

**TEMPLATE: DO NOT SEND TO NFA**

COMMODITY FUTURES TRADING COMMISSION  
NATIONAL FUTURES ASSOCIATION

CFTC Form CTA-PR  
OMB No.: 3038-XXXX

QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

**Instructions for Using the Form CTA-PR Template**

READ THESE INSTRUCTIONS CAREFULLY BEFORE COMPLETING OR REVIEWING THE REPORTING FORM. THE FAILURE TO ANSWER ALL QUESTIONS COMPLETELY AND ACCURATELY OR THE OMISSION OF REQUIRED INFORMATION MAY SEVERELY IMPACT YOUR ABILITY TO OPERATE AS A COMMODITY TRADING ADVISOR.

This document is not a reporting form. Do not send this document to NFA. It is a template that you may use to assist in filing the electronic reporting form with the NFA at: <http://www.nfa.futures.org>.

You may fill out the template online and save and/or print it when you are finished or you can download the template and/or print it and fill it out later.

**DEFINED TERMS**

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Words that are underlined in this form are defined terms and have the meanings contained in the Definitions of Terms section.

**GENERAL**

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**Read the Instructions and Questions Carefully**

Please read the instructions and the questions in this Form CTA-PR carefully. A question that is answered incorrectly because it was misread or misinterpreted can severely impact your ability to operate as a CTA.

In this Form CTA-PR, "you" means the CTA.

**Call CFTC with Questions**

If there is any question about whether particular information must be provided or about the manner in which particular information must be provided, contact the CFTC for clarification.

COMMODITY FUTURES TRADING COMMISSION  
NATIONAL FUTURES ASSOCIATION

QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Instructions for Using the Form CTA-PR Template

REPORTING INSTRUCTIONS

**1. All CTAs Are Required to Complete and File the Form CTA-PR Quarterly**

All CTAs are required to complete and file a Form CTA-PR for each Reporting Period during which they satisfy the definition of CTA and offered at least one Trading Program.

**2. Schedule B of this Form CTA-PR Is Only Required of Certain CTAs**

Schedule B must be completed and filed by each CTA for every Reporting Period during which they satisfy the definition of CTA and direct in excess of \$150 million of Pool assets. Part 1 of Schedule B surveys basic information about the reporting CTA. Part 2 of Schedule B asks for more specific information about Pool assets that were directed by the CTA under the CTA's Trading Program.

Any CTA that (i) is registered with the SEC as an Investment Adviser, and (ii) advised only Pools that satisfy the definition of Private Fund during the Reporting Period will be deemed to have satisfied its Schedule B filing requirements by completing and filing the applicable Sections 1 and 2 of Form PF for the Reporting Period in question.

Limited Schedule B Filing Requirements

However, any CTA that is (i) registered with the SEC as an Investment Adviser, and (ii) advised any Pools that do not satisfy the definition of Private Fund during the Reporting Period will be required to complete Part 2 of Schedule B with respect to the Pool(s) that it advised during the Reporting Period that did not satisfy the definition of Private Fund.

**3. The Form CTA-PR Must Be Filed Electronically with NFA**

All CTAs must file their Forms CTA-PR electronically using NFA's EasyFile System. NFA's EasyFile System can be accessed through NFA's website at [www.nfa.futures.org](http://www.nfa.futures.org). You will use the same logon and password for filing your Form CTA-PR as you would for any other EasyFile filings. Questions regarding your NFA ID# or your use of NFA's EasyFile system should be directed to the NFA. The NFA's contact information is available on its website.

**4. All Figures Reported in U.S. Dollars**

All questions asking for amounts or investments must be reported in U.S. dollars. Any amounts converted to U.S. dollars must use the conversion rate in effect on the Reporting Date.

**5. Use of U.S. GAAP**

All financial information in this Report must be presented and computed in accordance with Generally Accepted Accounting Principles consistently applied.

