# Survey of Occupational Injuries and Illnesses, 2011



## YOUR RESPONSE IS <u>REQUIRED BY LAW</u> IN 30 DAYS.

Please correct your company address as needed.

## For your convenience, you can submit your survey response on our website at https://idcf.bls.gov.

We estimate it will take you an average of 24 minutes to complete this survey (ranging from 10 minutes to 5 hours per package), including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this information. If you have any comments regarding the estimates or any other aspect of this survey, including suggestions for reducing this burden, please send them to the Bureau of Labor Statistics, Occupational Safety and Health Statistics (1220-0045), 2 Massachusetts Avenue, N.E., Washington, DC 20212. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. **DO NOT SEND THE COMPLETED FORM TO THIS ADDRESS.** 

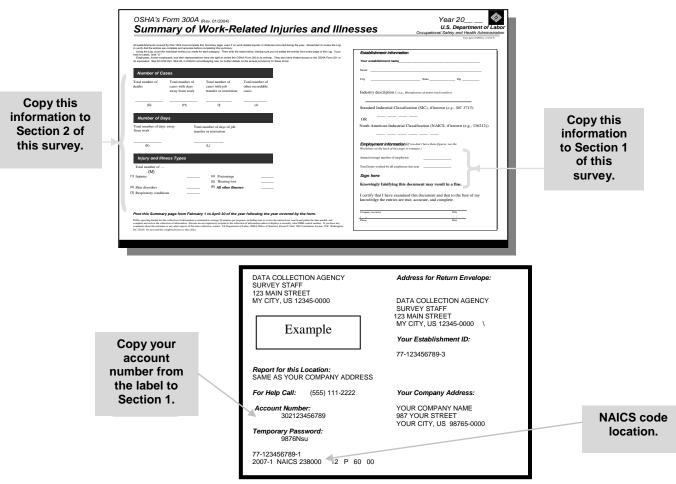
The Bureau of Labor Statistics, its employees, agents, and partner statistical agencies, will use the information you provide for statistical purposes only and will hold the information in confidence to the full extent permitted by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 (Title 5 of Public Law 107-347) and other applicable Federal laws, your responses will not be disclosed in identifiable form without your informed consent.

OMB No. 1220-0045 BLS-9300 N06

## Steps to Complete this Survey

This survey requires employers to provide information about work-related injuries and illnesses based upon the information you have maintained for Calendar Year 2011 on your Occupational Safety and Health Administration (OSHA) *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2010. Under Public Law 91-596, all establishments that receive this **mandatory** survey must complete and return it within 30 days, even if they had **no** work-related injuries and illnesses during 2011. The instructions below outline the steps to complete the survey regardless of whether your establishment did or did not have injuries or illnesses in 2011.

- **Step 1:** Complete this survey only for the establishment(s) noted on the front cover under "**Report for this Location**." If you are unsure, please call the number(s) listed on the front of this form in the "**For Help Call:**" section.
- Step 2: Check "Your Company Address" printed on the front cover. Make any necessary corrections directly on the front cover.
- **Step 3**: Refer to your establishment's OSHA *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2010. Form 300A from that mailing is shown immediately below.



- If you had **no** work-related injuries or illnesses in 2011, answer all questions in Sections 1 and 4 of the survey.
- If you had at least one work-related injury or illness in 2011, answer all questions in Sections 1, 2 and 4 of the survey.
- Report cases with *Days Away From Work* (with or without days of job transfer or restriction) in Section 3.
- Report cases with *Job Transfer or Restriction* (without days away from work) in Section 3 if your NAICS code begins with these numbers: 238, 311, 444, 481, 493, or 623 (see mailing label example for NAICS code location).
- **Step 4:** In case we have questions, write the name of the person who completed this survey in Section 4: Contact Information, on the last page of this survey.
- **Step 5:** Return this survey and any attachments in the enclosed envelope within 30 days of the date your establishment received it.

