### U.S. IMPORTERS' QUESTIONNAIRE

# FROZEN WARMWATER SHRIMP FROM CHINA, ECUADOR, INDIA, INDONESIA, MALAYSIA, THAILAND, AND VIETNAM

This questionnaire must be received by the Commission by no later than January 11, 2013

See page 4 of the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty investigations concerning frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (Inv. Nos. 701-TA-491-497 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

			Zip Code	
World Wide V	Veb address			
	nported frozen warmwater shrir		ne instruction booklet) from any country at any	
□ NO (	Sign the certification below and pr	omptly return only th	is page of the questionnaire to the Commission)	
	Read the instruction booklet carefu questionnaire to the Commission so		s of the questionnaire, and return the entire the date indicated above)	
	C	ERTIFICATION	N	
	on herein supplied in response	to this questionna	ire is complete and correct to the best of my	knowledg
lief and understand ans of this certifica ation provided in th mmission on the san	on herein supplied in response that the information submitted tion I also grant consent for is questionnaire and through the or similar merchandise.	to this questionna lis subject to audit the Commission, out this proceeding	ire is complete and correct to the best of my and verification by the Commission.  and its employees and contract personnel, g in any other import-injury proceedings con	to use th
lief and understand ans of this certifica ation provided in the mmission on the san owledge that informission, its employees ining the records of dings relating to the	on herein supplied in response that the information submitted tion I also grant consent for is questionnaire and through the or similar merchandise.  The proceeding or related proceeding or related proceeding or related proceed the submitted in this questy.	to this questionnal is subject to audit the Commission, out this proceeding tionnaire response are acting in the ceedings for which	ire is complete and correct to the best of my and verification by the Commission.  and its employees and contract personnel,	to use the ducted by sed by the eloping of audits and
lief and understand ans of this certifica ation provided in the mmission on the san owledge that informission, its employees ining the records of dings relating to the	on herein supplied in response that the information submitted tion I also grant consent for is questionnaire and throughous or similar merchandise.  Intain submitted in this quest, and contract personnel who this proceeding or related proceptions of a non-disclosure agreements.	to this questionnal is subject to audit the Commission, out this proceeding tionnaire response are acting in the ceedings for which the Commission page 1.	ire is complete and correct to the best of my and verification by the Commission.  and its employees and contract personnel, in any other import-injury proceedings count and throughout this proceeding may be used this information is submitted, or in internal	to use the ducted by sed by the eloping of audits and
lief and understand ans of this certifica ation provided in the mmission on the san owledge that infornission, its employees ining the records of dings relating to the ct personnel will sign	on herein supplied in response that the information submitted tion I also grant consent for is questionnaire and through the or similar merchandise.  Title of Authorization herein supplies and contract personnel who this proceeding or related	to this questionnal is subject to audit the Commission, out this proceeding tionnaire response are acting in the ceedings for which the Commission page 1.	ire is complete and correct to the best of my and verification by the Commission.  and its employees and contract personnel, in any other import-injury proceedings control and throughout this proceeding may be used capacity of Commission employees, for development to 5 U.S.C. Appendix 3. I understandard	to use the ducted by sed by the eloping of audits and

#### PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a.		ase report below the actual number of hour reply to this questionnaire and completing		the cost to your
			hours	dollars
I-1b.	questionnaire in gener	are interested in any comments you may ral or the clarity of specific questions. Ple a to the above address.		
I-2.	questionnaire (see pag	redProvide the name and address of estage 3 of the instruction booklet for reporting a specify the stock exchange and trading s	g guidelines). It	
I-3.	OwnershipIs your	firm owned, in whole or in part, by any ot	ther firm?	
	□ No □ Y	esList the following information		
	Firm name	<u>Address</u>	-	Extent of ownership

## PART I.--GENERAL INFORMATION--Continued

foreign, that are e Indonesia, Malay	engaged in importing sia, Thailand, or Vie er shrimp from Chine	g frozen warmwater etnam into the Unit	r shrimp from ed States or th	s, either domestic or China, Ecuador, India at are engaged in exp aysia, Thailand, or Vi
□ No □	YesList the foll	owing information.		
Firm name	<u>Ad</u>	dress		Affiliation
	ersDoes your firm oduction of frozen v			mestic or foreign, tha
□ No □	YesList the foll	owing information.		
Firm name	Ad	dress		<u>Affiliation</u>
	ntionsPlease indic up. More than one a	•	_	orting operations on f
☐ Importer of re	ecord	☐ Tak	tes title to the	imported product(s)
Consignee of	the imported produc	ets(s)	stoms broker o	or freight forwarder.
	list the consignees			r shrimp but is not the
				Contact person and 1
Firm name	<u>Ad</u>	<u>dress</u>		number
Firm name	<u>Ad</u>	<u>dress</u>		<u>number</u>

