















## PART II. INFORMATION ABOUT THE DECEDENT'S PRESENCE AT A 9/11 CRASH SITE BETWEEN SEPTEMBER 11, 2001 AND MAY 30, 2002

In this Part, please identify the circumstances and locations (Section A) and corresponding time and duration (Section B) of Decedent's presence at a 9/11 crash site from September 11, 2001 through May 30, 2002

**Note:** If the Decedent's presence at the 9/11 Crash Site from September 11, 2001 to May 30, 2002 involved more than one location (for example, if Decedent was a Responder at the WTC and also resided in the NYC Exposure Zone, or if Decedent worked at two different buildings within the NYC Exposure Zone), please complete this Part II for each location.

If you are submitting a hard copy claim form please make copies of this Part II of the claim form and submit multiple copies of this Part II.

### ***What is the definition of a "Responder" for purposes of this claim form?***

A "Responder" is defined as an individual who performed rescue, recovery, demolition, debris cleanup or other related services in the NYC Exposure Zone (defined below), at the Pentagon site or at the Shanksville, PA site, in response to the September 11, 2001 terrorist attacks, regardless of whether the individual was a state or federal employee or member of the National Guard or performed the services in some other capacity. Therefore, a Decedent may be considered a Responder even if the Decedent performed the listed services through a private employer or on a volunteer basis.

### ***What is the "NYC Exposure Zone" for purposes of this claim form?***

For purposes of this claim form, the NYC Exposure Zone is defined to include:

- the area in Manhattan south of the line that runs along Canal Street from the Hudson River to the intersection of Canal Street and East Broadway, north on East Broadway to Clinton Street, and east on Clinton Street to the East River; and
- any area related to or along the routes of debris removal, such as barges and Fresh Kills landfill.





















**Was the Decedent's death a result of the terrorist-related aircraft crashes of September 11, 2001 or the debris removal efforts that took place in the immediate aftermath of those crashes?  
(continued)**

Condition Number: [ ] [ ]

Please answer the following questions.

1. When did the Decedent first discover this injury or condition? [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]  
(mm/dd/yyyy)
2. When was the Decedent first treated by a medical professional for this injury or condition? [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]  
(mm/dd/yyyy)
3. When was the Decedent diagnosed with this injury or condition? [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]  
(mm/dd/yyyy)
4. Was the Decedent treated for this injury or condition under the WTC Health Program which commenced on July 1, 2011?  Yes  No

***What is the WTC Health Program?***

The WTC Health Program, which is operated by the National Institute for Occupational Safety and Health (NIOSH), was established pursuant to Title I of the Zadroga Act and commenced on July 1, 2011. The WTC Health Program provides medical diagnostic and treatment services for eligible individuals with specified injuries or conditions determined to be aggravated, contributed to, or caused by the September 11, 2001 terrorist attacks or the subsequent debris removal efforts. The WTC Health Program includes a nationwide network of health care providers for eligible individuals living outside the New York metropolitan area. As of July 1, 2011, the WTC Health Program assumed the functions and goals of two prior programs: the WTC Medical Monitoring and Treatment Program for responders and recovery and cleanup workers which included a nationwide network of health care providers who provided services for responders living outside the New York metropolitan area, and the WTC Environmental Health Center Community Program for eligible residents, students, and others in the community. Please refer to the Instructions for more information about the WTC Health Program and the two previous programs.

*continued on next page*









## PART IV. ATTESTATIONS AND CERTIFICATIONS FOR ELIGIBILITY FORM

### A. PRIVACY ACT NOTICE

The Department of Justice is authorized to collect this information by the September 11th Victim Compensation Fund of 2001, Title IV of Public Law 107-42, Air Transportation Safety and System Stabilization Act, 49 U.S.C. § 40101 note, as amended by the James Zadroga 9/11 Health and Compensation Act of 2010, Title II of Public Law 111-347. The information you submit in your claim is for official use by the U.S. Department of Justice for the purposes of determining your eligibility for and the amount of compensation you may receive under your claim to the Victim Compensation Fund. Provision of this information is voluntary; however, failure to provide complete information may result in a delay in processing or a denial of your claim. Information you submit regarding your claim may be disclosed by the Government only in accordance with the provisions of the Privacy Act.

I authorize the U.S. Department of Justice to disclose any records or information relating to my Victim Compensation Fund claim for the purpose of determining qualification and/or compensation of my claim to: agency contractors assisting in the administration of the Victim Compensation Fund; other federal, state, or local agencies, including the Department of Treasury and NIOSH; and other individuals or entities having information related to the claim, such as physicians, medical service providers, insurers, and employers.

