

# Survey Login – First of Seven (7) Screens Seen by Survey Participant

OMB#: 1910-New

Exp. Date:



## SBIR | STTR

Small Business Innovation Research | Small Business Technology Transfer

### Commercialization Survey



#### Survey Login

Username:

Password:

[Forgot Password?](#)

Login

Reset

For Username and Password please refer to the email you received from the DOE SBIR/STTR Programs Office or contact DOE Technical Survey Assistance Center at 301-903-5313

Public reporting burden for this collection of information is estimated to average one hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of the Chief Information Officer, Records Management Division, IM-23, Paperwork Reduction Project (1910-New), U.S. Department of Energy, 1000 Independence Ave SW, Washington, DC, 20585-1290; and to the Office of Management and Budget (OMB), OIRA, Paperwork Reduction Project (1910-New), Washington, DC 20503.

# Survey Instructions and Company Page – Screen 2 of 7

default.aspx

scnmaint3

## SBIR | STTR

Small Business Innovation Research | Small Business Technology Transfer

### Commercialization Survey

**U.S. DEPARTMENT OF ENERGY**


[My Companies](#) [Logout](#)

Please find your small business name under, "Company Name" and click on it to begin the survey. If you begin the survey, and are unable to complete it in one session, that's OK. You may come back to survey at any time before the survey period closes to access it and continue where you left off. However, if the "Survey Status" listed to the right of the "Company Name" reads, "Completed", you are no longer able access the survey. This may occur if you have assigned the completion of the survey to someone else in your company and he or she has completed it. Please keep in mind that the survey is only accessible to one company user at a time.

Company Name	Survey Status
<a href="#">Aegis Technology Inc.</a>	Incomplete

For questions regarding the content in or questions about this survey, please contact the U.S. Department of Energy SBIR/STTR Programs Office contact, Chris O'Gwin at [chris.ogwin@science.doe.gov](mailto:chris.ogwin@science.doe.gov)


# General Information & Instructions Page – Screen 3 of 7



## SBIR | STTR

Small Business Innovation Research | Small Business Technology Transfer

### Commercialization Survey



U.S. DEPARTMENT OF ENERGY

[My Companies](#) | Aegis Technology Inc. [Logout](#)

The General Information sought on the next several pages gives us more information about your company. Please provide the full commercial history for each Phase II SBIR/STTR funded project you have received from DOE. For an explanation of what's requested, scroll over each field of the survey and complete all fields before submitting. If the question does not apply to a specific SBIR/STTR award, put NA or 0 for numerical responses.

Section	Status
<a href="#">General Information</a>	Incomplete
<a href="#">Awards</a>	Incomplete

Once you or your company representative have completed the survey, please click on the "Submit Survey" button. That's it! Please keep in mind that you must complete the "General Information" page and the "Awards" page as it applies to your small business before you can submit the survey. And once you submit, the survey is no longer available or even visible to you or other assigned company users to edit.

For questions regarding the content in or questions about this survey, please contact the U.S. Department of Energy SBIR/STTR Programs Office contact, Chris O'Gwin at [chris.ogwin@science.doe.gov](mailto:chris.ogwin@science.doe.gov)

## General information – Survey Screen 4 of 7 (pre-populated data)

### General Information

The information contained in the fields below is what we have for your company in our SBIR/STTR database, though as part of this survey you cannot change it here, but please indicate to us in the text field following this page, any changes you'd like to tell us about regarding this information. Thank you.

<b>Small Business Concern (SBC) Name</b>	<b>SBC DUNS Number</b>
<input type="text" value="Aegis Technology Inc."/>	<input type="text" value="112614594"/>
<b>SBC Address</b>	<b>SBC Point of Contact (POC)</b>
Street 1: <input type="text" value="12630 G Westminster Av"/>	Full Name: <input type="text" value="Mr. Bob Liu"/>
Street 2: <input type="text"/>	Title: <input type="text" value="President"/>
City: <input type="text" value="Santa Ana"/>	Phone Number: <input type="text" value="714-265-1238"/>
State: <input type="text" value="California"/>	Email Address: <input type="text" value="bobliu@aegistech.net"/>
ZipCode: <input type="text" value="92706-2160"/>	
<b>SBC Website URL</b>	
<input type="text"/>	
<b>Year SBC was founded</b>	<b>Number of SBC Employees</b>
<input type="text"/>	<input type="text"/>

# General Information – Survey Screen 5 of 7

requested, scroll over each field of the survey and complete all fields before submitting.

