

SUPPORTING STATEMENT
NMFS ALASKA REGION SCALE & CATCH WEIGHING REQUIREMENTS
OMB CONTROL NO. 0648-0330

This action is a request for revision of an existing collection due to an associated proposed rule, RIN 0648-BB67. In addition, OMB Control No. 0648-0610 is integrated into this collection.

BACKGROUND

The [Magnuson-Stevens Fishery Conservation and Management Act](#), 16 U.S.C. 1801 *et seq.* (Magnuson-Stevens Act) authorizes the North Pacific Fishery Management Council (Council) to prepare and amend fishery management plans for any fishery in waters under its jurisdiction. National Marine Fisheries Service (NMFS), Alaska Region manages groundfish under the Fishery Management Plan for the Groundfish Fishery of the Bering Sea and Aleutian Islands (BSAI) Management Area and under the Fishery Management Plan for Groundfish of the Gulf of Alaska (GOA) (FMPs). Regulations implementing the FMPs appear at [50 CFR part 679](#).

Participation in the BSAI Pacific cod longline catcher/processor sector is limited to holders of License Limitation Program (LLP) licenses authorized under the Consolidated Appropriations Act of 2005. This sector receives a specific allocation of BSAI Pacific cod each year. A sector-specific allocation, in combination with a closed-class of license holders, created an opportunity for the owners of these LLP licenses to form a voluntary fishing cooperative. The Freezer Longline Conservation Cooperative was established in 2004. The cooperative represents owners of all 37 of the eligible LLP licenses and has created a de facto catch share program for this portion of the BSAI Pacific cod fishery.

The formation of a voluntary cooperative has resulted in a significant change in the duration of the Pacific cod fishery, has ended the race for fish, and has increased economic efficiency for the fleet. The benefits from this action include: allowing NMFS to enforce Pacific cod catch limits in the presence of a voluntary cooperative; giving freezer longline representatives greater confidence in the accuracy of NMFS Pacific cod catch estimates; and improving the efficacy of the cooperative's catch share program. However, catch share programs create new demands for enhanced catch accounting, monitoring, and enforcement. .

This action would modify equipment and operational requirements for freezer longliners (catcher/processors) named on LLPs licenses endorsed to fish with a catcher/processor for Pacific cod with hook-and-line gear in the Bering Sea and Aleutian Islands management area (BSAI), hereafter called "eligible catcher/processors.

The proposed action would ensure that eligible catcher/processors maintain the same monitoring measures when operating either in the FLCC voluntary cooperative or the Cooperative Development Quota (CDQ) Program. This is to ensure proper catch accounting, avoid confusion for observers, and reduce the risk of data processing or catch accounting errors that may occur if monitoring provisions change onboard a vessel while fishing. Because the CDQ Program and

the voluntary cooperative establish exclusive catch privileges, both programs would have the same monitoring requirements. This would be consistent with section 305(i)(1)(B)(iv) of the Magnuson-Stevens Act, which requires that CDQ fisheries be managed no more restrictively than fisheries with “fishing cooperatives.”

A. JUSTIFICATION

This action would require vessel operators to select between two monitoring options: carry two observers so that all catch can be sampled, or carry one observer and use a motion-compensated scale to weigh Pacific cod before it is processed. The selected monitoring option must be used any time the vessel is operating in either the BSAI or Gulf of Alaska (GOA) groundfish fisheries when directed fishing for Pacific cod is open in the BSAI, or while the vessel is fishing for groundfish under the Western Alaska CDQ Program. Because these vessels frequently move between the GOA and the BSAI without stopping to offload catch, it would be difficult for vessel owners to comply with two sets of observer coverage regulations and catch accounting requirements. It would also be very difficult for NMFS enforcement to ensure that these vessels were complying with the correct observer coverage and catch monitoring requirements if those requirements differed for Pacific cod caught in the GOA versus the BSAI on the same trip.

1. Explain the circumstances that make the collection of information necessary.

LLP licenses are issued to an individual and are not vessel specific. They can be transferred from vessel to vessel and can be “stacked” so that a single vessel may have more than one LLP license. Thirty-seven LLP licenses meet the criteria for inclusion in the longline catcher/processor subsector.

NMFS would modify regulations at 50 CFR part 679 governing equipment and operational requirements for the eligible catcher/processors. These regulatory amendments would enhance catch accounting, monitoring, and enforcement created by the formation of a voluntary cooperative, and would improve the precision of system accuracy.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

NMFS identified the primary objectives of this action for catch weighing and monitoring:

- ◆ Monitoring must ensure independent verification of catch weight, species composition, and location data for every delivery by a catcher vessel or every pot by a catcher/processor.
- ◆ All catch must be weighed accurately using NMFS-approved scales to determine the weight of total catch.
- ◆ The system must provide a verifiable record of the weight of each delivery.

- ◆ The system must provide data that will provide reliable independent estimates of the total catch. Vessel operators must ensure that each haul is observed by a NMFS-approved observer for verification that all fish are weighed.

Thirty-three eligible catcher/processors are added to this collection-of-information. This action includes motion-compensated scales, platform scales, video monitoring equipment, and observer sampling stations. All of these are subject to wear and tear and modification, which may affect their characteristics or operation. Because of this, regulations require annual inspection and certification by agency staff.

In catcher/processor trawl fisheries, scales are used to weigh the total catch, and observer sampling is used to determine what fraction of that weight is made up of each species. Because longline catcher/processors do not bring all bycatch onboard the vessel and crew are required to release halibut as quickly as possible, it would be impractical to require vessel operators to obtain a scale weight of the total catch. Therefore, NMFS proposes that only the Pacific cod brought onboard the vessel be weighed.