## Section 1: Establishment Information

**Instructions:** Using your completed Calendar Year 2011 *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A), copy the establishment information into the boxes. If these numbers are not available on your OSHA Form 300A, or if your establishment does not keep records needed to answer (2) and (3) below, you can estimate using the steps that follow on the next page.

1.	Enter your account number from the front cover.	
2.	Enter the annual average number of employees for 2011.	
3.	Enter the total hours worked by all employees for 2011.	
4.	Check any conditions that might have affected your answers to questions 2 and 3 above during 2011	:

- Strike or lockout
- Shutdown or layoff
- Seasonal work

Shorter work schedules or fewer pay periods than usual
 Longer work schedules or more pay periods than usual

nal work

- □ Other reason:
- □ Nothing unusual happened to affect our employment or hours figures
- Natural disaster or adverse weather conditions
- 5. Did you have ANY work-related injuries or illnesses during 2011?
  - □ Yes. Go to Section 2: Summary of Work-Related Injuries and Illnesses, 2011, directly below.
  - □ No. Go to Section 4: Contact Information, on the back cover.

## Section 2: Summary of Work-Related Injuries and Illnesses, 2011

#### Instructions:

- 1. Refer to the OSHA *Forms for Recording Work-Related Injuries and Illnesses* for the location referenced on the front cover of the survey under "**Report for this Location**." If you prefer, you may enclose a photocopy of your *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A).
- 2. If more than one establishment is noted on the front cover of this survey, be sure to include the OSHA Form 300A for all of the specified establishments.
- 3. If any total is zero on your OSHA Form 300A, write "0" in that total's space below.
- 4. The **total** Number of Cases recorded in G + H + I + J must equal the **total** Injury and Illness Types recorded in M (1 + 2 + 3 + 4 + 5 + 6).

<b>Number of Cases</b> Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
(G)	(H)	(I)	(J)
<b>Number of Days</b> Total number of days away from work		Total number of days of job transfer or restriction	
(K) Injury and Illness Ty	pes	(L)	
Total number of (M) (1) Injuries (2) Skin disorders (3) Respiratory conditions		<ul><li>(4) Poisonings</li><li>(5) Hearing loss</li><li>(6) All other illnesses</li></ul>	

If you had any work-related deaths in 2011, please tell us on the line below where you assigned/classified each death within the list of items (M1) through (M6) provided under *Injury and Illness Types* above (e.g., "fatal case was due to injury resulting from fall" or "death resulted from respiratory conditions")\_\_\_\_\_\_

## Steps to estimate annual average number of employees for 2011:

#### Step 1:

previous page.

To calculate the annual average number of employees your establishment paid during 2011, you must calculate the total number of employees your establish employees your establish Calendar Year 2011. Co during the year and inclusalaried, and hourly work weekly, bi-weekly, etc.

#### Example:

Acme Construction paid its employees in 12 pay periods during 2011:

employees your establishment paid for all periods. Add the number of employees your establishment paid in every pay period during Calendar Year 2011. Count all employees that you paid at any time during the year and include full-time, part-time, temporary, seasonal, salaried, and hourly workers. Note that pay periods could be monthly, weekly, bi-weekly, etc.	Pay PeriodNumber of Employees Paid1 $30$ 203 $35$ 4 $37$ 5 $37$ 6 $40$ 7 $43$ 8 $42$ 9 $37$ 10 $35$ 11 $30$ 12 $\frac{+26}{392}$ (total number of employees paid over all pay periods)
<b>Step 2:</b>	<ul> <li><i>Example:</i></li></ul>
Divide the total number of employees (from Step 1) by the number of pay periods your establishment had in 2011. Be sure to count any pay periods when you had no (zero) employees.	Acme Construction had 12 pay periods and paid a total of 392 employees during these pay periods. <li>392 divided by 12 = 32.67</li>
<b>Step 3:</b>	<i>Example:</i>
Round the answer you computed in Step 2 to the next highest whole number. Write that number in the box for Section 1, Question 2 on the	Acme would round 32.67 to 33.

