

Economic Data Collection (EDC) Form



WEST COAST FIRST RECEIVER AND SHOREBASED PROCESSOR 2011

NOAA Fisheries – Northwest Fisheries Science Center

Who is responsible for submitting.

- All owners of a first receiver site license in 2011; or
- All owners and lessees of a shore-based processor (as defined under “processor” at §660.11, subpart C, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2011
- A separate EDC form is required for each processing facility.

Complete all required questions. A complete EDC form contains responses to all required questions. If a required question is not applicable, write "NA" in the answer box.

Submit by September 1, 2012. Completed and signed EDC forms must be mailed and postmarked by or hand-delivered to NMFS no later than September 1, 2011. Mail or deliver to

Economic Data Collection Program (FRAM Division),
Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112

Retain a copy. Retain a copy of the completed form.

Fillable forms and more information. www.nwfsc.noaa.gov/edc

Questions. Contact Erin Steiner at NWFSC.EDC@noaa.gov or (866) 791-3726.

Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 8 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

I. Characteristics and Ownership

1. Provide the buyer identification number issued by the state fish and game agencies associated with this entity or facility. Enter "NA" if this is a processing facility that does not have a buyer identification number.

Processor/Buyer IDs
Washington Department of Fish and Wildlife _____
Oregon Department of Fish and Wildlife _____
California Department of Fish and Game _____

2. Provide the following information about the receiving or processing facility.

Name of Facility		First Receiver Site License Number for 2011	
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

3. **Individual completing this report.** If your address, phone, and email are provided above, you do not need to repeat them here but please provide your name and title.

Name		Title	
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

4. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this questionnaire and that it is true and complete to the best of my knowledge.	
Signature	Date signed _____/_____/_____ mm dd yyyy

5. Appraised value of facility

What was the year of this facility's last appraisal?	_____ yyyy
What was the <i>market value</i> of this facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of the facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of all processing equipment ¹ contained on-site?	Yes No

6. When did your 2011 fiscal year begin?

_____/_____/_____ mm dd yyyy

Please report values from your 2011 fiscal year for the remainder of this survey.

II. Capitalized Expenditures

7. Provide the 2011 **capitalized expenditures** associated with the facility buildings, machinery and equipment. Round all answers to the nearest 100 dollars.

Capitalized Expenditure Category	Total Capitalized Expenditures
Capitalized expenditures on buildings (exclude land, but include building improvements)	\$ _____
Capitalized expenditures on new and used machinery and equipment ¹ (include only equipment used to process, transport on-site, or store fish on-site)	\$ _____

¹ *Processing equipment:* All equipment present at this physical location that is used for preparation or packaging of seafood to render it suitable for human consumption, retail sale, industrial uses or long-term storage, including, but not limited to, cooking, canning, smoking, salting, drying, filleting, freezing, or rendering into meal or oil.

Transportation equipment: Equipment such as trucks, forklifts, etc. used to transport seafood within this physical location.

Storage equipment: Equipment present at this physical location for packaging and freezing of seafood.

III. Employees and Payroll

Provide the following information about the number of employees and wages. Include full and part-time employees and temporary employees working at this facility. The information is requested separately for production workers and all other employees, which are defined below.

8. Provide the number of **production workers** in the following table. **Production workers** include those workers at this facility (up through and including the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, packing, warehousing, shipping, maintenance, repair, janitorial, product development, or transporting product on-site.

For the week including	Number of production workers (full time, part time, and temporary)	Total Hours Worked
January 12	_____ workers	_____ hrs
February 12	_____ workers	_____ hrs
March 12	_____ workers	_____ hrs
April 12	_____ workers	_____ hrs
May 12	_____ workers	_____ hrs
June 12	_____ workers	_____ hrs
July 12	_____ workers	_____ hrs
August 12	_____ workers	_____ hrs
September 12	_____ workers	_____ hrs
October 12	_____ workers	_____ hrs
November 12	_____ workers	_____ hrs
December 12	_____ workers	_____ hrs

9. Provide the **number of all other employees** in the following table. **All other employees** includes those involved in supervision above line-supervisor level, sales, advertising, credit, collection, installation, cafeteria, recordkeeping, clerical and routine office functions, guard services, executive, purchasing, finance, and legal. If hours are not tracked for salaried employees, please assume a 40 hour work week.

