# **Census of Problem-Solving Courts**

The National Center for State Courts, on behalf of the Bureau of Justice Statistics, is conducting a National Census of Problem-Solving Courts. The information you provide in this survey will help to establish an accurate picture of Problem-Solving Courts nationwide--including how many exist, who they serve, and program characteristics-that does not currently exist.

Thank you for participating in the Census of Problem-Solving Courts. Should questions arise while you are completing the survey, please call 800-616-6109 or send an e-mail to <u>CensusPSC@ncsc.org</u>.





BIS

Paperwork Reduction Act Burden Statement: Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a valid OMB control number. The estimated average time to complete the form is 30 minutes. If you have comments regarding the accuracy of this estimate, or suggestions to simplify this form, write to the Bureau of Justice Statistics, Office of Justice Programs, 810 7th Street, N.W., Washington, D.C. 20531. OMB NO. XXXX-XXXX Exp XX/XX/XXXX

# **Census of Problem-Solving Courts**

# **Respondent Information**

State/territory: \_\_\_\_\_

1. Identify the SINGLE category label that <u>best</u> describes your Problem-Solving Court.

Drug	Community Issues	Youth
(General) Drug	Community	(General) Youth
Adult Drug	Gun	Teen
Juvenile Drug	Prostitution	Girls
Tribal Drug	Gambling	Boys
DWI/DUI	Homeless	Truancy
Campus Drug		
Co-Occurring	Reentry	Veterans
Co-occurring disorders	(General) Reentry	(General) Veterans
	Reentry Drug	Veterans Drug Treatment
	Parole Violation	Veterans Mental Health Treatment
Mental Health	Domestic Relations	Other
Mental Health	Domestic Violence	(General) Treatment
Juvenile Mental Health	Integrated Domestic Violence	(General) Problem-Solving
	Family Dependency/Family Drug	Other:
	Elder Abuse	
	Child Support/Fathering	

Please mark here if your court addresses a combination of court types (e.g., DWI/Drug Court).

Please mark <u>all</u> court types that describe your court (e.g., hybrid DWI/Drug Court would select "Adult Drug" and "DWI/DUI").

Drug	Community Issues	Youth
(General) Drug	Community	(General) Youth
Adult Drug	Gun	Teen
Juvenile Drug	Prostitution	Girls
Tribal Drug	Gambling	Boys
DWI/DUI	Homeless	Truancy
Campus Drug		
Co-Occurring	Reentry	Veterans
Co-occurring disorders	(General) Reentry	(General) Veterans
	Reentry Drug	Veterans Drug Treatment
	Parole Violation	Veterans Mental Health Treatment
Mental Health	Domestic Relations	Other
Mental Health	Domestic Violence	(General) Treatment
Juvenile Mental Health	Integrated Domestic Violence	(General) Problem-Solving
	Family Dependency/Family Drug	Other:
	Elder Abuse	
	Child Support/Fathering	
Please provide name and con a. Name of your Problem	tact information for your court.	

c. Phone number for your Problem-Solving Court:

# d. Your professional information:

i. Your name:

ii.

Your position title:

- iii. Your organizational affiliation:
- iv. Your e-mail address:
- v. Your phone number:

#### **Court Operations**

- 2. Does your Problem-Solving Court...
  - **a.** ...operate within the judiciary (i.e., as part of the judicial rather than executive branch)? Yes

N
---

- b. ...have a dedicated docket/calendar?
  - Yes
  - No
- **c.** ...have a dedicated judicial officer(s)? (i.e., judicial officer has the authority of the court and is assigned to the Problem Solving cases).

Yes
No

If your answer is NO to #2a, b, or c, please STOP and discontinue this survey.

d. .. have a dedicated prosecutor(s)?

Yes
No

e. ...have a dedicated defense attorney(s)/public defender(s)?

Yes
No

- f. ...have dedicated court staff?
  - Yes
  - No

g. ...have a dedicated operations manager/program coordinator?

Yes
No

**h.** ...have an operations manual for the court? A manual provides a clear model for operating the court and includes all Problem-Solving Court policies.

No

i. ...have a mission statement?

