REQUEST FOR DETERMINATION OF RESEARCH STATUS

To be completed by the staff member with lead responsibility for the project and approved by branch chief (if applicable) and Division ADS.
A separate PGO funding memo is required if project is research and involves human subjects regardless of the CDC staff role.

Instructions: **(1) Use this form to declare: (a) the research status of any project, (b) role or roles of CDC staff**
(2) A short summary should be attached offering specific details about the project and the role of staff.
(3) Be sure to complete all applicable items, obtain appropriate signatures and submit this form for approval.

Tracking Number: NEW (TBD)
(Use PGO number if cooperative agreement, grant, etc.)

Date submitted: 04/18/2012

Title of Project: Health Care Provider Gynecologic Cancer Education Module

Dates for project period:
Beginning: 09/30/2012
Ending: 09/30/2014

Dates for funding (if applicable):
Beginning:
Ending:

Project is (choose one):
NOTE: Revision, as used below, refers to any substantive change made to the project including scope of project, funding restrictions, personnel, role of CDC staff member, determination of research status, etc.

[X] **New** [ ] **Revision**
[ ] **Continuation, without revision(s)** [ ] **Continuation, with revision(s)**

Lead staff member:
Name: Sun Rim
User ID: FSX5
Scientific Ethics number: 2830

Contact information:
Division: DCPC
Telephone: 770-488-3252
Mailstop: K55

Please indicate your role(s) in this project:
[ ] **Project officer** [X] **Technical monitor**
[ ] **Principal investigator** [ ] **Investigator**
[ ] **Consultant** [ ] **Other (please explain)**

1. Are any or all of the activities within this project DESIGNED to contribute to generalizable knowledge (i.e., research)?
[ ] **YES** [X] **NO**

If YES, list those activities which are research:

2. Is this CDC project research or public health practice (check all that apply)?
[ ] **Research** [X] **Public health practice**

Check one:
[ ] **Human subjects involved**
[ ] **Human subjects not involved**

Check all that apply:
[ ] **Emergency Response** [ ] **Surveillance**
[ ] **Program evaluation** [X] **Other (please explain)** Health education

3. If RESEARCH involving human subjects, has the project or research activities been reviewed by the CDC IRB for human subjects protection?
a. [ ] **NO, New project, not yet reviewed**
b. [ ] **NO, Existing project, not ready to submit**
c. [ ] **NO, Submitted for approval**
d. [ ] **YES, Reviewed and approved by CDC**
If YES, please list protocol number _ and expiration date
e. [ ] **NO, RESEARCH, no CDC investigators (CDC IRB not required)**
f. [ ] **N/A (Not Applicable)**

If RESEARCH, list any other CDC staff involved in this project, please include the name, role, and scientific ethics number

Name	Role (project officer, investigator, consultant, etc.)	Scientific ethics number Prin

IF YOU THINK THE RESEARCH PROJECT MIGHT QUALIFY AS EXEMPT RESEARCH (as identified in 45CFR46.101), PLEASE ANSWER questions 4-6, OTHERWISE SKIP TO question 7.

4. Does the proposed research involve prisoners?

[ ] **YES** **If YES, this research cannot be exempted and must be reviewed by an IRB (skip to question 7).**

[ ] **NO**

5. Does the proposed research involve fetuses, pregnant women, or human in vitro fertilization as targets (such that Subpart B would apply)?

[ ] **YES** **If YES, this research cannot be exempted and must be reviewed by an IRB (skip to question 7).**

[ ] **NO**

Educational Research

6.1 Is this research conducted in established or commonly accepted educational settings, AND does the research involve normal educational practices (e.g., research on regular and special education strategies or research on the effectiveness of, or comparison among instrucational techniques, curricula or classroom management methods)?

[ ] **YES** [ ] **NO**

Research Involving Surveys, Interview Procedures (including Focus groups), Observation of Public Behavior, or Educational Tests

6.2 Will this research use educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior?

[ ] **YES** [ ] **NO** **If NO skip to 6.3**

Will children (<18 years of age) be research subjects?

[ ] **YES** **If YES, this research cannot be exempted and must be reviewed by an IRB (skip to item 7)**

[ ] **NO**

6.2.1 Is the information obtained recorded in such a manner that human subjects can be identified directly or indirectly through identifiers (such as a code) linked to the subjects;

[ ] **YES** [ ] **NO**

6.2.2 Will any disclosure of the human subjects' responses outside of the research setting have the potential to place the subjects at risk of criminal or civil liability, or be damaging to the subjects' financial standing, employability or reputation? (Examples here may include: the collection of sensitive data regarding the subjects' (or relatives' or associates') possible substance abuse, sexuality, criminal history or intent, medical or psychological condition, financial status, or similarly compromising information).

[ ] **YES** [ ] **NO**

6.3 Will this research use educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior but the research is not exempt under paragraph 6.2 of this section:

[ ] **YES** [ ] **NO** **If NO skip to 6.4**

6.3.1 Will this research involve human subjects that are elected or appointed public officials or candidates for public office?

[ ] **YES** [ ] **NO**

6.3.2 Does federal statute(s) require(s) without exception that confidentiality of the personally identifiable information will be maintained throughout the research and thereafter? (Note: CDC can use this exemption criterion only in the case where a 308(d) Assurance of Confidentiality has been obtained to cover the research).