# PART I.--GENERAL INFORMATION--Continued

I-8.			indicate whether your firm enters frozen warmwater andise from, foreign trade zones or bonded warehouses.
	Foreign trade zones	☐ No	Yes
	Bonded warehouses	☐ No	Yes
I-9.			Please indicate whether your firm imports frozen porary importation under bond) program.
	□ No □ Ye	es	
I-10.			our knowledge, have the products subject to this er import relief proceedings in the United States or in any
	□ No □ Ye	s–Please specify	/

## PART II.-- TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amy Sherman (202-205-3289, amy.sherman@usitc.gov). **Supply all data requested on a <u>calendar-year</u> basis**.

	ff may contact that indiv	idual regarding the confidential information submitted in
part II.		
Name		
Title		
Email		
Telephone		
Fax		
		e whether your firm has experienced any of the following frozen warmwater shrimp since January 1, 2009.
·	y as appropriate)	
office/wa	arehouse openings	
office/wa	arehouse closings	
relocation	ns	
expansio	ns	
acquisition	ons	
onsolida	ntions	
□ prolonge	d shutdowns or	
	on curtailments	
revised la	abor agreements	
$\bigcap$ other (e.s	z. technology)	

# PART II.-- TRADE AND RELATED INFORMATION -- Continued

Oct-Dec 2012	(Quantity in pounds)  Jan-Mar 2013	Apr-Jun 2013	
		Apr-Juli 2013	Jul-Sep 201
			-
			ngIf your firm also produces frozen warmwater shrimp your reasons for importing this product. If your reasons

## PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5a. <u>IMPORTS FROM CHINA</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **CHINA** by your firm during the specified periods. (See definitions in the instruction booklet.)

## **CHINA**

		ds), value ( <i>in \$°</i> Calendar years	•	January-S	entember
ltem	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: <sup>1</sup>					
Quantity of imports					
Value of imports					
U.S. shipments:		•	I	•	
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known:					
<sup>2</sup> Sales to related firms (including internal consur different basis for valuing these sales within your co value data using that basis for each of the periods n	mpany, please				
<sup>3</sup> Identify your principal export markets: <sup>4</sup> Reconciliation of dataPlease note that the <b>qu</b> inventories, plus imports, less total shipments, equa					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users (i		et and other ret	ailers that sell t	o customers).	

## PART II.-- TRADE AND RELATED INFORMATION -- Continued

II-5b. <u>IMPORTS FROM ECUADOR</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **ECUADOR** by your firm during the specified periods. (See definitions in the instruction booklet.)

# **ECUADOR**

Quantity	(in 1,000 pour	nds), value (in \$	1,000)		
		Calendar year	s	January-Septem	
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if know	n:				
<sup>2</sup> Sales to related firms (including internal considifferent basis for valuing these sales within your divalue data using that basis for each of the periods)	company, pleas				
<sup>3</sup> Identify your principal export markets:					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users <sup>6</sup> Entities such as restaurants, hotels, hospitals		ket and other re	tailers that sell t	o customers).	

### PART II.-- TRADE AND RELATED INFORMATION -- Continued

II-5c. <u>IMPORTS FROM INDIA</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **INDIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

## **INDIA**

		Calendar years	5	January-Septembe	
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1					
Quantity of imports					
Value of imports					
U.S. shipments:		•			
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known:	:				
<sup>2</sup> Sales to related firms (including internal consultifierent basis for valuing these sales within your covalue data using that basis for each of the periods related.)	ompany, please				
<sup>3</sup> Identify your principal export markets:  4 Reconciliation of dataPlease note that the quinventories, plus imports, less total shipments, equa					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users ( <sup>6</sup> Entities such as restaurants, hotels, hospitals,	i.e., supermarl	ket and other ret	tailers that sell t	o customers).	

## PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5d. <u>IMPORTS FROM INDONESIA</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **INDONESIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

# **INDONESIA**

<u> </u>	•	ds), value ( <i>in \$°</i> Calendar years	•	January-S	Sentember
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: <sup>1</sup>		I.	<u> </u>	I.	
Quantity of imports					
Value of imports					
U.S. shipments:			1		
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known:					
<sup>2</sup> Sales to related firms (including internal consur different basis for valuing these sales within your co value data using that basis for each of the periods n	mpany, please				
<sup>3</sup> Identify your principal export markets: <sup>4</sup> Reconciliation of dataPlease note that the <b>qu</b> inventories, plus imports, less total shipments, equa					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users (i		cet and other ret	ailers that sell t	o customers).	

## PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5e. <u>IMPORTS FROM MALAYSIA</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **MALAYSIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

## **MALAYSIA**

		Calendar years	5	January-Septembe	
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1		1			
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known:	:				
<sup>2</sup> Sales to related firms (including internal consultifierent basis for valuing these sales within your covalue data using that basis for each of the periods related.)	ompany, please				
<sup>3</sup> Identify your principal export markets:  4 Reconciliation of dataPlease note that the quinventories, plus imports, less total shipments, equa					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users ( <sup>6</sup> Entities such as restaurants, hotels, hospitals,	i.e., supermarl	ket and other ret	tailers that sell t	o customers).	

## PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5f. <u>IMPORTS FROM THAILAND</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **THAILAND** by your firm during the specified periods. (See definitions in the instruction booklet.)

## **THAILAND**

		Calendar years	5	January-Septembe	
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1		1			
Quantity of imports					
Value of imports					
U.S. shipments:		•			
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known:	:				
<sup>2</sup> Sales to related firms (including internal consultifierent basis for valuing these sales within your covalue data using that basis for each of the periods related.)	ompany, please				
<sup>3</sup> Identify your principal export markets:  4 Reconciliation of dataPlease note that the quinventories, plus imports, less total shipments, equa					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users ( <sup>6</sup> Entities such as restaurants, hotels, hospitals,	i.e., supermarl	ket and other ret	tailers that sell t	o customers).	

## PART II.-- TRADE AND RELATED INFORMATION -- Continued

II-5g. <u>IMPORTS FROM VIETNAM</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **VIETNAM** by your firm during the specified periods. (See definitions in the instruction booklet.)

# **VIETNAM**

Quantity	(in 1,000 pour	nds), value (in \$	1,000)		
		Calendar year	s	January-S	September
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if know	n:				
<sup>2</sup> Sales to related firms (including internal considifferent basis for valuing these sales within your divalue data using that basis for each of the periods)	company, pleas				
<sup>3</sup> Identify your principal export markets:					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users <sup>6</sup> Entities such as restaurants, hotels, hospitals		ket and other re	tailers that sell t	o customers).	

### PART II.-- TRADE AND RELATED INFORMATION -- Continued

II-5h. <u>IMPORTS FROM NONSUBJECT SOURCES</u>.—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **all other sources combined** by your firm during the specified periods. (See definitions in the instruction booklet.)

# ALL OTHER SOURCES COMBINED

Quantity (	in 1,000 poun	ds), value (in \$	1,000)		
		Calendar years	5	January-S	September
Item	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports:1					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value <sup>2</sup> of internal consumption/transfers					
Export shipments: <sup>3</sup>					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories <sup>4</sup> (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers <sup>5</sup> / institutional buyers <sup>6</sup> ( <i>quantity</i> )					
<sup>1</sup> Please identify the foreign producers, if known	:				
<sup>2</sup> Sales to related firms (including internal consu different basis for valuing these sales within your covalue data using that basis for each of the periods in	ompany, pleas				
<sup>3</sup> Identify your principal export markets:  4 Reconciliation of dataPlease note that the quinventories, plus imports, less total shipments, equality					
Yes NoPlease explain: <sup>5</sup> Entities that purchase and resell to end users ( <sup>6</sup> Entities such as restaurants, hotels, hospitals,		ket and other ret	tailers that sell t	to customers).	

#### PART III.-- PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250 Amelia.Preece@usitc.gov).

III-1. <u>Contact information.</u>-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

#### **PRICE DATA**

- III-2. These questions requests quarterly price and quantity data, f.o.b. your U.S. point of shipment, for your commercial shipments to unrelated U.S. customers since January 1, 2009 of the following products you imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam:
- <u>Product 1.--</u> Frozen, raw warmwater shrimp or prawns, all species, 71 to 90 count, headless, peeled (whether or not deveined), tail-off, block frozen (cut or not cut).
- <u>Product 2</u>,-- Frozen, raw warmwater shrimp or prawns, all species, 41 to 50 count, P&D (peeled and deveined), tail-off, block frozen (cut or not cut).
- <u>Product 3.--</u> Frozen, raw warmwater shrimp or prawns, all species, 10 to 15 count, headless, shell-on, block frozen.
- <u>Product 4.--</u> Frozen, cooked warmwater shrimp or prawns, all species, 26 to 30 count, P&D (peeled and deveined), headless, tail-on or tail-off, IQF (individually quick frozen).

