

Appendices

Appendix IV: Company Registry Database

The fields below describe the data collected for the Company Registry. The Company Registry is collected directly from SBC applicants through the SBA, online. SBC applicants are expected to register this information before their first application to the program at any participating agency; thereafter, the SBC applicant is required to update this information upon every application. Information in this database is privileged and confidential and not subject to disclosure pursuant to 5 U.S.C. § 552, Freedom of Information Act. The information is only accessible to government personnel. The data will be protected using Point-To-Point Session Encryption. All logins are protected by "https," the industry standard for encryption.

This information is expected to be submitted in conjunction with the data for the Application database. The total estimated burden for both databases will be 1 hour for those applicants that are not owned by a venture capital, hedge fund, or private equity majority backed firm. This time will include reading reporting directions, gathering information, and filling out the online data forms.

SBA estimates that the reporting burden for the collection of the Company Registry and Applicant Information Database will be 5 hours for applicants who must also report ownership information on venture capital, hedge fund, or private equity majority backed firms. This time will include reading reporting directions, gathering information, and filling out the online data forms.

You are not required to respond to this collection of information unless it displays a currently valid OMB control number. (3245-0356). Comments on this burden or other aspects of this collection of information should be submitted to Chief, Administrative Information Branch, 409 Third Street, SW, Washington , DC 20416, and/or SBA Desk Officer, Office of Management and Budget, New Executive Office Bldg., Rm. 10202, Washington, DC 20503. You may also send comments to SBA via email to TechNet@sba.gov

<u>Company Registry Field</u>	<u>Reporting Mechanism</u>	<u>Agency interaction</u>	<u>Collection Frequency</u>	<u>Public Data (Y/N)</u>	<u>Advanced (Y/N)</u>	<u>New Field (Y/N)</u>
Agency Tracking #	Company reports	Receives pdf from Company data to SBA	Register or reconfirm at time of application	N	N	N
SBA Firm ID	Company reports	Receives pdf from Company data to SBA	Register or reconfirm at time of application	N	N	Y
Company URL	Company reports	Receives pdf from Company data to SBA	Register or reconfirm at time of application	N	N	Y
HQ Address 1	Company reports	Receives pdf from Company data to SBA	Register or reconfirm at time of application	N	N	N
HQ Address 2	Company reports	Receives pdf from Company	Register or reconfirm at	N	N	N

	<u>data to</u> <u>SBA</u>		<u>time of</u> <u>application</u>			
<u>HQ City</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>HQ Zip Code</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>HQ Zip Code</u> <u>+4</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>HQ State</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Company Name</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Number of</u> <u>Employees</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency collects</u> <u>data, provides</u> <u>to SBA. Also</u> <u>updated as a</u> <u>part of</u> <u>commercializati</u> <u>on information</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Flag for</u> <u>External</u> <u>Funding</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Investment</u> <u>Ownership</u> <u>Percentage</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Majority-</u> <u>Owned by</u> <u>External</u> <u>Funding Firms</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf</u> <u>from Company</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Affiliate Name</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency collects</u> <u>data, provides</u> <u>to SBA</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Affiliate</u> <u>Address 1</u>	<u>XML or</u> <u>manual</u> <u>upload to</u>	<u>Agency collects</u> <u>data, provides</u> <u>to SBA</u>	<u>Register or</u> <u>reconfirm at</u> <u>time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>

<u>Affiliate Address 2</u>	<u>Tech-Net</u> <u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>application</u> <u>Register or reconfirm at time of application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Affiliate City</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Affiliate Zip Code</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Affiliate Zip Code + 4</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Affiliate Number of Employees</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Additional Funding Type</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Additional Funding Amount</u>	<u>XML or manual upload to</u> <u>Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Investment Type [VC, Hedge, PE]</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf from Company</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Investment Firm Name</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf from Company</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Investment Not US-Based</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf from Company</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>Y</u>
<u>Investment Amount</u>	<u>Company</u> <u>reports</u> <u>data to</u> <u>SBA</u>	<u>Receives pdf from Company</u>	<u>Register or reconfirm at time of application</u>	<u>N</u>	<u>N</u>	<u>N</u>

Appendix V: Application Information Database

The fields below describe the data collected for the Application Information Database. The Application Information Database is collected directly from SBC applicants through the participating agencies, online and in paper form. SBC applicants are expected to submit this data with every application to any participating agency every time. Agencies in turn provide quarterly updates of application data to SBA. Information in this database is privileged and confidential and not subject to disclosure pursuant to 5 U.S.C. § 552, Freedom of Information Act. The information is only accessible to government personnel. The data will be protected using Point-To-Point Session Encryption. All logins are protected by "https," the industry standard for encryption

This information is expected to be submitted in conjunction with the data for the Company Registry. The total estimated burden for both databases will be 1 hour for those applicants that are not owned by a venture capital, hedge fund, or private equity majority backed firm. This time will include reading reporting directions, gathering information, and filling out the online data forms.

SBA estimates that the reporting burden for the collection of the *Company Registry* and *Applicant Information Database* will be 5 hours for those applicants that must also report ownership information on venture capital, hedge fund, or private equity majority backed firms. This time will include reading reporting directions, gathering information, and filling out the online data forms.