Signature of Personal Representative

/

/

Date (mm/dd/yyyy)

Print Name

### B. PROOF OF DISMISSAL OF ANY LAWSUIT

Have you or any dependent, spouse, or beneficiary of the Decedent filed a lawsuit (or been a party to a lawsuit) in any Federal or State court relating to or arising out of damages sustained as a result of the terrorist-related aircraft crashes of September 11, 2001 or for damages arising from or related to debris removal (other than a lawsuit to recover collateral source obligations or a lawsuit against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act)?

Yes  No

• If Yes,

Was the lawsuit withdrawn or dismissed on or before January 2, 2012?  Yes  No

Was the lawsuit settled on or before January 2, 2011?  Yes  No

Was the lawsuit settled in part on or before January 2, 2011?

Yes  No  Do not know

If yes:

Was the portion of the lawsuit that was not settled on or before January 2, 2011 dismissed on or before January 2, 2012?  Yes  No

Initial here: \_\_\_\_\_



**C. ACKNOWLEDGEMENT OF WAIVER OF RIGHTS**

I hereby acknowledge that by submission of a substantially complete Eligibility Form, I am waiving the right to file a lawsuit (or be a party to a lawsuit) in any federal or state court for damages sustained as a result of the terrorist-related aircraft crashes of September 11, 2001 or for damages arising from or related to debris removal.

Please note this Waiver of Rights could apply to the rights of individuals other than the Personal Representative. This waiver does not apply to lawsuits to recover collateral source obligations or to a lawsuit against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist attack.

[Empty signature box]

Signature of Personal Representative

[ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]

Date (mm/dd/yyyy)

[Empty name box]

Print Name

**D. AUTHORIZATION OF RELEASE OF INFORMATION**

I Authorize the U.S. Department of Justice to obtain any information relating to my claim under the September 11th Victim Compensation Fund of 2001 (Victim Compensation Fund or VCF) for the purpose of evaluating my claim for compensation to the VCF from individuals, employers, hospitals, medical service providers, other federal, state or local agencies including the Social Security Administration and the Internal Revenue Service, the World Trade Center Health Program (WTCHP), the National Institute for Occupational Safety and Health (NIOSH), the Clinical Centers of Excellence under the WTCHP, the Nationwide Network of health care providers under the WTCHP, the Fire Department of New York, the New York Police Department, the New York Office of Payroll Administration, the New York City Employees' Retirement System, the Teachers' Retirement System of the City of New York, the New York City Police Pension Fund, the New York Fire Department Pension Fund, the New York City Board of Education Retirement System, the New York State Workers' Compensation Board, the State of New Jersey Department of Labor and Workforce Development, Division of Workers' Compensation, the State of Connecticut Department of Social Services, Bureau of Rehabilitation Services (formerly the State of Connecticut Workers' Compensation Commission), the Port Authority of New York and New Jersey, the New York City Office of the Chief Medical Examiner, New York City Health and Hospitals Corporation, Child Health Plus, Family Health Plus, Medicaid, the WTC Captive Insurance Company, Inc., the Allocation Neutral for the World Trade Center Litigation Settlement, or other sources having information relating to my claim. This information may include, but is not limited to, medical, government, and financial information (including pension records, pension files, or pension information) about me or the Decedent whom I represent. The requested medical information may consist of the Decedent's entire medical records, which may include application or enrollment information, eligibility information, claims records, claim status, patient medical records, patient histories, office notes (except psychotherapy notes), test results, radiology studies, films, referrals, consults, billing records, insurance records, and records sent by other health care providers. Disclosure requested will include otherwise confidential information. If records include claims or other information pertaining to chronic diseases, behavioral health conditions, including alcohol or substance abuse, communicable diseases, including HIV/AIDS, and/or genetic marker information, these records will be included in the information made available to the Victim Compensation Fund.



**D. AUTHORIZATION OF RELEASE OF INFORMATION (CONTINUED)**

**I Recognize** that signing this Authorization is voluntary and that the Decedent's doctors and medical providers and any other entity in possession of Decedent's health information may not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization. However, the VCF may not be able to evaluate my claim if I do not authorize the release of the Decedent's medical records.

**I Further Recognize** that health care providers are required by the Privacy Rule under HIPAA to protect the Decedent's health information. When they provide the information to the VCF it will not be protected by this same Privacy Rule. However, the VCF, DOJ and NIOSH will continue to protect the confidentiality of the Decedent's medical records to the extent they are permitted to do so under another federal law, the Privacy Act. The VCF will not disclose the Decedent's identifiable health information that it receives under this Authorization without my written consent except where authorized to do so by law.