## General Information

ZipCode:

SBC Website URL

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Year SBC was founded       Number of SBC Employees

Have any patents or copyrights resulted from ANY SBIR/STTR funding to your SBC?       Yes  No

Since receiving SBIR/STTR funding has your SBC completed an Initial Public Offering (IPO) that resulted, in part, of technology your SBC developed under the DOE SBIR/STTR Programs?       Yes  No

Has your SBC been acquired as a result of work conducted under DOE SBIR/STTR funding?       Yes  No

Has your SBC produced any spin-off companies?       Yes  No


SBC Total Federal SBIR/STTR Related Sales  
\$

SBC Total Federal SBIR/STTR Investment  
\$

# Grants – SBIR/STTR Project Screen 6 of 7

vey.aspx


scnmaint3



## SBIR | STTR

Small Business Innovation Research | Small Business Technology Transfer

### Commercialization Survey



U.S. DEPARTMENT OF ENERGY

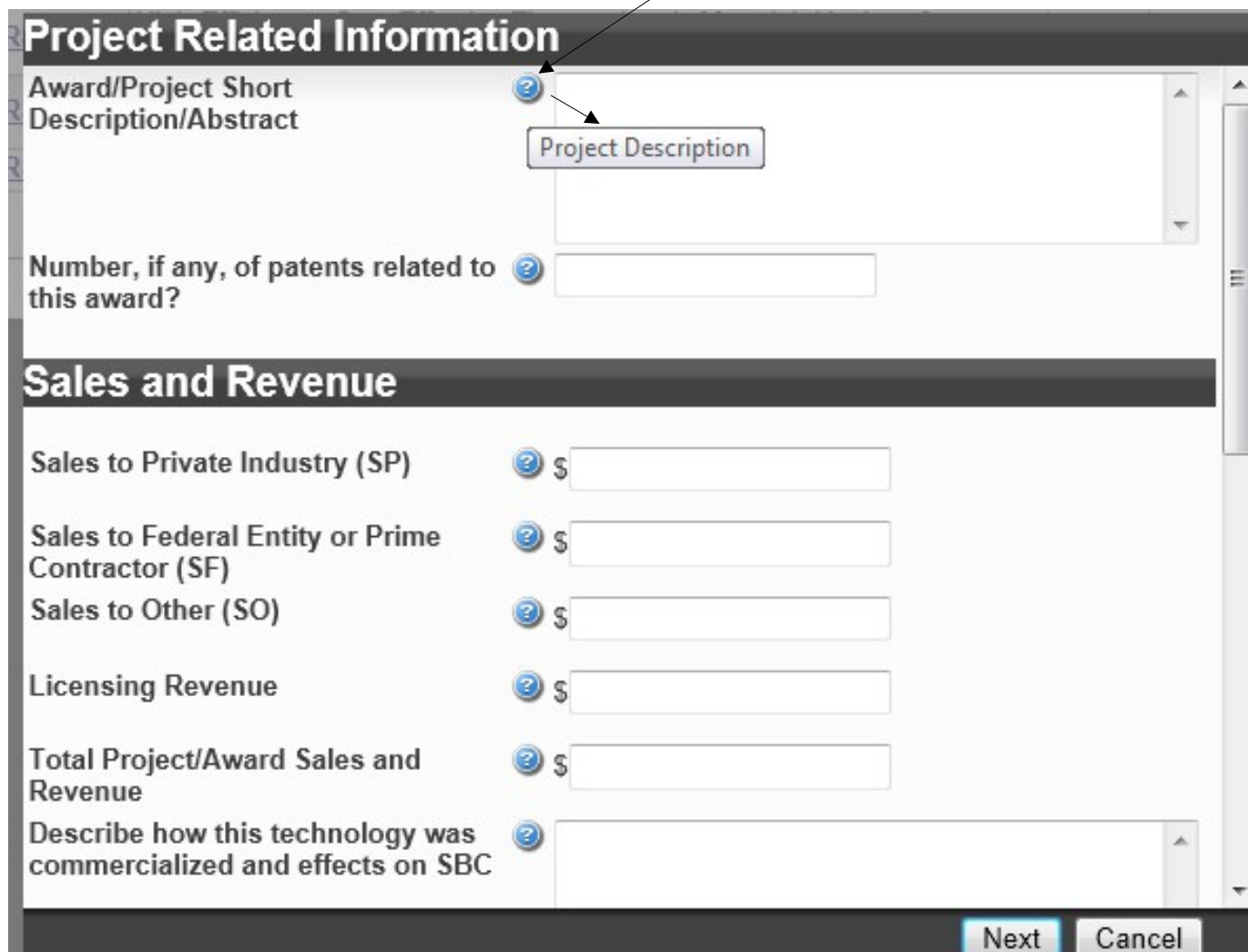
[My Companies](#) | Aegis Technology Inc. [Logout](#)