You are not required to respond to this collection of information unless it displays a currently valid OMB control number. (3245-0356). Comments on this burden or other aspects of this collection of information should be submitted to Chief, Administrative Information Branch, 409 Third Street, SW, Washington , DC 20416, and/or SBA Desk Officer, Office of Management and Budget, New Executive Office Bldg., Rm. 10202, Washington, DC 20503. You may also send comments to SBA via email to TechNet@sba.gov

<u>Application Info Field</u>	<u>Reporting Mechanism</u>	<u>Agency interaction</u>	<u>Collection Frequency</u>	<u>Public Data (Y/N)</u>	<u>Type</u>	<u>Advanced (Y/N)</u>	<u>New Field (Y/N)</u>
Company Name	XML or manual upload to Tech-Net	Agency collects data, provides to SBA	Report at time of application	N	int(10) unsigned	N	N
Program [SBIR/STTR]	XML or manual upload to Tech-Net	Agency collects data, provides to SBA	Report at time of application	N	varchar(4)	N	N
Agency Tracking #	XML or manual upload to Tech-Net	Agency creates this number for tracking – not submitted by SBC	Report at time of application	N	varchar(50)	N	N
Agency	XML or manual upload to	Agency collects data,	Report at time of application	N	int(10) unsigned	N	N

	<u>Tech-Net</u>	<u>provides to</u>				
<u>Solicitation Number</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>int(10) unsigned</u>	<u>N</u>
<u>Solicitation Topic Number</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(20)</u>	<u>N</u>
<u>Contact First Name</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(25)</u>	<u>N</u>
<u>Contact Middle Name</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(1)</u>	<u>N</u>
<u>Contact Last Name</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(35)</u>	<u>N</u>
<u>Contact Title</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(40)</u>	<u>N</u>
<u>Contact Phone</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(255)</u>	<u>N</u>
<u>Contact Email</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(255)</u>	<u>N</u>
<u>EIN #</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>varchar(255)</u>	<u>N</u>
<u>RI EIN# (STTR only)</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>varchar(255)</u>	<u>N</u>

	<u>upload to</u> <u>Tech-Net</u>	<u>data,</u> <u>provides to</u> <u>SBA</u>	<u>application</u>			
<u>Phase Number</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>
<u>Solicitation Close Date</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>varchar(20)</u>	<u>N</u>
<u>Solicitation Year</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>
<u>Company URL</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>varchar(255</u>	<u>N</u>
					<u>)</u>	
<u>Solicitation Topic</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>int(10)</u> <u>unsigned</u>	<u>N</u>
<u>Address 1</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Address 2</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>City</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>
<u>Zip Code</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>N</u>	<u>N</u>

<u>Zip Code +4</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>N</u>
<u>State</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>N</u>
<u>HubZone Certified</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>N</u>
<u>SDB</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>N</u>
<u>Women-Owned</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>N</u>
<u>Women PI</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>Y</u>
<u>Socially and Economically Disadvantaged PI</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>Y</u>
<u>Student/Faculty Owned</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>Y</u>
<u>FAST Assistance</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>Y</u>
<u>Allow EDO's to Have Contact Info</u>	<u>XML or manual upload to Tech-Net</u>	<u>Agency collects data, provides to SBA</u>	<u>Report at time of application</u>	<u>N</u>	<u>Y</u>

<u>Key Individual</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>Percentage of Effort</u>	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Project Aims</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Abstract</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>N</u>	<u>N</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual First Name</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual Middle Name</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual Last Name</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual Position/Title</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual Email</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Key Individual Phone</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to Tech-Net</u>	<u>data, provides to SBA</u>				
<u>Criminally Liable Flag</u>	<u>XML or manual</u>	<u>Agency collects</u>	<u>Report at time of</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
	<u>upload to</u>	<u>data,</u>	<u>application</u>			

	<u>Tech-Net</u>	<u>provides to</u> <u>SBA</u>				
<u>CL First Name</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>CL Middle Name</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>CL Last Name</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>
<u>CL Company Associated</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>Agency</u> <u>collects</u> <u>data,</u> <u>provides to</u> <u>SBA</u>	<u>Report at</u> <u>time of</u> <u>application</u>	<u>N</u>	<u>Y</u>	<u>Y</u>

Appendix VII: Commercialization Database

The fields below describe the data collected for the Commercialization Database. The Commercialization Database is collected directly from SBC applicants through a centralized collection, online.. SBC applicants are expected to register this information after their first application after the receipt of a Phase II award to the program at any participating agency; thereafter, the SBC applicant is required to update this information upon every application and/or update the information annually – whichever period comes first.

Information in this database is privileged and confidential and not subject to disclosure pursuant to 5 U.S.C. § 552, Freedom of Information Act. The information is only accessible to government personnel. The data will be protected using Point-To-Point Session Encryption. All logins are protected by "https," the industry standard for encryption.

