

**APPENDIX B**  
**SURVEY OF UI ADMINISTRATORS**



State: [PRE-FILLED]OMB Approval No.: XXXX-XXXX  
Expiration Date: XX/XX/XXXX

## EVALUATION OF THE UNEMPLOYMENT COMPENSATION PROVISIONS OF THE AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) OF 2009

### SURVEY OF UI ADMINISTRATORS

#### INTRODUCTION

Thank you for your participation. The purpose of this survey is to gather information about your state's decision to newly adopt, modify, or not adopt the provisions of the American Recovery and Reinvestment Act (ARRA) that pertain to the Unemployment Compensation system. This survey is part of an evaluation of these provisions. It is sponsored by the U.S. Department of Labor.

The questions in this survey relate to the Total Unemployment Rate (TUR) trigger and the Unemployment Insurance (UI) Modernization provisions. If your state adopted one or more of these provisions, you will also be asked about the implementation of the provisions. A brief description of each of the provisions follows for reference.

- **The TUR Trigger for Extended Benefits (EB):** Upon passage of ARRA legislation on February 19, 2009, (which was subsequently extended through 2011) states were eligible for 100 percent federal funding of EB, regardless of whether they had a TUR trigger in place. However, states with a TUR trigger in place might qualify sooner for EB and/or remain on it longer than states relying on the Insured Unemployment Rate trigger only. Moreover, claimants in states that adopted the TUR could qualify for an additional 7 weeks of benefits if the TUR in the state was 8 percent or higher.
- **UI Modernization:** As part of ARRA, the federal government apportioned \$7 billion in incentive funds across states for the adoption of specific provisions designed to increase access to benefits or the generosity of benefits for certain segments of the population. In order to receive one-third of the state's total allocation of federal incentive funds, the state had to have an Alternate Base Period for computing UI benefits that met the requirements specified in the legislation. To receive the remaining two-thirds, the state had to have two of the four remaining provisions, described below.
  - **Alternate Base Period (ABP):** The benefit amount is calculated using the most recent completed quarter of earnings, rather than the first four of the last five completed quarters under the traditional base period.
  - **Part-Time Work Provision:** UI benefits are expanded to include individuals seeking only part-time work.
  - **Compelling Family Reasons Provision:** The definition of "compelling family reasons" is expanded to include those who voluntarily quit their jobs for specific family-related reasons.
  - **Dependents' Allowance Provision:** A dependents' allowance of at least \$15 per week per dependent with an optional cap of \$50 per family, in addition to regular benefits, is provided to eligible claimants.
  - **Training Provision:** Benefits are extended for 26 weeks for UI exhaustees who are enrolled in and making satisfactory progress in either a state-approved training program or a job training program authorized under the Workforce Investment Act of 1998.

Section A of the survey, on the next page, is a fact sheet. Please review and confirm or correct the data. Then, please complete the remaining questions in the sections that follow. If you have any questions, you may contact XXXXX toll free at XXX-XXXX.

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## A. Fact Sheet

**STATE OF:** \_\_\_\_\_ **[PRE-FILLED]** \_\_\_\_\_

The table below presents the information we have regarding your state's adoption of the ARRA provisions that pertain to the Unemployment Compensation system. The information is accurate to the best of our knowledge, but **please confirm or correct** information for your state.

- Column 1 lists each provision.
- Column 2 shows the date the provision was adopted, either through legislative or administrative action, in your state, if applicable.
- Column 3 indicates the date the provision became effective.
- Column 4 indicates, for those states that did adopt a provision, whether the provision was: (1) Newly adopted: the provision did not exist prior to ARRA, (2) Modified: the provision existed in some form prior to ARRA, but was modified to meet ARRA requirements (for example, removing a sunset clause), or (3) Existing: the provision was already in place prior to ARRA and fulfilled ARRA requirements; this includes administrative and case law precedent, as long as ARRA requirements were met.

Please review the table and make any corrections in Column 5. If the pre-printed information is accurate, please confirm this by placing a ✓ in the "Confirm" column for each row.

