

# Part B – SPP /APR

## Part B State Performance Plan (SPP) and Annual Performance Report (APR) Part B Indicator Measurement Table

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<b>Monitoring Priority: FAPE in the LRE</b>		
<p>1. Percent of youth with IEPs graduating from high school with a regular diploma. (20 U.S.C. 1416 (a)(3)(A))</p>	<p><b>Data Source:</b> Same data as used for reporting to the Department under Title I of the Elementary and Secondary Education Act (ESEA).</p> <p><b>Measurement:</b> States must report using the adjusted cohort graduation rate required under the ESEA.</p>	<p><i>Sampling is not allowed.</i></p> <p>Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2011 APR, use data from 2010-2011), and compare the results to the target. Provide the actual numbers used in the calculation.</p> <p>Provide a narrative that describes the conditions youth must meet in order to graduate with a regular diploma and, if different, the conditions that youth with IEPs must meet in order to graduate with a regular diploma. If there is a difference, explain why.</p> <p>Targets should be the same as the annual graduation rate targets under Title I of the ESEA.</p> <p>States may report on one set of Improvement Activities covering Indicators 1 and 2 in cases where the improvement activities are the same or overlap.</p>
<p>2. Percent of youth with IEPs dropping out of high school. (20 U.S.C. 1416 (a)(3)(A))</p>	<p><b>Data Source:</b> Same data as used for reporting to the Department under IDEA section 618.</p> <p><b>Measurement:</b> States must report a percentage using the number of youth with IEPs (ages 14-14) who exited special education due to dropping out in the numerator and the number of all youth with IEPs who left high school (ages 14-21) in the denominator.</p>	<p><i>Sampling is not allowed.</i></p> <p>Use 618 exiting data reported to the Department via ED Facts in file specification N009 or via DANS using Part B Exiting Table 4.</p> <p>ED Facts file specifications N009: <a href="http://www2.ed.gov/about/inits/ed/edfacts/sy-10-11-nonxml.html">http://www2.ed.gov/about/inits/ed/edfacts/sy-10-11-nonxml.html</a>)</p> <p>Part B Exiting: <a href="https://www.ideadata.org/PartBForms.asp#y201011">https://www.ideadata.org/PartBForms.asp#y201011</a></p> <p>States should use the definitions specified in</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p><u>EDFacts file specification 009 (and also in Table 4).</u></p> <p>Include in the denominator the following exiting categories: (a) graduated with a regular high school diploma, (b) received a certificate, (c) reached maximum age, (d) dropped out, or (e) died.</p> <p>Do not include in the denominator the number of youths with IEPs who exited special education due to (a) transferring to regular education or (b) who moved, but are known to be continuing in education.</p> <p><u>States may choose to reset baseline and reestablish targets.</u></p> <p>States may report on one set of Improvement Activities covering Indicators 1 and 2 in cases where the improvement activities are the same or overlap.</p>
<p>3. Participation and performance of children with IEPs on statewide assessments:</p> <p>A. Percent of the districts with a disability subgroup that meets the State’s minimum “n” size that meet the State’s AYP/AMO targets for the disability subgroup.</p> <p>B. Participation rate for children with IEPs.</p> <p>C. Proficiency rate for children with IEPs against grade level, modified and alternate academic achievement standards.</p> <p>(20 U.S.C. 1416 (a)(3)(A))</p>	<p><b>Data Source:</b></p> <p>3A (choose either 3A.1 or 3A.2)</p> <p>3A.1 AYP data used for accountability reporting under Title I of the ESEA.</p> <p>3A.2 AMO data used for accountability reporting under Title I of the ESEA as a result of ESEA flexibility.</p> <p>3B. Assessment data reported in the Consolidated State Performance Report (CSPR) reporting on ESEA (EDFacts file specification N/X081).</p> <p>3C. Assessment data reported in the Consolidated State Performance Report (CSPR) reporting on ESEA (EDFacts file specifications N/X075 and N/X078).</p> <p><b>Measurement:</b></p> <p>A. (choose either A.1 or A.2)</p> <p>A.1 AYP percent = [(# of districts with a disability</p>	<p>Describe the results of the calculations and compare the results to the targets. Provide the actual numbers used in the calculation.</p> <p>States are encouraged to present their APR information in summary tables and include multiple years of data for comparison purposes.</p> <p>Include information regarding where to find public reports of assessment results, i.e., link to the Web site where results are reported.</p> <p>Indicator 3A: The data source and measurement for 3A is dependent on whether the State applied for, and was granted, a waiver of the requirements to determine Adequate Yearly Progress (AYP) for LEAs and schools as part of requesting ESEA flexibility. States that either did not apply for and receive ESEA flexibility, or applied for and received that flexibility but did not apply for a waiver of determining AYP should choose data source and measurement 3A.1. States with an approved ESEA flexibility request that</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>subgroup that meets the State’s minimum “n” size that meet the State’s AYP targets for the disability subgroup) divided by the (total # of districts that have a disability subgroup that meets the State’s minimum “n” size)] times 100.</p> <p>A.2 AMO percent = [(# of districts with a disability subgroup that meets the State’s minimum “n” size that meet the State’s AMO targets for the disability subgroup) divided by the (total # of districts that have a disability subgroup that meets the State’s minimum “n” size)] times 100.