

Exhibit 1 – Proposed Agenda for Focused Listening Session

- 8:00** **MARAD facilitator welcomes all, introduces regional officials and welcome by ranking regional officials**
- 8:20** **Welcome by Maritime Administrator**
Overview/Strategy/Goals of the Breakout Sessions
- 8:45 Breakout Session 1: The Panama Canal’s Current and Anticipated Effects on the United States Economy
1. Examine Current Cargo Content & Cargo Movements through the Panama Canal
 2. Destination and Potential Effects on East/West/Gulf Coasts/Inland Ports
- 9:30 Breakout Session 2: Cargo, Containers and Capacity
1. Examine the United States’ Ability to Accommodate Expansion of the Canal through Capacity Development.
 2. Explore the Status of Capacity Development/Current and Future Bottlenecks (This session will tie-in to Marine Highways)
- 10:15** **BREAK**
- 10:30 Breakout Session 3: Canal Expansion and Costs:
Explore the Necessary Changes/Importance of those Changes
1. Explore How the Expected Changes Will Impact the Cost of Doing Business within the Industry; Who Pays and Benefits
 2. The Costs of Canal Expansion: The Importance of Changing to Conduct Business in the Post-Canal Expansion Economy
- 11:15 Breakout Session 4: Total Trade Effect:
1. Explore the Legal and Policy Factors Affecting the United States’ Ability to Increase Imports and /Exports After the Expansion
- 12:00** **Recap of the Morning Sessions**
Closing Remarks
- 12:15** **Adjourn / Lunch**

Exhibit 2 – Topics and Questions for Discussions with Ports

1. Market analysis showing market areas served
 - a. Can you provide information about the markets (commodities, industries) served, their locations, and type(s) of services provided?
2. Assessment of competitive overlap e.g. feedback on areas served by West Coast
 - a. Which markets do you believe you competitively contest with the West Coast, and how will the Panama Canal expansion affect these contested markets?
3. Confirmation of current port/inland capacity by relevant measures e.g. container handling/storage/rail connectivity etc. and total estimated TEU capacity.
 - a. Can you provide information about the current and future plans for managing both surge and base load moves through your port complex?
4. Status of capacity development e.g. dredging, studies etc.
 - a. Can you provide us with copies of current plans, capital investments and capacity studies (including forecasts of demand and utilization) based on your current expansion plans?
5. Assessment of costs for handling larger ships for ports where this is likely.
 - a. Where additional capacity for container movements are anticipated, can you provide a schedule of capital costs by category – including off-port improvements and plans by operators serving your port?
6. Feedback on cost reduction estimates and impacts on volumes e.g. effects on (2) above.
 - a. How sensitive are you potential customers in contested markets to costs, and what kinds of response do you expect from shippers if your cost reduction measures take effect?

Exhibit 3 – Shippers Survey

Exhibit 3 is the Shippers Survey, which is included as a separate document.

Exhibit 4 – Federal Register Notice: Information Collection Available for Public Comments and Recommendations - Page 1 (Monday, October 31, 2011)



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approval. The nature of the information collection is described as well as its expected burden. The **Federal Register** Notice with a 60-day comment period soliciting comments on the following collection of information was published on July 15, 2011, and comments were due by September 13, 2011. No comments were received.

DATES: Comments must be submitted on or before November 30, 2011.

FOR FURTHER INFORMATION CONTACT: Elizabeth Gearhart, Maritime Administration, 1200 New Jersey Avenue SE., Washington, DC 20590. Telephone: (202) 366-1867; or email: beth.gearhart@dot.gov. Copies of this collection also can be obtained from that office.

SUPPLEMENTARY INFORMATION: Maritime Administration (MARAD).

Title: Shipbuilding Orderbook and Shipyard Employment.

OMB Control Number: 2133-0029.

Type of Request: Extension of currently approved collection.

Affected Public: Owners of U.S. shipyards who agree to complete the requested information.

Forms: MA-832.

Abstract: MARAD collects this information from the shipbuilding and ship repair industry primarily to determine if an adequate mobilization base exists for national defense and for use in a national emergency.

Annual Estimated Burden Hours: 400.

ADDRESSES: Send comments regarding these information collections to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 Seventeenth Street NW., Washington, DC 20503, *Attention:* MARAD Desk Officer. Alternatively, comments may be sent via email to the Office of Information and Regulatory Affairs (OIRA), Office of Management and Budget, at the following address: oira.submissions@omb.eop.gov.

