Appendix 1. School Survey for the Evaluation of Response to Intervention Practices for Elementary School Reading

What is the purpose of this study?

This study is part of the congressionally-mandated national evaluation of the Individuals with Disabilities Education Improvement Act (IDEA, Public Law 108-446) and will address the following questions:

- What are the effects on academic achievement of providing intensive reading interventions to elementary school children who have been identified as at risk for reading difficulties compared with children just above the cut point for providing intervention?
- How do academic outcomes, including reading achievement and special education identification, vary with elementary schools' adoption of Response to Intervention practices for early grade reading?
- How do Response to Intervention practices for early grade reading vary across schools?

How is the study team defining Response to Intervention?

Response to Intervention (RtI) is a multi-step approach to providing early and more intensive intervention and monitoring within the general education setting.

In principle, RtI begins with research-based instruction and behavioral support provided to students in the general education classroom, followed by screening of all students to identify those who may need systematic progress monitoring, intervention, or support. Students who are not responding to the general education curriculum and instruction are provided with increasingly intense interventions through a "tiered" system, and they are frequently monitored to assess their progress and inform the choice of future interventions, including possibly special education for students determined to have a disability.

IDEA permits some federal special education funds to be used for "early intervening services" such as RtI, and also permit districts to use RtI to inform decisions regarding a child's eligibility for special education.

Who is administering this study?

This study is being supported by the Institute of Education Sciences (IES) at the U. S. Department of Education as authorized by the U. S. Congress under Section 664 of IDEA. MDRC, SRI International, and RG Research Group developed plans for the study under contract ED-04-CO-0111/0003 with IES, and Survey Research Management is administering the school survey.

What is the purpose of this survey?

This survey seeks information on your school's core reading program, reading interventions, and system of teacher supports. *Core reading instruction* refers to instructional time focusing on major components of reading: phonemic awareness, phonics, fluency, reading comprehension, and vocabulary. Core reading instruction may occur in a variety of scheduling arrangements (for example, whole and small group instruction, partner and group work, independent work).

Who should complete this survey?

This survey should be completed by the school principal in consultation with the person or persons who are most knowledgeable about your school's overall program of reading instruction and about the

intervention services provided to children who are performing below grade-level expectations in reading. Only one school survey should be submitted for each school.

Will the information provided through this survey be kept confidential?

All information gathered for this study will be kept confidential and will only be used for research purposes. The information collected about schools and students for this study will be used only for statistical purposes and may not be disclosed or used, in identifiable form, for any other purpose except as required by law (Public Law 107-279, Section 183).

What are the instructions for this survey?

Please answer the questions based on <u>school guidelines and practices</u>. There are no expected answers, so please be thoughtful and honest as you respond. Your participation is important and appreciated. The information you provide will be combined with the information provided by others in statistical reports.

The survey is broken into five short sections:

- A. Core Reading Program and Practices
- B. Data and Decision Making
- C. Special Education Eligibility Policy and Practices
- D. Supports and Services to Schools
- E. Background Information on Schools

THANK YOU IN ADVANCE FOR COMPLETING THIS SURVEY!

According to the Paperwork Reduction Act of 1995, no persons are required to respond to this voluntary collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1850-New**. The time required to complete this information collection is estimated to average **one hour** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. **If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to:** U.S. Department of Education, Washington, D.C. 20202-4537. **If you have comments or concerns regarding the status of your individual submission of this form, write directly to:** Jonathan Jacobson, U.S. Department of Education, Institute of Education Sciences, 555 New Jersey Avenue, NW, Suite 500J, Washington, D.C. 20208, or email jonathan.jacobson@ed.gov.

A. CORE READING PROGRAM AND PRACTICES

The core reading block is that part of the schedule when teachers provide a comprehensive program of <u>instruction</u> that focuses on <u>the five reading</u> components: phonemic awareness, phonics, fluency, reading comprehension, and vocabulary; <u>but does not</u> include spelling, grammar, or writing.

A1.	During your core reading block, does your school use a commercial reading program to teach reading to all students in kindergarten? ☐ Yes (skip to question A1b) ☐ No
A1a.	What commercial reading program does your school currently use?

A1b. Please indicate the publisher and title and add the publication year for the program used in each grade. If your school's reading program is not listed, please add it.