Please note that total dollar values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Total dollar values should reflect the *final net* amount paid to you (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2a. **Price data**.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

# **CHINA**

		Quantity I	in pounds, v	alue <i>in de</i>	ollars)			
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
1 Net values (i.e., gros returned goods), f.o.b. your 2 Pricing product definition Product 1, please indicate Product 2, please indicate Product 3, please indicate Product 4, please indicate Product 4, please indicate Product 5.	r U.S. point o itions are pro species (e.g. species (e.g. species (e.g.	f shipment vided on th , white, tige , white, tige , white, tige	er, etc.): er, etc.): er, etc.): er, etc.):	of Part III.	ebates, pre	paid freight	, and the va	lue of
NoteIf your product does provide a description of you	not exactly rur product. A	meet the pales	roduct specifi e explain any	ications bu anomalie				oroduct,
Product 1:								
Product 3:			-					
Product 4:								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2b. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Ecuador and sold by your firm.

# **ECUADOR**

	(	Quantity	in pounds, v	alue <i>in de</i>	ollars)			
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gross returned goods), f.o.b. your <sup>2</sup> Pricing product defini  Product 1, please indicate s	r U.S. point of itions are pro- species (e.g.,	f shipment vided on th , white, tige	ne first page o er, etc.):	of Part III.	ebates, prep	oaid freight	, and the va	lue of
Product 2, please indicate s Product 3, please indicate s Product 4, please indicate s	species (e.g.,	, white, tige	er, etc.):					
<b>Note</b> If your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Product 4:								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2c. **Price data**.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from India and sold by your firm.

# **INDIA**

	(	Quantity	in pounds, v	alue <i>in de</i>	ollars)			
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gross returned goods), f.o.b. your <sup>2</sup> Pricing product defini  Product 1, please indicate s	r U.S. point of itions are pro- species (e.g.,	f shipment vided on th , white, tige	ne first page o er, etc.):	of Part III.	ebates, prep	oaid freight	, and the va	lue of
Product 2, please indicate s Product 3, please indicate s Product 4, please indicate s	species (e.g.,	, white, tige	er, etc.):					
<b>Note</b> If your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Product 4:								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2d. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Indonesia and sold by your firm.

# **INDONESIA**

		(Quantity	in pounds, v	alue <i>in d</i>	ollars)			
	Produ	uct 1	Produ	ict 2	Prod	uct 3	Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gros- returned goods), f.o.b. your <sup>2</sup> Pricing product defini Product 1, please indicate s Product 2, please indicate s Product 3, please indicate s	r U.S. point o itions are pro species (e.g. species (e.g.	of shipment ovided on the , white, tigo , white, tigo	t. he first page o er, etc.): er, etc.):	of Part III.	rebates, prep	oaid freight	t, and the va	lue of
Product 4, please indicate	species (e.g.	, white, tige	er, etc.):					
<b>Note</b> -If your product does provide a description of you								product,
Product 1:								
Product 2:								
Product 3:								
Droduct 4:								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2e. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Malaysia and sold by your firm.

# **MALAYSIA**

(Quantity in pounds, value in dollars)								
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gross returned goods), f.o.b. your <sup>2</sup> Pricing product defini Product 1, please indicate s	U.S. point o tions are pro	f shipment vided on th	ne first page o	of Part III.	ebates, prep	oaid freight	, and the va	lue of
Product 2, please indicate s Product 3, please indicate s Product 4, please indicate s	species (e.g. species (e.g.	, white, tige , white, tige	er, etc.): er, etc.):					
<b>Note</b> If your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Droduct 4								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2f. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Thailand and sold by your firm.