Click [Here](#) to return to survey menu


Grant Number	Title	Award	Status
<a href="#">ER86296</a>	High-Efficiency, Cost-Effective Thermoelectric Materials/devices for Industrial Process Refrigeration and Waste Heat Recovery	750000	Incomplete
<a href="#">ER86234</a>	An Advanced Power Converter System Using High Temperature, High Power Density SiC Devices	750000	Incomplete
<a href="#">ER84934</a>	A High-temperature Sealing Technology for Gas Separation Devices	750000	Incomplete


For questions regarding the content in or questions about this survey, please contact the U.S. Department of Energy SBIR/STTR Programs Office contact, Chris O'Gwin at [chris.ogwin@science.doe.gov](mailto:chris.ogwin@science.doe.gov)

# Project Related Information – Screen 7 of 7 (Pages 7 – 23 show one Survey Screen 17 times to depict the information buttons and content)





**Project Related Information**


Award/Project Short Description/Abstract  Project Description


Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$

Total Project/Award Sales and Revenue  \$


Describe how this technology was commercialized and effects on SBC 


Next Cancel

# Project Related Information – Screen 7(2)


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**Project Related Information**


Award/Project Short Description/Abstract 


Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$


Total Project/Award Sales and Revenue  \$


Describe how this technology was commercialized and effects on SBC 




# Project Related Information – Screen 7(3)


**Project Related Information**


Award/Project Short Description/Abstract 


Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

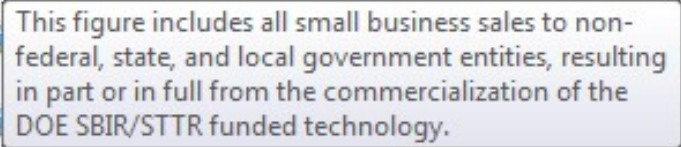
Sales to Federal Entity or Prime Contractor (SF) 

Sales to Other (SO) 

Licensing Revenue  \$


Total Project/Award Sales and Revenue  \$


Describe how this technology was commercialized and effects on SBC 

 This figure includes all small business sales to non-federal, state, and local government entities, resulting in part or in full from the commercialization of the DOE SBIR/STTR funded technology.


# Project Related Information – Screen 7(4)


**Project Related Information**


Award/Project Short Description/Abstract 


Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

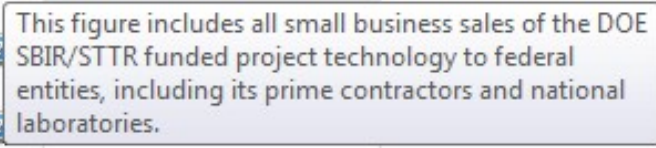
Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO) 

Licensing Revenue 


Total Project/Award Sales and Revenue  \$


Describe how this technology was commercialized and effects on SBC 

 This figure includes all small business sales of the DOE SBIR/STTR funded project technology to federal entities, including its prime contractors and national laboratories.


# Project Related Information – Screen 7(5)


**Project Related Information**


Award/Project Short Description/Abstract 



Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue   This figure includes all small business sales of DOE SBIR/STTR funded project technology to non-federal entities, such as foreign, state, and/or local governments.


Total Project/Award Sales and Revenue 


Describe how this technology was commercialized and effects on SBC 

Next Cancel


# Project Related Information – Screen 7(6)


**Project Related Information**


Award/Project Short Description/Abstract 


Number, if any, of patents related to this award? 


**Sales and Revenue**


Sales to Private Industry (SP)  \$

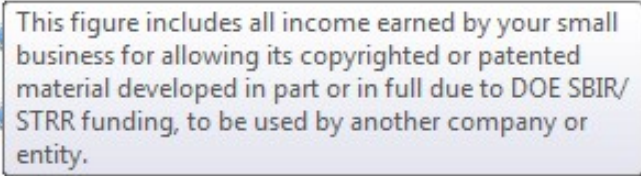
Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$

Total Project/Award Sales and Revenue 

Describe how this technology was commercialized and effects on SBC 

 This figure includes all income earned by your small business for allowing its copyrighted or patented material developed in part or in full due to DOE SBIR/STRR funding, to be used by another company or entity.