## Steps to estimate total hours worked by all employees for 2011:

<b>Step 1:</b> Determine the number of full-time employees at your establishment.	<i>Example:</i> Of Acme's 33 employees in 2011, 28 were full-time.
<b>Step 2:</b> Determine the number of hours generally worked by a full-time employee for a year. Multiply the number of full-time employees you calculated in Step 1 by this number. This total number of full-time hours worked should exclude vacation, sick leave, holidays, and any other non-work time.	<i>Example:</i> Each of Acme's 28 full-time employees worked an average of 2,000 hours per year after excluding vacation, sick leave, holidays, and other non-work time. This works out to 40 hours per week for 50 weeks of the year.
	28 full-time employees X 2,000 hours per year 56,000 total full-time hours
<b>Step 3:</b> Determine the number of hours of overtime worked by your full-time employees.	<i>Example:</i> Acme's 28 full-time employees worked a total of 2,800 hours of overtime during 2011 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,715
Determine the number of regular hours worked by your non-full-time employees. (Non-full-time employees include part-time, seasonal, and temporary employees.) Add these numbers to the number you calculated in Step 2 above. This is the estimated number of hours worked by all of your employees, full-time and non-full-time, during 2011. Write this number in Section 1, Question 3 on the previous page.	hours during 2011. 56,000 full-time hours from Step 2 2,800 over time hours $\frac{+2,715}{61,515}$ part-time hours total hours worked

## Section 3: Reporting Cases

#### Instructions:

- 1. If you had **NO** cases with days away from work (Column H) and **NO** cases with days of job transfer or restriction (Column I), please proceed to Section 4: Contact Information.
- 2. If you had cases with days away from work (Column H) and/or cases with days of job transfer or restriction only (Column I), please complete Section 3. You should report all cases with days away from work (with or without job transfer or restriction). If your NAICS code begins with: 238, 311, 444, 481, 493, or 623, you should also report all cases with days of job transfer or restriction (without days away from work). Your NAICS code is located on the mailing label on the front of this booklet. To identify the individual cases to report, follow these steps:
  - Step 1: Go to your completed OSHA Form 300. Note each case that has a check in Column (H) and/or Column (I). These are the only cases you should report. See the illustration in Step 3 below.
  - **Step 2:** Fill out one Injury and Illness Case Form for each case that you identified in Step 1. You can find most of the information on a supplementary document such as the *Injury and Illness Incident Report* (OSHA Form 301), a workers' compensation report, an accident report, or an insurance form.
  - **Step 3:** If more than one establishment is noted on the front cover under "**Report for this Location**," be sure to look at all your OSHA Form 300's to find which cases to report.

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awa; orofe wo li	from work, or medical treatme ssional. You must also record v	nt beyond first aid. Yo work-related injuries ar d to. You must comple	u must also record nd illnesses that me ete an injury and illn	significant work-related injuries set any of the specific recording sess incident Report (OSHA For	and illnesses that are diagnosed by a physician or lik criteria listed in 29 CFR Part 1904.8 through 1904.12 m 301) or equivalent form for each injury or illness re	ensed health Feel free to				Establishm City	ent name		\$	ltate		
nti	(B) (B)	(C) Job title	Describe th (D) Date of injury	(E) Where the event occurred	(F) Describe injury or illness, parts of body affecte	CHE		Case NE box for each st serious ou		Enter th days th ill work	te number of e injured or er was:				ary" co	
		(e.g., Welder)	or onset of illness	(e.g., Loading dock north end)	and object/substance that directly injured or made person ill (e.g., Second degree burns on right forearm from acetylene torch)	Deat	De awa	y Job transfer	od at Work	Away from work	On job transfer or restriction	(M)	lin dooder	-	1	lawing loss
_						(G)	(H)	(1)	(J)	(K)	(L) days	(1)	(2)	(3)	(4) (	5
_			month/day / month/day			_ 0				days	days					
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						7 2				days	days					
	Section 3 as					<u> </u>				days	days					
	or illnesses					0				days	days					
	Column H, Work and/o					— <u> </u>				days	days	0	0	0	0	0
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truc	tions, search and gather the data nee	eded, and complete and r	review the collection of	er response, including time to review information. Persons are not require		nsfer those tota	is to the Summ	ary page (Form 3	IGGA) before you po	ost it.	_	Isjury	disorder	prime of	<b>Newline</b>	ring her
thes	to the collection of information unle estimates or any other aspects of the	is data collection, contact:	2 US Department of La	mber. If you have any comments abor, OSHA Office of Statistical be completed forms to this office.						Page of		(1)	100	2° (3)	(4)	- - - - 