For the purpose of accounting for Pacific cod catch, NMFS would use the weight of all catch that passes over the scale. Observer data still would be used to estimate the weight of the catch of species other than Pacific cod and halibut PSC, and to estimate the weight of Pacific cod that was caught but did not enter the vessel.

a. Notification of Pacific Cod Freezer Longline Monitoring Option - NEW

The proposed action would require owners of eligible catcher/processors to annually opt out of the fisheries subject to the increased monitoring requirements or to select between two monitoring options: increased observer coverage or scales. Once a vessel owner made a selection, the vessel would be required to operate under that option for the entire year. NMFS proposes that the monitoring options apply for an entire year to reduce the risk for data processing or catch accounting errors that may occur if monitoring provisions change during the season.

If NMFS does not receive a notification of choice of monitoring options, NMFS will assign that vessel to the increased observer coverage option for the upcoming calendar year.

A notification form is available on the NMFS Alaska Region website (<http://alaskafisheries.noaa.gov/>).

Notification of Pacific Cod Freezer Longline Monitoring Option

Vessel Information

Name of vessel
Federal Fishery Permit No.
Name of Vessel Owner or Operator (circle one)
Permanent Business Address
Business Telephone Number
Business Fax Number

Business E-mail Address

Pacific Cod Monitoring Option

Check one to indicate monitoring option

Opt-out of directed fishing for Pacific cod in the BSAI and groundfish CDQ fishing

Motion Compensated Scales

If this option is chosen complete :

Scale Inspection Request Form

Observer Sample Station Inspection Request Form

Electronic Monitoring Inspection Request Form

Increased Observer Coverage

If this option is chosen complete:

Observer Sample Station Inspection Request Form

Notification of Pacific cod Monitoring Option, Respondent	
Number of respondents	33
Total annual responses	33
Responses per year = 1	
Total burden hours (16.50)	17 hr
Estimated time per response = 30 minutes	
Total personnel cost (\$25/hr)	\$425
Total miscellaneous cost (3.30)	\$3
Photocopy (0.05 x 33 = 1.65)	
Email submittal (0.05 x 33 = 1.65)	

Notification of Pacific Cod Monitoring Option, Federal Government	
Total annual responses	33
Total burden hours (5.50)	6 hr
Time per response = 10 minutes	
Total personnel cost (\$25/hr)	\$150
Total miscellaneous cost	0

b. Installation of a motion-compensated flow scale - NEW

NMFS requires that the owner of catcher/processor using longline gear install a motion-compensated flow scale and to weigh each haul individually on that scale. Flow scales are intended to provide accurate records of total catch. In order to be approved by NMFS, a scale used to weigh catch at sea must meet the type evaluation requirements set forth at § 679.28(b)(1) and the initial inspection and annual re-inspection requirements set forth in § 679.28(b)(2). A scale must be included on the Alaska Region Regional Administrator’s list of scales NMFS-approved for weighing catch at sea at <http://209.112.168.2/scales/default.htm#approved>.

Product Recovery Rate (PRR)

In the longline catcher/processor Pacific cod fishery, product quality is dependent on rapid bleeding of catch. On most vessels, Pacific cod are cut and bled almost immediately upon entering the vessel and then allowed to complete the bleeding process in a saltwater-filled tank. Because of the need to preserve product quality, NMFS has determined that it may not be feasible for all vessels to weigh Pacific cod prior to bleeding. NMFS uses a product recovery

rate (PRR) for bled fish of 0.98 to estimate the original round weight of the catch. To determine the round weight equivalent of a fish NMFS divides the weight of the product by the PRR. In this case, the weight of bled fish is divided by 0.98. However, the bled fish PRR is based on catch that has fully completed the bleeding and soaking process and is not necessarily applicable to catch that has been cut but not fully bled.

NMFS proposes to use a PRR that is designated for each vessel for catch accounting depending on the location where catch is weighed in relation to the location that cutting and bleeding occurs. These PRRs would be specific to vessels using the scales monitoring option under § 679.100 and would not be added to Table 3 to part 679. The operator of each vessel would report the scale weights in the eLog and NMFS would apply the correct PRR to the reported scale weights in the database.

If Pacific cod are weighed prior to cutting	no PRR	100 percent of the scale weight would be used to account for Pacific cod catch.
If the scale was located upstream of the location where Pacific cod are bled	PRR = 1.00	Whole weight would be applied to all Pacific cod weighed on the scale
If Pacific cod are weighed after cutting but before any bleeding holding area	PRR = 0.99	101 percent of the scale weight would be used to account for Pacific cod catch.
If the Pacific cod were bled and then placed in a bleeding holding area prior to being weighed on the scale	PRR = 0.98	Use standard PRR for bled Pacific cod (0.98) as these fish are expected to bleed completely
If Pacific cod are weighed after a bleeding holding area	PRR = 0.98	102 percent of the scale weight would be used to account for Pacific cod catch

NMFS staff would determine the applicable PRR rate at the time of the annual scale inspection based on the location of the scale and bleeding holding area on a particular vessel. NMFS would notify each vessel operator in writing of the PRR that would be applied to the scale weights from that vessel. This PRR would be used for catch accounting for the duration of the approval period.

Normal flow scale maintenance includes a daily test, cleaning, three to four brief calibrations during a working day, greasing the scale, tightening belts, replacing belts two to three times a year, periodic replacement of sprockets, and annual vendor service at the time of certification.

The cost of the scale itself is currently estimated to range between \$61,000 and \$70,000 (medium \$65,500). The range of potential initial installation costs are estimated to be between \$115,300 and \$458,800 for a vessel (medium \$287,050). The installation services include wiring and training, but do not include costs of spare parts, or of the factory modifications that will be required to adapt the factory to allow use of the flow scale. Subsequent annual expenses will range between \$7,600 and \$8,100 per vessel (medium \$7,850). Additional costs are estimated to include \$200 to \$700 for crew training time (medium \$450).