**I Further Authorize** the U.S. Department of Justice to disclose any records or information relating to my Victim Compensation Fund claim for the purpose of determining qualification and/or compensation of my claim to: agency contractors assisting in the administration of the Victim Compensation Fund; other federal, state, or local agencies, including the Department of Treasury and NIOSH; and other individuals or entities having information related to the claim, such as physicians, medical service providers, insurers, and employers.

**I Further Authorize** the U.S. Department of Justice to publish the name of the Personal Representative filing a claim and the name of the Decedent for whom compensation is sought.

**I Further Authorize** the release of information relating to my claim, where such information indicates a violation or potential violation of law, including submission of fraudulent claims, to any civil or criminal law enforcement authority or other appropriate agency charged with responsibility of investigating or prosecuting such a violation.

**I Further Authorize** individuals, entities, and federal, state and local agencies including NIOSH and the WTCHP, having information pertinent to my claim to release such information to a duly accredited representative of the Department of Justice during the review of my claim to the Victim Compensation Fund, regardless of any previous agreement to the contrary. Copies of this authorization that show my signature are as valid as the original release signed by me. I acknowledge that I have the right to revoke this Authorization at any time, except to the extent that VCF and the entities listed above have already acted based on this Authorization. I understand that to revoke this authorization, I must write to the VCF at September 11th Victim Compensation Fund, P.O. Box 34500, Washington, D.C. 20043. I recognize that this authorization is valid for six (6) years from the date signed or upon my written termination whichever is sooner.

**I Certify** that I am the person named below (Personal Representative making a claim to the Victim Compensation Fund on behalf of the Decedent) and I authorize the release of information listed above. I understand that the knowing and willful request for or acquisition of a record pertaining to an individual under false pretenses is a criminal offense subject to a \$5,000 fine.

**By initialing**, I acknowledge that the information described above may include mental health information and I authorize the release of such information \_\_\_\_\_.

[Signature box]

Signature of Personal Representative

[ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]

Date (mm/dd/yyyy)

[Print Name box]

Print Name



**E. PERSONAL REPRESENTATIVE'S ACKNOWLEDGMENT OF ATTORNEY'S COMPLIANCE WITH LIMITATION ON ATTORNEY FEES**

If an attorney has rendered services in connection with this claim, the Personal Representative must sign and date the following acknowledgement:

**I hereby acknowledge** that I have read and understand the provisions governing the limitation on attorney fees as stated in the Instructions to this claim form, which, in general and with limited exceptions, provide that my attorney, notwithstanding any contract, **cannot charge me more than ten percent (10%) of any award that may be paid on my claim**, and that any expenses incurred by my attorney in connection with my claim, other than those that are routinely incurred, cannot be charged to me unless they have been approved by the Special Master.

[Signature box]

Signature of Personal Representative

[ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]

Date (mm/dd/yyyy)

[Print Name box]

Print Name

**F. AUTHORIZATION FOR ATTORNEY COMMUNICATION AND CORRESPONDENCE**

If an attorney or other authorized individual is assisting the Personal Representative with this claim and the Personal Representative wants to authorize the Victim Compensation Fund to communicate with this individual, please sign and date the following authorization.

**Indicate here**  If you would like to receive a copy of all VCF correspondence regarding this claim. Note that if you do not check this box, the VCF will **not** be authorized to contact you directly regarding this claim.

**I Authorize** the Special Master, the Special Master's designees, the United States Department of Justice or agency contractors assisting in the administration of the Victim Compensation Fund to contact my attorney or other persons authorized to act on my behalf (if identified in Part I.C.) if the Special Master needs additional information or clarification about my claim.

[Signature box]

Signature of Personal Representative

[ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]

Date (mm/dd/yyyy)

[Print Name box]

Print Name





Decedent's SSN or Nat'l ID #    -   -

-   -

Personal Representative's SSN or Nat'l ID #

**September 11th Victim Compensation Fund of 2001  
Exhibit A to the Eligibility Form For Deceased Individuals  
Authorization for Release of Medical Records**

**Instructions for Personal Representative** - Please list all doctors and health care providers who were involved in diagnosing and treating your injury, as well as any other entities (e.g., insurance companies, workers' compensation programs, pension programs) that may have medical information in Section 1. Please copy this exhibit and complete if you need to list more than four health care providers or other entities. Then, please print your name and address and sign in the block in Section 2.

**When you sign this document, you give permission to the Decedent's doctors, health care providers or other entities listed below to disclose your health information to the September 11th Victim Compensation Fund (VCF), the United States Department of Justice (DOJ), and the National Institute for Occupational Safety and Health (NIOSH) for purposes of evaluating your claim for compensation to the VCF.**

Please note that you may revoke this Authorization at any time, except to the extent that VCF and the providers listed below have already acted based on this Authorization. To revoke this authorization, you must write to the providers or entities listed below and to the VCF at the address below. This authorization is valid for six (6) years from the date signed or upon your written termination, whichever is sooner.