Yes
No

**3.** At what level of government does your Problem-Solving Court operate?

Local jurisdiction (e.g., municipal, county, district),

State,

Were the following stakeholders involved in planning efforts to create this Problem-Solving Court?
 Information about planning efforts is not available (skip to Question 5).

a.	Judge(s)
	Yes
	No
b.	Prosecuting attorney(s)
	Yes
	No
c.	Defense attorney(s)/public defender(s)
	Yes
	No
d.	Court administrator(s)
	Yes
	No
e.	Probation or other supervision agency
	Yes
	No
f.	Law enforcement agency
	Yes

- No
   g. Service provider(s) (e.g., offender/victim services, counseling or treatment services, or general supportive services)
  - Yes No

**5.** How many full-time and part-time staff members are currently employed by your Problem-Solving Court?

This count should not include those fully employed by an external agency (e.g., community service provider or other government agency), but those paid, at least in part, through the judiciary.

- a. Number of full-time Problem-Solving Court personnel: \_\_\_\_\_
- b. Number of part-time Problem-Solving Court personnel: \_\_\_\_\_
- 6. How frequently is the Problem-Solving Court in session?

Daily

More than once a week but less than daily

Weekly

More than once a month but less than weekly

- Monthly
- Other: \_\_\_\_\_
- **7.** What key underlying problem(s) is your Problem-Solving Court designed to address in your community? Select all that apply.

Substance-related issues(s)

Behavioral addiction(s) (e.g., gambling addiction)

Mental health issue(s)

Quality-of-life or nuisance offense(s) (e.g., homelessness)

Poor offender compliance with court orders (e.g., restitution, child support, fines paid in full)

Challenges that hinder access to the judicial system for certain underprivileged populations If marked, please list the type of underprivileged population:

Challenges faced by offenders seeking to integrate back into the community

- Victim safety
- Other:
- **8.** Is expertise in the relevant problem-solving area a mandatory qualification for new professional staff positions within the Problem-Solving Court? For example, the drug court judge has professional experience working with individuals with substance abuse or addiction problems or the mental health court program coordinator has an educational background in the psychological sciences.
  - Yes
- **9.** For each of the following stakeholders, does the Problem-Solving Court mandate training specific to the needs of program participants? Training for stakeholders can range from a formal training curriculum to informal brown bag sessions on key topics such as underlying causes of the offender's justice system involvement, relevant health or behavioral problems like drug addiction, mental illness, sex offending, domestic violence.
  - a. Dedicated judicial officer(s)

	Yes
	No
b.	Dedicated prosecutor(s)
	Yes
	No
c.	Dedicated defense attorney(s)/public defender(s)
	Yes
	No
d.	Dedicated court staff
	Yes
	No
e.	Dedicated operations manager/program coordinator
	Yes
	No

- **10.** Please select all case types (subject matters) that fall under the jurisdiction of your Problem-Solving Court.
  - Criminal (include criminal charges for domestic violence, abuse, or neglect),
  - Family/Domestic Relations (include dependency, orders of protection, adjudication of parental rights, custody, visitation)
  - Juvenile (include delinguency, or status offenses)

Other: \_\_\_\_\_

- **11.** Please select all case types that apply:
  - Felony
  - Misdemeanor
  - Delinquency
  - Status Offense
  - Other: \_\_\_\_\_
- 12. Is your Problem-Solving Court currently admitting participants?
  - Yes No
- **13.** When did/will your Problem-Solving Court admit its **first** participant?

   Month:
   Year:
- 14. Is your Problem-Solving Court currently preparing to cease operations?

Yes		
No		
If Yes: When did/will your Problem-Solving Court admit its <b>last</b> participant?		
Month: Year:		
Is this existing Problem-Solving Court merging operations with another problem-solving court?		
Yes		
Νο		
If you marked Yes, please enter the following information about the new Problem-Solving Court.		
Name of merged Problem-Solving Court:		
Phone number for this court:		
Admitting first participant as of (month/year):		
Contact person (e.g., program coordinator) for this court:		
a. Name:		
b. Position title:		
c. Organizational affiliation:		
E-mail address:		

e. Phone number:

### Funding

**15.** How is your Problem Solving Court currently funded? Select all that apply. For those sources selected, what percentage of your court's funding for <u>the most recent 12-month period</u> came from each selected funding source?

Funding Source	Percentage
Permanent item in state government budget	
Permanent item in local government budget	
Federal start-up fund(s)/grant(s)	
Federal enhancement fund(s)/grant(s)	
Federal block grant funding	
State start-up fund(s)/grant(s)	
State enhancement fund(s)/grant(s)	
Private foundation start-up fund(s)/grant(s)	
Private foundation enhancement fund(s)/grant(s)	
In-kind services	
Client fees	
Other:	
T	his is an estimate only.