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**Instructions for Using the Form CTA-PR Template**

REPORTING INSTRUCTIONS (cont'd)

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**6. Oath and Affirmation**

This Form CTA-PR will not be accepted unless it is complete and contains an oath or affirmation that, to the best of the knowledge and belief of the individual making the oath or affirmation, the information contained in the document is accurate and complete; provided however, that it shall be unlawful for the individual to make such oath or affirmation if the individual knows or should know that any of the information in this Form CTA-PR is not accurate and complete.

COMMODITY FUTURES TRADING COMMISSION  
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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Definitions of Terms for the Form CTA-PR Template

DEFINITIONS OF TERMS

**Commodity Futures Trading Commission or CFTC:** The term “Commodity Futures Trading Commission” or “CFTC” refers to the United States Commodity Futures Trading Commission.

**Commodity Pool or Pool:** The term “Commodity Pool” or “Pool” has the same meaning as “commodity pool” as defined in section 1a(10) of the Commodity Exchange Act.

**Commodity Trading Advisor:** The term “commodity trading advisor” or “CTA” has the same meaning as “commodity trading adviser” as defined in section 1a(12) of the Commodity Exchange Act.

**Direct:** The term “Direct” as used in the context of trading commodity interest accounts, has the same meaning as “direct” as defined in CFTC Rule 4.10(f).

**Financial Institutions:** The term “financial institution” means any of the following: (i) a bank or savings association, in each case as defined in the Federal Deposit Insurance Act; (ii) a bank holding company or financial holding company, in each case as defined in the Bank Holding Company Act of 1956; (iii) a savings and loan holding company, as defined in the Home Owners’ Loan Act; (iv) a Federal credit union, State credit union or State-chartered credit union, as those terms are defined in section 101 of the Federal Credit Union Act; or (v) a Farm Credit System institution chartered and subject to the provisions of the Farm Credit Act of 1971; or (vi) an entity chartered or otherwise organized outside the United States that engages in banking activities.

**Form CTA-PR:** The term “Form CTA-PR” refers to this Form CTA-PR.

**GAAP:** The term “Generally Accepted Accounting Principles” refers to U.S. GAAP.

**Net Asset Value:** The term “net asset value” or “NAV” has the same meaning as “net asset value” as defined in Commission Rule 4.10(b).

**National Futures Association or NFA:** The term “National Futures Association” or “NFA” refers to the National Futures Association, a registered futures association under Section 17 of the Commodity Exchange Act.

**Negative OTE:** The term “Negative OTE” means negative open trade equity.

**Positive OTE:** The term “Positive OTE” means positive open trade equity.

**Reporting Date:** The term “Reporting Date” means the last calendar day of the Reporting Period for which this Form CTA-PR is required to be completed and filed. For example, the Reporting Date for the first calendar quarter of a year is March 31; the Reporting Date for the second calendar quarter is June 30.

**Reporting Period:** The term “Reporting Period” means any of the individual calendar quarters (ending March 31, June 30, September 30, and December 31).

**Trading Program:** The term “Trading Program” has the same meaning as “trading program” as defined in CFTC Rule 4.10(g).

COMMODITY FUTURES TRADING COMMISSION  
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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Form CTA-PR Template · Schedule A

INSTRUCTIONS FOR COMPLETING SCHEDULE A

All CTAs are required to complete and file a Form CTA-PR for each Reporting Period during which they satisfy the definition of CTA and offered at least one Trading Program. Schedule A asks only for general survey information about the CTA.

All information provided in this Schedule A should be accurate as of the Reporting Date.