Publisher		Title of Reading Program MARK ALL THAT APPLY	Grade(s) (circle all that apply)
Cambium/Voyager		Read Well	K 1 2 3 4 5
		Universal Literacy	K12345
Harcourt		Trophies	K12345
		Story Town	K12345
Heinemann		Fountas & Pinnell	K12345
Houghton Mifflin Harcourt		Journeys	K 1 2 3 4 5
		Rigby: Literacy by Design	K 1 2 3 4 5
Houghton Mifflin		Leveled Readers	K 1 2 3 4 5
		Houghton Mifflin Reading	K 1 2 3 4 5
MacMillan/McGraw-Hill		MacMillan/McGraw-Hill Reading	K 1 2 3 4 5
		Treasures	K 1 2 3 4 5
McGraw-Hill		Horizons	K 1 2 3 4 5
SRA/McGraw-Hill		Journeys	K 1 2 3 4 5
		Horizon	K 1 2 3 4 5
		Reading Mastery	K 1 2 3 4 5
		Open Court Reading	K 1 2 3 4 5
		Imagine It!	K 1 2 3 4 5
Pearson		Scott Foresman Reading Street	K 1 2 3 4 5
		Words Their Way	K12345
Reading A to Z		Reading A to Z	K 1 2 3 4 5
Other (please specify publish	ner a	nd title:)	K12345

A2.			-	-	-			_	nat is currentli or each grade	-
	aan.		Prior to	2006-	2007-	2008-	2009-	-	_	-,.
			2006	07	80	09	10	11	12	
	Kinderga	arten								
	Grade 1									
	Grade 2									
	Grade 3									
	Grade 4									
	Grade 5									
A3.	grad □ Ye	le 1, 2, e	or 3? No (skip to	question <i>i</i>	A 5)				eading block NSE ON EACI	-
								Not a factor	Somewhat important factor	Very important factor
	a.		any studen ng state pr				not			
	b.		ore reading d with state			o be bette	er			
	c.	c. Our school was identified for improvement for not making adequate yearly progress (AYP)								0
	d.	d. Our school implemented a Response to Intervention (RtI) model and the new curriculum was intended to strengthen the core reading program for more students to reach proficiency								
	e.	Our district adopted a new reading curriculum and required our school to adopt the new curriculum							0	
A5.			school alloc to questio		a week to □ No	the core	reading	block for s	students in gr	ades K-5?
A6.		many 🛮 3	days per w □ 2 □	eek are all Fewer	ocated to	reading in	structio	n?		

A7. How many total minutes during the school day are *allocated* **to the core reading block** (for example, phonemic awareness, phonics, fluency, vocabulary, and reading comprehension, but excluding spelling, grammar, and writing) for students in grades K-5?

MARK ONE RESPONSE ON EACH ROW.

Number of Allocated Minutes for Core Reading Block

Up to 46- 61- 76- 91- 106- 121- 136- Ove

Crada	Up to 45	46- 60	61- 75	76- 90	91- 105	106- 120	121- 135	136- 150	Over 150
Grade									
Kindergarten									Ц
Grade 1									
Grade 2									
Grade 3									
Grade 4									
Grade 5									

	Grade 5	ш	Ц	Ц	Ц	Ц	Ц	Ц	Ц	ш
A8.	In which grade different class In Kindergarte In Grade 1 In Grade 2 In Grade 3 In Grade 4 In Grade 5	ses accor					•		•	dents in
A9.	Are there stud ☐ Yes ☐ No		m differe	nt grades	taught r	eading in t	the same	class?		

A10. In the 2011-12 school year, indicate the methods and frequency that the school leadership has evaluated fidelity of implementation of the reading curriculum or assessment measures as defined by the publisher. MARK ONE RESPONSE ON EACH ROW.

Fidelity of implementation is the delivery of instruction or collection of assessment data in the way in which it was designed to be delivered or collected.

