



# Sample Survey For Reference Purposes Only

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# Sample Survey – For Reference Purposes Only

1. What is the name of the Plan Sponsor listed on your ERRP application?

2. What is the Application ID number assigned by the ERRP Center?

3. How many participants does the plan represented in the ERRP application referenced in this survey, cover? Please include ALL participants such as active employees, early retirees, other retirees (for example, those over age 65), and spouses, surviving spouses, and dependents.

4. How many participants in the plan represented in the ERRP application referenced in this survey, are “early retirees”, as defined in the ERRP statute, regulations, and other guidance? Please include spouses, surviving spouses, and dependents of early retirees. [Additional details or instructions](#) for Question 4.

5. If your organization received ERRP funding in 2010, against which plan year’s costs did your organization apply, or against which plan year’s costs does your organization intend to apply, this funding? Select all that apply; dates below indicate plan years. For each listed plan year, indicate the percentage of funds received in CY2010 that were or will be applied in that plan year. If your organization did not receive any ERRP reimbursement in CY2010, skip to Question 6. [Additional details or instructions](#) for Question 5 regarding definition of plan year.

2010 (e.g. 10.5%)

2011 (e.g. 10.5%)

2012 (e.g. 10.5%)

2013 (e.g. 10.5%)

2014 (e.g. 10.5%)

**6. If your organization received ERRP funding in 2011, against which plan year's costs did your organization apply, or against which plan year's costs does your organization intend to apply, this funding?** Select all that apply; dates below indicate plan years. For each listed plan year, indicate the percentage of funds received in CY2011 that were or will be applied in that plan year. If your organization did not receive any ERRP reimbursement in 2011, skip to Question 7. [Additional details or instructions](#) for Question 6 regarding definition of plan year.

2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>
2013 (e.g. 10.5%)	<input type="text"/>
2014 (e.g. 10.5%)	<input type="text"/>

**7. Please characterize how your organization has applied (spent) ERRP reimbursements received in CY2010 and/or CY2011:**

- Spent all the reimbursements
- Spent some but not all of the reimbursements
- Spent none of the reimbursements yet

**8. How has your organization used ERRP reimbursements received in CY2010 and/or CY2011?** Select all that apply. [Additional details or instructions](#) for Question 8. Please answer all questions on this page. If any of questions 9-12 are not applicable, please enter "n/a" in the first response box for that question.

- a. To offset increases to sponsor's health benefit claim costs (self-insured plan)
- b. To offset increases to sponsor's health benefit premium cost (fully insured plan)
- c. To reduce, or offset increases to, premium costs paid by individual plan participants
- d. To reduce, or offset increases to, individual plan participants' overall deductibles
- e. To reduce, or offset increases to, individual plan participants' copayments, coinsurance, or other out-of-pocket health benefit costs

**9. If you selected (a) in Question 8, by how much have you been able to offset increases to your organization's health benefit claim costs (self-insured plan) because of ERRP?** Enter the percentage of the total dollar cost increase that you have offset, for each plan year for which you have applied ERRP reimbursement. For any plan year for which you did not use the funds in the manner stated in 8(a), enter "n/a".

2010 (e.g. 10.5%)	<input type="text"/>
2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>

**10. If you selected (b) in Question 8, by how much have you been able to offset increases to your organization's health benefit premium costs (fully insured plan) because of ERRP?** Enter the percentage of the total dollar cost increase that you have offset, for each plan year for which you have applied ERRP reimbursement. For any plan year for which you did not use the funds in the manner stated in 8(b), enter "n/a".

2010 (e.g. 10.5%)	<input type="text"/>
2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>

**11. If you selected (c) in Question 8, by how much have you been able to reduce or offset increases to premium costs paid by individual plan participants because of ERRP?** Enter the implemented reduction in the dollar amount that individual plan participants pay or will pay in premium costs as a percentage of what the dollar amount would otherwise be, for each plan year for which you have applied ERRP reimbursement. For any plan year for which you did not use the funds in the manner stated in 8(c), enter "n/a". [Additional details or instructions](#) for Question 11.

2010 (e.g. 10.5%)	<input type="text"/>
2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>

**12. If you selected (d) in Question 8, by how much have you been able to reduce or offset increases to individual plan participants' overall deductibles because of ERRP?** Enter the implemented reduction in the dollar amount for individual plan participants' overall deductibles as a percentage of what the dollar amount would otherwise be, for each plan year for which you have applied ERRP reimbursement. For any plan year for which you did not use the funds in the manner stated in 8(d), enter "n/a". [Additional details or instructions](#) for Question 12.

2010 (e.g. 10.5%)	<input type="text"/>
2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>

**13. How is your organization planning to use ERRP reimbursements received in CY2010 and/or CY2011?** Select all that apply. [Additional details and instructions](#) for Question 13. Please answer all questions on this page. If any of questions 14-17 are not applicable, please enter "n/a" in the first response box for that question.

- a. To offset increases to sponsor's health benefit claim costs (self-insured plan)
- b. To offset increases to sponsor's health benefit premium cost (fully insured plan)
- c. To reduce, or offset increases to, premium costs paid by individual plan participants
- d. To reduce, or offset increases to, individual plan participants' overall deductibles
- e. To reduce, or offset increases to, individual plan participants' copayments, coinsurance, or other out-of-pocket health benefit costs

**14. If you selected (a) in Question 13, by how much do you project to offset increases to your organization's health benefit claim costs (self-insured plan) because of ERRP?** Enter the percentage of the total dollar cost increase that you project to offset, for each plan year for which you intend to apply ERRP reimbursement. For any plan year for which you do not intend to use the funds in the manner stated in 13(a), enter "n/a". For any plan year for which you intend to use the funds in the manner stated in 13(a), but can't project the offset in increases to your organization's health benefit claim costs, enter "unknown".