PART 1 · INFORMATION ABOUT THE CTA

1. CTA INFORMATION

Provide the following general information concerning the CTA:

- a. CTA's Name:
- b. CTA's NFA ID#:
- c. Person to contact concerning this Form CTA-PR:
- d. Total number of Trading Programs offered by the CTA:
- e. Total number of Trading Programs offered by the CTA under which the CTA Directs Pool assets:

2. POOL ASSETS DIRECTED BY THE CTA

Provide the following information concerning the amount of assets Directed by the CTA:

- a. Total assets Directed by CTA:
- b. Total Pool assets Directed by CTA:

– This Completes Schedule A of Form CTA-PR –

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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Form CTA-PR Template · Schedule B

INSTRUCTIONS FOR COMPLETING SCHEDULE B

Schedule B must be completed and filed by each CTA for every Reporting Period during which they satisfy the definition of CTA and direct in excess of \$150 million of Pool assets. Schedule B surveys basic information about the CTA's Trading Program(s) and asks for more specific position information concerning the Pool assets that were directed by the CTA under its Trading Program(s).

Any CTA that (i) is registered with the SEC as an Investment Adviser, and (ii) advised only Pools that satisfy the definition of Private Fund during the Reporting Period will be deemed to have satisfied its Schedule B filing requirements by completing and filing the applicable Sections 1 and 2 of Form PF for the Reporting Period in question.

Limited Schedule B Filing Requirements

However, any CTA that is (i) registered with the SEC as an Investment Adviser, and (ii) advised any Pools that do not satisfy the definition of Private Fund during the Reporting Period will be required to complete Part 2 of Schedule B with respect to the Pool(s) that it advised during the Reporting Period that did not satisfy the definition of Private Fund.

All information provided in this Schedule B should be accurate as of the Reporting Date.

REMINDER: The CTA must complete and file a separate Schedule B for each Trading Program under which the CTA Directed Pool assets during the most recent Reporting Period.

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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Form CTA-PR Template · Schedule B

INFORMATION ABOUT THE CTA'S TRADING PROGRAM

1. TRADING PROGRAM INFORMATION

Provide the following general information concerning the Trading Program:

a. Name of the Trading Program:

b. Date of inception of Trading Program:

c. Total assets Directed by CTA under the Trading Program:

d. Total Pool assets Directed by CTA under the Trading Program:

e. Provide the approximate percentage of the total assets Directed by the CTA under the Trading Program that has been allocated to the CTA from other CTAs:

- |                                 |                                 |
|---------------------------------|---------------------------------|
| <input type="checkbox"/> 0%     | <input type="checkbox"/> 51-75% |
| <input type="checkbox"/> 1-10%  | <input type="checkbox"/> 76-99% |
| <input type="checkbox"/> 10-25% | <input type="checkbox"/> 100%   |
| <input type="checkbox"/> 26-50% |                                 |

f. Provide the approximate percentage of the total assets Directed by the CTA under the Trading Program that the CTA allocates to other CTAs:

- |                                 |                                 |
|---------------------------------|---------------------------------|
| <input type="checkbox"/> 0%     | <input type="checkbox"/> 51-75% |
| <input type="checkbox"/> 1-10%  | <input type="checkbox"/> 76-99% |
| <input type="checkbox"/> 10-25% | <input type="checkbox"/> 100%   |
| <input type="checkbox"/> 26-50% |                                 |

2. POOL INFORMATION

Provide the following general information for each Pool whose assets are Directed by the CTA under the Trading Program:

a. Name of the Pool:

b. NFA ID# of the Pool:

c. Address of the Pool:

d. Telephone number of the Pool:

e. Starting date of the relationship with the Pool:

f. Do you invest client funds in the Pool: Yes  No

U.S. COMMODITY FUTURES TRADING COMMISSION  
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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Form CTA-PR Template · Schedule B

INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)

2. POOL INFORMATION (cont'd)

g. Approximate percent of the Pool's funds that are Directed by the CTA under the Trading Program:

- 0%
- 1-10%
- 10-25%
- 26-50%
- 51-75%
- 76-99%
- 100%

3. THIRD PARTY ADMINISTRATORS

Provide the following information concerning any third party administrator(s) used by the CTA for the Trading Program:

a. Does the CTA use third party administrators for the Trading Program?      Yes       No