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	
Provision	Adoption Date	Effective Date	Adoption Type	Confirm (✓)	Corrections
TUR Trigger	Not adopted	N/A	N/A	<input checked="" type="checkbox"/>	
Alternate Base Period	March 15, 2010	June 1, 2010	New	<input type="checkbox"/>	We made only minor changes to an existing ABP.
Part-time work provision	May 15, 2010	June 1, 2010	New	<input checked="" type="checkbox"/>	
Compelling family reasons provision	Not adopted	N/A	N/A	<input checked="" type="checkbox"/>	
Dependents' allowance provision	May 15, 2010	June 1, 2010	New	<input checked="" type="checkbox"/>	
Training provision	Not adopted	N/A	N/A	<input checked="" type="checkbox"/>	

**B. Decision to Adopt TUR Trigger for EB**

The questions below ask about your state's decision to newly adopt, modify, or not adopt a TUR trigger as specified by ARRA legislation of February 19, 2009, referred to as an ARRA-specified TUR trigger.

**B1. Which of the following best describes your state's action regarding the adoption of a TUR trigger as specified by ARRA (an ARRA-specified TUR trigger) between February 19, 2009 and the present?**

- 1  Newly adopted an ARRA-specified TUR trigger
- 2  Already had a TUR trigger in place, but modified or changed it to meet ARRA specifications
- 3  Already had a TUR trigger meeting ARRA specifications and made no changes → **GO TO SECTION C**
- 4  Did not adopt an ARRA-specified TUR trigger → **GO TO B3**

**B2. Was the ARRA-specified TUR trigger permanent?**

- 1  Yes
- 2  No, it was set to end when 100% federal financing of EB ended → **GO TO B3**
- 3  No, it had a sunset clause

**B2a. What was the sunset date?**

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Month Day Year

**B3. In your state, what were the key factors discussed, if any, in favor of adopting an ARRA-specified TUR trigger?**

**Rank in order the three most important, with 1 being the most important.**

- \_\_\_ Becoming eligible for EB in general
  - \_\_\_ Becoming eligible for EB while EB was 100% federally financed
  - \_\_\_ Extending customer eligibility for an additional seven weeks of EB
  - \_\_\_ Maintaining eligibility for EB (for example, if expected to trigger off if using only the IUR)
  - \_\_\_ Other (Please specify)
- \_\_\_\_\_

**B4. What were the key factors discussed, if any, against adopting an ARRA-specified TUR trigger?**

**Rank in order the three most important, with 1 being the most important.**

- \_\_\_ State would not have triggered onto EB using a TUR trigger
  - \_\_\_ One-time administrative costs to implement it (e.g., start-up costs) would be prohibitive
  - \_\_\_ Administrative costs that would be incurred on an ongoing basis would be prohibitive
  - \_\_\_ Philosophical objections, such as accepting federal payment of EB
  - \_\_\_ Concern about increased duration of regular state UI claim spells because of additional weeks of federal benefits
  - \_\_\_ Concern about increased employer costs
  - \_\_\_ Concern about increasing federal deficits
  - \_\_\_ Other (Please specify)
- \_\_\_\_\_

**B5. How strongly do you agree or disagree with the following statement?**

*In your state, the discussion about whether to adopt an ARRA-specified TUR trigger was characterized by intense debate.*

**MARK ONLY ONE**

- 1  Strongly agree
- 2  Somewhat agree
- 3  Somewhat disagree
- 4  Strongly disagree

**B6. What were the biggest challenges your state faced in implementing the ARRA-specified TUR trigger?**

CHECK HERE IF YOU DID NOT ADOPT AN ARRA-SPECIFIED TUR TRIGGER, THEN GO TO B7

Rank in order the three most important, with 1 being the most important.

- \_\_\_ Reprogramming data systems
- \_\_\_ Hiring/retraining additional staff
- \_\_\_ Communicating the changes to eligible claimants
- \_\_\_ Processing EB benefits payments
- \_\_\_ Increased volume of claimants
- \_\_\_ Keeping track of claimants' job search activities as required by EB
- \_\_\_ Other (*Please specify*)

**GO TO SECTION C**

If your state did not adopt an ARRA-specified TUR trigger, please answer question B7.

**B7. How far did your state get in the discussion of whether to adopt an ARRA-specified TUR trigger?**

**MARK ONLY ONE**

- 1  Not far; there was little discussion of adopting it
- 2  It was considered, but no legislation was introduced
- 3  Legislation was introduced, but died in state legislature
- 4  Legislation was passed, but the governor did not sign it
- 5  Other (*Please specify*)

**C. Alternate Base Period (ABP)**

The questions below ask about your state's decision to newly adopt, modify, or not adopt an ABP as specified by ARRA legislation of February 19, 2009, referred to as an ARRA-specified ABP.