The Decedent's providers and certain other entities are required by the Privacy Rule under HIPAA to protect your health information. When they provide the information to the VCF it will not be protected by this same Privacy Rule. However, the VCF, the DOJ and NIOSH will continue to protect the confidentiality of your medical records to the extent they are permitted to do so under another Federal law, the Privacy Act. The VCF will not disclose your identifiable health information that it receives under this Authorization without your written consent except where authorized to do so by law.

**Information to be disclosed to the Victim Compensation Fund includes, but is not limited to, application or enrollment information, eligibility information, claims records, claim status, pension records and files, entire patient medical records, patient histories, office notes (except psychotherapy notes), test results, radiology studies, films, referrals, consults, billing records, insurance records, and records sent to your doctors and medical care providers by other health care providers.**

**Disclosure requested will include otherwise confidential information.** If records include claims or other information pertaining to chronic diseases, behavioral health conditions, including alcohol or substance abuse, communicable diseases, including HIV/AIDS, and/or genetic marker information, these records will be included in the information made available to the VCF.

**I understand that this authorization is voluntary.** However, if you refuse to sign this authorization, the VCF will not be able to process your claim for compensation.













Decedent's SSN or Nat'l ID #    -   -

Personal Representative's SSN or Nat'l ID #    -   -

**September 11th Victim Compensation Fund of 2001  
Exhibit B1 to the Eligibility Form For Deceased Individuals  
Authorization for Release of Pension Records and Health Information  
by New York Individuals and Entities**

**AUTHORIZATION FOR RELEASE OF PENSION AND HEALTH INFORMATION PURSUANT TO HIPAA**

Patient Name	Date of Birth	Social Security Number
Patient Address		

I, or my authorized representative, request that health information regarding my care and treatment be released as set forth on this form:

In accordance with New York State Law and the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), I understand that:

1. This authorization may include disclosure of information relating to **ALCOHOL** and **DRUG ABUSE, MENTAL HEALTH TREATMENT**, except psychotherapy notes, and **CONFIDENTIAL HIV\* RELATED INFORMATION** only if I place my initials on the appropriate line in Item 9(a). In the event the health information described below includes any of these types of information, and I initial the line on the box in Item 9(a), I specifically authorize release of such information to the person(s) indicated in Item 8.
2. If I am authorizing the release of HIV-related, alcohol or drug treatment, or mental health treatment information, the recipient is prohibited from redisclosing such information without my authorization unless permitted to do so under federal or state law. I understand that I have the right to request a list of people who may receive or use my HIV-related information without authorization. If I experience discrimination because of the release or disclosure of HIV-related information, I may contact the New York State Division of Human Rights at (212) 480-2493 or the New York City Commission of Human Rights at (212) 306-7450. These agencies are responsible for protecting the my rights.
3. I have the right to revoke this authorization at any time by writing to the health care provider listed below. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization.
4. I understand that signing this authorization is voluntary. The Decedent's treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.
5. Information disclosed under this authorization might be redisclosed by the recipient (except as noted above in Item 2), and this redisclosure may no longer be protected by federal or state law.
6. **THIS AUTHORIZATION DOES NOT AUTHORIZE YOU TO DISCUSS MY HEALTH INFORMATION OR MEDICAL CARE OR PENSION INFORMATION WITH ANYONE OTHER THAN THE ATTORNEY OR GOVERNMENTAL AGENCY SPECIFIED IN ITEM 9 (b).**



Decedent's SSN or Nat'l ID #

□□□□ - □□ - □□□□□□

□□□□ - □□ - □□□□□□

Personal Representative's SSN or Nat'l ID #

**September 11th Victim Compensation Fund of 2001  
Exhibit B1 to the Eligibility Form For Deceased Individuals  
Authorization for Release of Pension Records and Health Information  
by New York Individuals and Entities**

**AUTHORIZATION FOR RELEASE OF PENSION AND HEALTH INFORMATION PURSUANT TO HIPAA**

7. Name and address of health provider, pension fund, or other entity to release this information:

- New York Office of Payroll Administration (OPA)  
Room 200N  
One Centre Street  
New York, NY 10007
- New York City Police Pension Fund (POLICE)  
233 Broadway, 19th Floor  
New York, NY 10279
- New York Fire Department Pension Fund (FIRE)  
9 MetroTech Center  
Brooklyn, NY 11201
- New York City Employees' Retirement System (NYCERS)  
335 Adams Street, Suite 2300  
Brooklyn, NY 11201-3724
- Teachers' Retirement System of the City of New York (TRS)  
55 Water Street  
New York, NY 10041
- New York City Board of Education Retirement System (BERS)  
65 Court Street, 16th Floor  
Brooklyn, NY 11201-4965

