OMB APPROVAL NO. 1405-0003
EXPIRATION DATE: 09-30-2008
*ESTIMATED BURDEN: 1 HOUR

* PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 1 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/RPS/DIR) Washington, D.C. 20520.

U.S. DEPARTMENT OF STATE

DIRECTORATE OF DEFENSE TRADE CONTROLS

APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA

*Transaction Number:

Please note that an Asterisk (*) next to a field in the documents designates a mandatory field.

No classified information can be included in this application. Classified information must be sent separately to PM/DDTC in accordance with Defense Security Service guidelines.

Classified information is being sent under separate cover ☐

To select and open a document, highlight a form and select the "Open Document" button. The document that you selected will open.

Required Documents

Included Documents

DSP-5

Optional Documents

22 CFR § 126.13 Certification Letter
22 CFR § 127.11 Exception to Policy Letter
Basic Ordering Agreement
Contract
DSP-83
Firearms and Ammunitions Import Permit
Firearms and Ammunitions Letter of Explanation
Letter of Intent
Other Amplifying Data
Part 130 Report
PM/DDTC Sec 126.8 Prior Approval
Precedent (identical/similar) Cases
Product Brochures
Purchase Order
Supplementary Explanation of Transaction
Technical Data to Support Hardware License
Technical Drawings, Schematics, or Blue Prints

Are you sure you want to delete this page?

To continue and delete selection, select "YES". To cancel delete, select "NO".

After the page has been deleted, you will be automatically returned to the previous continuation page (if you have 2 or more continuation pages) or to the main page for the Block for which the additional page was added (if you have only one continuation page).

YES **NO**

U.S. DEPARTMENT OF STATE

DIRECTORATE OF DEFENSE TRADE CONTROLS

By selecting the "Submit" button, above, the Applicant hereby warrants the truthfulness of all statements made herein and in accompanying documentation; acknowledges and understands that, in accordance with 22 C.F.R. §127.2, it is unlawful to use any export or temporary import control document containing a false statement or misrepresenting or omitting a material fact for the purpose of exporting any defense article or technical data or the furnishing of any defense service for which a license or approval is required by this subchapter and that any false statement, misrepresentation, or omission of material fact in an export control or temporary import control document will be consider as made in a matter within the jurisdiction of a department or agency of the United States for the purposes of 18 U.S.C. 1001, 22 U.S.C. 2778 and 22 U.S.C. 2779; and that the applicant further agrees to comply with all applicable provisions of 22 C.F.R. §120-130.

To cancel this submission, select "Cancel".

(If you cancel the submission, you will return to the previous page.)

Please be further advised, that if the "Submit" button is not active and you have already saved the submission, you may not have completed all of the required fields. As a reminder you must save the submission before you can submit.

Errors

SEAL

Signature

License is hereby granted to the applicant for the described commodity to be permanently exported from the United States. This license may be revoked, suspended or amended by the Secretary of State without prior notice whenever the Secretary deems such action advisable.

DATE ISSUED

LICENSE NO.

LICENSE VALID FOR **MONTHS FROM ABOVE DATE**

UNITED STATES OF AMERICA DEPARTMENT OF STATE

APPLICATION/LICENSE FOR PERMANENT EXPORT OF UNCLASSIFIED DEFENSE ARTICLES AND RELATED UNCLASSIFIED TECHNICAL DATA

*1. Date Prepared	* 2. PM/DDTC Applicant/ Registrant Code	* 3. Country of Ultimate Destination:	* 4. Probable Port of Exit from U.S.:
03/18/2008			

NOTE: You may only select 1 country as the ultimate destination if the commodity(ies) being shipped include Hardware type.

5. Applicant's Name, Address, ZIP Code, Telephone Number

*Applicant is: ☐ Government ☐ Manufacturer ☐ Exporter ☐ Subsidiary

*Name

*Attention

*Address

*City

*State * ZIP Code

*Telephone # Ext.

6. Name, agency and telephone number of U.S. Government personnel (not PM/DDTC) familiar with the commodity.

Name

Telephone # Ext.

Agency

Add

*** 7. Name and telephone numbers of applicant contact if U.S. Government needs additional information.**

*Name

*Telephone # Ext.