**C1. Which of the following best describes your state's action regarding the adoption of an ABP as specified by ARRA (an ARRA-specified ABP)?**

**MARK ONLY ONE**

- 1  Newly adopted an ARRA-specified ABP
- 2  Already had an ABP in place, but modified or changed it to meet ARRA specifications
- 3  Already had an ARRA-specified ABP and made no changes
- 4  Did not have and did not adopt an ARRA-specified ABP → **GO TO C3**

**C2. Which version of an ARRA-specified ABP does your state have?**

**MARK ONLY ONE**

- 1  An ABP that includes the most recently completed calendar quarter before the start of the benefit year.
- 2  An ABP that includes the most recently completed calendar quarter, when the claimant cannot meet monetary qualifying requirements using a "regular" base period that excludes this quarter.

**IF YOU HAD AN ARRA-SPECIFIED ABP AND MADE NO CHANGES, GO TO SECTION D ON PAGE 6.**

**C3. In your state, what were the key factors discussed, if any, in favor of adopting an ARRA-specified ABP?**

**Rank in order the three most important, with 1 being the most important.**

- Sustained high unemployment rate
- Desire to increase access to the program by workers with low earnings
- Desire to increase access to the program by workers who are new to the labor force
- The most recent quarter of employment is more relevant for determining UI eligibility than is employment in the distant past
- Desire to access UI Modernization incentive funds
- Other (*Please specify*)  
\_\_\_\_\_

**C4. What were the key factors discussed, if any, against adopting an ARRA-specified ABP?**

**Rank in order the three most important, with 1 being the most important.**

- One-time administrative costs to implement it (e.g., start-up costs) would be prohibitive
- Administrative costs that would be incurred on an ongoing basis (e.g., higher rates of monetary and nonmonetary determinations) would be prohibitive
- Costs of the benefits paid out to claimants using the ABP
- Philosophical objections to adopting an ARRA-specified ABP, such as accepting federal incentive funds
- Other (*Please specify*)  
\_\_\_\_\_

**C5. Did your state develop cost estimates when deciding whether to adopt an ARRA-specified ABP?**

- Yes → **GO TO C5a**
- No → **GO TO C5c**
- Don't know → **GO TO C6**

**C5a. What was the rough cost estimate, and over what time period was it estimated?**

**Note:** Your best estimate is fine.

\$ |\_\_|,|\_\_|\_\_|\_\_|,|\_\_|\_\_|\_\_| over |\_\_|\_\_| years

Don't know

**C5b. What factors went into this cost estimate?**

**MARK ALL THAT APPLY**

- 1 One-time administrative costs (e.g., start-up costs)
- 2 Labor costs (e.g., hiring additional personnel, re-training staff)
- 3 Other long-term administrative costs
- 4 Expanded eligibility/benefits payments
- 5 Costs to update data systems
- 6 Other capital improvements
- 7 Other (*Please specify*)  
\_\_\_\_\_

Don't know

**GO TO C6**

**C5c. Why didn't your state estimate costs of adopting an ARRA-specified ABP?**

**MARK ALL THAT APPLY**

- 1 Political and/or philosophical considerations made adoption infeasible, no matter the costs
- 2 Lacked an appropriate methodology for computing estimates
- 3 Already had an ABP that required only a minor revision
- 3 Other (*Please specify*)  
\_\_\_\_\_

Don't know

**C6. Did your state estimate the number of claimants who would be affected by an ARRA-specified ABP?**

Yes

No

Don't know

→ GO TO C7

**C6a. What was the estimated number of claimants, and over what period?**

**Note:** Your best estimate is fine.

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

Don't know

**C7. How strongly do you agree or disagree with the following statement?**

*In your state, the discussion about whether to adopt an ARRA-specified ABP was characterized by intense debate.*

**MARK ONLY ONE**

Strongly agree

Somewhat agree

Somewhat disagree

Strongly disagree

**IF YOUR STATE DID NOT ADOPT AN ARRA-SPECIFIED ABP, GO TO C11, NEXT PAGE.**

**C8. How have your state's actual costs compared with the cost estimates?**

**MARK ONLY ONE**

Actual costs have been greater than estimated costs → GO TO C8a

Actual costs have been less than estimated costs → GO TO C8b

Actual costs have been roughly in line with estimated costs

State did not estimate costs

Not enough time has passed to determine how actual costs will compare to estimates

