

## **SUPPORTING STATEMENT**

**OMB No. 1651-0121**

### **Application - Alternative Inspection Services (Applications for FAST Commercial Driver, SENTRI, and Global Entry)**

#### **A. Justification**

**Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

This collection of information is for CBP's Trusted Traveler Programs including the Secure Electronic Network for Travelers Rapid Inspection (SENTRI), which allows expedited entry at specified southwest land border ports of entry, and the Free and Secure Trade program (FAST), which provides expedited border processing for known, low-risk commercial drivers.

CBP is also implementing a new program under Trusted Traveler known as Global Entry which allows pre-approved, low-risk, air travelers expedited clearance upon arrival into the United States. Eligibility for Global Entry is limited to U.S. citizens, U.S. nationals, U.S. lawful permanent residents (LPRs), Mexican nationals, and certain eligible citizens of the Netherlands. Also CBP permits participants of SENTRI, in good standing, to utilize Global Entry kiosks as part of their SENTRI membership.

The purpose of all of these programs is to provide prescreened travelers expedited entry into the United States. The benefit to the traveler is less time spent in line waiting to be processed. These Trusted Traveler programs are provided for in 8 CFR 235.7.

Applicants may apply using paper forms (CBP Form 823S for SENTRI and CBP Form 823F for FAST) available at <http://www.cbp.gov> or at Trusted Traveler Enrollment Centers, or through the Global On-line Enrollment System (GOES) at <https://goes-app.cbp.dhs.gov> (Global Entry applications are only available online).

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

The purpose of enrolling in SENTRI, FAST and Global Entry is to prescreen applicants and their vehicles in order to expedite travelers seeking admission to

the United States. The target participant is any law abiding frequent traveler who is legally allowed entry into the United States, e.g., students and business people. The benefit to the traveler is less time waiting to be processed by CBP.

- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

Applicants may enroll in these programs using the Global On-line Enrollment System (GOES) which is a web-based system at <https://goes-app.cbp.dhs.gov> Currently, about 95 percent of applicants apply on-line.

- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

The information collected for SENTRI, FAST and Global Entry is unique and not duplicated elsewhere.

- 5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.**

This information collection does not have an impact on small businesses or other small entities.

- 6. Describe consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

If not collected, the DHS would be unable to meet its statutory mandate, and would not be able to determine eligibility for participation in the SENTRI, FAST, and Global Entry programs.

- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner:**

- **requiring respondents to report information to the agency more often than quarterly;**
- **requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**
- **requiring respondents to submit more than an original and two copies of any document;**

- requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
- in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;
- requiring the use of statistical data classification that has not been reviewed and approved by OMB;
- that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- requiring respondents to submit proprietary trade secrets, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

This information collection is conducted in a manner consistent with the guidelines of 5 CFR 1320.6.

8. **If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.**

CBP plans to publish a Final Rule for Establishment of the Global Entry Program (RIN 1651-AA73). A copy of this Rule will be submitted with this ICR.

9. **Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

There is no offer of a monetary or material value for this information collection.

10. **Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.**

A SORN dated April 21, 2006 (Volume 71, Page 20708) for the Global On-line Enrollment System is included in this ICR.

11. **Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters**

that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

There are no questions of a sensitive nature.

**12. Provide estimates of the hour burden of the collection of information.**

FORM NUMBER/ TITLE	TOTAL ANNUAL BURDEN HOURS	NO. OF RESPONDENTS	NO. OF RESPONSES PER RESPONDENT	TOTAL RESPONSES	TIME PER RESPONSE
823S SENTRI	42,488	63,415	1	63,415	40 minutes (.67 hours)
823F FAST	19,370	28,910	1	28,910	40 minutes (.67 hours)
Kiosks	13,280	160,000	1	160,000	5 minutes (.083 hours)
Global Entry	167,000	100,000	1	100,000	1.67 hours
<b>TOTAL</b>	<b>242,138</b>	<b>352,325</b>		<b>352,325</b>	

**Public Cost**

The estimated cost to the respondents is \$8,801,716. This is based on the estimated burden hours (242,138) multiplied (x) hourly rate (\$36.35).

**13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information.**

There are no record keeping, capital, start-up or maintenance costs associated with this information collection. However, there is a fee of \$122.25 for SENTRI, a \$50 fee for FAST and a \$100 enrollment fee for Global Entry.

**SENTRI** Application Fee: 63,415 respondents x \$122.25 = \$7,752,483.75

**FAST** Application Fee: 28,910 respondents x \$50 = \$1,445,500

**Global Entry:** 100,000 respondents x \$100 = \$10,000,000

**Total \$19,197,983.75**

- 14. Provide estimates of annualized cost to the Federal Government. Also provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.**

There are no costs to the Government to administer SENTRI, FAST, and Global Entry because all costs are offset by the enrollment fees charged to the applicants.

- 15. Explain the reasons for any program changes or adjustments reported in Items 12 or 13.**

The burden hours increased by 167,000 hours and by 100,000 responses as a result of the addition of the Global Entry Program. This applies to the implementation of Global Entry at 20 airports including: New York (JFK); Houston, Texas (IAH); Washington Dulles (IAD); Los Angeles (LAX); Atlanta International (ATL); Chicago O'Hare (ORD); Miami International (MIA); Newark, New Jersey (EWR); San Francisco International (SFO); Orlando International (MCO); Detroit (DTW); Dallas Fort Worth International (DFW); Honolulu International (HNL); Boston - Logan International (BOS); Las Vegas - McCarran International (LAS); Sanford - Orlando International (SFB); Seattle - Tacoma International (SEA); Philadelphia (PHL); San Juan - Luis Munos Marin International (SJU); and Ft. Lauderdale Hollywood International (FLL).

The IC for the Mexican national pilot was removed because the Mexican national pilot is now a sub-set of Global Entry. Now that Global Entry is becoming a permanent program, we don't need the Mexican pilot anymore because Mexicans can apply for Global Entry. As a result, the burden hours decreased by 13,400 hours and the number of responses decreased by 20,000 responses.

The addition of Global Entry and the removal of the Mexican National Pilot resulted in a net increase to the burden hours of 153,600 hours and a net increase to the number of responses of 80,000 responses.

The fee for SENTRI was increased to \$122.25 because the fee that was previously reported (\$25) was incorrect.

- 16. For collection of information whose results will be published, outline plans for tabulation, and publication.**

This information collection will not be published for statistical purposes.

**17. If seeking approval to not display the expiration date, explain the reasons that displaying the expiration date would be inappropriate.**

CBP will display the expiration date for OMB approval of this information collection.

**18. Certification for Paperwork Reduction Act Submissions.**

CBP does not request an exception to the certification of this information collection

**B. Collection of Information Employing Statistical Methods**

No statistical methods are used.