8. Name and address of person(s) or category of person to whom this information will be sent:

The September 11th Victim Compensation Fund of 2001  
P.O. Box 34500  
Washington, DC 20043

The United States Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530



Decedent's SSN or Nat'l ID # [ ] [ ] [ ] - [ ] [ ] - [ ] [ ] [ ] [ ]

[ ] [ ] [ ] - [ ] [ ] - [ ] [ ] [ ] [ ]

Personal Representative's SSN or Nat'l ID #

### September 11th Victim Compensation Fund of 2001 Exhibit B1 to the Eligibility Form For Deceased Individuals Authorization for Release of Pension Records and Health Information by New York Individuals and Entities

#### AUTHORIZATION FOR RELEASE OF PENSION AND HEALTH INFORMATION PURSUANT TO HIPAA

**9(a).** Specific information to be released:

- Complete Pension File, including, but not limited to: Information regarding the type of pension awarded (ADR, ODR or service), the amount, and whether or not the benefit was awarded pursuant to the WTC Disability Law.

Include: (*Indicate by Initialing*)  
 \_\_\_\_\_ Alcohol/Drug Treatment  
 \_\_\_\_\_ Mental Health Information  
 \_\_\_\_\_ HIV Related Information

**Authorization to Discuss Health or Pension Information**

**9(b).**  By initialing here \_\_\_\_\_, I authorize  
 (Initials)

\_\_\_\_\_

(Name of individual health care provider, pension fund or other entity)

to discuss my health or pension-related information with my attorney, or a governmental agency, listed here:

the September 11th Victim Compensation Fund and the United States Department of Justice  
 (Attorney/Firm Name or Governmental Agency Name)

<p><b>10. Reason for release of information:</b></p> <p><input type="radio"/> At request of individual</p> <p><input checked="" type="radio"/> Other: To evaluate my claim for compensation with the September 11th Victim Compensation Fund</p>	<p><b>11. Date or event on which this authorization will expire:</b></p> <p>Six (6) years from the date of signature or upon my written termination</p>
<p><b>12. If not the claimant, name of person signing form:</b></p> <p>_____</p>	<p><b>13. Authority to sign on behalf of claimant:</b></p> <p>_____</p>

All items on this form have been completed and my questions about this form have been answered. In addition, I have been provided a copy of the form.

\_\_\_\_\_  
Signature of claimant or representative authorized by law

Date: \_\_\_\_\_

\* Human Immunodeficiency Virus that causes AIDS. The New York State Public Health Law protects information which reasonably could identify someone as having HIV symptoms or infection and information regarding a person's contacts.



Decedent's SSN or Nat'l ID # [ ] [ ] [ ] - [ ] [ ] - [ ] [ ] [ ] [ ]

[ ] [ ] [ ] - [ ] [ ] - [ ] [ ] [ ] [ ]

Personal Representative's SSN or Nat'l ID #

### September 11th Victim Compensation Fund of 2001 Exhibit B2 to the Eligibility Form For Deceased Individuals Authorization for Release of Health Information by New York Individuals and Entities

#### AUTHORIZATION FOR RELEASE OF HEALTH INFORMATION PURSUANT TO HIPAA

Patient Name	Date of Birth	Social Security Number
Patient Address		

I, or my authorized representative, request that health information regarding my care and treatment be released as set forth on this form:

In accordance with New York State Law and the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), I understand that:

1. This authorization may include disclosure of information relating to **ALCOHOL** and **DRUG ABUSE, MENTAL HEALTH TREATMENT**, except psychotherapy notes, and **CONFIDENTIAL HIV\* RELATED INFORMATION** only if I place my initials on the appropriate line in Item 9(a). In the event the health information described below includes any of these types of information, and I initial the line on the box in Item 9(a), I specifically authorize release of such information to the person(s) indicated in Item 8.
2. If I am authorizing the release of HIV-related, alcohol or drug treatment, or mental health treatment information, the recipient is prohibited from redisclosing such information without my authorization unless permitted to do so under federal or state law. I understand that I have the right to request a list of people who may receive or use my HIV-related information without authorization. If I experience discrimination because of the release or disclosure of HIV-related information, I may contact the New York State Division of Human Rights at (212) 480-2493 or the New York City Commission of Human Rights at (212) 306-7450. These agencies are responsible for protecting my rights.
3. I have the right to revoke this authorization at any time by writing to the health care provider listed below. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization.
4. I understand that signing this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.
5. Information disclosed under this authorization might be redisclosed by the recipient (except as noted above in Item 2), and this redisclosure may no longer be protected by federal or state law.
6. **THIS AUTHORIZATION DOES NOT AUTHORIZE YOU TO DISCUSS MY HEALTH INFORMATION OR MEDICAL CARE WITH ANYONE OTHER THAN THE ATTORNEY OR GOVERNMENTAL AGENCY SPECIFIED IN ITEM 9 (b).**