	Once a week or more	Once a month	4-6 times this school year	1-3 times this school year	Not at all
Observed classroom reading instruction u an evaluation checkliform			0	0	0
 b. Observed staff administering univer screening or benchmassessments 					
c. Observed teachers administering progre	ess				

	monitoring as	sessments				
	d. Other []				
B. D <i>l</i>	ATA AND DECISION M	1AKING				
J. J.			electronic system (i	ncluding excel spred	adsheets) that o	could be
			· ·	gram/ benchmark v		
B1.	Does your school progress in readin ☐ Yes ☐ No (ski	g?	student data syste	em for systematic m	nonitoring stude	ent's
B2.		r example, paper a		ectronic student dat for monitoring stud	-	
	-		Longitudinal			
		5. 9.11	data available	Data available,		
		Data available electronically	for 3 or more years	but not electronically	No data available	
a.	Student universal	Ciccionically	years	Ciccionically	available	
	screening or	_				
	benchmark	_	_	_	_	
b.	assessment scores Student					
Б.	curriculum					
	embedded tests					
	(end of unit tests,					
c.	weekly tests) Progress					
٥.	monitoring data					
	to determine	_	_	_	_	
	whether sufficient progress is being					
	made in a timely					
	manner					
d.	State standardized					
	reading achievement test	_	_	-	_	
	used for					
	accountability					
Δ.	purposes Other					
e.	standardized					
	reading tests to	_	_	_		
	determine				Ц	
	student's reading level					

f.	tests t	ng diagnostic o pinpoint ic reading ems		_				
g.		nt grades						
h.	Attend	dance						
i.	Behav or disc inform							
	Oue	stion B3 asks abou	t entering info	rmation int	o the stude	nt data svs	tem	
B3.		ch school staff, if a lent data system?	ny, are respons	sible for en	tering stud	ent perforr	nance data into th	ie
				No Data Entered	Teacher s	Other School Staff	District, State, or Contractor Staff	
	a.	Student universa benchmark asses	_					
	b.	Student curriculu tests (end of unit tests)						
	c.	Progress monitor determine wheth progress is being timely manner	er sufficient	_	_			
	d.	State standardize achievement test accountability pu	used for					
	e.		ed reading	0	0			
	f.	Reading diagnost pinpoint specific problems						
	g.	Student grades						
	h.	Special education	information					
	i.	Attendance						
	j.	Behavior referral information	s or discipline					
B4.	thos Y	s your school use use who may need ses \sum No (skip to	ystematic prog question B9)	ress monit	oring, inter	vention, or	support in readin	g?
B4a.	whi	ch of the following	s benchmarking	g systems a	re used at y	our school	: MAKK ALL IHA	I APPLY.

☐ 4Sight	☐ EasyCBM
☐ AIMSweb	☐ FAIR
□ CBM	☐ Fountas and Pinnell
☐ CORE Phonics	☐ MAP-NWEA
☐ DIBELS	☐ Scantron Performance Series
☐ DIBELS Next	☐ PALS
□ DRA	☐ Other (please specify:
□ DRA2)

	B5. In what year did implementation of universal screening or benchmark assessments in reading begin for grade 1, grade 3 and grade 5?							ng	
	Implementa Prior to 2006	tion Year	Grade 1	Grade 3	Grade 5 □				
	2006-07	J							
	2007-08								
	2008-09								
	2008-09								
	2010-11								
B6.	2011-12 In what mon	th does sch		Ь					
20.	☐ August	☐ Sept							
B7.	How often an students in e			reening or ber	ichmarking m	easures	s administer	ed to	
			Мо	nth of Screeni	ng or Benchm	arking	Assessment	t	
Gra	ade	Aug	Sep Oct	Nov Dec	Jan Feb	Mar	Apr I	May Ju	ıne
Kin	dergarten								
Gra	ade 1								
Gra	ade 2								
Gra	ade 3								
Gra	ade 4								
Gra	ade 5								
adminis	stering studer		-	sons who have ARK ALL THAT		espons	ibility in you	r school	for
ııı your	school,	Principal	School	Classroom	Specialist (for	Coach (for	Othe	er Not
who ha primary respons adminis	y sibility for stering the ng student	Principal	School psychologis		Specialist (example, interventic reading, sp education,	onist, oecial	Coach (for example, reading, instructiona etc.)	Othe	er Not used at our school
who ha primary respons adminis following	is the y sibility for stering the ng student ments: iversal ng or nark	Principal			example, interventic reading, sp	onist, oecial	example, reading, instructions		used at our
who ha primary respons adminis followin assessn B8. Uni screeni benchm reading	is the y sibility for stering the ing student ments: Eversal ing or mark is tests? Eviculum-ded		psychologis	t teachers	example, interventic reading, sp education,	onist, oecial	example, reading, instructiona etc.)	al	used at our school