To simplify this analysis, all 33 respondents are considered to select the scale option. If, however, a respondent opted to have two observers instead, the cost would be less.

Installation of Scale, Respondent	
Number of respondents	33
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost (\$8,300 x 33)	\$273,900
Annual maintenance costs – 7850	
Crew training = 450	
Initial capital costs (\$11,634,150/3 yr)	\$3,878,050
NMFS-approved flow scale @ \$65,500 x 33	
= \$2,161,500	
Equipment Installation @ \$287,050 x 33	
= \$9,472,650	

NMFS management is estimated to incur between \$117,000 and \$187,000 in costs (medium \$152,000) the first year of the program, and about \$26,000 per year, in subsequent years. NMFS Enforcement costs are also likely to rise, as enforcement personnel will be required to oversee new regulatory requirements for freezer longliners for longer periods than experienced in the past. Non-compliance with any of the regulations would result in additional enforcement actions that would increase enforcement costs.

Installation of Scale, Federal Government	
Total annual responses	33
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0
Initial capital costs	\$152,000

c. Inspection Request, At-sea Scales (ADDING 33 respondents)

Once a scale is installed on a vessel and approved by NMFS for use to weigh catch at sea, the scale must be inspected and approved annually by a NMFS-approved scale inspector to determine if the scale meets all of the applicable performance and technical requirements. An inspection is a visual assessment and test of a scale after it is installed on the vessel and while the vessel is tied up at a dock and not under power at sea. Each scale must be inspected and approved before the vessel may participate in any fishery requiring the weighing of catch at sea with an approved scale.

The owner or operator must submit an inspection request annually to NMFS for each vessel that is required to have approved scales. The request is used by NMFS-authorized scale inspectors to schedule and conduct a scale inspection on belt scales, automatic hopper scales, and platform scales. A motion-compensated flow scale for longline gear is added to this collection.

A request for a scale inspection must be submitted at least 10 working days in advance of the requested inspection. Scale inspections will be conducted in Dutch Harbor, Alaska, or the Puget Sound area of Washington State.

At the time of scale inspection,

- ◆ The scale must be installed in a rigid and level manner;
- ◆ The display and printer must be connected and operational;
- ◆ The belts leading to the scale must be connected and operational (not applicable to platform and hanging scales);
- ◆ Test weights and test weight certification documents must be available for inspection (platform scales only); and
- ◆ A crew member must be available to help the inspector transport test materials and conduct the testing

After installing a NMFS-approved scale and requesting a scale inspection, the vessel owner must make the vessel and scale available for inspection by the NMFS-authorized scale inspector. The owner must also:

- ◆ Provide a copy of the scale manual supplied by the scale manufacturer to the inspector at the beginning of the inspection.
- ◆ Transport test weights, test material, and equipment required to perform the test to and from the inspector's vehicle and the scale location on the vessel.
- ◆ Apply test weights to the scale or convey test materials across the scale, if requested by the scale inspector.
- ◆ Assist the scale inspector in performing the scale inspection and testing.

The inspector will check whether the scale is properly installed and that all components of the scale are functioning (printer, display, software). The performance test consists of weighing a known quantity of test material (sand in bags) to ensure that the scale being tested weighs the material accurately. In order to perform this test on a flow scale, NMFS passes the test material across the scale in the same manner that fish would pass across the scale, so in-feed belts must be operational before the test can be done.

In addition, the dockside inspection of each scale will determine whether the scale weighs accurately while in a nearly stationary position. This evaluation is necessary to identify scales that are not installed properly or do not meet other technical or performance requirements before the vessel starts fishing.

The at-sea scale tests are conducted daily to verify that the scale is weighing accurately at sea. This is the only test that will be performed while the scale is in motion. The maximum permissible errors (MPEs) are higher in the at-sea scale tests than in the dockside tests to allow a greater tolerance for scales tested in motion.

Scale Inspection Report.

The inspector will approve a scale if it meets all of the applicable performance and technical requirements. Upon scale approval, the scale inspector will complete and sign a Scale Inspection Report verifying that the scale meets all of the requirements specified in § 679.28(b)(2) and Appendix A. The vessel owner or operator must ensure that the Scale Inspection Report is available for authorized personnel (NMFS staff or observers, United States Coast Guard (USCG) personnel).

At-Sea Scale Approval Sticker.

The scale inspector will complete a sticker for each approved scale. The owner or operator must ensure that a “NMFS approved scale” sticker is on each approved scale and that the scale sticker remains legible. The sticker lists the month and year of the scale approval.

Inspection Request, At-sea Scales

General

- Company name and vessel name
- Mailing address
- Vessel location
- Contact person on board
- Telephone and fax numbers for contact person
- Requested inspection date
- Today’s date
- Telephone number on vessel where inspector may be contacted during inspection

Scales To Be Inspected

- Manufacturer name and model
- Indicate whether repair company will be onsite at time of inspection
- Repair company name
- Contact person name and telephone number

Inspection Request, At-sea Scales, Respondent	
Number of respondents	79
Current = 46	
New Lgl = 33	
Total annual responses	79
Responses per respondent = 1	
Total burden hours (7.90)	8 hr
Time per response = 6 minutes	
Total personnel cost (8 x \$25/hr)	\$200
Total miscellaneous cost (12.85)	\$13
Cost of photocopy (0.05 x 79 = 3.95)	
Cost of fax (\$5 x 1 = 5)	
Cost of online (0.05 x 78 = 3.90)	

Inspection Request, At-sea Scales, Federal Government	
Total annual responses	79
Total burden hours (19.75) Time per response = 15 minutes	20 hr
Total personnel cost (\$25/hr)	\$500
Total miscellaneous cost	0

d. Notification to Observers of at-sea scale tests (ADDING 33 respondents)

Each vessel operator must notify the observer at least 15 minutes before the time that a scale test will be conducted and must conduct the test while the observer is present. No form exists for this notice. This notice consists of vessel personnel verbally informing the observer that a scale test is scheduled.

