

MEMORANDUM**OMB # 1850-0790 v.31**

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TO: Shelly Martinez
Office of Information and Regulatory Affairs, Office of Management and Budget

FROM: Patricia Etienne
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THROUGH: Kashka Kubzdela
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SUBJECT: NAEP 2011-13 System Clearance Burden Change Request

This OMB83 C is requesting an increase in burden to account for the full three year burden for NAEP, instead of an annual amount. This is done to accommodate the ROCIS record for this generic ICR since the U.S. Department of Education (ED) only accounted for an annual total. Last year, ED reported 1,129,065 responses and 358,801 annual burden hours. This year, NCES needs 30,808 additional hours and next year 347,567 hours are needed. Therefore, for the NAEP 2011-13 the 3-year total number of responses will be 2,240,673 and the total respondent burden will be 737,176 hours (see the attached tables with detailed burden estimates).

The burden for 2012¹ has been revised to reflect the most recent 2012 NAEP sample size plans. The revised total burden (students, teachers, school personnel) is now estimated at 30,808 hours. The original overall burden for 2012 supplied in the System Clearance (submitted in November 2009) was for 27,490 hours. This represents an overall increase of approximately 3,000 burden hours.

The number of respondents for 2012 is now estimated to be 88,805 compared to the system clearance estimate of 89,986. The number of students has decreased but the number of teachers and schools has increased over the estimates now that specific sample size information is available. Student estimates were revised because some new subjects (gr. 4 writing) have been added while other subjects (science pilot and Tech Literacy) have been dropped for 2012. Estimates for school burden and teacher burden have increased because the number of participating schools has increased by about 400, the burden for pre-assessment visits has increased from one hour to two, and a higher proportion of SD and ELL students will be sampled in LTT ages 9 and 13.

The burden for 2013² varies somewhat from the table that was included in the System Clearance submittal. Though some of the subjects have already changed for 2013, no official revised estimates for students, teachers, and schools have yet been supplied. Thus the numbers contained in the 2013 burden charts are only estimates based on information available at this time.

The revised total burden (students, teachers, school personnel) is now estimated at 347,567 hours. The original overall burden supplied in the System Clearance (submitted in November 2009) was for 394,105 hours. This represents an overall decrease of approximately 46,000 burden hours. The number of respondents for 2013 is now estimated to be 1,021,192 compared to the system clearance estimate of 1,452,784. Student estimates were revised because national and state science assessments at all three grades have been dropped while a writing national assessment, at grade 4 only, has been added.

¹ 2012 refers to the 2011-2012 school year (i.e., September 2011 through June 2012).

² 2013 refers to the 2012-2013 school year (i.e., September 2012 through June 2013).

2012 Overall Burden Information

Subjects	# of Students	Avg. time per resp.	Student Burden (in hours)	# of Teachers	Avg. time per resp.	Teacher Burden (in hours)	# of Schools	Avg. time per resp.	School Burden	SD/ELL (# of school personnel)	Avg. time per resp.	SD/ELL Burden ⁶ (in hours)	Total Burden (in hours)
LTT ¹ Age 9	17,525	15 min	4,381				440			440	10 min	789	5,170
LTT Age 13	17,525	15 min	4,381				485			485	10 min	643	5,024
LTT Age 17	17,000	15 min	4,250				550			550	10 min	397	4,647
Ages 9 and 17 LTT Pre-assessment activities and E-filing ²								2.38 hr	2,356				2,356
Age 13 LTT Pre-assessment activities ³								2 hr	970				970
LTT totals	52,050		13,013				1,475		3,326	1,475		1,828	18,167
4th Grade - Writing pilot	14,000	15 min	3,500	1,800	30 min	900	600	30 min	300	600	10 min	513	5,213
Pre-assessment activities and E-filing ²								2.38 hr	1,428				1,428
4th Grade - Totals	14,000		3,500	1,800		900	600		1,728	600		513	6,641
8th Grade - Teacher and School pilot⁴				1,455	20 min	485	485	30 min	243				728
8th Grade - Totals				1,455		485			243				728
12th Grade - Economics⁵; Reading Pilot; Math Pilot	14,000	15 min	3,500	450	20 min	150	450	30 min	225	450	10 min	327	4,202
Pre-assessment activities and E-filing ²								2.38 hr	1,071				1,071
12th Grade - Totals	14,000		3,500	450		150	450		1,296	450		327	5,273
Grand Total	80,050		20,013	3,705		1,535	2,525		6,593	2,525		2,668	30,808

Total respondents-

88,805

Total Burden-

30,808

¹ No teacher or school questionnaire associated with LTT.