monitoring in reading?							
B11. State							
accountability tests in reading?							
B12. Diagnostic tests to pinpoint specific problems?	0		0				0
	_			who have the pri		ility in yo	ur
In your school, which individuals have the primary responsibility for <u>analyzing</u> data from the following student assessments:							
	Principal	School psychologist	Classroom teachers	Specialist (for example, interventionist, reading, special education, ELL)	Coach (for example, reading, instructional etc.)	Other	Not used at our school
B13. Universal screening or benchmark reading tests?							
B14. Curriculum- embedded reading tests?							
B15. Student progress monitoring in reading?							0
B16. State accountability tests in reading?							
B17. Diagnostic tests to pinpoint specific problems?							
whether a st	udent will ı	_	el reading ber	•	nd of the schoo mewhat	_	ı

		color-coded) for universal screening or benchmark scores several times a year							
	b.	Student curriculum embedded tests (for							
	c.	example, end of unit tests, weekly tests) Use progress monitoring measures for							
		determining whether sufficient progress is being made in a timely manner							
	d.	Standardized reading scores to determine student's reading level							
	e.								
	f.	reading problems Teacher observation of students							
		estions B19 through B22 ask about your school's go dent is not making satisfactory progress learning to		making decision	s when a				
B19.	belo	es your school follow a prescribed sequence of ste ow benchmark in reading? 'es □ No (skip to question B22)	ps for respo	nding to student	s who are				
B20.	What is the first step your school encourages <i>classroom teachers</i> to follow when a student scores somewhat below grade level benchmarks in reading? MARK ONE RESPONSE.								
		Assign student to a reading intervention group foc to providing core instruction	_						
		Continue offering instruction in the general educat progress monitoring data	ion classroo	m and collect ad	ditional				
		Consult with peers to find approaches to address t an assignment to a reading intervention group□ R			ore considering				
		Refer to special education							
		Other []							
B21.		at is the first step that your school encourages <i>int</i> en a student is not making adequate progress in al		-					
		Assign student to a different or more intensive rea cargeted reading skills	ding interve	ntion group focu	ised on				
		Continue offering the intervention and collect addi	tional progr	ess monitoring d	lata				
		Consult with peers to find approaches to address t an assignment to a different or more intensive into		the student befo	ore considering				
		Refer student for diagnostic testing							
		Refer student to special education							
		Other []							
B22.	to r	he fall benchmark, a 1 st grade student is identified eceiving core reading instruction, he is assigned to eks pass before your school reviews the student's	receive a re	eading intervent	ion. How many				

	a change in this reading intervention? ☐ 1-2 weeks ☐ 4 weeks ☐ 6 weeks ☐ 8-10 weeks ☐ Wait until the next benchmark period							
B23.	Following the fall screening/benchmark process, are some first to a more intensive intervention (sometimes called Tier 3 or Levreceived a targeted reading intervention (sometimes called Tier Yes No	vel 3) witho	out having prev	-				
C. SPE	CIAL EDUCATION ELIGIBILITY POLICY AND PRACTICES							
	Questions C1 and C2 ask about policies and practices related to determination at the school.	special edu	ıcation eligibil	ity				
C1.	In your school, are data from a Response to Intervention process determination of special education eligibility for students suspendisability.			learning				
	☐ Yes ☐ No (skip to question C3)							
C2.	In what year did your school begin to use RtI data to help deter education under the category of specific learning disability (SLD Prior to 2006	_	ility for specia	I				
C3.	In your school, which of the following kinds of data are used for informing special education eligibility determinations for students suspected of having a specific learning disability? MARK ONE RESPONSE ON EACH ROW.							
		Always Used	Sometimes Used	Never Used				
	a. Data from universal screening or a benchmark assessment in reading							
	 Data and other information from systematic monitoring of student progress following implementation of reading interventions 							
	c. Data based on cognitive and reading assessments that demonstrate a discrepancy between <i>expected</i> and <i>actual</i> performance (such as an IQ-achievement discrepancy model)	0	0	_				
	d. Data from a standardized reading test (for example, state assessments in reading)							
	e. Data from other procedures (for example, teacher observations, student work products, parent reports) f. Other []	_ _	_ _	_ _				

D. SUPPORTS AND SERVICES TO SCHOOLS

Questions D1 through D12 ask about supports and services provided to the school.