Add

8. Description of Transaction:

*a. This application represents: ☐ ONLY completely new shipment ☐ ONLY the unshipped balance under license numbers

b. This application has related license numbers: ☐

c. This application is in reference to an agreement: ☐

*d. Commodity is being financed under: ☐ Foreign Military Sale ☐ Foreign Military Financing ☐ Grant Aid Program ☐ Not Applicable

*e. This application is related to a disclosure filed with Defense Trade Controls Compliance ☐ No ☐ Yes

Enter Compliance Disclosure Number:

Line Item #	*9. Quantity	*10. Commodity	* 11. USML Category Number	*12. $ Value
1	Unit Type	Defense Article Type	Category / Sub / Commodity Code Item is SME and DSP-83 is required ☐ Is a DSP-83 attached? If SME, and DSP-83 is not attached, state why.	Unit Price / Line Item Total

Add ☐

*13. TOTAL VALUE (Sum of All Pages) $

14. Name and address of foreign end-user

* Name

*Address

*City

*Country

Add ☐

15. Manufacturer of Commodity

☐ Same as Block 5

*Name

*Address

*City

State ZIP Code

*Country

Add ☐

16. Name and address of foreign consignee

☐ Same as Block 14

*Name

*Address

*City

*Country

Add ☐

17. Source of Commodity

☐ Same as Block 5 ☐ Same as Block 15

*Name

*Address

*City

State ZIP Code

*Country

Add ☐

18. Name and address of foreign intermediate consignee

☐ None

* Name

*Address

*City

*Country

*Role

Add ☐

19. Name and address of Seller in United States

☐ Same as Block 5 ☐ Same as Block 15 ☐ Same as Block 17

* Name

*Address

*City

*State *ZIP Code

Add ☐

*** 20. Specific purpose for which the material is required, including specific Program/End Item.**

Select at least one:

☐ Off-Shore ☐ Request for Prior Approval (22 CFR 126.8)

☐ Brokering (22 CFR 129) ☐ Other (Please Provide Details)

21. Name and address of consignor and/or freight forwarder in United States

☐ Same as Block 5

* Name

*Address

*City

*State *ZIP Code

Add ☐

DSP-5

22. Applicant's statement

I [ ]

an empowered official (ITAR 120.25) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of Title 22 CFR, 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

(1) Neither applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:

(a) the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or

(b) ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;

(2) To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 126.7 (e) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export lisence or other approval from any agency of the U.S. Government.

***22 CFR 126.13 Certification (Select one)**

- ☐ a. I am authorized by the applicant to certify that the applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR § 126.13 as listed above.
- ☐ b. I am authorized by the applicant to certify to 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. A request for an exception to policy, as described in Section 127.11 of the ITAR, is attached.
- ☐ c. I am authorized by the applicant to certify to 22 CFR § 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.
- ☐ d. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13 as listed above. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR § 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13.
- ☐ e. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13 as listed above. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. A request for an exception to policy, as described in Section 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13 are attached.
- ☐ f. I am not authorized by the applicant to certify the conditions of 22 CFR § 126.13 as listed above. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR § 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 126.13.

***Compliance with 22 CFR 130 (Select one)**

- ☐ This transaction does not meet the requirements of 22 CFR 130.2
- ☐ This transaction meets the requirements of 22 CFR 130.2. The applicant or its vendors have not paid, nor offered, nor agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a).
- ☐ The applicant or its vendors have paid, or offered, or agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a). Information required under 22 CFR 130.10 is attached.
- ☐ I am not authorized by the applicant to certify the conditions of 22 CFR 130.9(a). Please see the attached for such certification.

*Signature

Signature

23. License to be to: (Enter name, address and phone number)

[This block is inactive on electronic form.]

☐ Same as Block 5 ☐ Hold for Pickup

Name

Address

City

State ZIP Code

Telephone #

CONDITIONS OF ISSUANCE

1. This license is issued under the conditions cited in 22 CFR 120 - 130, including the provisos as applicable, that:

   A. It shall not be construed as implying U.S. Government approval or commitment to authorize future exports of any article (equipment or technical data) on the Munitions List, or a U.S. Government commitment with regard to any proposed manufacturing license or technical assistance agreements which may result from an authorized export.

   B. If a license is issued for technical data only, it does not authorize the export of any hardware; if a license is issued for hardware only, it does not authorize the export of any technical data, unless specifically covered by an exemption.

   The issuance of this license does not release the licensee from complying with other requirements of U.S. law and regulations.

2. The prior written approval of the Department of State must be obtained before U.S. Munitions List articles exported from the U.S. under license or other approval may be resold, diverted, transferred, transshipped, reshipped, reexported to, or used in any country, or by any end-user, other than that described on the license or other approval as the country of ultimate destination or the ultimate end-user.

RETURN OF LICENSE

This license must be returned to PM/DDTC, SA-1, 12th Floor, Directorate of Defense Trade Controls, Bureau of Political-Military Affairs, U.S. Department of State, Washington, DC 20522-0112 when: (1) the total value authorized has been shipped; (2) the applicant states that there will be no further shipments; (3) the date of expiration is reached; or (4) when requested by the Directorate of Defense Trade Controls.