</p> <p>B. Participation rate percent = [(# of children with IEPs participating in an assessment) divided by the (total # of children with IEPs enrolled during the testing window, calculated separately for reading and math)]. The participation rate is based on all children with IEPs, including both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year.</p> <p>C. Proficiency rate percent = [(# of children with IEPs scoring at or above proficient against grade level, modified and alternate academic achievement standards) divided by the (total # of children with IEPs who received a valid score and for whom a proficiency level was assigned, and, calculated separately for reading and math)]. The proficiency rate includes both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year.</p>	<p>includes a waiver of determining AYP should choose data source and measurement 3A.2.</p> <p>Report only on the AYP/AMO assessment targets for reading/language arts and mathematics proficiency, not targets for graduation or other elements of AYP/AMO.</p> <p>Indicator 3B: Provide separate reading/language arts and mathematics participation rates, inclusive of all ESEA grades assessed (3-8 and high school), for children with IEPs. Account for ALL children with IEPs, in all grades assessed, including children not participating in assessments and those not enrolled for a full academic year. Only include children with disabilities who had an IEP at the time of testing.</p> <p>Indicator 3C: Proficiency calculations in this APR must result in proficiency rates for each content area across all ESEA assessments (combining regular and all alternates) for children with IEPs, in all grades assessed (3-8 and high school), including both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year. States are encouraged to report using two rates – one for reading/language arts covering all assessed grades and one for mathematics covering all assessed grades. Only include children with disabilities who had an IEP at the time of testing.</p>
<p>4. Rates of suspension and expulsion:</p> <p>A. Percent of districts that have a significant discrepancy in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and</p> <p>B. Percent of districts that have: (a) a</p>	<p><b>Data Source:</b></p> <p>Data collected under section 618 of the Individuals with Disabilities Education Act (IDEA) (Report of Children with Disabilities Subject to Disciplinary Removal). Discrepancy can be computed by either comparing the rates of suspensions and expulsions for children with IEPs to rates for nondisabled children within the LEA or by comparing the rates of</p>	<p><i>Sampling from State’s 618 data is not allowed.</i></p> <p>Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2011 APR, use data from 2010-2011), including data disaggregated by race and ethnicity to determine if significant discrepancies are occurring in the rates of long-term suspensions and expulsions of children with IEPs, as required at 20 U.S.C. 1412(a)</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<p>significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.</p> <p>(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))</p>	<p>suspensions and expulsions for children with IEPs among LEAs within the State.</p> <p><b>Measurement:</b></p> <p>A. Percent = [(# of districts that have a significant discrepancy in the rates of suspensions and expulsions for greater than 10 days in a school year of children with IEPs) divided by the (# of districts in the State)] times 100.</p> <p>B. Percent = [(# of districts that have: (a) a significant discrepancy, by race or ethnicity, in the rates of suspensions and expulsions of greater than 10 days in a school year of children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards) divided by the (# of districts in the State)] times 100.</p> <p>Include State’s definition of “significant discrepancy.”</p>	<p>(22). The State’s examination must include one of the following comparisons:</p> <ul style="list-style-type: none"> <li>• The rates of suspensions and expulsions for children with IEPs among LEAs within the State; or</li> <li>• The rates of suspensions and expulsions for children with IEPs to nondisabled children within the LEAs.</li> </ul> <p>In the description, specify which method the State used to determine possible discrepancies and explain what constitutes those discrepancies. If the State used a minimum “n” size requirement, report the number of districts excluded from the calculation as a result of this requirement. States have the option of using the “total number of districts” OR the “number of districts that meet the State’s minimum n size for one or more racial/ethnic group” as the denominator in the calculation for B4A or B4B.</p> <p>For 4A, provide the actual numbers used in the calculation and if significant discrepancies occurred describe how the State educational agency reviewed and, if appropriate, revised (or required the affected local educational agency to revise) its policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards, to ensure that such policies, procedures, and practices comply with applicable requirements.</p> <p>For 4B, provide the following: (a) the number of districts that have a significant discrepancy, by race or ethnicity, in the rates of suspensions and expulsions of greater than 10 days in a school year for children with IEPs and (b) the number of districts in which policies, procedures or practices contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive</p>