For the week including	Number of all other employees (full time, part time, and temporary)	Total Hours Worked
March 12	_____ employees	_____ hrs

10. Labor expenses for **production workers** and **all other employees** (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)

Labor Expense Category	2011 Total Expenses
Production workers (see definition in Question 8)	\$ _____
All other employees (see definition in Question 9)	\$ _____

IV. Selected Expenses and Depreciation

11. Quota Expenses

Purchase or Lease of Quota	2011 Total Expenses
Leasing of quota pounds	\$
Purchases of quota pounds	\$
Leasing of quota share	\$
Purchases of quota share	\$

12. Utilities

Utility Expense Category	2011 Total Expenses
Electricity	\$
Natural gas	\$
Propane gas for transportation and processing	\$
Nitrogen gas	\$
Water	\$
Sewer, waste and byproduct disposal	\$

13. Rental or Lease Payments

Rental or Lease Payments	2011 Total Expenses
Rental or lease of buildings, job-site trailers and other structures (including land)	\$
Rental or lease of processing machinery or equipment	\$

14. What did you spend on repair and maintenance on facility buildings, machinery, and equipment (see definitions on bottom of page 3) expensed in 2011?

\$ _____

15. What was the total depreciation for all capital investments on buildings, new and used machinery and equipment (see definitions of equipment on page 3) taken in 2011?

\$ _____

16. Provide the following information on 2011 **custom processing** of fish you owned that was performed by another processor outside of this facility.

	Total weight of fish supplied to custom processors	2011 Custom Processing Fees Paid
Whiting	lbs	\$
Non-Whiting Groundfish	lbs	\$
Other	lbs	\$

17. Other Expenses

Expense Category	2011 Total Expenses
Shoreside monitoring costs	\$
Production supplies (boots, smocks, hair nets, knives ,etc.)	\$
Cleaning and custodial supplies	\$
Packing materials	\$
Freight costs for supplies to the facility	\$
Non-fish ingredients (additives)	\$
Off-site product freezing and storage	\$
Insurance payments (property, product and personal liability)	\$
Taxes (property and excise)	\$
Licensing fees	\$
Other Specify _____	\$
Other Specify _____	\$

18. Provide the following information about the landing origin of fish purchases received at this facility in 2011. If this information is not available, place an “NA” in the answer box.

Landing Origin	Total Weight of Groundfish Purchased	Total Cost of Groundfish Purchased
Whiting		
West Coast (WA, OR, CA)	lbs	\$
Alaska (excluding pollock)	lbs	\$
Canada	lbs	\$
Other	lbs	\$
Non-whiting groundfish		
West Coast (WA, OR, CA)	lbs	\$
Alaska (excluding pollock)	lbs	\$
Canada	lbs	\$
Other	lbs	\$

19. Fish Received. In the table below please provide the weight and cost of fish received in 2011.

- Do not include fish received for custom processing.
- Include fish purchased by you that are custom processed by another processor outside of this facility.
- **LE Trawl Vessels:** fish acquired directly from a vessel registered to a Limited Entry (LE) permit with a trawl endorsement and caught either with trawl or fixed gear.
- **LE Fixed Gear Vessels:** fish acquired directly from a vessel registered to a LE permit with a fixed gear endorsement. Do not include fish caught with fixed gear using a LE permit with a trawl endorsement.
- **Open Access Vessels:** fish acquired directly from a vessel participating in an open access fishery
- **Non-Vessel Sources:** includes fish acquired from other first receivers, processors, wholesale dealers, brokers, or aquaculture producers.
- **Gross Cost of Fish Purchases** includes the value of any taxes paid on behalf of delivering vessels.
- Include any post season adjustments.