Comments Are Invited On: Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; the accuracy of the agency's estimate of the burden of the proposed information collection; ways to enhance the quality, utility and clarity of the information to be collected; and ways to minimize the burden of the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

A comment to OMB is best assured of having its full effect if OMB receives it within 30 days of publication.

By the Order of the Maritime Administrator.

Dated: October 25, 2011.

Julie P. Agarwal,

Secretary, Maritime Administration.

[FR Doc. 2011-28028 Filed 10-28-11; 8:45 am]

BILLING CODE 4910-81-P

DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD 2011 0140]

Information Collection Available for Public Comments and Recommendations

ACTION: Notice and request for comments

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this notice announces the Maritime Administration's (MARAD) intentions to request approval of the Office of Management and Budget (OMB) to conduct a new information collection entitled, "the Maritime Administration's Panama Canal Expansion Study Outreach Program." This program is being implemented as part of MARAD's comprehensive study of the 2014 Panama Canal Expansion (Study) and its anticipated impacts on U.S. Ports and infrastructure.

DATES: Comments should be submitted on or before December 30, 2011.

FOR FURTHER INFORMATION CONTACT: Ms. Yvette M. Fields, Director, Office of Deepwater Ports and Offshore Activities, Maritime Administration, 1200 New Jersey Avenue SE., W21-309, Washington, DC 20590. Telephone: (202) 366-0926 or Email: mailto:Yvette.Fields@dot.gov. Copies of this collection also can be obtained from that office.

SUPPLEMENTARY INFORMATION:

Title of Collection: Maritime Administration's Panama Canal Expansion Study Outreach Program.

Type of Request: New Collection.

OMB Control Number: 2133-NEW.

Forms: Shipper Survey.

Expiration Date of Approval: Three years from date of approval by OMB.

Summary of Collection of Information: This three-tiered information collection process will directly support MARAD's efforts to evaluate and assess the impacts of the Panama Canal Expansion on U.S. Ports and infrastructure when the project is completed in 2014. First, a series of up to five (5) public meetings (Listening Sessions) will be conducted to provide a forum for stakeholders to present their

views on the issues that MARAD should consider in the development of the Study and to assess the various infrastructure requirements that will be associated with future trade involving the Panama Canal. Second, one-on-one interviews will be conducted with approximately 80 key executives from various U.S. ports, port operators, manufacturers, and transportation service providers to identify their specific plans, investment strategies, and perspectives concerning market trends, which are a critical part of the subject of the Study. Finally, MARAD's on-line Panama Canal Shipper Survey will be conducted to garner information regarding the current decision processes used by "Beneficial Cargo Owners" to determine potential changes to their logistics networks and the contingency plans that have been developed (or will be developed) to address the potential impacts on their costs and routing strategies as a result of the Panama Canal Expansion.

Need and Use of the Information: The information obtained through this process will be used to present to Federal leaders considerations for policy, investment, and funding options as well as recommendations for policy changes that will favorably impact the overall shift in the Nation's trade patterns.

Description of Respondents: Ports and Port Operators, Manufacturers, Service Providers, Shippers, (Railroad/Trucking/Third Party Logistics [3PLs]), Investors and Investment Institutions, Industry Associations, Academic/Research Institutions and State and local governmental planning and development agencies.

Annual Responses: 1,480 responses.

Annual Burden: 4,075 hours.

Comments: Comments should refer to the docket number that appears at the top of this document. Written comments may be submitted to the Docket Clerk, U.S. DOT Dockets, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590. Comments also may be submitted by electronic means via the Internet at <http://www.regulations.gov>. Specifically address whether this information collection is necessary for the proper performance of the functions of the agency and will have practical utility, accuracy of the burden estimates, ways to minimize this burden, and ways to enhance the quality, utility, and clarity of the information to be collected. All comments received will be available for examination at the above address between 10 a.m. and 5 p.m. EDT (or EST), Monday through Friday, except Federal holidays. An electronic version

Exhibit 4 – Federal Register Notice: Information Collection Available for Public Comments and Recommendations - Page 2 (Monday, October 31, 2011)

of this document is available on the World Wide Web at <http://www.regulations.gov>.

Privacy Act: Anyone is able to search the electronic form of all comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review DOT's complete Privacy Act Statement in the **Federal Register** published on April 11, 2000 (Volume 65, Number 70; Pages 19477–78) or you may visit <http://www.regulations.gov>.

Authority: 49 CFR 1.66.

By Order of the Maritime Administrator.
Dated: October 25, 2011.

Julie Agarwal,

Secretary, Maritime Administration.

