

FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

**FROZEN WARMWATER SHRIMP FROM CHINA, ECUADOR, INDIA, INDONESIA,
MALAYSIA, THAILAND, AND VIETNAM**

This questionnaire must be received by the Commission by no later than January 11, 2013

See page 4 of the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty investigations concerning frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (Inv. Nos. 701-TA-491-497 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

<p>Name of firm _____</p> <p>Address _____</p> <p>_____</p> <p>World Wide Web address _____</p> <p>Has your firm produced or exported frozen warmwater shrimp (as defined in the instruction booklet) at any time since January 1, 2009?</p> <p><input type="checkbox"/> NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)</p> <p><input type="checkbox"/> YES (Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)</p>
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CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

_____ <i>Name of Authorized Official</i>	_____ <i>Title of Authorized Official</i>	_____ <i>Date</i>
_____ <i>Signature</i>	_____ <i>Phone:</i>	_____ <i>Email address</i>
	_____ <i>Fax:</i>	

PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. **OMB statistics**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____hours _____dollars

I-1b. **OMB feedback**--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. **Establishments covered**--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. **U.S. importers**--Please provide the names, street addresses (not P.O. boxes), contacts, telephone numbers, and e-mail addresses of the **FIVE** largest U.S. importers of your firm's frozen warmwater shrimp in 2011.

Importer's name	Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of your 2011 exports (%)
1				Street Address City ' State Zip Code	
2				Street Address City ' State Zip Code	
3				Street Address City ' State Zip Code	
4				Street Address City ' State Zip Code	
5				Street Address City ' State Zip Code	

PART I.--GENERAL INFORMATION--Continued

I-4. **Related U.S. producers.**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce frozen warmwater shrimp in the United States or other countries?

- No Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire (contact Fred Ruggles, fred.ruggles@usitc.gov for copies of that questionnaire).

I-5. **Related U.S. importers.**--Does your firm or any related firm import or have any plans to import frozen warmwater shrimp into the United States?

- No Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire (contact Fred Ruggles, fred.ruggles@usitc.gov for copies of that questionnaire).

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Fred Ruggles (202-205-3187, fred.ruggles@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of frozen warmwater shrimp since January 1, 2009.

(check as many as appropriate) *(please describe)*

plant openings _____

plant closings..... _____

relocations _____

expansions _____

acquisitions..... _____

consolidations..... _____

prolonged shutdowns or
importation curtailments _____

revised labor agreements..... _____

other (*e.g.*, technology) _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the production of frozen warmwater shrimp in the future?

- No Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce frozen warmwater shrimp (in 1,000 pounds) for 2012 and 2013.**

II-4. **Same equipment, machinery, and workers.**--Has your firm since 2009 produced, or does your firm anticipate producing in the future, other products on the same equipment and machinery used in the production of frozen warmwater shrimp?

- No Yes--List the following information and report your firm's combined production capacity and production of these products and frozen warmwater shrimp in the periods indicated.

<u>Product</u>	<u>Period</u>	<u>Basis for allocation of capacity data</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

(Quantity in 1,000 pounds)					
Item	2009	2010	2011	January-September	
				2011	2012
Overall Production Capacity					
Production of: Frozen warmwater shrimp					
Other product 1: _____					
Other product 2: _____					

PART II.--TRADE AND RELATED INFORMATION--Continued

II-5. **Freezing capacity.**--Please provide your firm's total and allocated freezing capacity data for all freeze-processed products at your facilities since 2009 based on your firm's typical product mix of frozen products

(Quantity in 1,000 pounds)					
Item	2009	2010	2011	January-September	
				2011	2012
Total potential freezing capacity ¹					
of which, allocated to frozen warmwater shrimp ²					
Total block freezing capacity					
Total IQF freezing capacity					
¹ The total potential freezing capacity reported is based on your firm's typical operations: <i>i.e.</i> , operating _____ hours per week, _____ weeks per year. Do not report changes in capacity due to cyclicity of operations, <i>i.e.</i> , hold average operating hours per week and weeks per year constant over the period. ² If the allocation of freezing capacity changed over the period since 2009, please describe why your firm allocated more or less freezing capacity to frozen warmwater shrimp as a share of total freezing capacity.					