[ ] **YES** [ ] **NO**

Existing Data Which Is Publicly Available or Unidentifiable

6.4 Does this research involve only the collection or study of existing* data, documents, records, pathological or diagnostic specimens? (* 'existing' means existing before the study begins)?

[ ] **YES** [ ] **NO** **If NO skip to 7**

6.4.1 Is this material or information publicly available?

[ ] **YES** [ ] **NO**

6.4.2 Is this material or information recorded in such a manner by the investigator that the subjects cannot be identified directly or indirectly through identifiers linked to the subjects?

(Note: If a link is created by an investigator even temporarily, for research purposes, this criterion is not met. If a temporary link is created by clinical staff who already have access to the data, this criterion is met).

[ ] **YES** **(there are no identifying information and no unique identifiers or codes)**

[ ] **NO** **(there are identifiers (including codes))**

7. Please prepare and attach a short summary paragraph (<1 page); if this is new:

a. Be sure to include the purpose of the project, specific details about the project and the role of the CDC staff member(s) in the project. In explaining one's role as a consultant be particularly careful to identify involvement in things like: study design decisions, oversight of protocol development, participation in review of data collection procedures, and particpation in data analysis and/or manuscript preparation, as well as whether there will be access to identifiable or personal data.

b. Explain your project status selection (research--non-exempt, exempt, no CDC investigator or not involving human subjects; public health practice). If you selected research not involving human subjects be sure to indicate if the data includes any personal information (e.g., name, SSN), linkable study identification numbers or codes, or geographical information.

Collectively, the five main types of gynecologic cancers (cervical, ovarian, uterine, vaginal, and vulvar cancers) pose a great public health concern and provide opportunities for targeted educational intervention among both women and health care providers (HCPs).

Under the Gynecologic Cancer Education and Awareness Act of 2005, or Johanna's Law, CDC is authorized to carry out the national Inside Knowledge: Get the Facts About Gynecologic Cancer campaign to increase the awareness and knowledge of HCPs and women about the signs, symptoms, risk factors, and prevention strategies related to gynecologic cancers.

Recent formative research with HCPs, which have already been conducted, has identified gaps in knowledge and/or misunderstandings about gynecological cancer. The purpose of this project is to develop a gynecologic cancer educational module for health care providers to improve educational systems for public health practice. The module would be integrated into health professional-school curriculum and would focus on educating students and HCPs about evidence-based recommendations for clinical care (e.g. following current cervical cancer screening guidelines, lack of evidence for routine ovarian cancer screening, the need to send women with suspected or diagnosed ovarian cancer to a gynecologic oncologist, family history and genetic counseling and testing recommendations) and would also address basic information about all five cancers (e.g., incidence and mortality rates, risk factors, symptoms). The results of the recent HCP focus groups and analyses of survey data conducted to inform the campaign would serve as the foundation for the module. This is considered public health practice because the intent of the project is solely to develop a module that is validated as an educational tool for health care professionals.

CDC staff members would serve as technical monitor and project consultants, providing oversight of the development of the educational module and would review project deliverables for input. Staff members would not receive any identifiable or personal data from pilot testing of the module.

8. Please list the primary project site and all collaborating site(s).

Explanation of project components:

9. If project involves research that is funded extramurally, list amount of award that should be restricted pending IRB approval and describe which project components will be affected, if known:

Approvals (signature and position title)	Date	Research Determination / Remarks
Sun Rim - EPIDEMIOLOGIST	04/18/2012	[X] Public health practice [ ] Research not involving human subjects [ ] Research involving human subjects, no CDC investigators [ ] Research involving human subjects, CDC investigators, exempt [ ] Research involving human subjects, CDC investigators, not exempt (check if applicable) [ ] Local IRB [ ] CDC Exemption [ ] CDC IRB
staff member completing this form		Comments:

Tracking NO. NEW (TBD)

Ingrid Hall - EPIDEMIOLOGIST Team Lead	04/19/2012	[X] Public health practice [ ] Research not involving human subjects [ ] Research involving human subjects, no CDC investigators [ ] Research involving human subjects, CDC investigators, exempt [ ] Research involving human subjects, CDC investigators, not exempt (check if applicable) [ ] Local IRB [ ] CDC Exemption [ ] CDC IRB Comments:
Cheryll Thomas - EPIDEMIOLOGIST Division ADS	04/19/2012	[X] Public health practice [ ] Research not involving human subjects [ ] Research involving human subjects, no CDC investigators [ ] Research involving human subjects, CDC investigators, exempt [ ] Research involving human subjects, CDC investigators, not exempt (check if applicable) [ ] Local IRB [ ] CDC Exemption [ ] CDC IRB Comments:
Joan Redmond Leonard - PUBLIC HEALTH ANALYST ADS, Deputy ADS, or Human Subjects Contact	05/29/2012	[X] Public health practice [ ] Research not involving human subjects [ ] Research involving human subjects, no CDC investigators [ ] Research involving human subjects, CDC investigators, exempt [ ] Research involving human subjects, CDC investigators, not exempt (check if applicable) [ ] Local IRB [ ] CDC Exemption [ ] CDC IRB Comments:

List of Grantees

Grantee #	Grantee Name