- **Step 4:** We have designed this survey to ensure that you do not have to report more than approximately 15 cases. If you have significantly more than 15 cases, please go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State for assistance. If you need additional Injury and Illness Case Forms, you may either photocopy a blank form or go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State.
- **Step 5:** When you are finished, proceed to Section 4: Contact Information on the back cover of this booklet and provide information for the person who completed this survey.

## Injury and Illness Case Form

Tell us about a 2011 work-related injury or illness only if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of Section 3: Reporting Cases.

#### Tell us about the Case

Go to your completed OSHA Form 300. Copy the case information from that form into the spaces below.

Date of injury Number of days Number of days of job transfer or onset of illness **Employee's name** Job title away from work or restriction (Column B) (Column C) (Column D) (Column K) (Column L) /11 month day year

#### Tell us about the Employee

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1. Check the category which <i>best</i> describes the employee's regular type of job or work: (optional)	Answer the questions belo document that answers th
<ul> <li>Office, professional, business, or management staff</li> <li>Sales</li> <li>Product assembly, product manufacture</li> <li>Repair, installation or service of machines, equipment</li> <li>Construction</li> <li>Other:</li> <li>Employee's race or ethnic background: (optional-check one or more)</li> </ul>	<ul> <li>6. Was employee treated i</li> <li>7. Was employee hospitali</li> <li>8. Time employee began w</li> <li>9. Time of event:</li> <li>Event occurred: (option</li> <li>10. What was the employee Describe the activity as</li> </ul>
<ul> <li>American Indian or Alaska Native</li> <li>Asian</li> <li>Black or African American</li> <li>Hispanic or Latino</li> <li>Native Hawaiian or Other Pacific Islander</li> <li>White</li> </ul>	<ul><li>employee was using. B while carrying roofing r sprayer"; "daily comput</li><li>11. What happened? Tell</li></ul>
<ul> <li>Not available</li> <li>NOTE: You may either answer questions (3) to (13) or attach a copy of a supplementary document that answers them.</li> <li>3. Employee's age: OR date of birth: /</li></ul>	Examples: "When ladd "Worker was sprayed w replacement"; "Worker 12. What was the injury o
4. Employee's date hired: ////////////////////////////////////	was affected and how it "pain," or "sore." <i>Exan</i> hand"; "carpal tunnel sy
occurred:	13. What object or substa
<ul> <li>Less than 3 months</li> <li>From 3 to 11 months</li> <li>From 1 to 5 years</li> <li>More than 5 years</li> </ul>	<i>Examples</i> : "concrete flo question does not apply
5. Employee's gender:	
Male	
Female	

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#### Tell us about the Incident

swer the questions below or attach a copy of a supplementary em.

- in an emergency room?  $\Box_{ves}$   $\Box_{no}$
- ized overnight as an in-patient?  $\Box_{ves} \Box_{no}$
- vork: \_\_\_\_\_ 🗖 am 🗖 pm

J. Time of event:	am	pm	OR	Check be dete	if time cannoi ermined	t
Event occurred: (optional)	before	$\Box du$	ıring	after	work shi	ft

- e doing just before the incident occurred? well as the tools, equipment, or material the se specific. Examples: "climbing a ladder materials"; "spraying chlorine from hand ter key-entry."
- us how the injury or illness occurred. ler slipped on wet floor, worker fell 20 feet"; ith chlorine when gasket broke during developed soreness in wrist over time."
- or illness? Tell us the part of the body that was affected; be more specific than "hurt," nples: "strained back"; "chemical burn, ndrome."
- nce directly harmed the employee? oor"; "chlorine"; "radial arm saw." If this to the incident, leave it blank.