Notification to Observers of scale tests, Respondent	
Number of respondents Current = 46 New Lgl = 33	79
Total annual responses Frequency of response = 135	10,665
Total burden hours (355.50) Hours per response = 2 minutes	356 hr
Total personnel cost (\$25/hr)	\$8,900
Total miscellaneous cost	0

Notification to Observer of scale tests, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

e. Records of daily flow scale tests (ADDING 33 respondents)

Upon NMFS approval of a scale used to weigh catch at sea, the vessel operator must test each scale or scale system that is used to weigh total catch. Motion-compensated flow scales are specifically designed to be recalibrated regularly in order to weigh accurately. Because the operator must adjust the scale several times a day, NMFS requires that a daily test of the scale is necessary to monitor the performance of the scale. Vessel operators must test each scale or scale system in the presence of the observer one time during each 24-hour period when use of the scale is required. Each set must be weighed and recorded separately. For the purpose of accounting for Pacific cod catch, NMFS would use the weight of all catch that passes over the scale

The flow scale daily test information may be recorded as a pdf file at http://www.fakr.noaa.gov/scales/dailytest_fillable.pdf or and as an excel file at <http://www.fakr.noaa.gov/scales/default.htm#inspections>. Although not submitted to NMFS, the daily test forms must be available for inspection on board until the end of the fishing year during

which the tests were conducted. The owner must retain the daily test records for three years after the test occurred.

Daily flow scale test records

- Vessel name
- Month, day, and year of test
- Time test started to the nearest minute

I. Weigh fish on observer platform scale

- Collect approximately 400 kg of fish in baskets and weigh it on the platform scale.
- Record the weight of each basket of fish (basket plus fish)

II. Calculate percent error of flow scale

- Record the total weight of all baskets plus fish in the first box
- Record the weight of the baskets in the second box.
- Subtract the weight of the baskets from the total weight of fish plus baskets to determine the weight of the fish only; record this weight in the third box. This is the platform scale weight of the fish (A).
- Record the weight displayed on the flow scale before and after the test fish are weighed.
- Weigh the fish from the baskets on the flow scale. Record the weight in the fourth box (B).
- Calculate error of flow scale by subtracting the platform scale weight (A) from the flow scale weight (B).
- Record the error (C) in the fifth box
- Calculate percent error by dividing the error (C) by the known weight of the fish (A) and multiplying by 100.
- Record this information in the last box of Section II. When tested, the total catch weighing scale and the observer sampling station scale must agree within 3 percent. If the scale fails the daily test, it may be re-tested at any time. However, it may not be used to weigh fish until it passes the daily test. The scale is weighing within 3 percent error if the result is between -3.0% and +3.0%.

III. Sea Conditions (Beaufort Scale) at Time of Scale Test (Check One)

Record Beaufort Scale sea conditions at time of test

Signatures of vessel operator and observer

Records of daily flow scale tests, Respondent	
Number of respondents	79
Current = 46	
New Lgl = 33	
Total annual responses	10,665
Frequency of response = 135	
Total burden hours (7998.75)	7,999 hr
Time per response = 45 minutes	
Total personnel cost (\$25/hr)	\$199,975
Total miscellaneous costs	\$2,765
Binders, printer paper = \$35 x 79	

Records of daily flow scale tests, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

f. Printed output of at-sea scales used to weigh catch (ADDING 33 respondents)

Each scale used to weigh catch must be equipped with a printer. A printout(s) showing the total weight of each haul, set, or delivery must be generated after each delivery has been weighed.

Reports must be printed at least once every 24 hours when use of the scale is required. Reports must be printed before any information stored in the scale computer memory is replaced.

Although scales may be recalibrated or tested at any time during the day, the audit trail is designed to record information that will be used to determine whether a scale had been incorrectly adjusted and then readjusted just prior to the scale test. The printed output of scale weights is used by NMFS staff, observers, and NOAA Enforcement personnel to maintain accurate records of catch and to ensure compliance with quotas. The scale printout also forms the basis of an audit trail for each haul that can be used to resolve inconsistencies in catch reports submitted by the observer and the vessel or processor.

The scale software is programmed to print the required information, and printing is nearly automatic. These printouts are not submitted to NMFS. However, they must be available for inspection at any time upon request of the observer, the scale inspector, NMFS staff, or an authorized officer on board the vessel during the fishing year. In addition, they must be retained by the vessel owner for three years after the test occurred.

Printed output from the at-sea scale

- Vessel name
- Federal fisheries permit number
- Haul or set number
- Total weight of the haul or set
- Total cumulative weight of all fish or other material weighed on the scale

Printed output, at-sea scale, Respondent	
Number of respondents	79
Current = 46	
New Lgl = 33	
Total annual responses	10,665
Frequency of response = 135	
Total burden hours (177.75)	178 hr
Time per response = 1 min	
Total personnel cost (\$25/hr)	\$4,450
Total miscellaneous cost	\$2,765
Binders, paper = \$35 x 79	

Printed output, at-sea scale, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

g. Observer sampling station (ADDING 33 respondents)

The longline catcher/processors must provide an observer work station where an observer can work safely and effectively. Each vessel must provide a single collection point for observers (observer sampling station) to collect samples of unsorted catch. Observer sampling of each haul is necessary to determine the percentage of the total catch that is comprised of groundfish. To

effectively manage fisheries, NMFS must have data that will provide reliable independent estimates of the total catch.

