Intestine Transplant Recipient Registration (TRR) Record Field Descriptions

The Transplant Recipient Registration (TRR) records are generated and available immediately after a transplant event is reported through the recipient feedback process in Waitlist[™]. A TRR will also be generated in the case of a living donor transplant, where a recipient was added through the donor feedback process in Tiedi[®]. The TRR record is completed by the transplant center performing the transplant. The registration and hospital discharge follow-up information is combined in this record.

Complete the TRR at hospital discharge or six weeks post transplant, whichever is first. If the recipient is still hospitalized at six weeks post transplant, provide the most recent information available regarding the recipient's progress.

The TRR record must be completed within 60 days from the record generation date. See OPTN/UNOS Policies for additional information. Use the search feature to locate specific policy information on Data Submission Requirements.

To correct information that is already displayed on an electronic record, call the UNet[™] Help Desk at 1-800-978-4334.

Recipient Information

Name: Verify the last name, first name and middle initial of the transplant recipient is correct. If the information is incorrect, corrections may be made on the recipient's TCR record.

<u>DOB</u>: Verify the displayed date is the recipient's date of birth. If the information is incorrect, corrections may be made on the recipient's TCR record.

SSN: Verify the recipient's social security number is correct. If the information is incorrect, contact the Help Desk at 1-800-978-4334.

<u>Gender</u>: Verify the recipient's gender is correct. If the information is incorrect, corrections may be made on the recipient's TCR record.

<u>HIC</u>: Verify the 9 to 11 character Health Insurance Claim number for the recipient indicated on the recipient's <u>most recently</u> updated TCR record is correct. If the recipient does not have a HIC number, you may leave this field blank.

Tx Date: Verify the displayed transplant date is the date of the beginning of the first anastomosis. If the operation started in the evening and the first anastomosis began early the next morning, the transplant date is the date that the first anastomosis began. The transplant is considered complete when the cavity is closed and the final skin stitch/staple is applied. The transplant date is indicated immediately after a transplant event is reported through the recipient feedback process in Waitlist and in the case of a living donor transplant, where a recipient was added through the donor feedback process in Tiedi.

<u>State of Permanent Residence</u>: Select the name of the state, of the recipient's permanent address, at the time of transplant. This field is **required**. (<u>List of State codes</u>)

<u>Permanent Zip</u>: Enter the recipient's zip code, of their permanent address, at the time of transplant. This field is **required**.

Provider Information

Recipient Center: The recipient center will display. Verify that the transplant center name, center code, and the provider number, (6-character Medicare identification number of the hospital where the transplant recipient was transplanted) are correct.

<u>Surgeon Name</u>: Enter the name of the primary surgeon, who performed the transplant operation, and under whose name the transplant is billed. This field is **required**.

NPI #: Enter the 10-character CMS (Center for Medicare and Medicaid Services, formerly HCFA) assigned National Provider Identifier of the transplant physician. Your hospital billing office may be able to obtain this number for you. This field is **required**.

Donor Information

<u>UNOS Donor ID #</u>: The UNOS Donor ID number, reported in the Recipient Feedback, will display. Each potential donor is assigned an identification number by OPTN/UNOS. This ID number corresponds to the date the donor information was entered into the OPTN/UNOS computer system.

Donor Type: The donor type, reported in the Recipient Feedback, will display. Verify the recipient's donor type is correct. If the information is incorrect, contact the Help Desk at 1-800-978-4334.

Deceased indicates the donor was not living at the time of donation. **Living** indicates the donor was living at the time of donation.

Patient Status

<u>Primary Diagnosis</u>: Select the primary diagnosis for the disease requiring a transplant for this recipient. If the recipient has had a previous transplant for the same organ type, select Retransplant/Graft Failure as the primary diagnosis for that organ. If Other, Specify is selected, enter the primary diagnosis in the space provided. This field is required. (<u>List of Intestine Diagnosis codes</u>)

<u>Secondary Diagnosis</u>: Select the secondary diagnosis ffor the disease requiring a transplant for this recipient. If **Other**, **Specify** is selected, enter the secondary diagnosis in the space provided. (<u>List</u> of Intestine Diagnosis codes)

<u>Date: Last Seen, Retransplanted or Death</u>: Enter the date the hospital reported the recipient as living, retransplanted (when the data was obtained prior to the recipient's discharge) or the date of the recipient's death, using the standard 8-digit numeric format of MM/DD/YYYY. This field is **required**.

<u>Patient Status</u>: Select the appropriate status for this recipient. If **Dead** is selected, indicate the cause of death. This field is **required**. (List of Patient Status codes)

Living Dead Retransplanted

Primary Cause of Death: If the Patient Status is **Dead**, select the patient's cause of death. If an Other code is selected, enter the other cause of death in the space provided. (<u>List of Primary Cause of Death codes</u>)

Contributory Cause of Death: If the Patient Status is **Dead**, select the patient's contributory cause of death. If an **Other** code is selected, enter the other cause of death in the space provided. (<u>List of Contributory Cause of Death codes</u>)

Contributory Cause of Death: If the Patient Status is **Dead**, select the patient's contributory cause of death. If an **Other** code is selected, enter the other cause of death in the space provided. (<u>List of Contributory Cause of Death codes</u>)

Note: If the patient is being retransplanted, access the patient's last record for their previous transplant and select **Retransplanted** in the **Patient Status** field. This will stop the generation of TRF records associated with the previous transplant.

