(888) 589-6322	(405) 521-6021 fax	West Virginia	
(404) 679-0520 fax	(651) 284-5726 fax	Oregon	(800) 652-9033	
Guam	Mississippi	(503) 947-7030	(304) 558-2658	
(671) 475-7056	(404) 893-8344, 1934	(503) 947-7085 fax	(304) 558-0301 fax	
(671) 475-7063 fax	(404) 893-8343 fax	Pennsylvania	Wisconsin	
Hawaii	Missouri	(215) 861-5625, 5638	(800) 884-1273	
(808) 586-9001	(573) 751-3802, 2663, 2454	(215) 861-5736 fax	(608)-221-6289	
(808) 586-9022 fax	(573) 751-2319 fax	Puerto Rico	(608) 221-6297 fax	
Idaho	Montana	(787) 754-5300, ext. 3055,	Wyoming	
(415) 625-2275, 2271	(800) 541-3904	3056, 3057, 3058, 3059	(866) 518-6680	
(415) 625-2356 fax	(406) 444-2638 fax	(787) 756-1116 fax	(307) 473-3838, 3819	
			(307) 473-3863 fax	