# **THAILAND**

(Quantity in pounds, value in dollars)								
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
Net values (i.e., gross returned goods), f.o.b. your     Pricing product defini  Product 1, please indicate s Product 2, please indicate s	U.S. point o tions are pro species (e.g.	f shipment vided on th , white, tige	ne first page o er, etc.):	of Part III.	ebates, prep	oaid freight	, and the va	lue of
Product 2, please indicate s Product 3, please indicate s Product 4, please indicate s	species (e.g.	, white, tige	er, etc.):					
<b>Note</b> If your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Droduct 4								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2g. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Vietnam and sold by your firm.

# **VIETNAM**

		Quantity	in pounds, v	alue in de	ollars)			
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gros- returned goods), f.o.b. your <sup>2</sup> Pricing product defini Product 1, please indicate e Product 2, please indicate e Product 3, please indicate e Product 4, please indicate e	r U.S. point o itions are pro species (e.g. species (e.g. species (e.g.	f shipment vided on th , white, tig , white, tig , white, tig	er, etc.): er, etc.): er, etc.): er, etc.):	of Part III.	rebates, prep	oaid freight	, and the va	lue of
NoteIf your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Product 4:								

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-3. Nonsubject price data.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Mexico and sold by your firm.

# **MEXICO**

(Quantity in pounds, value in dollars)								
	Produ	ıct 1	Produ	ct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values ( <i>i.e.</i> , gross returned goods), f.o.b. your <sup>2</sup> Pricing product defini Product 1, please indicate s	U.S. point o tions are pro	f shipment vided on th	ne first page o	of Part III.	ebates, prep	oaid freight	, and the va	lue of
Product 2, please indicate s Product 3, please indicate s Product 4, please indicate s	species (e.g. species (e.g.	, white, tige , white, tige	er, etc.): er, etc.):					
<b>Note</b> If your product does provide a description of you								oroduct,
Product 1:								
Product 2:								
Product 3:								
Droduct 4								

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-4.	<b>Price setting</b> How does your firm determine the prices that it charges for sales of frozen
	warmwater shrimp(check all that apply)? If your firm issues price lists, please submit sample
	pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

III-5. <u>Discount policy.--</u> Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

### III-6. **Pricing terms.--**

(a) What are your firm's typical sales terms for frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam?

Net 30 days	Net 60 days	2/10 net 30 days	Other (specify)

(b) On what basis are your prices of imported frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-7. Contract versus spot.--Approximately what share of your firm's sales of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam in 2011 were on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

Type of sale	<u>Share</u> 2011 s	_
<b>Long-term contracts</b> (multiple deliveries for more than 12 months)		%
<b>Short-term contracts</b> (multiple deliveries up to and including 12 months)		%
Spot sales (for a single delivery)		%
Total	100	%

III-8. <u>Contract provisions.</u>— Please fill out the table with respect to provisions of your typical sales contracts for frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (or check "not applicable" if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries up to and including 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	Number of days		
Price renegotiation (during the	Yes		
contract period)	No		
	Quantity		
Fixed quantity and/or price	Price		
	Both		
Most or relegge provision	Yes		
Meet or release provision	No		
Not applicable			

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-9. <u>Lead times.--</u>What is your share of sales of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam both from inventory and produced to order and what is the average lead time between a customer's order and the date of delivery for your firm's sales of frozen warmwater shrimp?

	Source	ce	Share of 2011 sales	<u>Lead time</u> (days)
		your U.S. inventory	%	
	1 10111	your old. Inventory		, <u> </u>
	From	foreign manufacturers' inventory	%	
	Produ	iced to order	%	
	То	tal	100 %	
III-10.	Shippi	ng information.—		
	(a)	What is the approximate percentage of the total deliver shrimp imported from China, Ecuador, India, Indonesia Vietnam that is accounted for by U.S. inland transporta	a, Malaysia, 7	Γhailand, and
	(b)	Who generally arranges the transportation to your customark Your firm Purchaser	omers' location	ons (check one)?
	(c)	When you sell frozen warmwater shrimp imported from Indonesia, Malaysia, Thailand, and Vietnam, from when Point of importation Storage facility		
	(d)	Indicate the approximate percentage of your sales of fr from China, Ecuador, India, Indonesia, Malaysia, Thai delivered the following distances from your U.S. point	land, and Vie	

Distance from your U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total	100 %

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-11. <u>Geographical shipments--</u>What is the geographic market area in the United States served by your firm's shipments of frozen warmwater shrimp imported from any source? (check all that apply)

Geographic area	if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
SoutheastAL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central SouthwestAR, LA, OK, and TX.	
MountainsAZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific CoastCA, OR, and WA.	
<b>Other</b> .—All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	

III-12. <u>End uses-</u>Describe the end uses (for example, breaded shrimp and prawns, or shrimp and prawns in prepared meals) of the frozen warmwater shrimp that you import from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam. For each end-use product, what percentage of the total cost is accounted for by frozen warmwater shrimp and other inputs?