## September 11th Victim Compensation Fund of 2001 Exhibit E to Eligibility Form For Deceased Individuals Notice of Filing Claim

*Instructions to Decedent's Personal Representative:*

- Fill out a separate copy of this page for each person to whom you are required to provide a Notice of Filing.
- On each copy, fill out the name and address of the person to whom you are providing the Notice and insert the name of the Decedent in the spaces provided below as indicated.
- Deliver each Notice personally or by certified mail, return receipt requested.
- *You must deliver a copy of this document to the following people:*
  - The immediate family of the Decedent (including, but not limited to, the spouse, former spouse(s), children other dependents, siblings, and parents)
  - The Executor or Administrator and beneficiaries of the Decedent's will and life insurance policies.
  - Any other person who may reasonably be expected to assert an interest in an award or to have a cause or action to recover damages relating to the wrongful death of the Decedent.

---

TO:                   NAME: \_\_\_\_\_

                          ADDRESS: \_\_\_\_\_

                          \_\_\_\_\_

                          \_\_\_\_\_

You are receiving this notice to inform you that a claim on behalf of \_\_\_\_\_ (insert name of Decedent) is being filed with the September 11th Victim Compensation Fund of 2001. The claim is being filed by \_\_\_\_\_ (insert name of Personal Representative).

The rules that govern the Victim Compensation Fund state that only **one** claim may be filed in connection with the death of a Decedent and that the claim must be filed by the Decedent's Personal Representative. The rules also state that any award from the Victim Compensation Fund shall be paid to the Personal Representative and that the Personal Representative is required to distribute the award among the Decedent's beneficiaries in accordance with the laws of the Decedent's domicile.

You have been notified that a claim is being filed on behalf of \_\_\_\_\_ (insert name of Decedent) because the Personal Representative is required to give notice of claim filing to the Decedent's immediate family, to the executor, administrator, and beneficiaries of the Decedent's will and life insurance policies and to other people who might reasonably have an interest in any award that may be made from the Victim Compensation Fund.

The rules that govern the filing of claims with the Victim Compensation Fund require the Personal Representative waive any right to file a lawsuit for damages sustained as a result of the terrorist-related aircraft crashes on September 11, 2001 or debris removal. This waiver could affect the rights of others, including you, to file any such lawsuits.

You are not required to take any action in response to this notice. However, any objection to the filing of the claim must be made within 30 days after the claim has been filed, which could be as soon as 30 days from the date this notice was mailed or otherwise provided to you.

If you want to learn more about the Victim Compensation Fund, please call 1-855-885-1555; TDD:1-855-885-1558; outside the U.S.: 1-212-619-3215. Information can also be obtained over the internet at [www.vcf.gov](http://www.vcf.gov)















## ELIGIBILITY FORM SUPPORTING DOCUMENTATION CHECKLIST - DECEASED INDIVIDUALS

Supporting Documentation for Eligibility Form: Parts I-IV	Mailed	Submission Complete	For Internal Use Only
<p><b>Part I.E. Information about the Decedent's Participation in Lawsuits Related to September 11, 2001 (If Applicable)</b></p> <p>If the lawsuit has been withdrawn, please submit the notice or motion of withdrawal. That withdrawal must be filed on the relevant court docket on or before January 2, 2012. Please note that you must also submit the final order of the court confirming withdrawal in order for the VCF to issue payment on your claim if you are determined to be eligible.</p> <p>If the lawsuit has been settled and released, please submit a copy of the settlement agreement and release. The documents you submit must show the date of the settlement and release, the total settlement amount, and the medical condition that was approved for payment under the settlement.</p> <ul style="list-style-type: none"> <li>If the attorney of the Decedent or Decedent's dependent, spouse or beneficiary signed and submitted the release, you must also provide a copy of the retainer agreement with the attorney in the settled lawsuit as proof that the attorney was authorized to sign the release.</li> </ul> <p>If the lawsuit has been dismissed, please submit the order of dismissal.</p> <p>If Decedent or anyone on the Decedent's behalf has filed a lawsuit or claim for compensation for the claimed condition with any court or bankruptcy trust for any respiratory injury or disease due to exposure unrelated to September 11, 2001 (e.g., asbestos), please submit information on the action or claim (court/trust, year filed, docket number, injury/disease claimed) and documentation of any judgment, settlement or trust compensation.</p>	<p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p>	<p style="text-align: center;"><input type="radio"/></p>	