[FR Doc. 2011–28027 Filed 10–28–11; 8:45 am]

BILLING CODE 4910–81–P

DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD 2011 0139]

Requested Administrative Waiver of the Coastwise Trade Laws: Vessel DURABO; Invitation for Public Comments

AGENCY: Maritime Administration, Department of Transportation.

ACTION: Notice.

SUMMARY: As authorized by 46 U.S.C. 12121, the Secretary of Transportation, as represented by the Maritime Administration (MARAD), is authorized to grant waivers of the U.S.-built requirement of the coastwise laws under certain circumstances. A request for such a waiver has been received by MARAD. The vessel, and a brief description of the proposed service, is listed below.

DATES: Submit comments on or before November 30, 2011.

ADDRESSES: Comments should refer to docket number MARAD–2011–0139. Written comments may be submitted by hand or by mail to the Docket Clerk, U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue SE., Washington, DC 20590. You may also send comments electronically via the Internet at <http://www.regulations.gov>. All comments will become part of this docket and will be available for inspection and copying at the above address between 10 a.m. and 5 p.m., E.T., Monday through Friday, except Federal holidays. An electronic version

of this document and all documents entered into this docket is available on the World Wide Web at <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT: Joann Spittle, U.S. Department of Transportation, Maritime Administration, 1200 New Jersey Avenue SE., Room W21–203, Washington, DC 20590. Telephone (202) 366–5979, Email Joann.Spittle@dot.gov.

SUPPLEMENTARY INFORMATION: As described by the applicant the intended service of the vessel DURABO is:

INTENDED COMMERCIAL USE OF VESSEL: “day time passengers—no overnight.”

GEOGRAPHIC REGION: “Florida.”

The complete application is given in DOT docket MARAD–2011–0139 at <http://www.regulations.gov>. Interested parties may comment on the effect this action may have on U.S. vessel builders or businesses in the U.S. that use U.S.-flag vessels. If MARAD determines, in accordance with 46 U.S.C. 12121 and MARAD's regulations at 46 CFR part 388, that the issuance of the waiver will have an unduly adverse effect on a U.S.-vessel builder or a business that uses U.S.-flag vessels in that business, a waiver will not be granted. Comments should refer to the docket number of this notice and the vessel name in order for MARAD to properly consider the comments. Comments should also state the commenter's interest in the waiver application, and address the waiver criteria given in § 388.4 of MARAD's regulations at 46 CFR part 388.

Privacy Act

Anyone is able to search the electronic form of all comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review DOT's complete Privacy Act Statement in the **Federal Register** published on April 11, 2000 (Volume 65, Number 70; Pages 19477–78).

By Order of the Maritime Administrator.

Dated: October 24, 2011.

Julie P. Agarwal,

Secretary, Maritime Administration.

[FR Doc. 2011–28026 Filed 10–28–11; 8:45 am]

BILLING CODE 4910–81–P

DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD–2011–0132]

Requested Administrative Waiver of the Coastwise Trade Laws: Vessel KAUAHALE KAI III; Invitation for Public Comments

AGENCY: Maritime Administration, Department of Transportation.

ACTION: Notice.

SUMMARY: As authorized by 46 U.S.C. 12121, the Secretary of Transportation, as represented by the Maritime Administration (MARAD), is authorized to grant waivers of the U.S.-built requirement of the coastwise laws under certain circumstances. A request for such a waiver has been received by MARAD. The vessel, and a brief description of the proposed service, is listed below.

DATES: Submit comments on or before November 30, 2011.

ADDRESSES: Comments should refer to docket number MARAD–2011–0132. Written comments may be submitted by hand or by mail to the Docket Clerk, U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue SE., Washington, DC 20590. You may also send comments electronically via the Internet at <http://www.regulations.gov>. All comments will become part of this docket and will be available for inspection and copying at the above address between 10 a.m. and 5 p.m., E.T., Monday through Friday, except Federal holidays. An electronic version of this document and all documents entered into this docket is available on the World Wide Web at <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT: Joann Spittle, U.S. Department of Transportation, Maritime Administration, 1200 New Jersey Avenue SE., Room W21–203, Washington, DC 20590. Telephone (202) 366–5979, Email Joann.Spittle@dot.gov.

SUPPLEMENTARY INFORMATION: As described by the applicant the intended service of the vessel KAUAHALE KAI III is: **INTENDED COMMERCIAL USE OF VESSEL:** “Short term private charter.” **GEOGRAPHIC REGION:** “California, Oregon, Washington, Hawaii.”