Transplant Hospitalization:

Date of Admission to Tx Center: Enter the date the recipient was admitted to the transplant center, using the 8-digit MM/DD/YYYY format. If the patient was admitted to the hospital before it was determined a transplant was needed, enter the date it was determined the patient needed a transplant. This field is **required**.

Date of Discharge From Tx Center: Enter the date the recipient was released to go home, using the 8-digit MM/DD/YYYY format. The recipient's hospital stay includes total time spent in different units of the hospital, including medical and rehab. This information is not required in the TRR record, but if entered here, it will automatically fill in the future TRF records. It is required in the TRF record.

Note: Leave this field blank if the recipient was removed from the waiting list with a code of 21, indicating the recipient died during the transplant procedure.

Was patient hospitalized during the last 90 days prior to the transplant admission: If the recipient was hospitalized during the last 90 days prior to transplant admission, select Yes. If not, select No. If unknown, select **UNK**.

<u>Medical Condition at time of transplant</u>: Select the choice that best describes the recipient's condition and location just prior to the time of transplant. This field is **required**. (<u>List of Medical Condition codes</u>)

In Intensive Care Unit Hospitalized Not in ICU Not Hospitalized

<u>Patient on Life Support</u>: If the patient was on life support at the time of transplant, select **Yes**. If not, select **No**. If **Yes** is selected, check all that apply. If a type of life support used is not listed select **Other Mechanism**, **Specify** and specify the type in the space provided. This field is **required**.

Ventilator - Select only if the recipient is on continuous invasive ventilation Artificial Liver Other Mechanism, Specify

<u>Functional Status</u>: Select the choice that best describes the recipient's functional status just prior to the time of transplant. This field is **required**. (<u>List of Functional Status codes</u>)

Note: The Karnofsky Index will display for recipients aged 18 and older.

100% - Normal, no complaints, no evidence of disease

90% - Able to carry on normal activity: minor symptoms of disease

80% - Normal activity with effort: some symptoms of disease

70% - Cares for self: unable to carry on normal activity or active work

60% - Requires occasional assistance but is able to care for needs

50% - Requires considerable assistance and frequent medical care

40% - Disabled: requires special care and assistance

30% - Severely disabled: hospitalization is indicated, death not imminent

20% - Very sick, hospitalization necessary: active treatment necessary

10% - Moribund, fatal processes progressing rapidly

Note: The Lansky Scale will display for recipients aged 1 to 17.

100% - Fully active, normal

90% - Minor restrictions in physically strenuous activity

80% - Active, but tires more quickly

70% - Both greater restriction of and less time spent in play activity

60% - Up and around, but minimal active play; keeps busy with quieter activities

50% - Can dress but lies around much of day; no active play; can take part in quiet play/activities

40% - Mostly in bed; participates in quiet activities

30% - In bed; needs assistance even for quiet play

20% - Often sleeping; play entirely limited to very passive activities

10% - No play; does not get out of bed

Not Applicable (patient < 1 year old)

Unknown

Note: This evaluation should be in comparison to the person's normal function, indicating how the patient's disease has affected their normal function.

<u>Physical Capacity</u>: (This field is **required** for recipients older than 18 years of age.) Select the choice that best describes the recipient's physical capacity just prior to the time of transplant. If the recipient's **Medical Condition** indicates they are hospitalized, select **Not Applicable (hospitalized)**. (<u>List of Physical Capacity codes</u>)

No Limitations Limited Mobility Wheelchair bound or more limited Not Applicable (< 1 year old or hospitalized) Unknown

Physical Capacity is the ability to perform bodily activities such as walking, dressing, bathing, grooming, etc.

<u>Cognitive Development</u>: (This field is **required** for recipients 18 years of age or younger.) Select the choice that best describes the recipient's cognitive development just prior to the time of transplant.

Definite Cognitive Delay/Impairment (verified by IQ score <70 or unambiguous behavioral observation)

Probable Cognitive Delay/Impairment (not verified or unambiguous but more likely than not, based on behavioral observation or other evidence)

Questionable Cognitive Delay/Impairment (not judged to be more likely than not, but with some indication of cognitive delay/impairment such as expressive/receptive language and/or learning difficulties)

No Cognitive Delay/Impairment (no obvious indicators of cognitive delay/impairment)

Not Assessed

<u>Motor Development</u>: (This field is **required** for recipients 18 years of age or younger.) Select the choice that best describes the recipient's motor development just prior to the time of transplant. (<u>List of Motor Development codes</u>)

Definite Motor Delay/Impairment (verified by physical exam or unambiguous behavioral observation)

Probable Motor Delay/Impairment (not verified or unambiguous but more likely than not, based on behavioral observation or other evidence)

Questionable Motor Delay/Impairment (not judged to be more likely than not, but with some indication of motor delay/impairment)

No Motor Delay/Impairment (no obvious indicators of motor delay/impairment)

Not Assessed

<u>Working for income</u>: (This field is **required** for recipients 19 years of age or older.) If the recipient was working for income just prior to the time of transplant, select **Yes**. If not, select **No**. If reporting the recipient's death, indicate if the recipient was working for income just prior to death.