D1. For how many years has your district used the following strategies to support your school in improving reading instruction for students *somewhat below* or *very below grade level benchmarks in reading*?

		Never Supported	Planning but not started	1 or 2 years of Support	3 or more years of Support
a.	Written policies and guidelines for using data to improve reading instruction	0	0		
b.	Electronic universal screening or benchmarking system for measuring student general reading outcomes				
c.	Reading intervention materials				
d.	Reading or instructional coach assigned to your school	0			
e.	Professional development for teachers for implementing the core reading program	0	0	0	0
f.	Professional development for teachers for differentiation of instruction for children below grade level benchmark in reading				
g.	Professional development for teachers for using data to improve reading instruction	0	0	0	_
h.	Substitute teachers for classroom teachers to be released from teaching responsibilities to review student data				
i.	Assistance in scheduling additional time for reading interventions	0	0		_
j.	Additional funding to hire staff				

	In principle, RtI beging students in the generations who may need Students who are not provided with increasing frequently monitored including possibly specific principle.	ral education clas I systematic progr t responding to th singly intense inte d to assess their p	sroom, followed by ress monitoring, into ne general education erventions through o progress and inform	screening of all stoervention, or support of curriculum and in "tiered" system, at the choice of futu	udents to identify ort. estruction are and they are re interventions,
D2.	Is Response to Interv school, either partial ☐ Yes ☐ No (skip to	ly or fully implem		•	t one grade at your
D3.	Is RtI currently imple RESPONSE ON EACH		chool in READING ir	n the following grad	des? MARK ONE
		Yes, fully	Yes, partially	No, not	
		implemented	implemented	implemented	
	Kindergarten				
	Grade 1				I
	Grade 2				
	Grade 3				
	Grade 4				
	Grade 5				
D4.	Is RtI currently imple ONE RESPONSE ON I		chool in FIRST GRAD	E in the following	other areas? MARK
		Yes, fully	Yes, partially	No, not	
		implemented	implemented	implemented	
	a. Math				
	b. Writing				
	c. Behavior/Social				
	Skills				
D5.	Approximately how r subject? MARK ONE Less than 1 year 1 year ago 2 years ago More than 2 year	RESPONSE.	id your school begir	implementing RtI	in first grade in ANY
D6.	Think about special e specific learning disa instruction these stud	bility and have an	IEP with reading go ceive in your school	pals . Indicate belo	w the tier(s) of APPLY.
			Stude	ents with Specific L	earning Disabilities in
				Grade 1 Gra	ade 2 Grade 3

Response to Intervention (RtI) is a multi-step approach to providing early and progressively

intensive intervention and monitoring within the general education setting.

a.	b. Tier 1 (or Level 1): Core reading instruction for example, whole and small group instruction, or independent workregularly given to an entire class							
c.	d.	d. Tier 2 (or Level 2): targeted reading intervention provided to small groups of struggling readers						
e.	f.	Tier 3 (or Level 3): more intensive interventions provided to very small groups or individual student who struggle in reading	ts	0				
g.	h.	Other (please specify:)						
D7.		ow many days per week do <i>most</i> students receive ☐ 1	Γier	2 (or Level 2) interve	ntion(s)?		
D8.		l 31-46 minutes l 46-60 minutes		nts receiving 76-90 m 91-105 minu 106-120 min More than 1	inutes tes utes			
D9.		How many days per week do most students receive Tier 3 (or Level 3) intervention(s)? □ 1 □ 2 □ 3 □ 4 □ 5						
D10.		1 31-46 minutes 1 46-60 minutes 1 61-75 minutes 1 76-90 minutes 1 91-105 minutes 1 106-120 minutes	ude	ents receiving	g Tier 3 (c	or Level 3)		
D11.	W	sted below are a series of possible barriers to imple hat extent is each a barrier to expanding use of dat terventions at your school? MARK ONE RESPONSE	a fo	or improving	reading i		tion. To Major Barrier	
	á	a. Inadequate access by school staff to data system	ns c	or				
		 individual student data Inadequate resources to train school staff on hodata to improve reading instruction for students level benchmark in reading (for example, provid specialists, reading coaches, or professional dev funds) 	s be ling	elow grade data		0	0	