## Part B – SPP /APR

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p>behavioral interventions and supports, and procedural safeguards.</p> <p>If discrepancies occurred and the district with discrepancies had policies, procedures or practices that contributed to the significant discrepancy and that do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards, describe how the State ensured that such policies, procedures, and practices were revised to comply with applicable requirements consistent with OSEP Memorandum 09-02, dated October 17, 2008.</p> <p>Targets must be 0% for 4B.</p>
<p>5. Percent of children with IEPs aged 6 through 21 served:</p> <p>A. Inside the regular class 80% or more of the day;</p> <p>B. Inside the regular class less than 40% of the day; and</p> <p>C. In separate schools, residential facilities, or homebound/hospital placements.</p> <p>(20 U.S.C. 1416(a)(3)(A))</p>	<p><b>Data Source:</b> Data collected under IDEA section 618.</p> <p><b>Measurement:</b></p> <p>A. Percent = [(# of children with IEPs served inside the regular class 80% or more of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.</p> <p>B. Percent = [(# of children with IEPs served inside the regular class less than 40% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.</p> <p>C. Percent = [(# of children with IEPs served in separate schools, residential facilities, or homebound/hospital placements) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.</p>	<p><i>For this indicator, report 618 data that were collected on a date between October 1 and December 1, 2011 and due on February 1, 2012. Sampling from State's 618 data is not allowed.</i></p> <p>Describe the results of the calculations and compare the results to the target.</p> <p>If the data reported in this indicator are not the same as the State's data reported under IDEA section 618, explain.</p>
<p>6. Percent of children aged 3 through 5 with IEPs attending a:</p> <p>A. Regular early childhood program and receiving the majority of special education</p>	<p><b>Data Source:</b> Data collected under IDEA section 618.</p> <p><b>Measurement:</b></p>	<p><i>For this indicator, report 618 data that were collected on a date between October 1 and December 1, 2011 and due on February 1, 2012. Sampling from State's 618 data is not allowed.</i></p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<p>and related services in the regular early childhood program; and</p> <p>B. Separate special education class, separate school or residential facility.</p> <p>(20 U.S.C. 1416(a)(3)(A))</p>	<p>A. Percent = [(# of children aged 3 through 5 with IEPs attending a regular early childhood program and receiving the majority of special education and related services in the regular early childhood program) divided by the (total # of children aged 3 through 5 with IEPs)] times 100.</p> <p>B. Percent = [(# of children aged 3 through 5 with IEPs attending a separate special education class, separate school or residential facility) divided by the (total # of children aged 3 through 5 with IEPs)] times 100.</p>	<p>If the data reported in this indicator are not the same as the State's data reported under IDEA section 618, explain.</p> <p>In the FFY 2011 submission, due February 1, 2013, establish a new baseline, targets and, as needed, improvement activities for this indicator using the 2011-2012 data.</p>
<p>7. Percent of preschool children aged 3 through 5 with IEPs who demonstrate improved:</p> <p>A. Positive social-emotional skills (including social relationships);</p> <p>B. Acquisition and use of knowledge and skills (including early language/ communication and early literacy); and</p> <p>C. Use of appropriate behaviors to meet their needs.</p> <p>(20 U.S.C. 1416 (a)(3)(A))</p>	<p><b>Data Source:</b> State selected data source.</p> <p><b>Measurement:</b> Outcomes:</p> <p>A. Positive social-emotional skills (including social relationships);</p> <p>B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and</p> <p>C. Use of appropriate behaviors to meet their needs.</p> <p>Progress categories for A, B and C:</p> <p>a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by (# of preschool children with IEPs assessed)] times 100.</p> <p>b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to</p>	<p><i>Sampling of children for assessment is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates. (See <u>General Instructions</u> page 2 for additional instructions on sampling.)</i></p> <p>Describe the results of the calculations and compare the results to the targets. States will use the progress categories for each of the three Outcomes to calculate and report the two Summary Statements. States have provided targets for the two Summary Statements for the three Outcomes (six numbers for targets for each FFY.</p> <p>Report progress data and calculate Summary Statements to compare against the six targets. Provide the actual numbers and percentages for the five reporting categories for each of the three outcomes.</p> <p>In presenting results, provide the criteria for defining “comparable to same-aged peers.” If a State is using the ECO Child Outcomes Summary Form (COSF), then the criteria for defining “comparable to same-aged peers” has been defined as a child who has been assigned a scored of 6 or 7 on the COSF.</p> <p>In addition, list the instruments and procedures used to gather data for this indicator, including if the State</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.</p> <p>c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of preschool children with IEPs assessed)] times 100.</p> <p>d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.</p> <p>e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.</p> <p><b>Summary Statements for Each of the Three Outcomes:</b></p> <p><b>Summary Statement 1:</b> Of those preschool children who entered the preschool program below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.</p> <p><b>Measurement for Summary Statement 1:</b></p> <p>Percent = # of preschool children reported in progress category (c) plus # of preschool children reported in category (d) divided by [# of preschool children reported in progress category (a) plus # of preschool children reported in progress category (b)</p>	<p>is using the ECO COSF.</p> <p>The Early Childhood Outcomes Center has resources to assist States in submitting their early childhood outcomes data including a reporting template and a calculator tool for calculating the summary statements. These tools are available at: <a href="http://www.fpg.unc.edu/~ECO/">http://www.fpg.unc.edu/~ECO/</a></p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>plus # of preschool children reported in progress category (c) plus # of preschool children reported in progress category (d)] times 100.</p> <p><b>Summary Statement 2:</b> The percent of preschool children who were functioning within age expectations in each Outcome by the time they turned 6 years of age or exited the program.</p> <p><b>Measurement for Summary Statement 2:</b> Percent = # of preschool children reported in progress category (d) plus # of preschool children reported in progress category (e) divided by [the total # of preschool children reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.</p>	
<p>8. Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.  (20 U.S.C. 1416(a)(3)(A))</p>	<p><b>Data Source:</b> State selected data source.</p> <p><b>Measurement:</b> Percent = [(# of respondent parents who report schools facilitated parent involvement as a means of improving services and results for children with disabilities) divided by the (total # of respondent parents of children with disabilities)] times 100.</p>	<p><i>Sampling of parents from whom response is requested is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates. (See General Instructions page 2 for additional instructions on sampling.)</i></p> <p>Describe the results of the calculations and compare the results to the target. Include a description of how the State has ensured that the response data are valid and reliable, including how the data represent the demographics of the State. Provide the actual numbers used in the calculation.</p> <p>If the State is using a separate data collection methodology for preschool children, the State must provide separate baseline data, targets, and actual target data or discuss the procedures used to combine data from school age and preschool surveys in a manner that is valid and reliable.</p> <p>If States are using a survey and the survey is revised or a new survey is adopted, States must submit a copy with the APR.</p> <p>States are encouraged to work in collaboration with their OSEP-funded parent centers in collecting data</p>