If No, Not Working Due To: If No is selected, indicate the reason why the candidate is not working just prior to the time of transplant. (This field is optional for <u>adult</u> candidates only.) (<u>List of Not Work Reason codes</u>)

Disability - A physical or mental impairment that interferes with or prevents a candidate from working (e.g. arthritis, mental retardation, cerebral palsy, etc).

Demands of Treatment - An urgent medical treatment that prevents a candidate from working (e.g. dialysis).

Insurance Conflict - Any differences between a candidate and insurance company that prevents them from working.

Inability to Find Work - The lack of one's ability to find work (e.g. lack of transportation, work experience, over qualification, unavailable work, etc.).

Patient Choice - Homemaker - A candidate who chooses to manage their own household, instead of performing work for pay.

Patient Choice - Student Full Time/Part Time - A candidate who is enrolled and/or participating in college.

Patient Choice - Retired - A candidate who no longer has an active working life such as an occupation, business or office job.

Patient Choice - Other - Any reason not listed above that would prevent a candidate from working.

Not Applicable - Hospitalized - Select only if the patient's Medical Condition indicates they are in the hospital.

Unknown

If Yes: If **Yes** is selected, indicate the candidate's working status just prior to the time of transplant. (This field is optional for <u>adult</u> candidates only.) (<u>List of Working codes</u>)

Working Full Time

Working Part Time due to Demands of Treatment

Working Part Time due to Disability

Working Part Time due to Insurance Conflict

Working Part Time due to Inability to Find Full Time Work

Working Part Time due to Patient Choice

Working Part Time Reason Unknown

Working, Part Time vs. Full Time Unknown

Academic Progress: (This field is **required** for recipients less than 19 years of age.) Select the choice that best describes the recipient's academic progress just prior to the time of transplant. If the candidate is less than 5 years old or has graduated from high school, select **Not Applicable < 5 years old/High School graduate or GED**. (<u>List of Academic Progress codes</u>)

Within One Grade Level of Peers
Delayed Grade Level
Special Education
Not Applicable <5 years old/High School graduate or GED
Status Unknown

<u>Academic Activity Level</u>: (This field is **required** for recipients less than 19 years of age.) Select the choice that best describes the recipient's academic activity level just prior to the time of transplant. If the recipient is less than 5 years old or has graduated from high school, select **Not Applicable < 5 years old/High School graduate or GED**. (List of Academic Activity Level codes)

Full academic load
Reduced academic load
Unable to participate in academics due to disease or condition
Unable to participate regularly in academics due to dialysis
Not Applicable <5 years old/High School graduate or GED
Status Unknown

Source of Payment:

Primary: Select as appropriate to indicate the recipient's source of primary payment (largest contributor) for the transplant. This field is **required**. (<u>List of Primary Insurance codes</u>)

Private insurance refers to funds from agencies such as Blue Cross/Blue Shield, etc. It also refers to any worker's compensation that is covered by a private insurer.

Public insurance - Medicaid refers to state Medicaid funds.

Public insurance - Medicare FFS (Fee-for-Service) refers to funds from the government in which doctors and other health care providers are paid for each service provided to a recipient. For additional information about Medicare, see http://www.medicare.gov/Choices/Overview.asp.

Public insurance - Medicare & Choice (also known as Medicare Managed Care) refers to funds from the government in which doctors and other health care providers are paid for each service provided to a recipient, along with <u>additional benefits</u> such as coordination of care or reducing-out-of-pocket expenses. Sometimes a recipient may receive additional benefits such as prescription drugs. For additional information about Medicare, see http://www.medicare.gov/Choices/Overview.asp.

Public insurance - CHIP (Children's Health Insurance Program)

Public insurance - Department of VA refers to funds from the Veterans Administration.

Public insurance - Other government

Self indicates that the recipient will pay for the cost of transplant.

Donation indicates that a company, institution, or individual(s) donated funds to pay for the transplant and care of the recipient.

Free Care indicates that the transplant hospital will not charge recipient for the costs of the transplant operation.

Foreign Government, Specify refers to funds provided by a foreign government (Primary only) Specify foreign country in the space provided. (List of Foreign Country codes)

Secondary: Select check as appropriate to indicate the recipient's source of secondary payment. (This field is optional.) (<u>List of Secondary Insurance codes</u>)

Private insurance refers to funds from agencies such as Blue Cross/Blue Shield, etc. It also refers to any worker's compensation that is covered by a private insurer.

Public insurance - Medicaid refers to state Medicaid funds.

Public insurance - Medicare FFS (Fee-for-Service) refers to funds from the government in which doctors and other health care providers are paid for each service provided to a recipient. For additional information about Medicare, see http://www.medicare.gov/Choices/Overview.asp.

Public insurance - Medicare & Choice (also known as Medicare Managed Care) refers to funds from the government in which doctors and other health care providers are paid for each service provided to a recipient, along with <u>additional benefits</u> such as coordination of care or reducing-out-of-pocket expenses. Sometimes a recipient may receive additional benefits such as prescription drugs). For additional information about Medicare, see http://www.medicare.gov/Choices/Overview.asp.