# Project Related Information – Screen 7(7)

**Project Related Information**

**Sales and Revenue**

Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$

Total Project/Award Sales and Revenue  \$

Describe how this technology was commercialized and effects on SBC

This figure is the sum of the full sales and revenue figures listed in the three preceding questions.

Has a product with this technology been launched?  Yes  No

**Investment**

Next Cancel

# Project Related Information – Screen 7(8)

**Project Related Information**

**Sales and Revenue**

Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$

Total Project/Award Sales and Revenue  \$

Describe how this technology was commercialized and effects on SBC   
In 1-255 characters, please describe the impact on your small business due to the successful commercialization of the DOE SBIR/STTR funded technology.

Has a product with this technology been launched?  Yes  No

**Investment**

Next Cancel

# Project Related Information – Screen 7(9)

**Project Related Information**

**Sales and Revenue**

Sales to Private Industry (SP)  \$

Sales to Federal Entity or Prime Contractor (SF)  \$

Sales to Other (SO)  \$

Licensing Revenue  \$

Total Project/Award Sales and Revenue  \$

Describe how this technology was commercialized and effects on SBC

Has a product with this technology been launched?  Yes  No

**Investment**

# Project Related Information – Screen 7(10)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

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**Investment**

SBC Self-Investment (IS)  \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF)  \$

Angel Investment (AI)  \$

Venture Capital Investment (VC)  \$

Other Investment (OI)  \$

If yes to Other, please specify other source of investment  \$

Total Project/Award Investment  \$



# Project Related Information – Screen 7(11)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

---

**Investment**

SBC Self-Investment (IS)  \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF)  \$

Angel Investment (AI)  \$

Venture Capital Investment (VC)  \$

Other Investment (OI)  \$

If yes to Other, please specify other source of investment

Total Project/Award Investment  \$

This figure includes funds owned and controlled by the small business or one more small business owners and invested back into the company.

# Project Related Information – Screen 7(12)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

---

**Investment**

SBC Self-Investment (IS)  \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF)  \$

Angel Investment (AI)  \$

Venture Capital Investment (VC)  \$

Other Investment (OI)  \$

If yes to Other, please specify other source of investment

Total Project/Award Investment  \$

This figure includes funds provided by a federal program or entity primarily funded by the federal government with or without an interest in your company.

# Project Related Information – Screen 7(13)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

**Investment**

SBC Self-Investment (IS)  \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF)  \$

Angel Investment (AI)  \$

Venture Capital Investment (VC)  \$

Other Investment (OI)  \$

If yes to Other, please specify other source of investment

Total Project/Award Investment  \$

This figure includes funding from an individual, group, or network like-minded individuals providing capital to your small business. This support may be in exchange for ownership equity in your small business.

# Project Related Information – Screen 7(14)

**Project Related Information**

has a product with this technology been launched?  Yes  No

**Investment**

SBC Self-Investment (IS) \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF) \$

Angel Investment (AI) \$

Venture Capital Investment (VC) \$

Other Investment (OI)  This figure includes funding from an investment group providing capital to your small business, usually in exchange for ownership equity or control in your company.

If yes to Other, please specify other source of investment

Total Project/Award Investment \$

Next Cancel

# Project Related Information – Screen 7(15)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

**Investment**

SBC Self-Investment (IS) \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF) \$

Angel Investment (AI) \$

Venture Capital Investment (VC) \$

Other Investment (OI) \$

If yes to Other, please specify other source of investment

This figure includes funds provided by none of the preceding investors above that may or may not have a controlling interest in your small business, e.g., gifts or loans from family and friends.

Total Project/Award Investment \$

**Next** **Cancel**

# Project Related Information – Screen 7(16)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

**Investment**

SBC Self-Investment (IS) \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF) \$

Angel Investment (AI) \$

Venture Capital Investment (VC) \$

Other Investment (OI) \$

If yes to Other, please specify other source of investment \$   
In 1-255 characters, please specify other sources of investment, e.g., gifts or loans from friends and family.

Total Project/Award Investment \$

**Next** **Cancel**

# Project Related Information – Screen 7(17)

**Project Related Information**

Has a product with this technology been launched?  Yes  No

**Investment**

SBC Self-Investment (IS)  \$

Investment from Non-SBIR Federal Entity or Prime Contractor (IF)  \$

Angel Investment (AI)  \$

Venture Capital Investment (VC)  \$

Other Investment (OI)  \$

If yes to Other, please specify other source of investment  \$

Total Project/Award Investment  \$

This figure is the sum of the full investment figures listed in the five preceding questions.

Cancel