Each observer sampling station must be inspected and approved by NMFS prior to its use for the first time and then one time each year within 12 months of the date of the most recent inspection. In addition, if the observer sampling station is moved or if the space or equipment available to the observer is reduced or removed when use of the observer sampling station is required, the observer sampling station must be re-inspected and approved by NMFS.

Observer sampling stations must meet specifications for size and location and be equipped with an observer sampling station scale, a table, adequate lighting, floor grating, and running water. Details of the sampling station requirements are included in § 679.28.

The costs of constructing the observer sampling station are estimated to range between \$0 (since some vessels already have observer sampling stations to comply with the rules governing CDQ groundfish fishing), and \$30,300 (for a vessel that installs a station, purchases two platform scales - to have one for backup). Inspection costs and annual maintenance and repairs for the observer station and platform scale are estimated up to \$500.

The observer sampling station (not including the platform scale) is checked for compliance with regulatory requirements and certified annually by the Alaska Fishery Science Center’s FMA Division’s Observer Program.

The motion-compensated platform scale that is a part of the observer sampling station is also checked and certified annually. This is the responsibility of the Sustainable Fisheries Division of the NMFS AKR.

Observer sampling station, Respondent	
Number of respondents	79
Current = 46	
New Lgl = 33	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous costs	\$39,500
Inspection & maintenance = \$500 x 79	
Total initial capital costs	\$198,000
Observer sampling station equipment	
\$18,000 ea x 33 = \$594,000/ 3 yr	

Observer sampling station, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

h. Inspection request, observer sampling station (ADDING 33 respondents)

Each observer sampling station must be inspected and approved by NMFS annually. An inspection request for an observer sampling station provides the basic information needed to schedule and conduct an inspection. Certification is good for one year.

Observer Platform Scale Inspection Report.

Upon approval of the scale after inspection, the inspector will issue an Observer Platform Scale Inspection Report to the operator. This report must be maintained on board the vessel when use of the observer sampling station is required and made available to authorized NMFS and USCG personnel.

Observer sampling station inspection request form

- Vessel name
- Federal fisheries permit number
- Requested inspection date
- Business mailing address
- Name, telephone number, and fax number for contact person on vessel
- Vessel location, including street address and city
- Today's date
- Signature of requestor
- If the vessel received and passed a scale inspection, indicate the date of the most recent inspection
- Attachment

- For catcher/processors using trawl gear and motherships, include a diagram drawn to scale showing the location(s) where all catch will be weighed, the location where observers will sample unsorted catch, and the location of the observer sampling station, including the observer sampling scale, and the name of the manufacturer and model of the observer sampling scale.
- For all other vessels, include a diagram drawn to scale showing the location(s) where catch comes on board the vessel, the location where observers will sample unsorted catch, the location of the observer sampling station, including the observer sampling scale, and the name of the manufacturer and model of the observer sampling scale.

Inspection Request, observer sampling station, Respondent	
Number of respondents	79
Current = 46	
New Lgl = 33	
Total annual responses	79
Responses per respondent = 1	
Total burden hours	158 hr
Time per response = 2 hr	
Total personnel cost (\$25/hr)	\$3,950
Total miscellaneous cost (12.85)	\$13
Cost of photocopy (0.05 x 79 = 3.95)	
Cost of fax (\$5 x 1 = 5)	
Cost of email (0.05 x 78 = 3.90)	

Inspection Request, observer sampling station, Federal Government	
Total annual responses	79
Total burden hours (19.75) Time per response = 15 minutes	20 hr
Total personnel cost (\$25/hr)	\$500
Total miscellaneous cost	0

i. Electronic monitoring system (transferring from OMB Control No. 0610 and ADDING 33 respondents)

A final rule for Amendment 91 to the FMP (RIN 0648-AX89) revised requirements for the American Fisheries Act (AFA) and CDQ Program trawl catcher/processors to include an electronic monitoring system for all areas where sorting of salmon of any species takes place and the location of the salmon storage container. This electronic monitoring system is in addition to the video monitoring of bins currently offered as an option for the AFA pollock fishery.

The description of the electronic monitoring system for the eligible longline catcher/processors in this action is the same as that required for the trawl catcher/processors in the AFA and CDQ Programs, and so this item is revised to include them.

Vessel operators that choose the monitoring option are required to provide and install, and maintain a NMFS-approved electronic monitoring system at all times when Pacific cod is open to directed fishing in the BSAI or the GOA and all times while groundfish CDQ fishing. In addition, these vessels are required to provide coverage of all areas where Pacific cod are sorted from the catch, all fish passing over the motion-compensated scale, and all crew actions in these areas.

The system must be operating when the catcher/processor is fishing (no matter the intended target species), and Pacific cod is open to directed fishing in either the BSAI or GOA. The video monitoring system must have one or more color cameras, a digital video recorder (DVR) for storing the video, a monitor for reviewing the video, power sources, and cables to connect the different elements. These requirements are described in §679.28. In order to be approved by NMFS, an electronic monitoring system including cameras, a monitor, and a digital video recorder must:

- ◆ Have sufficient data storage capacity to store all video data from an entire trip. Each frame of stored video data must record a time/date stamp in Alaska local time (A.l.t.).
- ◆ Include at least one external Universal Serial Bus (USB) (1.1 or 2.0) port or other removable storage device approved by NMFS. The USB is an industry standard that defines the cables, connectors and communications protocols used in a bus for connection, communication, and power supply between computers and electronic devices.
- ◆ Use commercially available software.

- ◆ Record at a speed of no less than 5 frames per second at all times when Pacific cod are being sorted or weighed.