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## Injury and Illness Case Form

Tell us about a 2011 work-related injury or illness only if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of Section 3: Reporting Cases.

#### Tell us about the Case

Go to your completed OSHA Form 300. Copy the case information from that form into the spaces below.

Date of injury Number of days Number of days of job transfer or **Employee's name** Job title onset of illness away from work or restriction (Column B) (Column C) (Column D) (Column K) (Column L) / /11 month day year Tell us about the Incident

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copy of a supplementary

#### Tell us about the Employee

1	. Check the category which best descri of job or work: (optional)	bes the employee's regular	r type	document that answers them.
	<ul> <li>Office, professional, business, or management staff</li> <li>Sales</li> <li>Product assembly, product manufacture</li> <li>Density installation or service</li> </ul>	<ul> <li>Healthcare</li> <li>Delivery or driving</li> <li>Food service</li> <li>Cleaning, maintenance of building, grounds</li> <li>Material handling (see a second second</li></ul>		<ul> <li>8. Was employee treated in an emergency room? yes no</li> <li>9. Was employee hospitalized overnight as an in-patient? yes n</li> <li>8. Time employee began work: am pm</li> <li>9. Time for the second se</li></ul>
	<ul> <li>Repair, installation or service of machines, equipment</li> <li>Construction</li> <li>Other:</li> </ul>	<ul> <li>Material handling (e.g.s) loading/unloading, movin</li> <li>Farming</li> </ul>		9. Time of event: am pm OR Check if time cannot be determined Event occurred: (optional) before during after work shift
2	<ul> <li>Employee's race or ethnic backgrou</li> <li>American Indian or Alaska Native</li> <li>Asian</li> <li>Black or African American</li> <li>Hispanic or Latino</li> </ul>	e	more)	10. What was the employee doing just before the incident occurred? Describe the activity as well as the tools, equipment, or material the employee was using. Be specific. <i>Examples</i> : "climbing a ladder while carrying roofing materials"; "spraying chlorine from hand sprayer"; "daily computer key-entry."
	<ul> <li>Native Hawaiian or Other Pacific</li> <li>White</li> <li>Not available</li> <li>IOTE: You may either answer question upplementary document that answers the</li> </ul>	s (3) to (13) or attach a copy	y of a	11. What happened? Tell us how the injury or illness occurred. Examples: "When ladder slipped on wet floor, worker fell 20 feet"; "Worker was sprayed with chlorine when gasket broke during replacement"; "Worker developed soreness in wrist over time."
	. Employee's age: OR date of [			12. What was the injury or illness? Tell us the part of the body that was affected and how it was affected; be more specific than "hurt," "pain," or "sore." <i>Examples</i> : "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."
	<i>OR</i> check length of service at estable ccurred: Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years			13. What object or substance directly harmed the employee? Examples: "concrete floor"; "chlorine"; "radial arm saw." If this question does not apply to the incident, leave it blank.
5	<ul> <li>Employee's gender:</li> <li>Male</li> <li>Female</li> </ul>			
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## Section 4: Contact Information

Fill in the name, title, and phone number of the person who completed this survey in case we have questions.

Printed name	( ) - Telephone number	Ext.	() - Fax number
1 mileu nume		LAI.	Τ αλ παπισετ
	/ /		
Title	Today's date		

Use the return envelope to send us the **entire package** -- everything that we sent you -- within 30 days of the date your establishment received it. If the return envelope is missing, send the **entire package** to the return address on the front cover (look for *Address for Return Envelope*).