The estimated reporting burden for the collection of information in the *Commercialization Database* will be 1.5 hours to read the directions, gather the required information, and to complete the survey each year. You are not required to respond to this collection of information unless it displays a currently valid OMB control number. (3245-0356). Comments on this burden or other aspects of this collection of information should be submitted to Chief, Administrative Information Branch, 409 Third Street, SW, Washington , DC 20416, and/or SBA Desk Officer, Office of Management and Budget, New Executive Office Bldg., Rm. 10202, Washington, DC 20503. You may also send comments to SBA via email to TechNet@sba.gov

<u>Commercialization Field Name</u>	<u>Reporting Mechanism</u>	<u>Agency interaction</u>	<u>Collection Frequency</u>	<u>Public Data (Y/N)</u>	<u>Type</u>	<u>Advanced (Y/N)</u>	<u>New Data Request (Y/N)</u>
Firm Level Commercialization							
<u>Company Name</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates prior to subsequent award application</u>	<u>N</u>	<u>int(10) unsigned</u>	<u>N</u>	<u>N</u>
<u>Agency Tracking #</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates prior to subsequent award application</u>	<u>N</u>	<u>int(10) unsigned</u>	<u>N</u>	<u>N</u>
<u>SBA Firm ID</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates prior to subsequent award application</u>	<u>N</u>	<u>int(10) unsigned</u>	<u>N</u>	<u>N</u>
<u>IPO</u>	<u>Agencies + companies report to</u>	<u>XML or manual upload to</u>	<u>1) In real time 2) SBC updates</u>	<u>N</u>	<u>YES/NO</u>	<u>N</u>	<u>N</u>

	<u>Tech-Net</u>	<u>Tech-Net</u>	<u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>				
<u>IPO Value</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>	<u>N</u>
<u>IPO Amount</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>decimal(</u> <u>20.2)</u>	<u>N</u>	<u>N</u>
<u>IPO Year</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>	<u>N</u>
<u>Merger/Acquired</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>YES/NO</u>	<u>N</u>	<u>N</u>
<u>M&A Value</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>decimal(</u> <u>20.2)</u>	<u>N</u>	<u>N</u>
<u>M&A Year</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>	<u>N</u>
<u>Narrative</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u>	<u>XML or</u> <u>manual</u> <u>upload to</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u>	<u>N</u>	<u>longtext</u>	<u>N</u>	<u>N</u>

	<u>Tech-Net</u>	<u>Tech-Net</u>	<u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>			
<u>Comm Contact First Name</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(2 5)</u>	<u>Y</u>
<u>Comm Contact Middle Name</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(1 1)</u>	<u>Y</u>
<u>Comm Contact Last Name</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(3 5)</u>	<u>Y</u>
<u>Comm Contact Title</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(5 0)</u>	<u>Y</u>
<u>Comm Contact Phone</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(2 55)</u>	<u>Y</u>
<u>Comm Contact Email</u>	<u>Agencies + companies report to Tech-Net</u>	<u>XML or manual upload to Tech-Net</u>	<u>1) In real time 2) SBC updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>varchar(2 55)</u>	<u>Y</u>
	<u>Agencies + companies report to</u>	<u>XML or manual upload to</u>	<u>1) In real time 2) SBC updates</u>	<u>N</u>	<u>int(10) unsigned</u>	<u>N</u>

	<u>Tech-Net</u>	<u>Tech-Net</u>	<u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>			
<u>Sales Amount</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>decimal(</u> <u>20,2)</u>	<u>N</u>
<u>Investment Amount</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>decimal(</u> <u>20,2)</u>	<u>N</u>
<u>Patent #'s</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>N</u>
<u>Number of Patents</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>decimal(</u> <u>10,2)</u>	<u>Y</u>
<u>Investment Types</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>		<u>Y</u>
<u>Sales Type</u>	<u>Agencies +</u> <u>companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or</u> <u>manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real</u> <u>time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>		<u>Y</u>

Award Level Commercialization

<u>Product Launched</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(11)</u>	Y	Y
<u>Names of Company Established for Product/Commercialization</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(11)</u>	Y	Y
<u>Sales Amount</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(10)</u> <u>unsigned</u>	Y	N
<u>Investment Amount</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(11)</u>	Y	N
<u>Patent #'s</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>longtext</u>	Y	Y
<u>Number of Patents</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(11)</u>	Y	Y
<u>Investment Types</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	N	<u>int(11)</u>	Y	N

<u>Sales Type</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>Y</u>	<u>N</u>
<u>Phase III Value</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(10)</u> <u>unsigned</u>	<u>Y</u>	<u>Y</u>
<u>Phase III Launched/Implemented [CRP]</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>Y</u>	<u>Y</u>
<u>Phase III Narrative [CRP]</u>	<u>Agencies + companies</u> <u>report to</u> <u>Tech-Net</u>	<u>XML or manual</u> <u>upload to</u> <u>Tech-Net</u>	<u>1) In real time 2) SBC</u> <u>updates</u> <u>prior to</u> <u>subsequent</u> <u>award</u> <u>application</u>	<u>N</u>	<u>int(11)</u>	<u>Y</u>	<u>Y</u>