Color cameras must have at a minimum 470 TV lines of resolution, auto-iris capabilities, and output color video to the recording device with the ability to revert to black and white video output when light levels become too low for color recognition. The system may require from one to five cameras, depending on the vessel layout and lines of sight.

The video data must be maintained and made available to NMFS staff, or any individual authorized by NMFS, upon request. These data must be retained onboard the vessel for 120 days after the date the video is recorded, unless NMFS has notified the vessel operator that the video data may be retained for less than this 120-day period. The system must use commercially available software.

In order to ensure that video can be monitored on board, a 16-bit or better color monitor, with the capacity to display all cameras simultaneously, must be provided. NMFS staff, or any individual authorized by NMFS, must be able to view any earlier footage from any point in the trip; the individual must be assisted by crew knowledgeable in the operation of the system if this is requested.

Specifications Of The System

At a minimum, must include:

- Length and width (in pixels) of each image
- File type in which the data are recorded
- Type and extent of compression
- Frame rate at which the data will be recorded
- Brand and model number of the cameras used
- Brand, model, and specifications of the lenses used
- Size and type of storage device
- Type, speed, and operating system of any computer that is part of the system

Capital Costs

Costs for the Freezer Longline Fleet include cameras, a digital video recorder (DVR), associated software, storage of the data, installation of the equipment, and maintenance of the system. Because vessel configurations are variable, the costs for a vessel to implement video to ensure an observer can monitor all required locations could be quite variable, depending on the nature of the system chosen. In most cases, the system would be expected to consist of one DVR/computer system and between two and five cameras.

DVR systems range in price from \$1,500 to \$10,000, for an average of \$5,750, and cameras cost between \$75 and \$300 each, for an average cost of \$187.50. Storage costs will vary depending on the frame rate, color density, amount of compression, and image size. The system would be expected to record data at a rate of between 5 and 20 gigabits (GB) per day. Assuming that a

catcher/processor fishes for an average of 10 days per trip, the amount of storage space would be between 50 and 200 GB per camera, or between 100 (for a two camera system producing highly compressed images, with 8 bit color density, and a fairly small frame size) and 1,000 GB (for a five camera system producing moderately compressed images, with 16 bit color density, and a fairly large screen size).

Installation costs will be a function of where the DVR/computer can be located in relation to an available power source, cameras, and the observer sampling station. In most cases, the DVR/computer would be located on the factory deck in an office/lab, if one is available, or in the wheel house if one is not. It is also possible that vessel owners will choose to build a weather resistant enclosure for the DVR/computer in or near the observer sampling station. NMFS estimates that a fairly simple installation will cost approximately \$2,000, while a complex installation will cost approximately \$10,000, for an average cost of \$6,000.

Miscellaneous Costs

Assuming that vessels choose to purchase redundant storage capacity, and that Universal Serial Bus (USB) compatible hard drives cost approximately \$1.00 per GB, NMFS estimates that storage will cost between \$400 and \$3,000, for an average cost of \$1,700. Maintenance costs are difficult to estimate because much of this technology has not been extensively used at sea by the U.S. fleet. However, we estimate a hard disk failure rate of 20 percent per year, and a DVR/computer lifespan of three years, or between \$680 and \$4,100 per year.

An equipment failure that cannot be fixed at sea could lead to a significant loss of revenues if a vessel had to stop fishing and return to port. As insurance against this, vessels are likely to choose to carry spare parts. A spare parts package might run \$3,500.

Electronic Monitoring System, Respondent	
Number of respondents	54
17 AFA trawl catcher/processors	
3 AFA motherships	
1 non-AFA trawl catcher/processor	
33 Pcod longline catcher/processors	
Total annual responses	648
Data responses per year = 12 (1/month)	
Total burden hours	648
Estimated time per response = 1 hr	
Total personnel cost (\$25/hr)	\$16,200
Total capital cost for Lgl Pcod Program	\$214,877
Digital video recorder (DVR)/computer system (\$1,500 to \$10,000 = av. \$5,750)	
Video camera (\$75 to \$300 = av. \$188)	
Installation (\$2,000 to \$10,000 = av. \$6,000)	
\$5,750 + \$188 + \$6,000 =	
\$11,938/3 = \$3,979 * 33 = \$131,318	
+ Capital costs for previous 21 respondents	
\$83,559	
Total miscellaneous cost	\$220,860
Data storage (\$400 to \$3,000 = av. \$1,700)	
Annual system maintenance (\$680 to \$4,100 = avg \$2,390)	
\$1,700 + \$2,390 = 4,090 *54	

Electronic Monitoring System, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	\$25,548
On-site inspections = 18,348	
Transportation = 3,800	
Video monitoring = 3,400	

j. Inspection Request for an Electronic Monitoring System (transferring from 0648-0610 and ADDING 33 respondents)

The electronic monitoring system must be inspected and approved annually by NMFS to ensure that it continues to meet the regulatory requirements. The owner or operator of a catcher/processor or a mothership may arrange the time and place for an inspection of the electronic monitoring system by submitting to NMFS by fax (206) 526-4066 or e-mail (station.inspections@noaa.gov) an Inspection Request for an Electronic Monitoring System. This request form is available on the NMFS Alaska Region Web site at <http://www.alaskafisheries.noaa.gov>.

A diagram drawn to scale showing all sorting locations, the location of the motion-compensated scale, the location of each camera and its coverage area, and the location of any additional video equipment must be submitted with the request form.

NMFS will coordinate with the vessel owner to schedule the inspection no later than 10 working days after NMFS receives a complete request form. Inspections will be conducted on vessels tied to docks at Dutch Harbor, Alaska; Kodiak, Alaska; and in the Puget Sound area of Washington State.