**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		and implementing improvement activities for this indicator.
<b>Monitoring Priority: Disproportionate Representation</b>		
<p>9. Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.</p> <p>(20 U.S.C. 1416(a)(3)(C))</p>	<p><b>Data Source:</b></p> <p>Data collected under IDEA section 618 (Report of Children with Disabilities Receiving Special Education Under Part B of the Individuals with Disabilities Education Act, As Amended) and the State’s analysis to determine if the disproportionate representation of racial and ethnic groups in special education and related services was the result of inappropriate identification.</p> <p><b>Measurement:</b></p> <p>Percent = [(# of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification) divided by the (# of districts in the State)] times 100.</p> <p>Include State’s definition of “disproportionate representation.”</p> <p>Based on its review of the 618 data for FFY 2011, describe how the State made its annual determination that the disproportionate overrepresentation it identified of racial and ethnic groups in special education and related services was the result of inappropriate identification as required by §§300.600(d)(3) and 300.602(a), e.g., using monitoring data; reviewing policies, practices and procedures, etc. In determining disproportionate representation, analyze data, for each district, for all racial and ethnic groups in the district, or all racial and ethnic groups in the district that meet a minimum 'n' size set by the State. Report on the percent of districts in which disproportionate representation of racial and ethnic groups in special education and</p>	<p>Provide racial/ethnic disproportionality data for children aged 6 through 21 served under IDEA. Provide these data for all children with disabilities.</p> <p>Provide the number of districts identified with disproportionate representation of racial and ethnic groups in special education and related services and the number of districts identified with disproportionate representation that is the result of inappropriate identification.</p> <p>Consider using multiple methods in calculating disproportionate representation of racial and ethnic groups to reduce the risk of overlooking potential problems. If a State chooses to use risk ratios, Westat has developed an electronic spreadsheet that calculates both weighted and unweighted risk ratios for State and district-level data. States can request a copy of this file by sending a message to <a href="mailto:IDEAdata@westat.com">IDEAdata@westat.com</a> or phoning 1-888-819-7024.</p> <p>Describe the method(s) used to calculate disproportionate representation. If the State used a minimum “n” size requirement, report the number of districts totally excluded from the calculation as a result of this requirement because the district did not meet the minimum “n” size for any racial/ethnic group. States have the option of using the “total number of districts” OR the “number of districts that meet the State’s minimum “n” size for one or more racial/ethnic group” as the denominator in the calculation.</p> <p>Targets must be 0%.</p> <p>Provide detailed information about the timely correction of noncompliance as noted in OSEP’s</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>related services is the result of inappropriate identification, even if the determination of inappropriate identification was made after the end of the FFY 2011 reporting period, i.e., after June 30, 2012. If inappropriate identification is identified, report on corrective actions taken.</p>	<p>response table for the previous APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.</p> <p>States may report on one set of Improvement Activities covering Indicators 9 and 10 in cases where the improvement activities are the same or overlap.</p>
<p>10. Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification.  (21 U.S.C. 1416(a)(3)(C))</p>	<p><b>Data Source:</b> Data collected under IDEA section 618 (Report of Children with Disabilities Receiving Special Education Under Part B of the Individuals with Disabilities Education Act, As Amended) and the State’s analysis to determine if the disproportionate representation of racial and ethnic groups in specific disability categories was the result of inappropriate identification.</p> <p><b>Measurement:</b> Percent = [(# of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification) divided by the (# of districts in the State)] times 100.</p> <p>Include State’s definition of “disproportionate representation.”</p> <p>Based on its review of the 618 data for FFY 2011, describe how the State made its annual determination that the disproportionate overrepresentation it identified of racial and ethnic groups in specific disability categories was the result</p>	<p>Provide racial/ethnic disproportionality data for children aged 6 through 21 served under IDEA. Provide these data at a minimum for children in the following six disability categories: mental retardation, specific learning disabilities, emotional disturbance, speech or language impairments, other health impairments, and autism. If a State has identified disproportionate representation of racial and ethnic groups in specific disability categories other than these six disability categories, the State must include these data and report on whether the State determined that the disproportionate representation of racial and ethnic groups in specific disability categories was the result of inappropriate identification.</p> <p>Provide the number of districts identified with disproportionate representation of racial and ethnic groups in specific disability categories and the number of districts identified with disproportionate representation that is the result of inappropriate identification. If the State used a minimum “n” size requirement, report the number of districts totally excluded from the calculation as a result of this requirement because the district did not meet the</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>of inappropriate identification as required by §§300.600(d)(3) and 300.602(a), e.g., using monitoring data; reviewing policies, practices and procedures, etc. In determining disproportionate representation, analyze data, for each district, for all racial and ethnic groups in the district, or all racial and ethnic groups in the district that meet a minimum 'n' size set by the State. Report on the percent of districts in which disproportionate representation of racial and ethnic groups in specific disability categories is the result of inappropriate identification, even if the determination of inappropriate identification was made after the end of the FFY 2011, i.e., after June 30, 2012. If inappropriate identification is identified, report on corrective actions taken.</p>	<p>minimum “n” size for any racial/ethnic group. States have the option of using the “total number of districts” OR the “number of districts that meet the State’s minimum n size for one or more racial/ethnic group” as the denominator in the calculation.</p> <p>Consider using multiple methods in calculating disproportionate representation of racial and ethnic groups to reduce the risk of overlooking potential problems. If a State chooses to use risk ratios, Westat has developed an electronic spreadsheet that calculates both weighted and unweighted risk ratios for State and district-level data. States can request a copy of this file by sending a message to <a href="mailto:IDEAdata@westat.com">IDEAdata@westat.com</a> or phoning 1-888-819-7024.</p> <p>Describe the method(s) used to calculate disproportionate representation.</p> <p>Targets must be 0%.</p> <p>Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response table for the previous APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.</p> <p>States may report on one set of Improvement Activities covering Indicators 9 and 10 in cases where the improvement activities are the same or overlap.</p>
<p><b>Monitoring Priority: Effective General Supervision Part B</b></p>		
<p><b>Effective General Supervision Part B / Child Find</b></p>		