Don't know

→ GO TO C9

**C8a. What is the main reason the actual costs have been greater than estimated costs?**

**MARK ONLY ONE**

One-time administrative costs have been higher than expected

Labor costs have been higher than estimated

Other long-term administrative costs have been higher than expected

Benefits payments have been higher than estimated

Technological upgrades have been more expensive than estimated

Other (*Please specify*)

**GO TO C9**

**C8b. What is the main reason the actual costs have been less than estimated costs?**

**MARK ONLY ONE**

One-time administrative costs have been lower than expected

Labor costs have been lower than estimated

Other long-term administrative costs have been lower than expected

Benefits payments have been lower than estimated

Technological upgrades have been less expensive than estimated

Other (*Please specify*)

**C9. What is the likelihood that your state will repeal the ARRA-specified ABP?**

**MARK ONLY ONE**

Very likely

Somewhat likely

Not likely



**C10. What were the biggest challenges your state faced in implementing the ARRA-specified ABP?**

Rank in order the three most important, with 1 being the most important.

- \_\_\_ Reprogramming data systems
  - \_\_\_ Hiring/retraining additional staff
  - \_\_\_ Redistributing staff to cover needed areas temporarily
  - \_\_\_ Communicating the changes to eligible claimants
  - \_\_\_ Communicating the changes to employers
  - \_\_\_ Processing the increased volume of claims
  - \_\_\_ Getting timely information to determine monetary eligibility from employers, including alternative documentation such as affidavits when necessary
  - \_\_\_ Other (*Please specify*)
- 

**GO TO SECTION D**

If your state did not adopt an ARRA-specified ABP, please answer question C11.

**C11. How far did your state get in the discussion of whether to adopt an ARRA-specified ABP?**

**MARK ONLY ONE**

- 1  Not far; there was little discussion of it
  - 2  It was considered, but no legislation was introduced
  - 3  Legislation modifying the existing ABP or putting in a new ABP was introduced, but died in state legislature
  - 4  Legislation was passed, but it was not signed by the governor
  - 5  Other (*Please specify*)
- 

**D. Other UI Modernization Provisions**

The questions below ask about the decision to newly adopt, modify, or not adopt two of the four other modernization provisions as specified by ARRA legislation of February 19, 2009, referred to as ARRA-specified provisions.

The four provisions are:

- a. Part-time work provision
- b. Compelling family reasons provision
- c. Dependents' allowance provision
- d. Training provision

**D1. Which of the following best describes your state's action regarding the adoption of all or parts of any of the four non-ABP provisions specified by ARRA (ARRA-specified provisions)?**

- 1  Already had two ARRA-specified provisions and made no changes → **GO TO D33, PAGE 13**
- 2  Newly adopted two ARRA-specified provisions
- 3  Already had all or parts of one or two provisions in place, but modified or changed one or both to meet ARRA specifications
- 4  Already had all or parts of one or two provisions in place, and did **not** modify or change one or both to meet ARRA specifications
- 5  Did not have and did not adopt any of the four ARRA-specified provisions

**D2. In your state, what were the key factors discussed, if any, in favor of fully adopting two ARRA-specified modernization provisions?**

**Rank in order the three most important, with 1 being the most important.**

- \_\_\_ Already had all or parts of one or two provisions in place
- \_\_\_ Would offer increased access to the program by certain segments of the population
- \_\_\_ Would provide additional financial support to unemployed workers with dependents
- \_\_\_ Would provide additional financial support to unemployed workers participating in approved training
- \_\_\_ Desire to access UI Modernization incentive funds
- \_\_\_ Other (*Please specify*)  
\_\_\_\_\_

**D3. What were the key factors discussed, if any, against fully adopting two ARRA-specified modernization provisions?**

**Rank in order the three most important, with 1 being the most important.**

- \_\_\_ One-time administrative costs to implement them (e.g., start-up costs)
- \_\_\_ Administrative costs that would be incurred on an ongoing basis (e.g., higher rates of monetary and nonmonetary determinations)
- \_\_\_ Costs of the benefits paid out to claimants
- \_\_\_ Did not want to accept federal incentive funds
- \_\_\_ Philosophical objections to adopting ARRA-specified provisions, such as accepting federal incentive funds
- \_\_\_ Other (*Please specify*)  
\_\_\_\_\_