If "Yes," provide the following information for each third party administrator:

- i. Name of the administrator:
- ii. NFA ID# of administrator:
- iii. Address of the administrator:
- iv. Telephone number of the administrator:
- v. Starting date of the relationship with the administrator:
- vi. Services performed by the administrator:

Calculation of Trading Program performance       Other \_\_\_\_\_:

Maintenance of the CTA's books and records:

4. STATEMENT OF CHANGES CONCERNING

Provide the following information concerning the Trading Program's activity during the Reporting Period:

- a. Assets Directed under Trading Program at the beginning of the Reporting Period:
- b. Additions to the Trading Program during the Reporting Period:
- c. Withdrawals from the Trading Program during the Reporting Period:
- d. Gains (Losses) during the Reporting Period:
- e. Fees deducted or earned during the Reporting Period:
- f. Assets Directed under Trading Program at the end of the Reporting Period:

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INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)

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5. TRADING PROGRAM'S MONTHLY RATES OR RETURN

Provide the Trading Program's monthly rate of return for each month that the Trading Program has operated. Enter "NT" to indicate that the Trading Program did not trade during a particular month. Provide the Trading Program's annual rate of return for the appropriate year in the row marked "Annual."

	2011	2010	2009	2008	2007	2006	2005
Jan.							
Feb.							
March							
June							
July							
August							
Sept.							
Oct.							
Nov.							
Dec.							
ANNUAL							

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

**6. TRADING PROGRAM SCHEDULE OF INVESTMENTS**

Provide the Trading Program's investments for the total Pool assets Directed by the CTA under the Trading Program in each of the subcategories listed under the following seven headings: (1) Cash; (2) Equities; (3) Alternative Investments; (4) Fixed Income; (5) Derivatives; (6) Options; and (7) Funds. First, determine how the Trading Program's investments should be allocated among each of these seven categories. Once you have determined how the Trading Program's investments should be allocated, enter the dollar value of the Trading Program's total investment in each applicable category on the top, boldfaced line. For example, under the "Cash" heading, the Trading Program's total investment should be listed on the line reading "Total Cash." After the top, boldfaced line is completed, proceed to the subcategories. For each subcategory, determine whether the Trading Program has investments that equal or exceed 5% of the total Pool assets Directed by the CTA under the Trading Program. If so, provide the dollar value of each such investment in the appropriate subcategory. . If the dollar value of any investment in a subcategory equals or exceeds 5% of the total Pool assets Directed by the CTA under the Trading Program, you must itemize the investments in that subcategory.

**CASH**

**Total Cash**

At Carrying Broker

**EQUITIES**

**Total Listed Equities**

**Long**

**Short**



Stocks



a. Energy and Utilities



b. Technology



c. Media



d. Telecommunication



e. Healthcare



f. Consumer Services



g. Business Services



h. Issued by Financial Institutions



i. Consumer Goods



j. Industrial Materials



Exchange Traded Funds



American Deposit Receipts

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

EQUITIES	<u>Long</u>	<u>Short</u>
Other	<input type="text"/>	<input type="text"/>
<b>Total Unlisted Equities</b>	<input type="text"/>	<input type="text"/>
Unlisted Equities Issued by <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
ALTERNATIVE INVESTMENTS	<u>Long</u>	<u>Short</u>
<b>Total Alternative Investments</b>	<input type="text"/>	<input type="text"/>
Real Estate	<input type="text"/>	<input type="text"/>
a. Commercial	<input type="text"/>	<input type="text"/>
b. Residential	<input type="text"/>	<input type="text"/>
Private Equity	<input type="text"/>	<input type="text"/>
Venture Capital	<input type="text"/>	<input type="text"/>
Forex	<input type="text"/>	<input type="text"/>
Spot	<input type="text"/>	<input type="text"/>
a. Total Metals	<input type="text"/>	<input type="text"/>
a. Gold	<input type="text"/>	<input type="text"/>
b. Total Energy	<input type="text"/>	<input type="text"/>
a. Crude oil	<input type="text"/>	<input type="text"/>
b. Natural gas	<input type="text"/>	<input type="text"/>
c. Power	<input type="text"/>	<input type="text"/>
c. Other	<input type="text"/>	<input type="text"/>
Loans to Affiliates	<input type="text"/>	<input type="text"/>
Promissory Notes	<input type="text"/>	<input type="text"/>
Physicals	<input type="text"/>	<input type="text"/>
a. Total Metals	<input type="text"/>	<input type="text"/>
a. Gold	<input type="text"/>	<input type="text"/>
b. Agriculture	<input type="text"/>	<input type="text"/>

