

Part B

Collection of Information Employing Statistical Methods

B.1. Respondent Universe

The respondent universe for the EIA-111 consists of purchasers, sellers and transmitters of wholesale electricity, persons authorized by Export Authority to export electric energy from the United States to foreign countries, persons authorized by Presidential Permit to construct, operate, maintain, or connect electric power transmission lines that cross the U.S. international border, and U.S. Balancing Authorities that are directly connected with foreign Balancing Authorities.

B.2. Statistical Methodology

There are no statistical methodologies used with this form.

B.3. Methods to Maximize Response Rates

To maximize response rates, the form has been redesigned and the instructions written to be clear and concise. Data that are not expected to change from quarter-to-quarter will be prepopulated on the forms after the first filing.

As noted, the EIA e-filing system makes forms available online as soon as respondents obtain a secure ID and password. The reporting quarters are: First Quarter, January 1 – March 31; Second Quarter, April 1 – June 30; Third quarter, July 1 – September 30; and Fourth Quarter, October 1 – December 31. The respective due dates are as follows: First Quarter (April 30); Second Quarter (July 31); third Quarter (October 31); and Fourth Quarter (January 31).

Nonrespondents are contacted by e-mail, telephone, and letter to request data submission until an insignificant nonresponse rate is obtained. If no response occurs, additional correspondence is sent to higher level management officials requesting the submission of the data.

B.4. Testing Procedures

The EIA-111 was developed as a replacement to a predecessor form, the OE-781R, Monthly Electricity Imports and Exports Report. Because it gathers similar data to the OE-781R in a more simplified manner, the EIA-111 survey form was not independently tested. The EIA-111 is designed to be less cumbersome, more intuitive, and more aligned to the systems that track and store this data. As a result, during the initial review process several commenters indicated that form EIA-111 is an improvement over the OE-781R.

B.5. Statistical Consultations

The EIA-111 was designed by a collaborative effort of two EIA offices, one with an in-depth knowledge of the electric power transmission systems and the other with survey design expertise. This collaboration resulted in a relatively low burden survey instrument that collects useful data. The U.S. Department of Energy Office of Electricity Delivery and Energy Reliability provided concurrence that the collected data was technically adequate.

For additional information concerning this survey, please contact Michelle Bowles for survey issues at (202) 586-2430 or michelle.bowles@eia.gov. For information concerning this request for OMB approval, please contact the agency Clearance Officer, Robert Harper at (202) 586-9176 or at robert.harper@eia.gov.