## Section 5: If You Need Help ...

If you have any questions or if you need help completing this survey, call the phone number(s) that is listed below for your State. The phone number(s) may be for an office outside your State, but they will be able to help you. If you prefer to write, send your letter to the return address on the front of this package.

Alabama (334) 242-3461, 3463 (334) 240-3417 fax Alaska (907) 465-4539 (907) 465-4506 fax Arizona (602) 542-3739 (602) 542-6360 fax Arkansas (501) 682-4509 (501) 682-4754 fax California (415) 703-3020 (415) 703-3029 fax Colorado (816) 285-7146 (816) 285-7031 (972) 850-4810 fax Connecticut (860) 263-6941 (860) 263-6950 fax Delaware (302) 761-8221 (302) 762-3590 fax **District of Columbia** (202) 442-9010, 5926, 5930 (202) 442-4833 fax Florida (215) 861-5638, 5628 (215) 861-5736 fax Georgia (404) 679-1746, 1747, 1656 (404) 679-0520 fax Guam (671) 475-7056 (671) 475-7063 fax Hawaii (808) 586-9001 (808) 586-9022 fax Idaho (415) 625-2275, 2271, 2267 (415) 625-2356 fax

Illinois (217) 524-2098 (217) 558-4122 fax Indiana (317) 232-2668 (317) 233-3790 fax Iowa (515) 281-3618 (515) 242-5076 fax Kansas (785) 296-1640 (785) 296-2151 fax Kentuckv (502) 564-4259, 4136, 4135 (502) 564-0091 fax Louisiana (225) 342-3126 (225) 342-3269 fax Maine (207) 623-7903, 7904 (207) 623-7937 fax Marvland (410) 527-4460, 4461, 4462 (410) 527-4497 fax Massachusetts (617) 626-6945 (617) 626-6944 fax Michigan (517) 322-1848 (517) 322-5117 fax Minnesota (888) 589-6322 (651) 284-5726 fax Mississippi (404) 893-1934, 8344 (404) 893-8343 fax Missouri (573) 751-3802, 2663 (573) 751-2319 fax Montana (800) 541-3904 (406) 444-2638 fax

Nebraska (402) 471-3547, 1545 (800) 599-5155 (402) 742-2352 fax Nevada (866) 931-1215 (702) 486-9187 (702) 486-9175 fax **New Hampshire** (617) 565-2302 (617) 565-3847 fax **New Jersey** (609) 292-8999 (609) 633-0618 fax New Mexico (505) 476-8740, 8708, 8704 (505) 476-8735 fax New York (888) 425-1323 (888) 807-0410 fax North Carolina (919) 733-2758 (919) 733-2186 fax North Dakota (312) 353-7253 (312) 353-7230 fax Ohio (312) 353-7253 (312) 353-7230 fax Oklahoma (405) 521-6857 (405) 521-6021 fax Oregon (503) 947-7030 (503) 947-7085 fax Pennsylvania (800) 238-9412 (717) 705-4318 fax **Puerto Rico** (787) 754-5300, ext. 3032, 3036, 3051, 3056, 3057 (787) 754-5360 fax

**Rhode Island** (617) 565-2302 (617) 565-3847 fax South Carolina (803) 896-7659, 7683 (803) 896-4676 fax South Dakota (312) 353-7253 (312) 353-7230 fax Tennessee (615) 741-1748 (800) 778-3966 (615) 253-5501 fax Texas (866) 237-6405 (512) 804-4652 fax Utah (801) 530-6926, 6823 (801) 536-7906 fax Vermont (802) 828-5985 (802) 828-2195 fax Virgin Islands (340) 776-3700 ext. 2135, 2667 (340) 777-4803 fax Virginia (804) 786-1035, 1995, 7616 (804) 786-8418 fax Washington (360) 902-5640 (360) 902-4249 fax West Virginia (800) 652-9033 (304) 558-2658 (304) 558-0301 fax Wisconsin (800) 884-1273 (608)-221-6294 (608) 221-6297 fax Wyoming (866) 518-6680 (307) 473-3838 (307) 473-3863 fax