2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>
2013 (e.g. 10.5%)	<input type="text"/>
2014 (e.g. 10.5%)	<input type="text"/>

**15. If you selected (b) in Question 13, by how much do you project to offset increases to your organization's health benefit premium costs (fully insured plan) because of ERRP?** Enter the percentage of the total dollar cost increase that you project to offset, for each plan year for which you intend to apply ERRP reimbursement. For any plan year for which you do not intend to use the funds in the manner stated in 13(b), enter "n/a". For any plan year for which you intend to use the funds in the manner stated in 13(b), but can't project the offset in increases to your organization's health premium costs, enter "unknown".

2011 (e.g. 10.5%)	<input type="text"/>
2012 (e.g. 10.5%)	<input type="text"/>
2013 (e.g. 10.5%)	<input type="text"/>
2014 (e.g. 10.5%)	<input type="text"/>

**16. If you selected (c) in Question 13, by how much do you project to reduce or offset increases to premium costs paid by individual plan participants because of ERRP?** Enter the projected reduction in the dollar amount that individual plan participants will pay in premium costs as a percentage of what the dollar amount would otherwise be, for each plan year for which you intend to apply ERRP reimbursement. For any plan year for which you do not intend to use the funds in the manner stated in 13(c), enter "n/a". For any plan year for which you intend to use the funds in the manner stated in 13(c), but can't project the reduction or offset in increases to premium costs paid by individual plan participants, enter "unknown".

[Additional details or instructions](#) for Question 16.

2011 (e.g. 10.5%)

2012 (e.g. 10.5%)

2013 (e.g. 10.5%)

2014 (e.g. 10.5%)

**17. If you selected (d) in Question 13, by how much do you project to reduce or offset increases to individual plan participants' overall deductibles because of ERRP?** Enter the projected reduction in the dollar amount for individual plan participants' overall deductibles as a percentage of what the dollar amount would otherwise be, for each plan year for which you intend to apply ERRP reimbursement. For any plan year for which you do not intend to use the funds in the manner stated in 13(d), enter "n/a". For any plan year for which you intend to use the funds in the manner stated in 13(d), but can't project the reduction or offset in increases to individual plan participants' overall deductibles, enter "unknown".

[Additional details or instructions](#) for Question 17.

2011 (e.g. 10.5%)

2012 (e.g. 10.5%)

2013 (e.g. 10.5%)

2014 (e.g. 10.5%)

Thank you for completing the survey! If you would like to review or make copies of your responses, click "Previous" button and print screenshots as needed.

Please click "Submit Survey" to submit your responses.

## **Instructions for Completing Early Retiree Reinsurance Program Survey of Plan Sponsors**

### **Instructions for Question 4**

The ERRP definition of "early retiree" can be found in the definition section of the ERRP regulations at 45 CFR 149.2. A copy of the regulations can be found at [www.errp.gov](http://www.errp.gov). There is additional information on the definition of early retiree in the set of Common Questions designated "Early Retirees" which are also published on [www.errp.gov](http://www.errp.gov).

[Return to Question 4](#)

### **Instructions for Question 5 and 6**

All references to "plan year" in this survey refer to the sponsor's 12-month plan year based on the cycle provided in the sponsor's ERRP application, which may not necessarily reflect a calendar year. For purposes of this survey, a sponsor's 2010, 2011, 2012, 2013, or 2014 plan year refers to its plan year that ends in that year. For example, a sponsor's plan year that starts on January 1, 2011, and ends on December 31, 2011, would be considered its 2011 plan year. A sponsor's plan year that starts on July 1, 2011, and ends on June 30, 2012, would be considered its 2012 plan year.

[Return to Question 5](#)

[Return to Question 6](#)

### **Instructions for Question 8 and 13**

The reference to "overall deductibles" refers to single deductibles that apply across all a plan's benefits, as opposed to deductibles for a discrete type or category of benefits. However, if a plan has a single deductible that applies across all a plan's medical benefits, and a separate deductible that applies to prescription drugs, the reference to "overall deductibles" refers to the single deductible that applies across all the plan's medical benefits.

[Return to Question 8](#)

[Return to Question 13](#)

### **Instructions for Question 11 and 16**

To the extent that the impact of ERRP funds varies across plan participants, your answer should reflect a weighted average. For example, consider a plan with 100 participants. Assume that premium contributions paid by plan participants remained constant for 30 participants, increased by 5% for 30 participants and increased by 10% for the remaining 40 participants. In this case, the weighted average of the premium increase would be calculated as follows:  
$$\{[(0 \times 30) + (.05 \times 30) + (.10 \times 40)] / (30 + 30 + 40)\} = 5.5\%$$

[Return to Question 11](#)

[Return to Question 16](#)

## **Instructions for Question 12 and 17**

The reference to "overall deductibles" refers to single deductibles that apply across all a plan's benefits, as opposed to deductibles for a discrete type or category of benefits. However, if a plan has a single deductible that applies across all a plan's medical benefits, and a separate deductible that applies to prescription drugs, the reference to "overall deductibles" refers to the single deductible that applies across all the plan's medical benefits.

To the extent that overall deductibles are or will be reduced, or increases to deductibles are or will be offset, but not for all plan participants, and/or not equally for all plan participants, your answer should reflect a weighted average. See sample weighted average calculation in the Instruction for Questions 11 and 16.

[Return to Question 12](#)

[Return to Question 17](#)