***Part-Time Work Provision***

**D4. Did your state develop cost estimates in deciding whether to adopt an ARRA-specified part-time work provision?**

- Yes
- No → **GO TO D6**
- Don't know → **GO TO D7**

**D5. What was the rough cost estimate for the part-time work provision, and over what time period was it estimated?**

**Note:** Your best estimate is fine.

\$ |\_\_|,|\_\_|\_\_|,|\_\_|\_\_| over |\_\_| years

- Don't know

**D5a. What factors went into this cost estimate?**

**MARK ALL THAT APPLY**

- One-time administrative costs (e.g., start-up costs)
- Labor costs (e.g., hiring additional personnel, re-training staff)
- Other long-term administrative costs
- Increased benefits payments
- Costs to update data systems
- Other capital improvements
- Other (*Please specify*)  
\_\_\_\_\_

- Don't know

**GO TO D7**

**D6. Why didn't your state estimate costs of adopting the part-time work provision?**

**MARK ALL THAT APPLY**

- 1  Political and/or philosophical considerations made adoption infeasible, no matter the costs
- 2  Already had a part-time work provision
- 3  Lacked an appropriate methodology for computing estimates
- 4  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**D7. Did your state estimate the number of claimants who would be affected by the part-time work provision?**

1  Yes

0  No

d  Don't know

→ **GO TO D8**

**D7a. What was the estimated number of affected claimants, and over what period?**

**Note:** Your best estimate is fine.

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

***Compelling Family Reasons Provision***

**D8. Did your state develop cost estimates in deciding whether to adopt an ARRA-specified compelling family reasons provision?**

1  Yes

0  No → **GO TO D11**

d  Don't know → **GO TO D12**

**D9. What was the rough cost estimate for the compelling family reasons provision, and over what time period was it estimated?**

**Note:** Your best estimate is fine.

\$ \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

**D10. What factors went into this cost estimate?**

**MARK ALL THAT APPLY**

- 1  One-time administrative costs (e.g., start-up costs)
- 2  Labor costs (e.g., hiring additional personnel, re-training staff)
- 3  Other long-term administrative costs
- 4  Increased benefits payments
- 5  Costs to update data systems
- 6  Other capital improvements
- 7  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**GO TO D12**

**D11. Why didn't your state estimate costs of adopting the compelling family reasons provision?**

**MARK ALL THAT APPLY**

- 1  Political and/or philosophical considerations made adoption infeasible, no matter the costs
- 2  Already had a compelling family reasons provision
- 3  Lacked an appropriate methodology for computing estimates
- 4  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**D12. Did your state estimate the number of claimants who would be affected by the compelling family reasons provision?**

- 1  Yes
  - 0  No
  - d  Don't know
- } → **GO TO D13**

**D12a. What was the estimated number of affected claimants, and over what period?**

**Note:** Your best estimate is fine.

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

***Dependents' Allowance Provision***

**D13. Did your state develop cost estimates in deciding whether to adopt an ARRA-specified dependents' allowance provision?**

- 1  Yes
- 0  No → **GO TO D16**
- d  Don't know → **GO TO D17**

**D14. What was the rough cost estimate for the dependents' allowance provision and over what time period was it estimated?**

**Note:** Your best estimate is fine.

\$ \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

**D15. What factors went into this cost estimate?**

**MARK ALL THAT APPLY**

- 1  One-time administrative costs (e.g., start-up costs)
- 2  Labor costs (e.g., hiring additional personnel, re-training staff)
- 3  Other long-term administrative costs
- 4  Increased benefits payments
- 5  Costs to update data systems
- 6  Other capital improvements
- 7  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**GO TO D17**

**D16. Why didn't your state estimate costs of adopting the dependents' allowance provision?**

**MARK ALL THAT APPLY**

- 1  Political and/or philosophical considerations made adoption infeasible, no matter the costs
- 2  Already had a dependents' allowance provision
- 3  Lacked an appropriate methodology for computing estimates
- 4  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**D17. Did your state estimate the number of claimants who would be affected by the dependents' allowance provision?**