		Share of total cost of end use product accounted for by		
End use product	Frozen warmwater shrimp(percent)	Other inputs (percent)	Total	
	%	%	100%	
	%	%	100%	
	%	%	100%	

## PART III.-- PRICING AND RELATED INFORMATION--Continued

		E.	nd uso in v	which this			anges in the prices of this substitute ed the price for frozen warmwater shrimp?
Substitute			End use in which this substitute is used		No	No Yes	Explanation
(	(if known) for principal fact	r frozen wa	ırmwater s	hrimp has ch	nanged	since	January 1, 2009. Describe the
(	(if known) for principal factors  Market  Within	r frozen wa ors that hav	nrmwater size affected  No change	hrimp has ch these chang	nanged es in d	since	January 1, 2009. Describe the d.
(	Market Within the United States Outside	r frozen wa ors that hav	No change	hrimp has change these change Decrease	nanged es in d	since	d.
(	Market Within the United States	r frozen wa ors that hav	nrmwater size affected  No change	hrimp has ch these chang	nanged es in d	since	January 1, 2009. Describe the d.

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-16.	Business cycles
	(a) Is the frozen warmwater shrimp market subject to business cycles or conditions of competition (including seasonal business) distinctive to frozen warmwater shrimp?
	☐ No (skip to question III-16.) ☐ Yes Please describe and then answer part (b).
	(b) If yes, have there been any changes in the business cycles or conditions of competition for frozen warmwater shrimp since January 1, 2009?
	☐ No ☐ Yes Please describe.
III-17a.	<u>Supply constraints.</u> Has your firm refused, declined, or been unable to supply frozen warmwater shrimp since January 1, 2009 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?
	☐ No ☐ Yes Please describe.

## PART III.-- PRICING AND RELATED INFORMATION--Continued

III-17b	. Supply constraints.—Please describe the effect that regulations (e.g., USDA, etc.) have on your ability to supply frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam.
	Please describe any regulations in other countries that affect the U.S. market for frozen warmwater shrimp.
III-18.	Raw materialsPlease describe any trends in the prices of raw materials used to produce frozen warmwater shrimp and whether your firm expects these trends to continue.

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-19. <u>Interchangeability.</u>--Is frozen warmwater shrimp produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

0 = no familiarity with products from a specified country-pair

		1					T	1
Country- pair	China	Ecuador	India	Indonesia	Malaysia	Thailand	Vietnam	Other countries
United States								
China								
Ecuador								
India								
Indonesia								
Malaysia					>			
Thailand	$\times$					><		
Vietnam							><	
For any cou please expla	ntry-pair pro	oducing froz ors that limit	en warmw or precluc	vater shrimpth de interchang	aat is sometileable use:	mes or nev	er interchan	geable,

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-20. **Factors other than price.--**Are differences other than price (*i.e.*, quality, availability, transportation network, product range, technical support, *etc.*) between frozen warmwater shrimp produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N =such differences are *never* significant

0 = no familiarity with products from a specified country-pair

Country- pair	China	Ecuador	India	Indonesia	Malaysia	Thailand	Vietnam	Other countries	
United States									
China									
Ecuador									
India									
Indonesia									
Malaysia									
Thailand									
Vietnam									
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor firm's sales of frozen warmwater shrimp, identify the country-pair and report the advantages or disadvantages imparted by such factors:									
_									

### PART III.-- PRICING AND RELATED INFORMATION--Continued

III-21. **Customer Identification**--Please identify the names and contact information for your firm's 10 largest U.S. customers for frozen warmwater shrimp since January 1, 2009. Indicate the share of the quantity of your firm's total shipments of frozen warmwater shrimp that each of these customers accounted for in 2011.

Customer's name		Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of 2011 sales (%)
1					Street Address , City State Zip Code	
2					Street Address City State Zip Code	
3					Street Address City State Zip Code	
4					Street Address City State Zip Code	
5					Street Address City State Zip Code	
6					Street Address City State Zip Code	
7					Street Address City State Zip Code	
8					Street Address City State Zip Code	
9					Street Address City State Zip Code	
10					Street Address City State Zip Code	