Type of Fish	Total weight of fish NOT PAID for		Total weight and gross cost of fish PAID for	
	Due to quality or size reasons	From intra-company or associated transfers	Total weight of fish purchased (from vessels, intra-company and/or associated transfers)	Gross cost of fish purchased (from vessels, intra-company and/or associated transfers)
Whiting				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Arrowtooth Flounder				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Dover Sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
English Sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Lingcod				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

19. (Continued)

Type of Fish	Total weight of fish NOT PAID for		Total weight and gross cost of fish PAID for	
	Due to quality or size reasons	From intra-company or associated transfers	Total weight of fish purchased (from vessels, intra-company and/or associated transfers)	Gross cost of fish purchased (from vessels, intra-company and/or associated transfers)
Pacific Sanddab				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Petrale Sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Rex Sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sablefish (Black Cod)				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sharks, Skates, Rays				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Rockfish				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Thornyheads				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Open Access Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Coastal Pelagic (include sardines and mackerel)				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

19. (Continued)

Type of Fish	Total weight of fish NOT PAID for		Total weight and gross cost of fish PAID for	
	Due to quality or size reasons	From intra-company or associated transfers	Total weight of fish purchased (from vessels, intra-company and/or associated transfers)	Gross cost of fish purchased (from vessels, intra-company and/or associated transfers)
Crab				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Echinoderms (include sea urchins and sea cucumbers)				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Pacific Halibut				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Herring				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Salmon				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Shrimp				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Squid				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sturgeon				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Tuna				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Other Shellfish				
Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Other Species (please list)				

Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

V. Annual Earnings

20. Fish Sales. Provide the 2011 value of production FOB plant (after discounts and allowances and excluding freight charges).

- Include products made from custom processing performed for you, but do not include products you produced as a custom processing service for others
- Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the plant
- Include any post-season adjustments
- For products made during 2011 and held in inventory at the end of the year, estimate the value on the basis of the average price received for similar products sold during the year
- Do not include revenue from products produced in previous years
- Include products shipped to other facilities of your company

Type of Fish	Total weight of production or sale of unprocessed fish	Total value of production or sale of unprocessed fish in 2011
Whiting		
Surimi	lbs	\$
H&G	lbs	\$
Filletts	lbs	\$
Roe	lbs	\$
Frozen Whole	lbs	\$
Unprocessed	lbs	\$
Other _____	lbs	\$
Other _____	lbs	\$
Arrowtooth flounder		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Dover sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
English Sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Lingcod		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20. (Continued)

Type of Fish	Total weight of production	Total value of production in 2011
Pacific Sanddab		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Petrale sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rex sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rockfish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sablefish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sharks, Skates, Rays		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Thornyheads		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Other Coastal Pelagic		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20. (Continued)

Type of Fish	Total weight of production	Total value of production in 2011
Crab		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Echinoderms		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
California Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Pacific Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Herring		\$
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Salmon		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Smoked	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Shrimp		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20. (Continued)

Type of Fish	Total weight of production	Total value of production in 2011
Sturgeon		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Tuna		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Other Shellfish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Other Non-Species Specific Products		
Fish Meal	lbs	\$
Fish Oil	lbs	\$
Bait	lbs	\$
Other products	lbs	\$
Other Species (please list)		
_____	lbs	\$
_____	lbs	\$
_____	lbs	\$