Public insurance - CHIP (Children's Health Insurance Program)

Public insurance - Other government

Self indicates that the recipient will pay for the cost of transplant.

Donation indicates that a company, institution, or individual(s) donated funds to pay for the transplant and care of the recipient.

Free Care indicates that the transplant hospital will not charge the recipient for the costs of the transplant operation.

None - Select if the recipient does not have a secondary source of payment.

Clinical Information: Pretransplant

<u>Date of Measurement</u>: (Complete for recipients 18 years of age or younger.) Enter the date, using the 8-digit format of MM/DD/YYYY, the recipient's height and weight were measured.

<u>Height</u>: Enter the height of the recipient, just prior to the time of transplant, in feet and inches or centimeters. If the recipient's height is unavailable, select the appropriate status from the **ST** field (**Missing**, **Unknown**, **N/A**, **Not Done**). (<u>List of Status codes</u>) For recipients 18 years old or younger at the time of transplant, UNet will generate and display calculated percentiles based on the 2000 CDC growth charts. This field is **required**.

<u>Weight</u>: Enter the weight of the recipient, just prior to the time of transplant, in pounds or kilograms. If the recipient's weight is unavailable, select the appropriate status from the **ST** field (**Missing**, **Unknown, N/A, Not Done**). (<u>List of Status codes</u>) For recipients 18 years old or younger at the time of transplant, UNet will generate and display calculated percentiles based on the 2000 CDC growth charts. This field is **required**.

BMI (Body Mass Index): The recipient's BMI will display. For candidates less than 20 years of age at the time of transplant, UNet will generate and display calculated percentiles based on the 2000 CDC growth charts.

Percentiles are the most commonly used clinical indicator to assess the size and growth patterns of individual children in the United States. Percentiles rank the position of an individual by indicating what percent of the reference population the individual would equal or exceed (i.e. on the weightfor-age growth charts, a 5 year-old girl whose weight is at the 25th percentile, weighs the same or more than 25 percent of the reference population of 5-year-old girls, and weighs less than 75 percent of the 5-year-old girls in the reference population). For additional information about CDC growth charts, see http://www.cdc.gov/.

Note: Users who check the BMI percentiles against the CDC calculator may notice a discrepancy that is caused by the CDC calculator using 1 decimal place for height and weight and UNetsm using 4 decimal places for weight and 2 for height.

<u>Previous Transplants</u>: The three most recent transplant(s), indicated on the recipient's validated Transplant Recipient Registration (TRR) record(s), will display. Verify all previous transplants listed by organ type, transplant date and graft failure date.

Note: The three most recent transplants on record for this recipient will be displayed for verification. If there are any prior transplants that are not listed here, contact the UNet Help Desk at 1-800-978-4334 or unethelpdesk@unos.org to determine if the transplant event is in the database.

Viral Detection:

HIV Serostatus: Select the serology results from the drop-down list. This field is required.

Positive
Negative
Not Done

UNK/Cannot Disclose

Definition: Human Immunodeficiency Virus - Any of several retroviruses and especially HIV-1 that infect and destroy helper T cells of the immune system causing the marked reduction in their numbers that is diagnostic of AIDS.

CMV IgG: Select the serology results from the drop-down list. This field is required.

Positive Negative Not Done UNK/Cannot Disclose Definition: Cytomegalovirus - A herpesvirus (genus Cytomegalovirus) that causes cellular enlargement and formation of eosinophilic inclusion bodies especially in the nucleus and that acts as an opportunistic infectious agent in immunosuppressed conditions (as AIDS).

CMV IgM: Select the serology results from the drop-down list. This field is required.

Positive Negative Not Done

UNK/Cannot Disclose

Definition: Cytomegalovirus - A herpesvirus (genus Cytomegalovirus) that causes cellular enlargement and formation of eosinophilic inclusion bodies especially in the nucleus and that acts as an opportunistic infectious agent in immunosuppressed conditions (as AIDS).

HBV Core Antibody: Select the serology results from the drop-down list. This field is required.

Positive Negative Not Done

UNK/Cannot Disclose

Definition: Hepatitis B Virus - A sometimes fatal hepatitis caused by a double-stranded DNA virus (genus Orthohepadnavirus of the family Hepadnaviridae) that tends to persist in the blood serum and is transmitted especially by contact with infected blood (as by transfusion or by sharing contaminated needles in illicit intravenous drug use) or by contact with other infected bodily fluids (as during sexual intercourse) -- also called serum hepatitis.

HBV Surface Antigen: Select the serology results from the drop-down list. This field is required.

Positive Negative Not Done UNK/Cannot Disclose

Definition: Hepatitis B Virus - A sometimes fatal hepatitis caused by a double-stranded DNA virus (genus Orthohepadnavirus of the family Hepadnaviridae) that tends to persist in the blood serum and is transmitted especially by contact with infected blood (as by transfusion or by sharing contaminated needles in illicit intravenous drug use) or by contact with other infected bodily fluids (as during sexual intercourse) -- also called serum hepatitis.

HCV Serostatus: Select the serology results from the drop-down list. This field is required.

Positive Negative Not Done

UNK/Cannot Disclose

Definition: Hepatitis C Virus - A disease caused by a flavivirus that is usually transmitted by parenteral means (as injection of an illicit drug, blood transfusion, or exposure to blood or blood products) and that accounts for most cases of non-A, non-B hepatitis.