Any change to the electronic monitoring system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

Inspections are scheduled no later than ten (10) working days after NMFS receives a complete application for an inspection. Inspections will be conducted on vessels tied to docks in Alaska at Dutch Harbor and Kodiak and in the Puget Sound area of Washington State.

Electronic Monitoring System Inspection Report.

After an inspection, NMFS will issue an electronic monitoring system inspection report to the vessel owner, if the electronic monitoring system meets the requirements. The electronic monitoring system report is valid for 12 months from the date it is issued by NMFS. The vessel owner must maintain a current EMS Inspection Report onboard the vessel at all times the vessel is required to provide an approved electronic monitoring system. The electronic monitoring system inspection report must be made available to the observer, NMFS personnel, or to an authorized officer upon request.

Request for Inspection, Electronic Monitoring System

Indicate Program --whether Chinook Salmon Bycatch or Freezer Longline Scales

Diagram **attachment** (drawn to scale)

Chinook Salmon Bycatch

All locations where salmon will be sorted

Location of the salmon storage container

Location of each camera and its coverage area

Location of any additional video equipment, including monitors and hard drives

Freezer Longline Scales Option

All locations where sorting occurs

Location of the motion-compensated scale

Location of each camera and its coverage area

Location of any additional video equipment, including monitors and hard drives

Vessel name and Federal fisheries permit number

Business mailing address, business telephone number, business fax number, and business e-mail address

Name of individual or company who will install and maintain the system

Name of person on vessel who will maintain system and aid observer

System specifications

Pixel length and width of image

File type to which data are recorded

Compression type

Frame rate at which data are recorded

Storage device type and size

Brand and model number of the cameras

Brand, model, and specifications of the lenses

Type, speed, and operating system of any computer that is part of the system

Inspection Request, Electronic Monitoring System, Respondent	
Number of respondents	54
Total annual responses	54
Responses per year = 1	
Total burden hours	108 hr
Estimated time per response = 2 hr	
Total personnel cost (\$25/hr)	\$2,700
Total miscellaneous cost (5.40)	\$5
Photocopy (0.05 x 54 = 2.70)	
Email submittal (0.05 x 54 = 2.7)	

Inspection request, Electronic Monitoring System, Federal Government	
Total annual responses	54
Total burden hours (5.40)	5
Time per response = 6 minutes	
Total personnel cost (\$25/hr)	\$125
Total miscellaneous cost	0

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. NOAA Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The notification form is available on the NMFS Alaska Region website (<http://alaskafisheries.noaa.gov/>) as a fillable form. The inspection request for at-sea scales is available online and as a fillable form. The inspection request for the observer sampling station and the inspection request for electronic monitoring are available as fillable forms.

The required printed output format is programmed into each scale. Complying with NMFS' requirements is either automatic when the scale operator changes memories or requires only invoking the "print" command on the scale display.

The daily flow scale test form is available as a Microsoft Excel template that can be installed on the vessel's computer if the operator wishes to do so. The daily flow scale and daily hopper scale test forms also are available as "fillable" forms on the web page indicated above.

4. Describe efforts to identify duplication.

None of the information collected as part of this information collection duplicates other collections. This information collection is part of a specialized and technical program that is not like any other.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

This action would directly regulate the activities of 33 vessels active in the longline catcher/processor subsector fishing for a smaller number of separate entities. Although up to 37 LLP licenses comprise the longline catcher/processor subsector, based on current trends of consolidation among vessel owners, NMFS anticipates that it is likely that 33 or fewer vessels will be active in the longline catcher/processor sector. NMFS does not currently have data to precisely track ownership patterns in North Pacific fisheries. NMFS has reviewed vessel ownership, as recorded on the website of the FLCC. On the basis of this information, NMFS estimates that the vessels are currently owned by no more than 13 separate for-profit entities.

For the purpose of the [Regulatory Flexibility Act](#) (RFA), NMFS estimates that all of the directly regulated entities are large entities. In 2010, the most recent year for which the necessary gross revenues information is available, 17 of 36 active vessels had less than \$4 million in gross revenues from fishing for Pacific cod. Although, the vessels target Pacific cod predominately and most of their revenues are from this source, some obtain revenues from other fisheries or fishery support activities, such as tendering or processing salmon in the summer.

Even though small numbers of directly regulated vessels and entities may be described as small with respect to their own gross revenues, when affiliations among entities are considered, as required under the RFA, there are no small entities in this fishery. The directly regulated vessels in this fleet have formed a fisheries cooperative that effectively allocates to each vessel a share of the Pacific cod TAC and of the available halibut PSC. These vessel-specific individual quotas are enforced under a private contract among the entities. Therefore, for the purpose of this analysis, the directly regulated entities are all affiliated, with all the entities that would otherwise be characterized as small, having affiliations with larger entities. Thus, there are no directly regulated small entities under this action.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

Without the daily scale test results and the printed output from the scale, NMFS would be unable to effectively audit catch in fisheries requiring use of scales. Without the daily scale testing and printed output frequency, NMFS would not be as confident of the accuracy of the scales. Given that scales are used only in fisheries where there are expectations of highly accurate catch monitoring, this would not be acceptable.

Without the inspection request forms, NMFS would be unable to coordinate and schedule inspections expeditiously. The electronic monitoring system is necessary to satisfy the requirements. Without the requirements for electronic monitoring, the Program would be in jeopardy.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

No special circumstances are associated with this information collection.

8. Provide information on the Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

NMFS Alaska Region will submit a proposed rule (RIN 0648-BB67) coincident with this submission, requesting comments from the public.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payment or gift will be provided under this program.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

As stated on the forms, the information collected under Magnuson-Stevens Act, as amended in 2006, is confidential under section 402(b). The information is also confidential under [NOAA Administrative Order 216-100](#), which sets forth procedures to protect confidentiality of fishery statistics.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

This information collection does not involve information of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Estimated total unique respondents: 94 (2 scale manufacturers, 46 at-sea processors, 13 inshore processors, 33 freezer longline processors) increased from 61. Estimated total responses: 38,221, increased from 23,650. Estimated total time burden: 11,259 hours, up from 6,548 hours.

