

**REGULATORY INFORMATION**

Credit Union Name: \_\_\_\_\_

Charter Number: \_\_\_\_\_

1. Please provide the date of the most recent annual meeting held by the credit union.
2. Please provide the date of the most recent financial statement audit.
3. Indicate in the box the number of the description below that best characterizes the last audit performed of the credit union's records. 
  - 1 = Financial statement audit performed by state licensed persons
  - 2 = Balance sheet audit performed by state licensed persons
  - 3 = Examinations of internal controls over call reporting performed by state licensed persons
  - 4 = Supervisory Committee audit performed by state licensed persons
  - 5 = Supervisory Committee audit performed by other external auditors
  - 6 = Supervisory Committee audit performed by the supervisory committee or designated staff
4. Please provide the effective date of the most recent Supervisory Committee verification of members' accounts
5. Indicate in the box the number of the description below that best characterizes who completed the verification of member's accounts 
  - 1 = Supervisory Committee      2= Third Party
6. Indicate the Fidelity Bond Provider
7. Indicate the amount of Fidelity Coverage for any Single Loss (RR 713.5)

**DISASTER RECOVERY INFORMATION**

There have been no changes to my Disaster Recovery information since the last time I completed this form

1. In the event of a disaster, will the credit union communicate with members through a website?
 

Yes	No
2. Please check the resources or services you have available and would be willing to share with other credit unions during the time of an emergency if you did not need them. (Check all that apply)
 

a. Cash Non-Member Share Drafts	<input type="checkbox"/>	d. Mobile Branch	<input type="checkbox"/>
b. Generator	<input type="checkbox"/>	e. Office Space	<input type="checkbox"/>
c. IT Support	<input type="checkbox"/>	f. Staff/Management Services	<input type="checkbox"/>
3. Please provide the date of the last disaster recovery test completed by the credit union.

**CREDIT UNION PROGRAMS AND MEMBER SERVICES**

Credit Union Name: \_\_\_\_\_ Charter Number: \_\_\_\_\_

**Credit Union Programs - Place an "x" in the box next to all the programs the credit union offers (Check all that apply)**

a. Mortgage Processing		f. Investments not authorized by the FCU Act (State Credit Union Only)	
b. Approved Mortgage Seller		g. Deposits and Shares Meeting 703.10(a)	
c. Borrowing Repurchase Agreements		h. Brokered Certificates of Deposit	
d. Brokered Deposits (all deposits acquired through a third party)		i. Short-Term, Small Amount Loans (FCU Only)	
e. Investment Pilot Program			

**Member Service and Product Offerings - Place an "x" in the box next to all the products offered (Check all that apply)**

<b>Transactional</b>		<b>Financial Education</b>	
a. ATM/Debit Card Program		a. Financial Counseling	
b. Check Cashing		b. Financial Education	
c. International Remittances		c. Financial Literacy Workshops	
d. Low-cost wire transfers		d. First Time Homebuyer Program	
e. Money orders		e. In-School Branches	
f. No surcharge ATMs			
<b>Depository</b>		<b>Credit</b>	
a. Business Share Accounts		a. Business Loans	
b. Health Savings Accounts		b. Credit Builder	
c. Individual Development Accounts		c. Debt Cancellation/Suspension	
d. No Cost Share Drafts		d. Direct Financing Leases	
e. Share Certificates with low minimum balance requirements		e. Indirect Business Loans	
		f. Indirect Consumer Loans	
		g. Indirect Mortgage Loans	
		h. Interest Only or Pymt Option 1st Mortgage Loans	
		i. Micro Business Loans	
		j. Micro Consumer Loans	
		k. Overdraft Lines of Credit	
		l. Overdraft Protection/ Courtesy Pay	
		m. Participation Loans	
		n. Pay Day Loans	
		o. Real Estate Loans	
		p. Refund Anticipation Loans	
		q. Risk Based Loans	
		r. Share Secured Credit Cards	
<b>Other Member Services</b>			
a. Bilingual Services			
b. Insurance/Investment Sales			
c. No Cost Bill Payer			
d. No Cost Tax Preparation Services			
e. Student Scholarship			

**Short Term, Small Amount Loan Program (Federal Credit Unions Only):**

If the credit union offers Short-Term Small Amount Loans, does your program include any of the following: (check all that apply)

a. Credit Bureau Reporting	
b. Financial Education	
c. Forced Savings Component	
d. Payroll Deduction	