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<p>11. Percent of children who were evaluated within 60 days of receiving parental consent for initial evaluation or, if the State establishes a timeframe within which the evaluation must be conducted, within that timeframe.</p> <p>(20 U.S.C. 1416(a)(3)(B))</p>	<p><b>Data Source:</b></p> <p>Data to be taken from State monitoring or State data system and must be based on actual, not an average, number of days. Indicate if the State has established a timeline and, if so, what is the State's timeline for initial evaluations.</p> <p><b>Measurement:</b></p> <p>a. # of children for whom parental consent to evaluate was received.</p> <p>b. # of children whose evaluations were completed within 60 days (or State-established timeline).</p> <p>Account for children included in a but not included in b. Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.</p> <p>Percent = [(b) divided by (a)] times 100.</p>	<p><i>If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.</i></p> <p>Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data and if data are from the State's monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the calculation.</p> <p>Note that under 34 CFR §300.301(d) the timeframe set for initial evaluation does not apply to a public agency if: (1) The parent of a child repeatedly fails or refuses to produce the child for the evaluation; or (2) A child enrolls in a school of another public agency after the timeframe for initial evaluations has begun, and prior to a determination by the child's previous public agency as to whether the child is a child with a disability. States should not report these exceptions in either the numerator (b) or denominator (a). If the State established timeframe provides for exceptions through State regulation or policy, describe cases falling within those exceptions and include in b.</p> <p>Targets must be 100%.</p> <p>Provide detailed information about the timely correction of noncompliance as noted in OSEP's response table for the previous APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.</p>