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

**ALTERNATIVE INVESTMENTS**

	<u>Long</u>	<u>Short</u>
c. Total Energy		
a. Crude oil		
b. Natural gas		
c. Power		
Other		

**FIXED INCOME**

	<u>Long</u>	<u>Short</u>
<b>Total Fixed Income</b>		
Notes, Bonds and Bills		
a. Corporate		
I. Investment grade		
II. Non-investment grade		
b. Municipal		
c. Government		
I. U.S. Treasury securities		
II. Agency securities		
III. Foreign (G10 countries)		
IV. Foreign (all other)		
d. Govn't Sponsored		
e. Convertible		
I. Investment grade		
II. Non-investment grade		
Certificates of Deposit		
a. U.S.		
b. Foreign		

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

FIXED INCOME	<u>Long</u>	<u>Short</u>
Asset Backed Securities		
a. Mortgage Backed Securities		
I. Commercial Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
II. Commercial Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
III. Residential Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
IV. Residential Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
V. Agency Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
VI. Agency Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
b. CDO Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

FIXED INCOME	<u>Long</u>	<u>Short</u>
Asset Backed Securities (cont'd)		
c. CDO Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
d. CDO Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
e. CLOs Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
f. CLO Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
g. Credit Card Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		
h. Credit Card Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
i. Auto-Loan Securitizations		
Senior or higher		
Mezzanine		
Junior/Equity		

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

<b>FIXED INCOME</b>	<u>Long</u>	<u>Short</u>
Asset Backed Securities (cont'd)		
j. Auto-Loan Resecuritizations		
Senior or higher		
Mezzanine		
Junior/Equity		
k. Other		
Senior or higher		
Mezzanine		
Junior/Equity		
Repos		
Reverse Repos		
 <b>DERIVATIVES</b>	<u>Positive OTE</u>	<u>Negative OTE</u>
<b>Total Derivatives</b>		
Futures		
a. Indices		
I. Equity		
II. Commodity		
b. Metals		
I. Gold		
c. Agriculture		
d. Energy		
I. Crude oil		
II. Natural gas		
III. Power		
e. Interest Rate		
f. Currency		

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

DERIVATIVES	<u>Positive OTE</u>	<u>Negative OTE</u>
Futures		
g. Related to <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text"/>	<input type="text"/>
Forwards	<input type="text"/>	<input type="text"/>
Swaps	<input type="text"/>	<input type="text"/>
a. Interest Rate Swap	<input type="text"/>	<input type="text"/>
b. Equity/Index Swap	<input type="text"/>	<input type="text"/>
c. Dividend Swap	<input type="text"/>	<input type="text"/>
d. Currency Swap	<input type="text"/>	<input type="text"/>
e. Variance Swap	<input type="text"/>	<input type="text"/>
f. Credit Default Swap	<input type="text"/>	<input type="text"/>
a. Single name CDS	<input type="text"/>	<input type="text"/>
i. Related to <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
b. Index CDS	<input type="text"/>	<input type="text"/>
c. Exotic CDS	<input type="text"/>	<input type="text"/>
g. OTC Swap	<input type="text"/>	<input type="text"/>
a. Related to <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
h. Total Return Swap	<input type="text"/>	<input type="text"/>
i. Other	<input type="text"/>	<input type="text"/>
<b>OPTIONS</b>	<u>Long Option Value</u>	<u>Short Option Value</u>
<b>Total Options</b>	<input type="text"/>	<input type="text"/>
Futures	<input type="text"/>	<input type="text"/>
a. Indices	<input type="text"/>	<input type="text"/>
I. Equity	<input type="text"/>	<input type="text"/>
II. Commodity	<input type="text"/>	<input type="text"/>