EBV Serostatus: Select the serology results from the drop-down list. This field is **required**.

Positive Negative Not Done UNK/Cannot Disclose

Definition: (Epstein-Barr Virus) - A herpesvirus (genus Lymphocryptovirus) that causes infectious mononucleosis and is associated with Burkitt's lymphoma and nasopharyngeal carcinoma -- abbreviation EBV; called also EB virus.

<u>Total Bilirubin</u>: Enter the lab value for total serum bilirubin in mg/dl taken closest to the time of transplant. If the value is not available, select the appropriate status from the **ST** field (**Missing**, **Unknown**, **N/A**, **Not Done**). This field is **required**. (List of Status codes)

<u>Serum Albumin</u>: Enter the lab value for the serum albumin value in g/dl taken closest to the time of transplant. If the value is not available, select the appropriate status from the **ST** field (**Missing**, **Unknown**, **N/A**, **Not Done**). This field is **required**. (List of Status codes)

<u>Serum Creatinine</u>: Enter the lab value for the serum creatinine value in mg/dl taken closest to the time of transplant. If the value is not available, select the appropriate status from the **ST** field (**Missing**, **Unknown**, **N/A**, **Not Done**). This field is **required**. (<u>List of Status codes</u>)

<u>Malignancies between listing and transplant</u>: If the recipient <u>has</u> a history of any malignancies between listing and transplant, select <u>Yes</u>. If the recipient <u>has not</u> had a history of any malignancies between listing and transplant, select <u>No</u>. If unknown, select <u>UNK</u>. If <u>Yes</u> is selected, select the type(s) of malignancy. If <u>Other</u>, <u>Specify</u> is selected, indicate the type of tumor in the space provided. This field is <u>required</u>. (<u>List of Adult Malignancy codes</u>) (<u>List of Pediatric Malignancy codes</u>)

Skin Melanoma
Skin Non-Melanoma
CNS Tumor
Genitourinary
Breast
Thyroid
Tongue/Throat/Larynx
Lung
Leukemia/Lymphoma
Liver
Hepatoblastoma (This selection is available to pediatric recipients only.)
Hepatocellular Carcinoma
Other, specify

Note: This question is NOT applicable for patients receiving living donor transplants who were never on the waiting list.

Clinical Information: Transplant Procedure

<u>Multiple Organ Recipient</u>: Other organs, that were reported as being transplanted in the Recipient Feedback, will display. Verify the other organs transplanted at this time are correct. If incorrect, contact the Help Desk.

<u>Were extra vessels used in the tx procedure</u>: If extra vessels (vascular allografts) were used in the transplant procedure, as indicated on the Waitlist Removal, **Yes** displays.

Vessel Donor ID: The Donor ID entered on the Waitlist Removal displays.

Note: Donor IDs entered for this question must be from deceased donors. All deceased donor extra vessels must be

monitored due to the potential for disease transmission.

Note: If the extra vessels used in a transplant procedure are procured from a tissue processing organization, they are not reported in UNet.

Procedure Information:

<u>Intestine Only Venous Drainage</u>: Indicate if the intestinal venous drainage was attached to **Portal** or **Systemic** circulation. This field is **required**.

<u>Native Viscera Venous Drainage</u>: Indicate if the native viscera venous drainage was attached to **Portal** or **Systemic** circulation. This field is **required**.

<u>Procedure Type</u>: Verify that the displayed procedure type is correct. (<u>List of Procedure Type</u> codes)

Whole Intestine
Intestine Segment
Whole Intestine with Pancreas (Technical Reasons)
Intestine Segment with Pancreas (Technical Reasons)

<u>Organ Type</u>: Select to indicate all intestinal organs transplanted into this recipient from the donor identified on the list below. This field is **required**.

Stomach Small Intestine Duodenum Large Intestine

Preservation Information:

<u>Total Ischemic Time (Include cold, warm and anastomotic time)</u>: Enter the cumulative time between cessation of blood flow in the donor and revascularization of the intestinal organ in the recipient. If the time is not available, select the appropriate status from the **ST** field (**Missing**, **Unknown**, **N/A**, **Not Done**). This field is **required**.

Note: Enter the time in hours and decimal parts of an hour. For example, 1 hour should be entered as "1", "1.0" or "1.00"; 1 hour and 30 minutes should be entered as "1.5" or "1.50" **not "1.30"**.

To report the minutes, divide the number of minutes into 60 and record 2 decimal places. Example: 7hrs 19 minutes = 7.32 (60 divided by 19 = .32)

Note: Select **N/A** from the **ST** field for all Preservation Information if the recipient was removed from the waiting list with a code 21, indicating the recipient died during the transplant procedure.

<u>Risk Factors</u>: For each of the risk factors listed, indicate the recipient's history of the risk factor at the time of this transplant.

<u>Recent Septicemia</u>: If the recipient has a history of septicemia requiring IV antibiotic medication during the two weeks prior to transplant select **Yes**. If not, select **No**. If unknown, select **UNK**. This field is **required**.