**Effective General Supervision Part B / Effective Transition**

## Part B – SPP /APR

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<p>12. Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.</p> <p>(20 U.S.C. 1416(a)(3)(B))</p>	<p><b>Data Source:</b></p> <p>Data to be taken from State monitoring or State data system.</p> <p><b>Measurement:</b></p> <p>a. # of children who have been served in Part C and referred to Part B for Part B eligibility determination.</p> <p>b. # of those referred determined to be NOT eligible and whose eligibility was determined prior to their third birthdays.</p> <p>c. # of those found eligible who have an IEP developed and implemented by their third birthdays.</p> <p>d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services or to whom exceptions under 34 CFR §300.301(d) applied.</p> <p>e. # of children determined to be eligible for early intervention services under Part C less than 90 days before their third birthdays.</p> <p>Account for children included in a but not included in b, c, d or e. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed and the reasons for the delays.</p> <p>Percent = [(c) divided by (a - b - d - e)] times 100.</p>	<p><i>If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.</i></p> <p>Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data and if data are from the State's monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the calculation.</p> <p>Targets must be 100%.</p> <p>Provide detailed information about the timely correction of noncompliance as noted in OSEP's response table for the previous APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.</p>
<p>13. Percent of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP</p>	<p><b>Data Source:</b></p> <p>Data to be taken from State monitoring or State data system.</p> <p><b>Measurement:</b></p> <p>Percent = [(# of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition</p>	<p><i>If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.</i></p> <p>Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data and if data are from the State's monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
<p>goals related to the student’s transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.</p> <p>(20 U.S.C. 1416(a)(3)(B))</p>	<p>assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student’s transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority) divided by the (# of youth with an IEP age 16 and above)] times 100.</p>	<p>calculation.</p> <p>Targets must be 100%.</p> <p>Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response table for the previous APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.</p>
<p>14. Percent of youth who are no longer in secondary school, had IEPs in effect at the time they left school, and were:</p> <p>A. Enrolled in higher education within one year of leaving high school.</p> <p>B. Enrolled in higher education or competitively employed within one year of leaving high school.</p> <p>C. Enrolled in higher education or in some other postsecondary education or training program; or competitively employed or in some other employment within one year of leaving high school.</p> <p>(20 U.S.C. 1416(a)(3)(B))</p>	<p><b>Data Source:</b> State selected data source.</p> <p><b>Measurement:</b></p> <p>A. Percent enrolled in higher education = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education within one year of leaving high school) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.</p> <p>B. Percent enrolled in higher education or competitively employed within one year of leaving high school = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education or competitively employed within one year of leaving high school) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.</p> <p>C. Percent enrolled in higher education, or in some other postsecondary education or training program;</p>	<p><i>Sampling of youth who had IEPs and are no longer in secondary school is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates of the target population. (See <u>General Instructions</u> page 2 for additional instructions on sampling.)</i></p> <p>Collect data by September 2012 on students who left school during 2010-2011, timing the data collection so that at least one year has passed since the students left school. Include students who dropped out during 2010-2011 or who were expected to return but did not return for the current school year. This includes all youth who had an IEP in effect at the time they left school, including those who graduated with a regular diploma or some other credential, dropped out, or aged out.</p> <p><b>I. Definitions</b></p> <p><u>Enrolled in higher education</u> as used in measures A, B and C means youth have been enrolled on a full- or part-time basis in a community college (two year program) or college/university (four or more year</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
