



Centers for Disease Control
and Prevention (CDC)
Atlanta GA 30341-3724

Dr. Diane Manninen
Battelle CPHRE
1100 Dexter Avenue North
Suite 400
Seattle, WA 98109-3598

Dear Dr. Manninen:

I am happy to send you the certificate of confidentiality for "CDC's Cervical Cancer Study (Cx3): An Intervention Pilot Study of HPV in Illinois National Breast and Cervical Cancer Early Detection Program (NBCCEDP)."

May I ask that you advise me of any situation in which the certificate is employed to resist disclosure of information in legal proceedings. I am at the Centers for Disease Control and Prevention, (CDC), Mailstop D-74, Atlanta, Georgia 30333. My telephone number is (404) 639-4613, fax (404) 639-3060. Internet: ghorlick@cdc.gov.

If attorneys for the Battelle Memorial Institute wish to discuss the use of the certificate, they may contact the Deputy Associate General Counsel to CDC, Ms. Paula L. Kocher, at (404) 639-7200.

If you have any questions, or if we can otherwise help, please call.

Sincerely yours,

A handwritten signature in blue ink that reads "Gail Horlick".

Gail Horlick, MSW, JD
Senior Legal Analyst
Office of the Chief Science Officer

cc: Certificate of Confidentiality



CONFIDENTIALITY CERTIFICATE

Issued to

Employees of

Battelle Memorial Institute
and Other Participants

conducting research known as

CDC'S CERVICAL CANCER STUDY (CX3): AN INTERVENTION PILOT STUDY
OF HPV IN ILLINOIS NATIONAL BREAST AND CERVICAL CANCER EARLY
DETECTION PROGRAM (NBCCEDP)

Task Order No. 6 Contract No. 200-2002-00573

The "CDC's Cervical Cancer Study (Cx3): An Intervention Pilot Study of HPV in Illinois National Breast and Cervical Cancer Early Detection Program (NBCCEDP)" will examine whether or not there is an increase in the cervical cancer screening interval to three years for women in the target age range with a normal Pap test and a negative HPV DNA test with an educational intervention.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

- (1) are employed by Battelle Memorial Institute, and their contractors and cooperating agencies; and
- (2) have, in the course of that employment, access to the information which would identify individual providers who are the subjects of a research project entitled "CDC's Cervical Cancer Study (Cx3): An Intervention Pilot Study of HPV in Illinois National Breast and Cervical Cancer Early Detection Program (NBCCEDP)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),

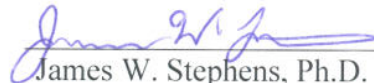
“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize Battelle Memorial Institute, or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of Battelle Memorial Institute, or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
- (5) All research subjects in the project will be given a fair, clear explanation of the protection this certificate affords, and of the limitations and exceptions to the protection.
- (6) This certificate is effective upon issuance and will expire at the end of August 2014, or sooner if the holder is notified of cancellation in accordance with the procedures set out in 42 C.F.R. § 2a.8. The protection afforded by this certificate of confidentiality is permanent (including after death) for persons who

participated as subjects in the research during any time the certificate was in effect.

Date: 8/19/2009


James W. Stephens, Ph.D.
Associate Director for Science, CDC