<u>Exhausted Vascular Access</u>: If the medical staff is unable to access the recipients vascular system for intravenous therapy at the time of transplant, select **Yes**. If not, select **No**. If unknown, select **UNK**. This field is **required**.

<u>Liver Dysfunction</u>: If the recipient has liver dysfunction evidenced by elevated liver function studies at the time of transplant, select Yes. If not, select No. If unknown, select **UNK**.

Previous Abdominal Surgery: If the recipient had any abdominal surgery prior to this transplant, select **Yes**. If not, select **No**. If unknown, select **UNK**. This field is **required**.

Number Previous Abdominal Surgeries: Indicate the number of previous abdominal surgeries in the space provided. If the number of surgeries is not available, select the appropriate status from the **ST** field (**Missing, Unknown, N/A, Not Done**).

Dilated/Non-Functional Bowel Segments: If the recipient exhibited any dilated or non-functioning bowel segments at the time of transplant, select Yes. If not, select No. If unknown, select **UNK**. This field is **required**.

Other: If other risk factors were present at the time of transplant, enter the factors in the space provided.

Clinical Information: Post Transplant

<u>Graft Status</u>: If the graft is functioning at the time of hospital discharge or six weeks post-transplant, select **Functioning**. If the graft is not functioning at the time of hospital discharge or six weeks post-transplant, select **Failed**. This field is **required**.

Note: Select **Functioning** if the recipient was removed from the waiting list with a code 21, indicating the recipient died during the transplant procedure.

Note: If death is indicated for the recipient, and the death was a result of some other factor unrelated to graft failure, select **Functioning**.

If **Functioning**, select **Yes** or **No** for each of the following fields:

TPN Dependent: If the recipient is dependent on total parenteral nutrition, select **Yes**. If not, select **No**.

IV Dependent: If the recipient is dependent on intravenous fluids, select Yes. If not, select No.

Oral Feeding: If the recipient is receiving oral nutrition, select Yes. If not, select No.

Tube Feed: If the recipient is receiving nutrition via any gastric tube, select Yes. If not, select **No**.

If **Failed**, provide the following information:

Date of Failure: Enter the date of graft failure using the standard 8-digit numeric format of MM/DD/YYYY.

Primary Causes of Graft Failure: Select the cause of graft failure. If **Other Specify** is selected, enter the cause of graft failure in the space provided. (<u>List of Adult Graft Failure codes</u>) (<u>List of Pediatric Graft Failure codes</u>)

Recurrent Tumor Acute Rejection Chronic Rejection Technical Problems Infection

Lymphoproliferative Disease

GVHD (Graft vs. Host Disease) (This selection is available to pediatric recipients only.) **Ischemia/NEC (Necrotizing Enterocolitis) Like Syndrome** (This selection is available to pediatric recipients only.)

Other Specify

<u>Did patient have any acute rejection episodes between transplant and discharge</u>: If the recipient had any acute rejection episodes between transplant and discharge, select a **Yes** choice. If not, select **No**. If a **Yes** choice is selected, then indicate if a biopsy was done to confirm acute rejection. This field is **required**. (List of Any Acute Rejection Episodes codes)

Yes, at least one episode treated with anti-rejection agent Yes, none treated with additional anti-rejection agent No

Was Biopsy done to confirm acute rejection: If the recipient had an acute kidney rejection episode, indicate whether biopsy confirmed acute rejection by selecting **Yes**. If a biopsy was not done, select **Biopsy not done**. If unknown, select **Unknown**. This field is optional. (<u>List of Biopsy Confirmed codes</u>)

Biopsy not done Yes, rejection confirmed Yes, rejection not confirmed <u>Biological or Anti-viral Therapy</u>: If biological or anti-viral therapy is being administered to the recipient, select **Yes**. If not, select **No**. If unknown or can't disclose, select **Unknown/Cannot Disclose**. If **Yes** is selected, check all that apply. If a therapy, other than those listed, was administered, select **Other**, **Specify** and enter the therapy in the space provided. These fields are optional. (<u>List of Anti-viral Treatment codes</u>)

Acyclovir (Zovirax)
Cytogam (CMV)
Gamimune
Gammagard
Ganciclovir (Cytovene)
Valgancyclovir (Valcyte)
HBIG (Hepatitis B Immune Globulin)
Flu Vaccine (Influenza Virus)
Lamivudine (Epivir) (for treatment of Hepatitis B)
Other, Specify
Valacyclovir (Valtrex)

<u>Other Therapies</u>: If the recipient received other therapies, select **Yes**. If not, select **No**. If **Yes** is selected, check all that apply. These fields are optional. (<u>List of Other Therapies codes</u>)

Photopheresis
Plasmapheresis
Total Lymphoid Irradiation (TLI)

Note: If the recipient was removed from the waiting list with a code 21, indicating the recipient died during the transplant procedure, select **No** for all Biologicals or Anti-viral.

Immunosuppressive Information

<u>Are any medications given currently for maintenance or anti-rejection</u>: If medications have been given to the recipient for maintenance or anti-rejection during the time between transplant and hospital discharge, or 6 weeks post-transplant if the recipient has not been discharged, select **Yes**. If not, select **No**. If **Yes**, complete the sections below. This field is **required**.

<u>Did the recipient participate in any clinical research protocol for immunosuppressive</u>
<u>medications</u>: If the recipient participated in clinical research for immunosuppressive medications, select **Yes**. If not, select **No**. If **Yes**, specify in the space provided.

Immunosuppressive Medications

For each of the immunosuppressive medications listed, select Ind.(Induction), Maint (Maintenance) or AR (Anti-rejection) to indicate all medications that were prescribed for the recipient during the initial transplant hospitalization period, and for what reason. If a medication was not given, leave the associated box blank.

Induction (Ind.) immunosuppression includes all medications given for a short finite period in the perioperative period for the purpose of preventing acute rejection. Though the drugs may be continued after discharge for the first 30 days after transplant, it <u>will not</u> be used long-term for immunosuppressive maintenance. Induction agents are usually polyclonal, monoclonal, or IL-2 receptor antibodies (e.g., Methylprednisolone, Atgam, Thymoglobulin, OKT3, Simulect, or Zenapax). Some of these drugs might be used for another finite period for rejection therapy and would be recorded as rejection therapy if used for this reason. For each induction medication indicated, enter the <u>total number of days the drug was actually administered</u> in the space provided. For example, if Simulect or Zenapax was given in 2 doses a week apart then the total number of days would be 2, even if the second dose was given after the patient was discharged.

Maintenance (Maint) includes all immunosuppressive medications given before, during or after transplant for varying periods of time which may be either long-term or intermediate term with a

tapering of the dosage until the drug is either eliminated or replaced by another long-term maintenance drug (e.g., Prednisone, Cyclosporine, Tacrolimus, Mycophenolate Mofetil, Azathioprine, or Rapamycin). This does not include any immunosuppressive medications given to treat rejection episodes, or for induction.

Anti-rejection (AR) immunosuppression includes all immunosuppressive medications given for the purpose of treating an acute rejection episode during the initial post-transplant period or during a specific follow-up period, usually up to 30 days after the diagnosis of acute rejection (e.g., Methylprednisolone, Atgam, OKT3, or Thymoglobulin). When switching maintenance drugs (e.g., from Tacrolimus to Cyclosporine; or from Mycophenolate Mofetil to Azathioprine) because of rejection, the drugs should not be listed under AR immunosuppression, but should be listed under maintenance immunosuppression.

Note: As further clarification, drugs that are used with the intention to maintain recipients long-term are medications such as Tacrolimus, Cyclosporine, Azathioprine, Mycophenolate Mofetil and Prednisone. These maintenance medications should not be listed as AR medications to treat acute rejection. When patients have a true acute rejection, they are given anti-rejection medication such as steroids, OKT3, ATG, Simulect and Zenapax, in addition to the maintenance medications. These are the medications that should be selected as anti-rejection.

If an immunosuppressive medication other than those listed is being administered (e.g., new monoclonal antibodies), select **Ind.**, **Maint**, or **AR** next to **Other Immunosuppressive Medication** field, and enter the full name of the medication in the space provided. **Do not list non-immunosuppressive medications**.

If the number of days is unavailable, select the appropriate status from the applicable **Status** field (**Missing**, **Unknown**, **N/A**, **Not Done**). (<u>List of Status codes</u>)

Other Immunosuppressive Medications

For each of the immunosuppressive medications listed, select **Ind.(Induction)**, **Maint (Maintenance)** or **AR (Anti-rejection)** to indicate all medications that were prescribed for the recipient during the initial transplant hospitalization period, and for what reason. If a medication was not given, leave the associated box blank.

Induction (Ind.) immunosuppression includes all medications given for a short finite period in the perioperative period for the purpose of preventing acute rejection. Though the drugs may be continued after discharge for the first 30 days after transplant, it <u>will not</u> be used long-term for immunosuppressive maintenance. Induction agents are usually polyclonal, monoclonal, or IL-2 receptor antibodies (e.g., Methylprednisolone, Atgam, Thymoglobulin, OKT3, Simulect, or Zenapax). Some of these drugs might be used for another finite period for rejection therapy and would be recorded as rejection therapy if used for this reason. For each induction medication indicated, enter the <u>total number of days the drug was actually administered</u> in the space provided. For example, if Simulect or Zenapax was given in 2 doses a week apart then the total number of days would be 2, even if the second dose was given after the patient was discharged.

Maintenance (Maint) includes all immunosuppressive medications given before, during or after transplant for varying periods of time which may be either long-term or intermediate term with a tapering of the dosage until the drug is either eliminated or replaced by another long-term maintenance drug (e.g., Prednisone, Cyclosporine, Tacrolimus, Mycophenolate Mofetil, Azathioprine, or Rapamycin). This does not include any immunosuppressive medications given to treat rejection episodes, or for induction.

Anti-rejection (AR) immunosuppression includes all immunosuppressive medications given for the purpose of treating an acute rejection episode during the initial post-transplant period or during a specific follow-up period, usually up to 30 days after the diagnosis of acute rejection (e.g., Methylprednisolone, Atgam, OKT3, or Thymoglobulin). When switching maintenance drugs (e.g., from Tacrolimus to Cyclosporine; or from Mycophenolate Mofetil to Azathioprine) because of

rejection, the drugs <u>should not</u> be listed under AR immunosuppression, but <u>should be</u> listed under maintenance immunosuppression.