	<p>or competitively employed or in some other employment = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education, or in some other postsecondary education or training program; or competitively employed or in some other employment) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.</p>	<p>program) for at least one complete term, at anytime in the year since leaving high school.</p> <p><u>Competitive employment</u> as used in measures B and C means that youth have worked for pay at or above the minimum wage in a setting with others who are nondisabled for a period of 20 hours a week for at least 90 days at any time in the year since leaving high school. This includes military employment.</p> <p><u>Enrolled in other postsecondary education or training</u> as used in measure C, means youth have been enrolled on a full- or part-time basis for at least 1 complete term at any time in the year since leaving high school in an education or training program (e.g., Job Corps, adult education, workforce development program, vocational technical school which is less than a two year program).</p> <p><u>Some other employment</u> as used in measure C means youth have worked for pay or been self-employed for a period of at least 90 days at any time in the year since leaving high school. This includes working in a family business (e.g., farm, store, fishing, ranching, catering services, etc.).</p> <p><b><i>II. Data Reporting</i></b></p> <p>Provide the actual numbers for each of the following mutually exclusive categories. The actual number of “leavers” who are:</p> <ol style="list-style-type: none"> <li>1. Enrolled in higher education within one year of leaving high school;</li> <li>2. Competitively employed within one year of leaving high school (but not enrolled in higher education);</li> <li>3. Enrolled in some other postsecondary education or training program within one year of leaving high school (but not enrolled in higher education or competitively employed);</li> <li>4. In some other employment within one year of</li> </ol>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p>leaving high school (but not enrolled in higher education, some other postsecondary education or training program, or competitively employed).</p> <p>“Leavers” should only be counted in one of the above categories, and the categories are organized hierarchically. So, for example, “leavers” who are enrolled in full- or part-time higher education within one year of leaving high school should only be reported in category 1, even if they also happen to be employed. Likewise, “leavers” who are not enrolled in either part- or full-time higher education, but who are competitively employed, should only be reported under category 2, even if they happen to be enrolled in some other postsecondary education or training program.</p> <p><b>III. Reporting On the Measures/Indicators</b></p> <p>Targets must be established for measures A, B, and C.</p> <p>Measure A: For purposes of reporting on the measures/indicators, please note that any youth enrolled in an institution of higher education (that meets any definition of this term in the HEA) within one year of leaving high school <i>must</i> be reported under measure A. This could include youth who also happen to be competitively employed, or in some other training program; however, the key outcome we are interested in here is enrollment in higher education.</p> <p>Measure B: All youth reported under measure A should also be reported under measure B, in addition to all youth that obtain competitive employment within one year of leaving high school.</p> <p>Measure C: All youth reported under measures A and B should also be reported under measure C, in addition to youth that are enrolled in some other postsecondary education or training program or in</p>



**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p>some other employment.</p> <p>Describe the calculations and results using actual numbers and compare these results to the targets. Include a description of how the State has ensured that survey data are valid and reliable, including how the data represent the demographics of the State.</p>
<b>Effective General Supervision Part B / General Supervision</b>		
<p>15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>(20 U.S.C. 1416 (a)(3)(B))</p>	<p><b>Data Source:</b></p> <p>Data to be taken from State monitoring, complaints, hearings and other general supervision system components. Indicate the number of agencies monitored using different components of the State’s general supervision system.</p> <p><b>Measurement:</b></p> <p>Percent of noncompliance corrected within one year of identification:</p> <p>a. # of findings of noncompliance.</p> <p>b. # of corrections completed as soon as possible but in no case later than one year from identification.</p> <p>Percent = [(b) divided by (a)] times 100.</p> <p>States are required to use the “Indicator 15 Worksheet” to report data for this indicator (see Attachment 1).</p>	<p><i>Describe the process for selecting LEAs for monitoring.</i></p> <p>Describe the results of the calculations and compare the results to the target. Provide the actual numbers used in the calculation. Include all findings of noncompliance regardless of the specific level of noncompliance.</p> <p>Targets must be 100%.</p> <p>Report on the number of findings of noncompliance made in 2010–2011 (July 1, 2010–June 30, 2011) and corrected as soon as possible and in no case later than one year from identification. In presenting the compliance data, disaggregate the findings by components of the State’s general supervision system, including monitoring (on-site visits, self-assessments, local performance plans and annual performance reports, desk audits, data reviews) and dispute resolution (complaints and due process hearings). Findings must also be disaggregated by SPP/APR indicator and other areas of noncompliance. Describe the other areas of noncompliance.</p> <p>Provide detailed information about the correction of noncompliance as noted in OSEP’s response table for the previous APR, including any revisions to general supervision procedures, technical assistance provided and/or any enforcement actions that were taken. If the State did not ensure timely correction of</p>