The complete application is given in DOT docket MARAD–2011–0132 at <http://www.regulations.gov>. Interested parties may comment on the effect this action may have on U.S. vessel builders or businesses in the U.S. that use U.S.-

Sample E-mail Notification for the Supply Chain Consortium Survey

Dear <Respondent Name>,

The Tompkins Supply Chain Consortium is seeking to understand the impact of the Panama Canal's expansion in 2015 on overseas shipping patterns and we've already begun to see some surprising trends. Now what we really need is input from you.

Even if your company does not currently import or export product overseas, please take the survey. It will be very brief and will help us understand the types of companies for whom the Panama Canal expansion will have little impact. The survey should take 20 minutes on average. This is an online survey being undertaken by Tompkins Associates on behalf of a client.

The only personally identifiable information associated with each response is a code that is generated to indicate participation in the survey. (This code will be deleted when the online survey campaign is completed.) Participating in the survey will not jeopardize the competitive position of your organization. Further, the client will not have access to the names of respondents that participate in the survey, nor will the name of your organization appear in any publication. The administrators of this survey—Tompkins Associates—have a contractual agreement with the members of the Supply Chain Consortium, which prohibits revealing the identity of any survey respondents.

At the end of this survey campaign, the data generated from the survey will be aggregated, the code will be deleted, and the results will then be reviewed by the client. The client's input will have direct influence over key future decisions pertaining to the Panama Canal, so your input in this survey will be both influential and critical. In appreciation for your input, Tompkins will send each survey participant a summary of survey highlights.

In order to participate, you may either:

1. [Click on this link](#)

or

2. Copy-paste the entire following link between quote marks (NOT including the quote marks) in a web browser
" <http://research.zarca.com/k/QsUVVSsRQUsQXWQUTYVTsP> "

or

3. Click on the following URL and enter the login information provided below:
<http://research.zarca.com/static/surveykey.aspx>
Key: QsUVVSsRQUsQXWQUTYVTsP

Thank you so much for your participation. **If you are not the correct person within your organization to answer a survey about international overseas shipping, this survey may be forwarded for completion.** Should you have any questions about the survey, please do not hesitate to contact Chris Ferrell; Director, Tompkins Supply Chain Consortium, at cferrell@tompkinsinc.com or (407) 362-0369.

Kindest Regards,
The Survey Team at Tompkins Associates

This email is sent on behalf of the person/organization whose name appears in the FROM field by Zarca Interactive . If you have any questions about the email, please contact the sender by replying to this email. If you prefer not to receive future reminders about this survey, please [click here](#). If you prefer not to receive future surveys from the organization behind this survey, please [click here](#).

Sample E-mail Follow-Up for the Supply Chain Consortium Survey

Dear <Respondent Name>,

The Tompkins Supply Chain Consortium is seeking to understand the impact of the Panama Canal's expansion in 2015 on overseas shipping patterns and we've already begun to see some surprising trends. Now what we really need is input from you!

Even if your company does not currently import or export products overseas, please take the survey. It will be very brief but still help us understand the types of companies the Panama Canal expansion will impact. For those companies who ship overseas, the survey will take about 20 minutes.

In order to participate, you may either:

1. [Click on this link](#)

or

2. Copy-paste the entire following link between quote marks (NOT including the quote marks) in a web browser
" <http://research.zarca.com/k/QsUVVsSRQUsQXWQUTYVTsP> "

Or

3. Click on the following URL and enter the login information provided below:
<http://research.zarca.com/static/surveykey.aspx>
Key: QsUVVsSRQUsQXWQUTYVTsP

When the survey is completed, the data will be summarized and reviewed by the client that has engaged Tompkins Associates to undertake the survey. The client will not be able to access to the names of respondents that participate in the survey, nor will your company's name appear in any publication. In appreciation for your input, Tompkins will send each survey participant a summary of survey highlights referencing the top-level results.

Thank you so much for your participation. **If you are not the correct person within your organization to answer a survey about international overseas shipping, this survey may be forwarded for completion.** Should you have any questions about the survey, please do not hesitate to contact Chris Ferrell; Director, Tompkins Supply Chain Consortium, at cferrell@tompkinsinc.com or (407) 362-0369.

Kindest Regards,
The Survey Team at Tompkins Associates

This email is sent on behalf of the person/organization whose name appears in the FROM field by Zarca Interactive . If you have any questions about the email, please contact the sender by replying to this email. If you prefer not to receive future reminders about this survey, please [click here](#). If you prefer not to receive future surveys from the organization behind this survey, please [click here](#).