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

OPTIONS	<u>Long Option Value</u>	<u>Short Option Value</u>
Futures		
b. Metals	<input type="text"/>	<input type="text"/>
c. Agriculture	<input type="text"/>	<input type="text"/>
d. Energy	<input type="text"/>	<input type="text"/>
e. Interest Rate	<input type="text"/>	<input type="text"/>
f. Currency	<input type="text"/>	<input type="text"/>
g. Related to <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text"/>	<input type="text"/>
Stocks		
a. Related to <u>Financial Institutions</u>	<input type="text"/>	<input type="text"/>
Customized/OTC		
Physicals		
a. Metals	<input type="text"/>	<input type="text"/>
a. Gold	<input type="text"/>	<input type="text"/>
b. Agriculture	<input type="text"/>	<input type="text"/>
c. Currency	<input type="text"/>	<input type="text"/>
d. Energy	<input type="text"/>	<input type="text"/>
a. Crude oil	<input type="text"/>	<input type="text"/>
b. Natural gas	<input type="text"/>	<input type="text"/>
c. Power	<input type="text"/>	<input type="text"/>
e. Other	<input type="text"/>	<input type="text"/>
FUNDS		
Total Funds		<u>Long</u>
Mutual Fund		<input type="text"/>

**TEMPLATE: DO NOT SEND TO NFA**

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**INFORMATION ABOUT THE CTA'S TRADING PROGRAM (cont'd)**

**FUNDS**

	<u>Long</u>
a. U.S.	
b. Foreign	
Hedge Fund	
Equity Fund	
Money Market Fund	
Private Equity Fund	
REIT	
Other	

**ITEMIZATION**

- a. If the dollar value of any investment in any subcategory under the heading "Equities," "Alternative Investments" or "Fixed Income" equals or exceeds 5% of the total Pool assets Directed by the CTA under the Trading Program, itemize the investment(s) in the table below.

Subheading	Description of Investment	Long/Short	Cost	Fair Value	Year-to-Date Gain (Loss)

- b. If the dollar value of any investment in any subcategory under the heading "Derivatives" or "Options" equals or exceeds 5% of the total Pool assets Directed by the CTA under the Trading Program, itemize the investment(s) in the table below.

Subheading	Description of Investment	Long/Short	OTE	Counterparty	Year-to-Date Gain (Loss)

- c. If the dollar value of any investment in any subcategory under the heading "Funds" equals or exceeds 5% of the total Pool assets Directed by the CTA under the Trading Program, itemize the investment(s) in the table below.

Subheading	Fund Name	Fund Type	Fair Value	Year-to-Date Gain (Loss)

– This Completes Schedule B of Form CTA-PR –

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QUARTERLY PROGRAM REPORT FOR COMMODITY TRADING ADVISORS

Form CTA-PR Template · Oath

OATH

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BY FILING THIS Form CTA-PR, THE UNDERSIGNED AGREES THAT THE ANSWERS AND INFORMATION PROVIDED HEREIN are complete and accurate, and are not misleading in any material respect to the best of the undersigned's knowledge and belief. Furthermore, by filing this Form CTA-PR, the undersigned agrees that he or she knows that it is unlawful to sign this Form CTA-PR if he or she knows or should know that any of the answers and information provided herein is not accurate and complete.

Name of the individual signing this Form CTA-PR on behalf of the CTA:

Capacity in which the above is signing on behalf of the CTA: