

DEPARTMENT OF HOMELAND SECURITY  
U. S. Coast Guard  
**DEFECT/NONCOMPLIANCE REPORT**

OMB NO. 1625-0010

Exp. Date 03/31/2012

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The Coast Guard estimates that the average burden for this report form is one hour. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-54223), U.S. Coast Guard, 2100 Second Street, SW STOP 7581, Washington, DC 20593-7581 or Office of Management and Budget, Paperwork Reduction Project (1625-0010) Washington, DC 20503.

CAMPAIGN NUMBER

1. NAME AND ADDRESS OF COMPANY CONDUCTING DEFECT NOTIFICATION CAMPAIGN (include ZIPCODE)

2. NAME AND PHONE NUMBER OF PERSON TO CONTACT

3. IF DEFECTIVE COMPONENT, MANUFACTURER NAME AND ADDRESS:

4a. IDENTIFICATION OF BOATS POTENTIALLY INVOLVED *(use other means or additional space on Page 2 if necessary):*

MODEL \_\_\_\_\_ HIN \_\_\_\_\_ to \_\_\_\_\_

MODEL \_\_\_\_\_ HIN \_\_\_\_\_ to \_\_\_\_\_

MODEL \_\_\_\_\_ HIN \_\_\_\_\_ to \_\_\_\_\_

MODEL \_\_\_\_\_ HIN \_\_\_\_\_ to \_\_\_\_\_

4b. IF PRODUCTS INVOLVED ARE NOT BOATS *(use other means or additional space on Page 2 if necessary):*

MODEL \_\_\_\_\_ SERIAL NOS. \_\_\_\_\_ to \_\_\_\_\_ YEAR \_\_\_\_\_

MODEL \_\_\_\_\_ SERIAL NOS. \_\_\_\_\_ to \_\_\_\_\_ YEAR \_\_\_\_\_

MODEL \_\_\_\_\_ SERIAL NOS. \_\_\_\_\_ to \_\_\_\_\_ YEAR \_\_\_\_\_

5. DESCRIPTION OF DEFECT OR NONCOMPLIANCE:

6. DEGREE OF DANGER TO THE PUBLIC WITH CONTINUED USE OF THE PRODUCT WITHOUT REPAIR OR CORRECTION:

7. MANNER IN WHICH PRODUCTS RECALLED WILL BE CORRECTED *(use additional space on Page 2 if necessary):*

a. Who will perform corrections?

b. Where will corrections be performed?

c. When will corrections be performed?

d. How will corrections be performed?

8. ACTIONS TAKEN TO DATE TO CORRECT THIS DEFECT OR NONCOMPLIANCE:

9. LOCATION OF UNITS:

- a. Number of units sold to consumers: \_\_\_\_\_
- b. Number of units at the factory: \_\_\_\_\_
- c. Number of units shipped to dealers: \_\_\_\_\_
- d. Locations of other units: \_\_\_\_\_  
\_\_\_\_\_

10. CURRENT STATUS OF CAMPAIGN:

- a. Number of units which might contain the problem: \_\_\_\_\_
- b. Number of first purchasers notified about the problem: \_\_\_\_\_
- c. Number of dealers or distributors notified about the problem: \_\_\_\_\_
- d. Number of units corrected or repaired: \_\_\_\_\_
- e. Number of units inspected which did not have problem: \_\_\_\_\_
- f. Number of owners who refused the offer to repair or correct \_\_\_\_\_  
*(an owner who refuses must do so in writing)*

11. IF ANY OF THE INFORMATION REQUESTED IN 9. AND 10. ABOVE IS NOT AVAILABLE WHEN SUBMITTING THIS REPORT, WHEN WILL THE INFORMATION BE AVAILABLE?

- a. **Civil Penalty** - 5 U.S.C. 301; 14 U.S.C. 93; the Federal Records Act; 46 U.S.C. 4310; and 33 C.F.R. 179.
- b. **Recall** - The Coast Guard will use this information to identify boats (as that term is defined in 33 C.F.R. 179.03), inboard engines, outboard motors, stern drive units and inflatable personal floatation devices approved under 46 C.F.R. 160.076 being recalled for repair and replacement of defects or noncompliance with a regulation prescribed under 46 U.S.C. 4302, to determine the severity of the defect(s) or noncompliance, the location of the defective product(s), how and by whom the defect(s) or noncompliance will be corrected and the degree of danger to the public should the items continue to be used without correction of the defect(s) or noncompliance.
- c. **Information Disclosure** - In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act, these records or information contained therein may specifically be disclosed outside the Department of Homeland Security as a routine use pursuant to 5 U.S.C. 552a(b)(3) as follows: in accordance with the routine uses described in DHS/USCG-013 Marine Information for Safety and Law Enforcement (MISLE) System of Records.
- d. **Penalty** - Completion/furnishing of this form is MANDATORY. Failure to provide the information requested within 30 days after the manufacturer discovers or acquires information of the defect or failure to comply with applicable regulation(s) may result in a civil penalty of up to \$1100.

SIGNATURE AND TITLE OF PREPARER:

DATE:

SPACE FOR ADDITIONAL INFORMATION:

List the model name, length, model year and type of propulsion for boats for which affected Hull Identification Number (HIN) sequences in item 4a. are not available

**THE COAST GUARD MUST  
RECEIVE THIS REPORT ON  
OR BEFORE:**