ENDORSEMENT

Indicate below which ITEM on the face of the license is BEING EXPORTED and maintain a CONTINUING BALANCE of the remaining value:

SHIPMENT DATE	QUANTITY	COMMODITY (Include classification)	SHIPMENT VALUE	SED NO.	INITIALS	PORT OF EXIT/ENTRY
TOTAL AUTHORIZED VALUE:						
REMAINING BALANCE:						

NOTE: Continuation of additional shipments must be authenticated by use of continuation sheets in the U.S.Customs handbook.

Subsidiary Information under Block 5, Page 1 of the DSP-5

*Name			
*Attention			
*Address			
*City			
*State		*ZIP Code	
*Telephone #		Ext	

Description of Transaction **Continuation to Block 8**

A. This Application represents only the unshipped balances under license

B. This Application has related license no(s)

NOTE: You must first select the appropriate check boxes under this section to add license no(s)

was licensed to the country in block 3 of the first page under license numbers.

was licensed to other countries under license numbers.

was returned without action under voided license numbers.

was denied to the country in block 3 of the first page under voided license(s)

C. This Application is in reference to agreement numbers

D. The commodities are being financed under case numbers

Foreign Military Sale

Foreign Military Financing

Grant Aid Program

Additional Applicant Contact Information

Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext
Name Telephone # Ext	Name Telephone # Ext

Additional Contacts Familiar with Commodity Information

Name
Telephone # Ext
Agency

Name
Telephone # Ext
Agency

Name
Telephone # Ext
Agency

Name
Telephone # Ext
Agency

Name
Telephone # Ext
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Telephone # Ext
Agency

Additional Commodities

Line Item #	9. Quantity	10. Commodity	11. USML Category Number
-1	Unit Type	Defense Article Type	Category / Sub / Commodity Code Item is SME and DSP-83 is required ☐ Is a DSP-83 attached? If SME, and DSP-83 is not attached, state why. **12. $ Value** Unit Price / Line Item Total
0	Unit Type	Defense Article Type	Category / Sub / Commodity Code Item is SME and DSP-83 is required ☐ Is a DSP-83 attached? If SME, and DSP-83 is not attached, state why. **12. $ Value** Unit Price / Line Item Total
1	Unit Type	Defense Article Type	Category / Sub / Commodity Code Item is SME and DSP-83 is required ☐ Is a DSP-83 attached? If SME, and DSP-83 is not attached, state why. **12. $ Value** Unit Price / Line Item Total
			TOTAL VALUE $ 0

Additional Foreign End Users

Name		Name	
Address		Address	
City		City	
Country		Country	
Name		Name	
Address		Address	
City		City	
Country		Country	
Name		Name	
Address		Address	
City		City	
Country		Country	
Name		Name	
Address		Address	
City		City	
Country		Country	
Name		Name	
Address		Address	
City		City	
Country		Country	
Name		Name	
Address		Address	
City		City	
Country		Country	

Manufacturer of Commodity

Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country

Additional Foreign Consignees

Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country
Name	Name
Address	Address
City	City
Country	Country

Source of Commodity

Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country
Name	Name
Address	Address
City	City
State ZIP Code	State ZIP Code
Country	Country

Additional Sellers in United States

Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code

Additional Foreign Intermediate Consignees

Name	Name
Address	Address
City	City
Country	Country
Role	Role
Name	Name
Address	Address
City	City
Country	Country
Role	Role
Name	Name
Address	Address
City	City
Country	Country
Role	Role
Name	Name
Address	Address
City	City
Country	Country
Role	Role

Additional Consignors in United States

Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code
Name		Name	
Address		Address	
City		City	
State	ZIP Code	State	ZIP Code

Full View of Description
Item #20 of the DSP-5

Complete List of Countries under Block 3, Page 1 of the DSP-5

Total Number of Countries Selected as the Ultimate Destination: 0

Attachments Pertaining to the DSP-83 Form

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the 22 CFR § 126.13 Certification Letter

Attachment?: ☐ Add Attachments Remove Attachments View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the 22 CFR § 127.11 Exception to Policy Letter

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the Basic Ordering Agreement

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Contracts

Attachment?: ☐ Add Attachments Remove Attachments View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the Letter of Intent

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the Purchase Order

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Firearms and Ammunitions Import Permits

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Firearms and Ammunitions Letter of Explanation

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Part 130 Report

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Other Amplifying Data (e.g., Briefings, Proposals)

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Precedent (identical/similar) Cases

Attachment?: ☐ Add Attachments Remove Attachments View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Product Brochures

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to the Supplementary Explanation of Transaction

Attachment?: ☐ Add Attachments Remove Attachments View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Technical Data to Support Hardware License

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to Technical Drawings, Schematics, or Blue Prints

Attachment?: ☐ Add Attachments | Remove Attachments | View Attachments

Preferred attachment file type is PDF searchable.

Attachments Pertaining to PM/DDTC Sec 126.8 Prior Approval

Attachment?: ☐ Add Attachments Remove Attachments View Attachments

Preferred attachment file type is PDF searchable.