□□□□ - □□ - □□□□□□

□□□□ - □□ - □□□□□□

## ELIGIBILITY FORM SUPPORTING DOCUMENTATION CHECKLIST - DECEASED INDIVIDUALS

Supporting Documentation for Eligibility Form: Parts I-IV	Mailed	Submission Complete	For Internal Use Only
<p><b>Part II. Information About The Decedent's Presence at a 9/11 Crash Site Between September 11, 2001 and May 30, 2002</b></p> <p>Please submit written proof showing the Decedent was present at the site. Examples of acceptable proof include the following:</p> <p><u>Responders</u></p> <p>Employer records confirming employment with an organization or entity that was responsible for rescue and recovery, clean up, transportation of debris, and confirming that the Decedent was present at the site, including an official personnel roster, site credentials or a pay stub; OR</p> <p>Contemporaneous documentation of presence - such as orders, instructions, confirmation of tasks performed, contemporaneous medical records, or contemporaneous records of federal, state, city or local government.</p> <p><u>Presence Claimed Based on Residence</u></p> <p>Proof of residence in the area during the relevant time period such as (i) rent receipts, mortgage receipts, or utility bills <b>and</b> (ii) proof that the Decedent was physically present at the residence at some point between September 11, 2001 and May 30, 2002, which could include at least two (2) sworn and notarized affidavits (or unsworn statements complying with 28 U.S.C. 1746) from co-habitants, landlords, doormen, or neighbors.</p> <p><u>Presence Claimed Based on Non-Responder Work in NYC Exposure Zone or at the Pentagon</u></p> <p>Employment records documenting employment <b>and</b> presence in the NYC Exposure Zone or at the Pentagon; OR</p> <p>Contemporaneous documentation of presence - such as contemporaneous medical records, or contemporaneous records of federal, state, city or local government.</p> <p><u>Presence Claimed Based on School/Care Facility Attendance</u></p> <p>School or day care records confirming enrollment / attendance during the period.</p> <p><u>Presence in the NYC Exposure Zone in some other capacity (e.g. as a visitor)</u></p> <p>Contemporaneous documentation of presence - such as contemporaneous medical records, or contemporaneous records of federal, state, city or local government.</p> <p><b>Note:</b> At least two (2) sworn and notarized affidavits (or unsworn statements complying with 28 U.S.C. 1746) regarding the presence of the Decedent from persons who can attest to the Decedent's presence at a 9/11 crash site will serve as acceptable proof <b>only if</b> other official or "primary" forms of proof (such as those listed above) are not available <b>and</b> the Fund determines that such affidavits are sufficiently reliable.</p>	○	○	





September 11th  
Victim Compensation Fund

Decedent's SSN or Nat'l ID #    -   -

-   -

Personal Representative's SSN or Nat'l ID #

OMB 1123-0012

## ELIGIBILITY FORM SUPPORTING DOCUMENTATION CHECKLIST - DECEASED INDIVIDUALS

Supporting Documentation for Eligibility Form: Parts I-IV	Mailed	Submission Complete	For Internal Use Only
<p><b>Part IV. Attestations and Certifications</b></p> <p>Please print Part IV and Exhibits A-F of the claim form, sign where appropriate, and mail all pages of the Part (including pages you do not need to sign) to the VCF at September 11th Victim Compensation Fund; P.O. Box 34500; Washington, DC 20043. <b>You must mail pages with your original signature (no copies)</b>, but you should keep a copy for your own records. If possible, please also upload electronic copies of the signed pages. This will allow the VCF to begin processing your claim.</p> <p><b>Part IV.A: Privacy Act Notice</b> Please sign this section.</p> <p><b>Part IV.B: Certification of Dismissal of Lawsuit</b> Please initial in the applicable space</p> <p><b>Part IV.C: Acknowledgment of Waiver of Rights</b> Please sign this section.</p> <p><b>Part IV.D: Authorization for Release of Information</b> Please sign this section.</p> <p><b>Part IV.E: Personal Representative's Acknowledgement of Attorney's Compliance with Limitation on Attorney's Fees (If Applicable)</b> Only complete this section if an attorney provided legal services in connection with this claim.</p> <p><b>Part IV.F: Authorization for Communication and Correspondence (If Applicable)</b> Only complete this section if an attorney or someone else identified in Part I.C is assisting in the submission of this claim <b>and</b> if you want the VCF to communicate with this person about your claim.</p> <p><b>Part IV.G: Certification of Accuracy of Information</b> Please sign this section.</p>	<p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p> <p><input type="radio"/></p>	<p><input type="radio"/></p>	
<p><b>Exhibits A - F</b></p> <p><b>Exhibit A: Authorization for Release of Medical Records</b></p> <p>Please identify all doctors and health care providers who were involved in diagnosing and treating the Decedent's injury, as well as any other entities (e.g., insurance companies, workers' compensation programs, pension programs) that may have medical information relevant to this claim.</p> <p>Then complete your own contact information and sign and date the signature page.</p>	<p><input type="radio"/></p>	<p><input type="radio"/></p>	