- 1  Yes
  - 0  No
  - d  Don't know
- } → **GO TO D18**

**D17a. What was the estimated number of affected claimants, and over what period?**

**Note:** Your best estimate is fine.

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

***Training Provision***

**D18. Did your state develop cost estimates in deciding whether to adopt an ARRA-specified training provision?**

- 1  Yes
- 0  No → **GO TO D21**
- d  Don't know → **GO TO D22**

**D19. What was the rough cost estimate for the training provision, and over what time period was it estimated?**

**Note:** Your best estimate is fine.

\$ \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ over \_\_\_\_\_ years

d  Don't know

**D20. What factors went into this cost estimate?**

**MARK ALL THAT APPLY**

- 1  One-time administrative costs (e.g., start-up costs)
- 2  Labor costs (e.g., hiring additional personnel, re-training staff)
- 3  Other long-term administrative costs
- 4  Increased benefits payments
- 5  Costs to update data systems
- 6  Other capital improvements
- 7  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**GO TO D22**

**D21. Why didn't your state estimate costs of adopting the training provision?**

**MARK ALL THAT APPLY**

- 1  Political and/or philosophical considerations made adoption infeasible, no matter the costs
- 2  Already had a training provision
- 3  Lacked an appropriate methodology for computing estimates
- 4  Other (*Please specify*)  
\_\_\_\_\_

d  Don't know

**D22. Did your state estimate the number of claimants who would be affected by the training provision?**

Yes

No

Don't know

→ GO TO D23

**D22a. What was the estimated number of affected claimants, and over what period?**

**Note:** Your best estimate is fine.

|\_|, |\_|\_|\_|, |\_|\_|\_| over |\_|\_| years

Don't know

**D23. How strongly do you agree or disagree with the following statement.**

*In your state, the discussion about whether to fully adopt two ARRA-specified provisions was characterized by intense debate.*

**MARK ONLY ONE**

Strongly agree

Somewhat agree

Somewhat disagree

Strongly disagree

**D24. Which two ARRA-specified provisions did your state newly adopt, modify, or already have in place?**

*If more than two, please indicate the two included in the application for modernization incentive funds. Designate one provision with a number 1 and the other with the number 2 (number does not indicate ranking; it is used in responding to later questions).*

**MARK TWO**

Part-time work provision

Compelling family reasons provision

Dependents' allowance provision

Training provision

Did not adopt or have these provisions in place → GO TO D32, PAGE 13

**D25. Why did your state adopt these two particular provisions, out of the four possible?**

**Rank in order the three most important, with 1 being the most important.**

The state already had all or parts of two provisions in place

Costs were expected to be lowest for the two adopted

Implementation was expected to be easiest for the two adopted

The two provisions adopted required the least staff re-training

The two provisions adopted required the fewest upgrades to data systems

The two provisions fit together the best

The two provisions expanded eligibility

The fixed incentive payment outweighed estimated costs of adopting the two provisions

The provisions increased the weekly benefit amount

Other (*Please specify*)

**Please answer questions D26 to D28 about the provision you designated as Provision 1.**

**D26. What were the challenges your state faced in implementing Provision 1?**

**Rank in order the three most important, with 1 being the most important.**

Reprogramming data systems

Hiring/retraining additional staff

Redistributing staff to cover needed areas temporarily

Communicating the changes to eligible claimants

Communicating the changes to employers

Processing the increased volume of claims

Other (*Please specify*)

**D27. How have your state's actual costs of implementing Provision 1 compared with the cost estimates?**

**MARK ONLY ONE**

- 1  Actual costs have been greater than estimated costs
- 2  Actual costs have been less than estimated costs → **GO TO D27b**
- 3  Actual costs have been roughly in line with estimated costs
- 4  State did not estimate costs
- 5  Not enough time has passed to determine how actual costs will compare to estimates
- 6  Don't know

→ **GO TO D28**

**D27a. What is the main reason the actual costs have been greater than estimated costs?**

**MARK ONLY ONE**

- 1  One-time administrative costs have been higher than expected
- 2  Labor costs have been higher than estimated
- 3  Other long-term administrative costs have been higher than expected
- 4  Benefits payments have been higher than estimated
- 5  Technological upgrades have been more expensive than estimated
- 6  Other (*Please specify*)