## Part B – SPP /APR

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p>the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed, and any enforcement actions that were taken.</p> <p>Provide detailed information regarding the correction of noncompliance related to a specific indicator under the specific indicator, e.g., correction of noncompliance related to early childhood transition would be described under Indicator 12.</p> <p>States are not required to report data at the LEA level.</p>
<p>16. This indicator has been deleted from the SPP/APR. States report data on the timeliness of State complaint decisions as part of the data they submit under IDEA section 618.</p>		
<p>17. This indicator has been deleted from the SPP/APR. States report data on the timeliness of State due process hearing decisions as part of the data they submit under IDEA section 618.</p>		
<p>18. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.</p> <p>(20 U.S.C. 1416(a)(3)(B))</p>	<p><b>Data Source:</b> Data collected under IDEA section 618.</p> <p><b>Measurement:</b> Percent = (3.1(a) divided by 3.1) times 100.</p>	<p><i>Sampling is not allowed.</i></p> <p>Describe the results of the calculations and compare the results to the target.</p> <p>States are not required to establish baseline or targets if the number of resolution sessions is less than 10. In a reporting period when the number of resolution sessions reaches 10 or greater, develop baseline, targets and improvement activities, and report on them in the corresponding APR.</p> <p>States may express their targets in a range, e.g., 75-</p>

## Part B – SPP /APR

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
		<p>85%.</p> <p>If the data reported in this indicator are not the same as the State's data under IDEA section 618, explain.</p> <p>States are not required to report data at the LEA level.</p> <p>States may report on one set of Improvement Activities covering Indicators 18 and 19 in cases where the improvement activities are the same or overlap.</p>
<p>19. Percent of mediations held that resulted in mediation agreements. (20 U.S.C. 1416(a)(3(B)))</p>	<p><b>Data Source:</b> Data collected under IDEA section 618.</p> <p><b>Measurement:</b> Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.</p>	<p><i>Sampling is not allowed.</i></p> <p>Describe the results of the calculations and compare the results to the target.</p> <p>States are not required to establish baseline or targets if the number of mediations is less than 10. In a reporting period when the number of mediations reaches ten or greater, develop baseline, targets and improvement activities, and report on them in the corresponding APR.</p> <p>States may express their targets in a range, e.g., 75-85%.</p> <p>If the data reported in this indicator are not the same as the State's data under IDEA section 618, explain.</p> <p>States are not required to report data at the LEA level.</p> <p>States may report on one set of Improvement Activities covering Indicators 18 and 19 in cases where the improvement activities are the same or overlap.</p>
<p>20. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p>	<p><b>Data Source:</b> State selected data sources, including data from State data system and SPP/APR</p> <p><b>Measurement:</b></p>	<p>States may, but are not required, to report data for this indicator. OSEP will use the Indicator 20 Rubric (Attachment 2) to calculate the State's data for this indicator. States will have an opportunity to review and respond to OSEP's calculation of the State's</p>

**Part B – SPP /APR**

Monitoring Priorities and Indicators	Data Source and Measurement	Instructions for Indicators/Measurement
(20 U.S.C. 1416(a)(3)(B))	<p>State reported data, including 618 data, State Performance Plan, and Annual Performance Reports, are:</p> <ul style="list-style-type: none"> <li>a. Submitted on or before due dates (first Wednesday in February for child count, including race and ethnicity; and educational environments; first Wednesday in November for exiting, discipline, personnel and dispute resolution; December 15 for assessment; May 1 for Maintenance of Effort &amp; Coordinated Early Intervening Services; and February 1 for Annual Performance Reports).</li> <li>b. Accurate, including covering the correct year and following the correct measurement.</li> </ul>	<p>data. Targets must be 100% for timeliness and accuracy.</p> <p>Provide detailed information about the actions the State is taking to ensure compliance. Describe the State's mechanisms for ensuring error-free, consistent, valid and reliable data and evidence that these standards are met.</p> <p>States are not required to report data at the LEA level.</p>