#### **Commonly used services**

**16.** Please identify the types of services commonly used by active participants in your Problem-Solving Court program. For the purpose of this Census, "commonly used" services are those services that are used by **at least 10%** of your active Problem-Solving Court program participants.

OF	FENDER/VICTIM SERVICES:
	Batterer program
	Anger management
	Prostitution program
	Victim-defendant mediation
	Other offender/victim services:
CO	UNSELING OR TREATMENT SERVICES:
	Treatment readiness program
	Individual counseling
	Emergency psychiatric services (crisis stabilization)
	Inpatient mental health treatment
	Outpatient mental health treatment
	Substance abuse treatment – less than 90 days
	Substance abuse treatment – 90 days or more

	Integrated substance abuse and mental health treatment Medication (e.g., methadone, buprenorphin) as a treatment strategy
	Cognitive behavioral therapy
	Other counseling/treatment services:
GEI	NERAL SUPPORTIVE SERVICES:
	Employment readiness program or other job-related training
	Health education
	Life skills (e.g., parenting, self-management, decision-making, risk reduction)
	GED-related class
	Financial counseling services
	Assistance in locating housing
	Assistance in financing housing
	Assistance in accessing benefits (e.g., Medicaid, SSI, SSDI, veterans)
	Transportation (e.g., bus fare, rides to program-related appointments)
	Child care during program appointments
	Supported employment
	Court sponsored "alumni" groups
	Civil (legal) services assistance
	Cultural, ethnic, or racial-specific services
	Other supportive service(s):

**17. Participant Eligibility:** <u>Other than case type</u>, what characteristics are required to establish participant eligibility or ineligibility for your court? Select all that apply:

#### **Prior criminal history**

- a. Prior conviction
  Eligible Ineligible Not applicable
  b. Prior conviction for violent offense
  Eligible Ineligible Not applicable
  c. Prior conviction for a sex offense
  Eligible Ineligible Not applicable
  d. Other prior criminal history:
  Eligible Ineligible Not applicable
- Mental health-related criteria
  e. Clinical diagnosis (e.g., SPMI or specific disorder such as schizophrenia, PTSD)
  Eligible Ineligible Not applicable
- **f.** Intellectual or developmental disorder (e.g., mental retardation, autism, traumatic brain injury)

Eligible Ineligible Not applicable

g. Other mental health criteria: \_

Eligible Ineligible Not applicable

#### Substance-related criteria

- **h.** Diagnosis of addiction or substance dependency
  - Eligible Ineligible Not applicable
- i. Drug of choice Eligible Ineligible Not applicable

### **Behavioral addiction**

- j. Gambling Eligible Ineligible Not applicable
- k. Other behavioral addiction: \_\_\_\_\_\_ Eligible Ineligible Not applicable

### **Residential status**

I. Homeless or imminent risk of becoming homeless Eligible Ineligible Not applicable

# Other

m.	Other:		
	Eligible	Ineligible	Not applicable

**18. Point of entry.** At what point(s) in the justice system process are participants admitted into your Problem-Solving Court?

If Criminal:
Pre-plea
Post-plea/pre-sentence
Post-plea/condition of sentence,
Post-conviction/pre-sentence,
Post-sentence,
Post-release,
Other scenario:
If Domestic Relations:
Upon filing or case initiation,
After order issued (temporary or final),
After adjudication of rights (e.g., custody, visitation),
Other scenario
If Invenile:

Pre-plea,
Post-plea/pre-disposition,
Post-disposition.

Post-release,

Other scenario:

Please identify the **most common** point of entry for participants admitted to your Problem-Solving Court program:

Pre-plea,
Post-plea/pre-disposition,
Post-disposition,
Post-release,
Upon filing or case initiation,
After order issued (temporary or final),
After adjudication of rights (e.g., custody, visitation)
Other scenario:

**19.** Are Problem-Solving Court participants required to attend ongoing case management or probation supervision meetings?