Estimated total personnel cost: \$281,225, up from \$163,450. Personnel labor costs are estimated to the average wage equivalent to a GS-7 employee in Alaska, including COLA, at \$25 per hour.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

Total operational and maintenance costs: \$650,353, up from \$113,664.

Total annualized capital costs: \$4,290,927, plus \$800 remaining from capital costs incurred in 2011, for a total of \$4,291,727.

Total annualized costs: \$4,942,080.

Capital costs are costs incurred by longline gear catcher/processors for a flow scale, observer sampling station, and video monitoring system to be used in the production of product -- in other words, the total cost needed to bring a project to a commercially operable status. Capital costs are fixed and are therefore independent of the level of output. Unlike operating costs, capital costs are one-time expenses, although payment may be spread out over several years for financial purposes and for three years for PRA purposes.

14. Provide estimates of annualized cost to the Federal government.

Estimated total responses: 362, up from 178. Estimated total time burden: 509 hr, up from 482. Estimated total personnel cost: \$12,725, up from \$12,050. Total annualized capital costs: \$152,000. Total annualized costs: \$.

15. Explain the reasons for any program changes or adjustments.

We are adding a new program for catcher/processors using longline gear.

Program changes, resulting in an increase of 33 respondents, 14,571 responses, 4,711 hours, \$536,692 in miscellaneous costs and \$4,290,927 in capital costs:

NMFS-approved longline flow scales is added

- an increase of 33 respondents, 33 instead of 0
- an increase of \$273,900 miscellaneous costs, \$273,900 instead of \$0
- an increase of \$3,878,050 capital costs, \$3,878,050 instead of \$0

Notification of Pacific cod monitoring option is added

- an increase of 33 respondents and responses, 33 instead of 0
- an increase of 17 hours burden, 17 instead of 0
- an increase of \$425 personnel costs, \$425 instead of \$0
- an increase of \$3 miscellaneous costs, \$3 instead of \$0

Inspection request for at-sea scales is revised

an increase of 33 respondents and responses, 79 instead of 46
an increase of 3 hour burden, 8 instead of 5 hours
an increase of \$75 personnel costs, \$200 instead of \$125
an increase of \$3 miscellaneous costs, \$13 instead of \$10

Observer notification of scale tests is revised

an increase of 33 respondents, 79 instead of 46
an increase of 4,455 responses, 10,665 instead of 6,210
an increase of 170 hour burden, 356 instead of 186 hours
an increase of \$4,250 personnel costs, \$8,900 instead of \$4,650

Records of daily flow scale tests is revised

an increase of 33 respondents, 79 instead of 46
an increase of 4,860 responses, 10,665 instead of 5,805
an increase of 3,645 hr burden, 7,999 hr instead of 4,354 hr
an increase of \$91,125 personnel costs, \$199,975 instead of \$108,850
a decrease of \$1,260 miscellaneous costs, \$2,765 instead of \$1,505

Printed output from at-sea scale is revised

an increase of 33 respondents, 79 instead of 46
an increase of 4,455 responses, 10,665 instead of 6,210
an increase of 54 hr burden, 178 hr instead of 124 hr
an increase of \$1,350 personnel costs, \$4,450 instead of \$3,100
an increase of \$1,155 miscellaneous costs, \$2,765 instead of \$1,610

Observer sampling station is revised

an increase of 33 respondents, 79 instead of 46
an increase of \$39,500 miscellaneous costs, \$39,500 instead of \$0
an increase of \$198,000 capital costs, \$198,000 instead of \$0

Inspection request for observer sampling station is revised

an increase of 33 respondents and responses, 79 instead of 46
an increase of 66 hours burden, 158 instead of 92 hours
an increase of \$1,650 personnel costs, \$3,950 instead of \$2,300
an increase of \$3 miscellaneous costs, \$13 instead of \$10

Electronic monitoring system is transferred from OMB Control No. 0648-0610 with 33 respondents and revised to reflect 54 respondents. For this collection, however, there were previously NO respondents or burden:

an increase of 54 respondents, 54 instead of 0
an increase of 648 responses, 648 instead of 0
an increase of 648 hours burden, 648 instead of 0 hours
an increase of \$16,200 personnel costs, \$16,200 instead of \$0

an increase of \$220,860 miscellaneous costs, \$220,860 instead of \$0
an increase of \$214,877 capital costs, \$214,877 instead of \$0

Inspection request for electronic monitoring system is transferred from OMB Control No. 0648-0610 with 33 respondents and revised to reflect 54 respondents. For this collection, however, there were previously NO respondents or burden:

an increase of 54 respondents and responses, 54 instead of 0
an increase of 108 hours burden, 108 instead of 0 hours
an increase of \$2,700 personnel costs, \$2,700 instead of \$0
an increase of \$5 miscellaneous costs, \$5 instead of \$0

This action integrates temporary OMB Control No. 0648-0610 into this collection, OMB 0648-0330. Changes were made to this inspection request by removing some questions from the form that were no longer applicable. Removal of that information does not affect the numbers for this collection.

Adjustment: For scale type evaluation, there was a one-time capital cost of \$2,500 incurred in 2011. This figure has been adjusted to show a remaining \$800, based on a three-year amortization.

16. For collections whose results will be published, outline the plans for tabulation and publication.

The information collected will not be published.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not Applicable.

18. Explain each exception to the certification statement.

Not Applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection-of-information does not employ statistical methods.