**GO TO D28**

**D27b. What was the main reason the actual costs have been less than estimated costs?**

**MARK ONLY ONE**

- 1  One-time administrative costs have been lower than expected
- 2  Labor costs have been lower than estimated
- 3  Other long-term administrative costs have been lower than expected
- 4  Benefits payments have been lower than estimated
- 5  Technological upgrades have been less expensive than estimated
- 6  Other (*Please specify*)

**D28. What is the likelihood that your state will repeal Provision 1?**

**MARK ONLY ONE**

- 1  Very likely
- 2  Somewhat likely
- 3  Not likely

**Please answer questions D29 to D31 about the provision you designated as Provision 2.**

**D29. What were the biggest challenges your state faced in implementing Provision 2?**

**Rank in order the three most important, with 1 being the most important.**

- \_\_\_ Reprogramming data systems
- \_\_\_ Hiring/retraining additional staff
- \_\_\_ Redistributing staff to cover needed areas temporarily
- \_\_\_ Communicating the changes to eligible claimants
- \_\_\_ Communicating the changes to employers
- \_\_\_ Processing the increased volume of claims
- \_\_\_ Other (*Please specify*)

**D30. How have your state's actual costs of implementing Provision 2 compared with the cost estimates?**

**MARK ONLY ONE**

- 1  Actual costs have been greater than estimated costs → **GO TO D30a**
- 2  Actual costs have been less than estimated costs → **GO TO D30b**
- 3  Actual costs have been roughly in line with estimated costs
- 4  State did not estimate costs
- 5  Not enough time has passed to determine how actual costs will compare to estimates
- 6  Don't know

→ **GO TO D31**

**D30a. What is the main reason the actual costs have been greater than estimated costs?**

**MARK ONLY ONE**

- 1  One-time administrative costs have been higher than expected
  - 2  Labor costs have been higher than estimated
  - 3  Other long-term administrative costs have been higher than expected
  - 4  Benefits payments have been higher than estimated
  - 5  Technological upgrades have been more expensive than estimated
  - 6  Other (*Please specify*)
- \_\_\_\_\_

**GO TO D31**

**D30b. What is the main reason the actual costs have been less than estimated costs?**

**MARK ONLY ONE**

- 1  One-time administrative costs have been lower than expected
  - 2  Labor costs have been lower than estimated
  - 3  Other long-term administrative costs have been lower than expected
  - 4  Benefits payments have been lower than estimated
  - 5  Technological upgrades have been less expensive than estimated
  - 6  Other (*Please specify*)
- \_\_\_\_\_

**D31. What is the likelihood that your state will repeal Provision 2?**

**MARK ONLY ONE**

- 1  Very likely
- 2  Somewhat likely
- 3  Not likely

**GO TO D33**

**If your state did not adopt two ARRA-specified UI Modernization provisions, please answer question D32.**

**D32. How far did your state get in the discussion of whether to fully adopt two modernization provisions?**

**MARK ONLY ONE**

- 1  Not far; there was little discussion of adopting either provision
  - 2  Adopting one or two was considered, but no legislation was introduced
  - 3  Legislation was introduced, but died in state legislature
  - 4  Legislation was passed, but the governor did not sign it
  - 5  Other (*Please specify*)
- \_\_\_\_\_

**D33. Thank you for participating in this important study! Your input is very important and much appreciated. Please use the space below to share any comments related to the adoption of ARRA provisions as they pertain to the Unemployment Compensation system.**

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## RESPONDENT INFORMATION

Please print your contact information below.

Your Name: \_\_\_\_\_ Telephone Number: (\_\_\_\_\_) - \_\_\_\_\_  
(PRINT) Area Code

Title: \_\_\_\_\_ Today's Date: \_\_\_\_\_

Email Address: \_\_\_\_\_

If anyone else on your staff helped complete this survey, or collaborated with you, please provide a name, title, and telephone number for them.

**Colleague #1** \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
Name Title Phone #

**Colleague #2** \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
Name Title Phone #

**Colleague #3** \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
Name Title Phone #

**Thank you very much. We appreciate your participation in this survey.**

## RETURN INSTRUCTIONS

**Please mail your completed survey in the pre-paid envelope provided. If you have misplaced your envelope, please mail your completed survey to:**

Pat Nemeth, Survey Director  
**UCP Project**  
Mathematica Policy Research  
P.O. Box 2393  
Princeton, NJ 08543-2393