² School personnel involved in pre-assessment activities (2 hr) and e-filing activities (1 hr) for the subset of schools (approximately 38%) that perform e-filing).

³ School personnel involved in pre-assessment activities (2 hr). No e-filing activities at age 13.

⁴ These schools are the same schools in which the age 13 LTT will be administered; thus, the burden for preassessment activities is indicated above with age 13 LTT.

⁵ At grade 12 there is an Economics Department Chair Questionnaire, included under teacher burden.

⁶ SD and ELL burden is the number of hours that school personnel spend to complete the SD/ELL worksheets for students identified as SD and/or ELL (estimated at 10 minutes per student). The overall SD/ELL burden is a factor of the number of students identified as SD/ELL. Based on most recent data, 22% of grade 4/age 9 students, 18% of grade 8/age 13, and 14% of grade 12/age 17 students were identified as SD/ELL. For example, the grade 4 SD/ELL burden is calculated as follows: 14,000 students * 22% estimated as SD and/or ELL * 10 minutes per student / 60 minutes in an hour = 513 hours. In addition, for 2012, there will be a 25% oversample of SD/ELL students for age 9 and 13 LTT (additional details to be provided in Wave 2). Therefore, the estimated number of SD/ELL students is 27% and 22% of the age 9 and age 13 samples, respectively.

Note: Due to rounding, some totals may differ slightly from the sum of subtotals.

2013 Overall Burden Information

Subjects	# of Students	Avg. time per resp.	Student Burden (in hours)	# of Teachers	Avg. time per resp.	Teacher Burden (in hours)	# of Schools	Avg. time per resp.	School Burden (in hours)	SD/ELL # of school personnel	Avg. time per resp.	SD/ELL Burden ³ (in hours)	Total Burden (in hours)
4th Grade - Operational (Math, Reading, Writing); Pilot (Math, Reading, Civics, Geography, US History, Science); NIES study; Special Studies	405,300	15 min	101,325	34,600	30 min	17,300	8,650	30 min	4,325	8,650	10 min	14,861	13,781.1
Pre-assessment visit and E-filing ¹								2.38 hr	20,587				20,587
4th grade totals	405,300		101,325	34,600		17,300	8,650		24,912	8,650		14,861	158,398
8th Grade - Operational (Math, Reading); Pilot (TEL, Math, Reading, Civics, Geography, US History, Science); NIES study; Special Studies	403,300	15 min	100,825	52,200	20 min	17,400	8,700	30 min	4,350	8,700	10 min	12,099	13,467.4
Pre-assessment visit and E-filing ¹								2.38 hr	20,706				20,706
8th grade totals	403,300		100,825	52,200		17,400	8,700		25,056	8,700		12,099	155,380
12th Grade - Operational (Math, Reading); Pilot (Civics, Geography, US History, Science); Special Studies	85,400	15 min	21,350				2,846	30 min	1,423	2,846	10 min	1,993	24,766
Pre-assessment visit and E-filing ¹								2.38 hr	6,773				6,773
HSTS (High School Transcript)							750	3 hr	2,250				2,250
12th grade totals	85,400		21,350				2,846		10,446	2,846		1,993	33,789
Total	894,000		223,500	86,800		34,700	20,196		60,414	20,196		28,963	347,567

Total Respondents 1,021,192

Total Burden 347,567

¹ School personnel involved in pre-assessment activities (2 hr) and e-filing activities (1 hr) for the subset of schools (approximately 38%) that perform e-filing.

² These schools are a subset of the same schools in which grade 12 will be administered. As such, the schools are not separately included under the number of school respondents.

³ SD and ELL burden is the number of hours that school personnel spend to complete the SD/ELL worksheets for students identified as SD and/or ELL (estimated at 10 minutes per student). The overall SD/ELL burden is a factor of the number of students identified as SD/ELL. Based on most recent data, 22% of grade 4 students, 18% of grade 8, and 14% of grade 12 students were identified as SD/ELL. For example, the grade 4 SD/ELL burden is calculated as follows: 405,300 students * 22% estimated as SD and/or ELL * 10 minutes per student / 60 minutes in an hour = 14,861 hours.

Note: Due to rounding, some totals may differ slightly from the sum of sub-totals.