		c.	Inadequate criteria for determining whether students in some grades are below grade level benchmarks in reading	, 🗆		
		d.	Inadequate measures to monitor reading progress of			
		e.	students in some grades Inadequate research-based interventions for students <i>very</i>	· –	_	_
			below grade level benchmarks in reading			
		f.	Inadequate resources/supports to increase classroom			
			teacher knowledge of how to differentiate instruction for students experiencing reading difficulty or reading failure			
		g.	during core reading block Inadequate resources to increase classroom teacher	_	_	_
		٥.	knowledge of how to teach reading to ELL students			
		h.	Inadequate resources/supports to increase specialist	<u>_</u>	_	_
			knowledge of how to differentiate instruction for students			
		i.	with reading difficulties or reading failure Inadequate support for scheduling additional reading time			
		1.	for students somewhat below or very below grade level			
			benchmarks in reading			
		j.	Inadequate time for teachers to review data			
		k.	Inadequate district leadership support for using data to	_	_	_
			improve reading instruction for students experiencing			
		,	reading difficulties or reading failure			
		l.	More students in need of reading interventions than there are available teachers/specialists			
	KGROU	pare MAI	the 2011-12 school year, how has your school made informatents/guardians to help them understand how RtI is being impacted and the property. RK ALL THAT APPLY. Communication through written materials such as letters, ennewsletters Communication through written materials translated into home and school website, or newsletters Communication through workshops, discussion groups, or of meetings Communication through individual meetings with parents of Information is not distributed on this topic NFORMATION ON SCHOOL	plemented in you mail, school web ome language su ther meetings su r phone calls	ur school? site, or ich as lette	ers,
	Questi	ions	E1 through E6a ask about staffing at the school and historice	al information.		
E	Ξ1.	How	v many classroom teachers are assigned to grades K-3 this y Enter N u	ear (2011-12)? umber of classro	om	
				achers below		
			de 1 #			
			de 2 #			
			de 3 #			
		Oth	er K-3 teachers (for example, combination #			

	classrooms	5):					
E2.	-	special education teac in grades K-3 this year	_	specialists, a	nd parapro	ofessionals	are assigned
		education teachers where we provide reading intervention and are assigned to students in the s	ho reading who pro interven	f of FTEs of s specialists vide reading tion and are I to students	parap instr provide	nter # of FT professional uctional aid e reading in e assigned to	s and/or les who tervention
	Grade	grades K-3 below		K-3 below	in g	rades K-3 b	
	dergarten	#				#	
	ade 1	# #	#_			#	
	ade 2 ade 3	# #	# _ #			#	_
E3.		L-12 school year, is ther nterpreting assessment No		_	whose role	is to assist	teachers in
E4.		meone in the school to No (skip to question E5	-	ing to classro	oom teache	ers on teach	ing reading?
E4a.	What perc	ent of the coach's time	is devoted to	the respons	ibilities bel	ow?	
		Percent of Time					
			Less than 20%	20-40%	41-60%	61-75%	76%- 100%
	Using and in	terpreting reading data					
	Coaching on	teaching reading					
E5.	What perce	ent of time is a school p	osychologist as	ssigned to yo	ur school t	his year (20	11-12)?
		No School Psych	1-20%	20-40%	41-60%	61-75%	76%- 100%
		,					

E6.	•	ehavioral intervention and support program (for example, Positive ve Behavioral Intervention System) implemented at your school? plete)			
E6a.	In what year was the prog	ram first implemented? MARK ONE RESPONSE.			
☐ Pri	ior to 2006	□ 2009-10			
□ 20	06-07	□ 2010-11			
□ 20	07-08	□ 2011-12			
□ 20	08-09				
	•	survey were adapted from the following sources: r and School Surveys			
		on Data Systems and Decision Making Surveys (2007-08)			
	•	sment Implementation Study Surveys			
	National Education Technology Trends Survey				
		mentation Evaluation Surveys			
TASSIE	(2002-03), Teacher's Survey	,			
Washir	ngton RTI Fyaluation Survey				