VI. Other Earnings

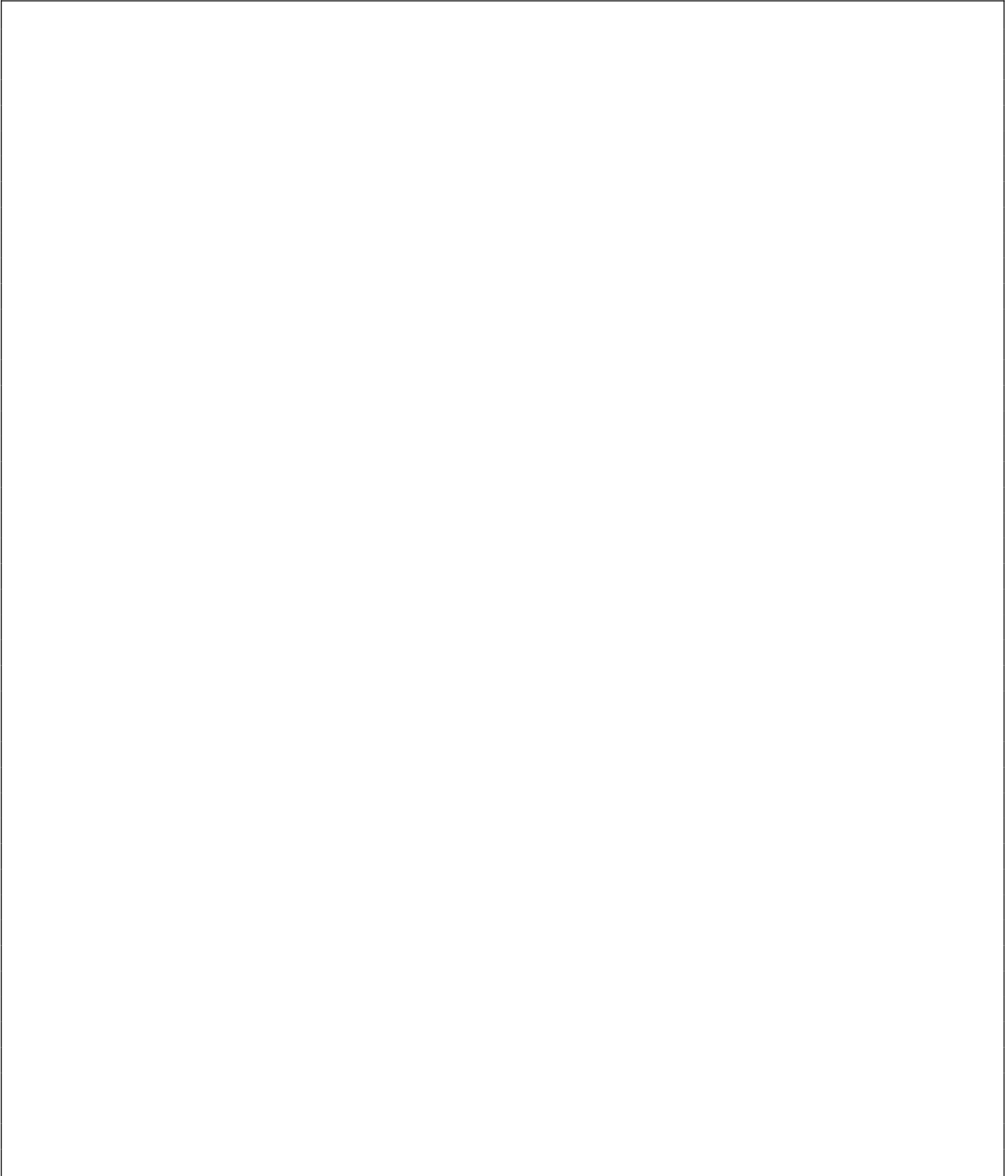
21. Provide the revenue received by you for **custom processing** of fish owned by another processor outside of this facility in 2011.

	2011 Custom Processing Revenue
Whiting	\$
Non-Whiting Groundfish	\$
Other	\$

22. Provide the revenue received for **quota sales and leasing** in 2011.

Quota Category	2011 Total Revenue
Sale of quota pounds	\$
Leasing of quota pounds	\$
Leasing of quota share	\$
Sale of quota share	\$

Questionnaire Comments:

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