Note: As further clarification, drugs that are used with the intention to maintain recipients long-term are medications such as Tacrolimus, Cyclosporine, Azathioprine, Mycophenolate Mofetil and Prednisone. These maintenance medications should not be listed as AR medications to treat acute rejection. When patients have a true acute rejection, they are given anti-rejection medication such as steroids, OKT3, ATG, Simulect and Zenapax, in addition to the maintenance medications. These are the medications that should be selected as anti-rejection.

If an immunosuppressive medication other than those listed is being administered (e.g., new monoclonal antibodies), select **Ind.**, **Maint**, or **AR** next to **Other Immunosuppressive Medication** field, and enter the full name of the medication in the space provided. **Do not list non-immunosuppressive medications**.

If the number of days is unavailable, select the appropriate status from the applicable **Status** field (**Missing**, **Unknown**, **N/A**, **Not Done**). (List of Status codes)

Investigational Immunosuppressive Medications

For each of the immunosuppressive medications listed, select **Ind.(Induction)**, **Maint (Maintenance)** or **AR (Anti-rejection)** to indicate all medications that were prescribed for the recipient during the initial transplant hospitalization period, and for what reason. If a medication was not given, leave the associated box blank.

Induction (Ind.) immunosuppression includes all medications given for a short finite period in the perioperative period for the purpose of preventing acute rejection. Though the drugs may be continued after discharge for the first 30 days after transplant, it <u>will not</u> be used long-term for immunosuppressive maintenance. Induction agents are usually polyclonal, monoclonal, or IL-2 receptor antibodies (example: Methylprednisolone, Atgam, Thymoglobulin, OKT3, Simulect, or Zenapax). Some of these drugs might be used for another finite period for rejection therapy and would be recorded as rejection therapy if used for this reason. For each induction medication indicated, enter the <u>total number of days the drug was actually administered</u> in the space provided. For example, if Simulect or Zenapax was given in 2 doses a week apart then the total number of days would be 2, even if the second dose was given after the patient was discharged.

Maintenance (Maint) includes all immunosuppressive medications given before, during or after transplant for varying periods of time which may be either long-term or intermediate term with a tapering of the dosage until the drug is either eliminated or replaced by another long-term maintenance drug (e.g., Prednisone, Cyclosporine, Tacrolimus, Mycophenolate Mofetil, Azathioprine, or Rapamycin). This does not include any immunosuppressive medications given to treat rejection episodes, or for induction.

Anti-rejection (AR) immunosuppression includes all immunosuppressive medications given for the purpose of treating an acute rejection episode during the initial post-transplant period or during a specific follow-up period, usually up to 30 days after the diagnosis of acute rejection (example: Methylprednisolone, Atgam, OKT3, or Thymoglobulin). When switching maintenance drugs (example: from Tacrolimus to Cyclosporine; or from Mycophenolate Mofetil to Azathioprine) because of rejection, the drugs should not be listed under AR immunosuppression, but should be listed under maintenance immunosuppression.

Note: As further clarification, drugs that are used with the intention to maintain recipients long-term are medications such as Tacrolimus, Cyclosporine, Azathioprine, Mycophenolate Mofetil and Prednisone. These maintenance medications should not be listed as AR medications to treat acute rejection. When patients have a true acute rejection, they are given anti-rejection medication such as steroids, OKT3, ATG, Simulect and Zenapax, in addition to the maintenance medications. These are the medications that should be selected as anti-rejection.

If an immunosuppressive medication other than those listed is being administered (e.g., new monoclonal antibodies), select **Ind.**, **Maint**, or **AR** next to **Other Immunosuppressive Medication** field, and enter the full name of the medication in the space provided. **Do not list non-immunosuppressive medications**.

If the number of days is unavailable, select the appropriate status from the applicable **Status** field (**Missing**, **Unknown**, **N/A**, **Not Done**). (List of Status codes)

Drug Codes (List of Drug Codes)

Immunosuppressive Medications

Steroids (Prednisone, Methylprednisolone, Solumedrol, Medrol, Decadron)

Atgam (ATG)

OKT3 (Orthoclone, Muromonab)

Thymoglobulin

Simulect - Basiliximab

Zenapax - Daclizumab

Azathioprine (AZA, Imuran)

EON (Generic Cyclosporine)

Gengraf (Abbott Cyclosporine)

Other generic Cyclosporine, specify brand:

Neoral (CyA-NOF)

Sandimmune (Cyclosporine A)

CellCept (Mycophenolate Mofetil; MMF)

Generic MMF (Generic CellCept)

Prograf (Tacrolimus, FK506)

Generic Tacrolimus (Generic Prograf)

Modified Release Tacrolimus FK506E (MR4)

Sirolimus (RAPA, Rapamycin, Rapamune)

Myfortic (Mycophenolate Sodium)

Other Immunosuppressive Medications

Campath - Alemtuzumab (anti-CD52)

Cyclophosphamide (Cytoxan)

Leflunomide (LFL, Arava)

Methotrexate (Folex, PFS, Mexate-AQ, Rheumatrex)

Other Immunosuppressive Medication, Specify

Rituximab

<u>Investigational Immunosuppressive Medications</u>

Everolimus (RAD, Certican)

Other Immunosuppressive Medication, Specify