## ELIGIBILITY FORM SUPPORTING DOCUMENTATION CHECKLIST - DECEASED INDIVIDUALS

Supporting Documentation for Eligibility Form: Parts I-IV	Mailed	Submission Complete	For Internal Use Only
<p><b>Exhibits A-F (continued)</b></p> <p><b>Exhibit B1: Authorization for Release of Pension Records and Health Information by New York Individuals and Entities (If Applicable)</b></p> <p>You must complete this exhibit if the Decedent was awarded a pension by one of the following New York pension funds:</p> <ul style="list-style-type: none"> <li>• New York City Police Pension Fund (POLICE)</li> <li>• New York Fire Department Pension Fund (FIRE)</li> <li>• New York City Employees' Retirement System (NYCERS)</li> <li>• Teachers' Retirement System of the City of New York (TRS)</li> <li>• New York City Board of Education Retirement System (BERS)</li> </ul> <p><b>To complete this exhibit:</b></p> <ul style="list-style-type: none"> <li>• Complete the boxes at the top of the page</li> <li>• Check the appropriate box in Question #7</li> <li>• Check the "Other" box in Question #9(a)</li> <li>• In Question #9(b), initial in the appropriate place and write the name of the pension</li> <li>• Complete Question #12 and Question #13</li> <li>• Sign and date the form</li> </ul> <p><b>Exhibit B2: Authorization for Release of Health Information by New York Individuals and Entities (If Applicable)</b></p> <p>You must complete a copy of this exhibit for any medical provider you identified in Exhibit A that is located in New York state. You must also complete a copy of this exhibit for any other doctors, facilities, hospitals, entities or individuals in New York state that have medical information that is relevant to your claim. You should complete a separate copy of this exhibit for each individual and entity.</p> <p><b>To complete this exhibit:</b></p> <ul style="list-style-type: none"> <li>• Complete the boxes at the top of the page</li> <li>• Write the name and address of the individual or entity in Question #7</li> <li>• In Question #9(a), initial in the three spaces next to "Alcohol/Drug Treatment," "Mental Health Information" and "HIV-Related Information."</li> <li>• In Question #9(b), initial in the appropriate place and write the name of the individual or entity</li> <li>• Complete Question #12 and Question #13</li> <li>• Sign and date the form</li> </ul>	<p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p>		



Decedent's SSN or Nat'l ID #    -   -

Personal Representative's SSN or Nat'l ID #    -   -

### ELIGIBILITY FORM SUPPORTING DOCUMENTATION CHECKLIST - DECEASED INDIVIDUALS

Supporting Documentation for Eligibility Form: Parts I-IV	Mailed	Submission Complete	For Internal Use Only
<p><b>Exhibits A-F (continued)</b></p> <p><b>Exhibit C: Attorney Certification of Compliance with Provision on Limitation on Attorney's Fees (if Decedent or Personal Representative is represented by attorney)</b></p> <p>This section must be completed by any attorney that is charging for legal services provided in connection with this claim. If an attorney has not assisted with this claim, you do not need to complete this section. [Attorneys that have provided pro bono assistance with this claim do not need to complete this Exhibit]</p> <p><b>Exhibit D: Attorney Request for Approval for Charge of Non-Routine Expenses</b></p> <p>This section should not be completed unless an attorney that provided legal services in connection with this claim seeks to charge the Decedent or Personal Representative for non-routine expenses. If the attorney seeks non-routine expenses, a statement explaining the non-routine expenses and why they should be approved should be submitted with this exhibit.</p> <p><b>Exhibit E: Notice of Filing Claim</b></p> <p>You must complete and send a copy of this exhibit to all of the following:</p> <ul style="list-style-type: none"> <li>• The immediate family of the Decedent (including, but not limited to, the spouse, former spouse(s), children other, dependents, siblings, and parents).</li> <li>• The Executor or Administrator and beneficiaries of the Decedent's will and life insurance policies.</li> <li>• Any other person who may reasonably be expected to assert an interest in an award or to have a cause or action to recover damages relating to the wrongful death of the Decedent.</li> </ul> <p><b>Exhibit F: Notice of Filing Claim</b></p> <p>You must complete this exhibit to identify for the VCF all individuals to which you have sent a copy of Exhibit E.</p>	<p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p> <p style="text-align: center;"><input type="radio"/></p>		