	Yes
_	

- No
- **20.** Are Problem-Solving Court participants required to attend regular judicially-supervised status hearings?
  - Yes No
    - a. If Yes: As part of these regular judicially-supervised status hearings, does the Problem-Solving Court judge directly interact with participants (e.g., by conversing with each participant to affirm his/her understanding of responsibilities or to discuss behavioral progress)?
       Yes
       No
- 21. Does the court obtain regular updates from service providers on participant progress?
  - Yes
    - a. If Yes: Does the court use indicators of participant progress from service providers to modify the case/treatment plan (e.g., to change service quantity or quality)?
       Yes
       No
- **22.** Does a team (or representatives from collaborating agencies) meet informally/formally to review cases in preparation for each judicially-supervised status hearing?
  - Yes



- **a.** If Yes: Are the following Problem-Solving Court stakeholders typically represented in these case review meetings?
  - i. Judge Yes

ii.	Prosecuting attorney(s)

Yes
No

iii. Defense attorney(s)/public defender(s)

No

iv. Probation/supervising agency

Yes
No

v. Law enforcement agency

	Yes

Vi. Service provider(s)

No

**23.** Does the Problem-Solving Court program apply a continuum of behavioral reinforcement responses (i.e., sanctions, incentives)?

Yes
No

- **a.** If Yes: Does the Problem-Solving Court maintain a schedule linking participant compliance/noncompliance with that response continuum (i.e., linking types of infractions to appropriate sanctions, achievements to incentives)?
  - Yes No
- **24. Case closure.** What are the benefits for participants who successfully complete your Problem-Solving Court program? Select all that apply:

Case dismissed
Sentence is suspended (in part or full)
Record expunged
Expedited settlement or placement
Other scenario:

**25. Design capacity.** How many active participants is your Problem-Solving Court program designed to handle at any one time?

\_\_\_\_ Participants 🛛 🗌 Don't know

**26.** Active participants. What is the current number of active participants in your Problem-Solving Court program? \_\_\_\_\_ Participants \_\_\_\_ This is an estimate only.

#### **Data Collection Practices**

27. Does your Problem-Solving Court use an electronic case management/information system?

Yes
No

**a.** If Yes: Does this case management/information system allow for data to be shared with or entered by external agencies (e.g., service providers)?

Yes
No

No

28. Does your Problem-Solving Court track individual participant outcomes after graduation?

Yes
No

**a.** If Yes: For how many months after graduation does your court track each participant's outcomes? \_\_\_\_\_\_months

**b.** Does your court share program-level data on participant outcomes with key stakeholders?
 Yes

- **29. Evaluation.** Which of the following types of evaluations has been conducted on your Problem-Solving Court?
  - Process evaluation
    Outcome/Impact evaluation
    Other:

  - Don't know

#### Data

Please answer each question based only on data from <u>the most recent 12-month period</u>. If you do not have precise values, please indicate that you are providing an estimate *or* indicate that you do not have this type of data available and cannot estimate by marking the appropriate box. If your Problem-Solving Court has not yet begun to admit participants, skip this section.

30. How many participants were admitted to your Problem-Solving Court program in this 12-month period?



This is an estimate only.

No data available and cannot offer an informed estimate.

Data element is not applicable.

If zero, skip a-c.

31. How many participants exited your Problem-Solving court program in this 12-month period?

This is an estimate only.

No data available and cannot offer an informed estimate.

Data element is not applicable.

a. Of these participants, how many exited your Problem-Solving court program by...

Data element is not applicable

- i. ...successful completion/graduation? \_\_\_\_\_
- ii. ...administrative closure? \_\_\_\_\_
- iii. ...voluntary withdrawal? \_\_\_\_\_
- iv. ...general discharge?
- v. ...transfer? \_\_\_\_\_
- vi. ...failure/termination? \_\_\_\_\_
  - These numbers are an estimate only.

No data available and cannot offer an informed estimate.

**b.** Of all participants who exited your Problem-Solving court program in this <u>12-month period</u>, what was the **average length (in days)** from admission to exit? \_\_\_\_\_\_days

This number is an estimate only.

No data available and cannot offer an informed estimate.

- c. Of these participants who exited your court program in this <u>12-month period</u>, what percentage
   i. ...were identified as **female**?
  - **ii.** ...were identified as:
    - 1. White
    - 2. Black or African American \_\_\_\_\_
    - 3. Asian \_\_\_\_
    - 4. American Indian or Alaska Native \_\_\_\_\_
    - 5. Native Hawaiian or Other Pacific Islander \_\_\_\_\_

iii. ...were identified as Hispanic/Latino?

These numbers are an estimate only.

No data available and cannot offer an informed estimate.

Thank You for your participation in the Census of Problem-Solving Courts.

If you are aware of any other problem-solving courts in your jurisdiction, please send an email to <u>CensusPSC@ncsc.org</u> that identifies the court name(s) and contact person(s).