II-6a. **Constraints on production.**--Please rank the following constraint(s) that limited your firm's production capacity of frozen warmwater shrimp during the period under review. If a given potential constraint was not actually applicable to your firm's operation over the period under review, please indicate "not applicable" even if it would be or would have potentially been a constraint.

Item	Not applicable over period	Most important	2 nd most important	3 rd most important	4 th most important	5 th most important
Freezing capacity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Live shrimp supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Machinery or equipment other than freezers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Storage capacity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Labor availability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

II-6b. If you indicated above that there were constraints on your production capacity for frozen warmwater shrimp other than freezing capacity, please discuss the magnitude and timing of these constraints on your firms operations since 2009.

PART II.--TRADE AND RELATED INFORMATION--Continued

II-6c. Please indicate the percentage of frozen warmwater shrimp processed by your firm in 2011.

Type	Percentage
wild-caught (ocean harvested)	
farm-raised (produced by aquaculture)	

II-7. **Production shifting.**--Is your firm able to switch production between frozen warmwater shrimp and other products in response to a relative change in the price of frozen warmwater shrimp vis-a-vis the price of other products, using the same equipment and labor?

- No Yes---Please identify the other products, the approximate time and cost involved in switching, and the minimum relative price change required for your firm to switch production to or from frozen warmwater shrimp.

II-8. **Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of frozen warmwater shrimp? _____ percent

II-9. **Inventories in the United States.**--Has your firm, since 2009, maintained any inventories of frozen warmwater shrimp in the United States (not including inventories held by firms identified in question I-3)?

- No Yes--Report the quantity of such end-of-period inventories below.

<i>(Quantity in 1,000 pounds)</i>					
Item	2009	2010	2011	January-September	
				2011	2012
Inventory					

II-10. **Third country trade actions.**--Is the frozen warmwater shrimp exported by your firm subject to antidumping, countervailing duty, and/or safeguard findings, remedies, or proceedings?

- No Yes--List the products(s), countries affected, and the date of such findings/remedies/proceedings.

Product	Country	Date
_____	_____	_____
_____	_____	_____
_____	_____	_____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11a. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **CHINA** during the specified periods. (See definitions in the instruction booklet.)

CHINA

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **China** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **China** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11b. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **ECUADOR** during the specified periods. (See definitions in the instruction booklet.)

ECUADOR

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **Ecuador** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **Ecuador** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11c. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **INDIA** during the specified periods. (See definitions in the instruction booklet.)

INDIA

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **India** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **India** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11d. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **INDONESIA** during the specified periods. (See definitions in the instruction booklet.)

INDONESIA

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **Indonesia** accounted for by your firm's production in 2011. ____ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **Indonesia** accounted for by your firm's exports in 2011. ____ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11e. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **MALAYSIA** during the specified periods. (See definitions in the instruction booklet.)

MALAYSIA

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **Malaysia** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **Malaysia** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11f. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **THAILAND** during the specified periods. (See definitions in the instruction booklet.)

THAILAND

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **Thailand** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **Thailand** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-11g. Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in **VIETNAM** during the specified periods. (See definitions in the instruction booklet.)

VIETNAM

Quantity (in 1,000 pounds)							
Item	Actual experience					Projections ¹	
				January-September		2012	2013
	2009	2010	2011	2011	2012		
Average production capacity ²							
Beginning-of-period inventories ³							
Production ⁴							
Shipments:							
Internal consumption/transfers							
Home market sales							
Exports to--							
United States ⁵							
All other export markets ⁶							
Total exports							
Total shipments							
End-of-period inventories							

¹ Please explain the basis for your projections.

² The production capacity (see definitions in instruction booklet) reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.

³ Reconciliation of data--Please note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

⁴ Please estimate the percentage of total production of frozen warmwater shrimp in **Vietnam** accounted for by your firm's production in 2011. ___ percent

⁵ Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from **Vietnam** accounted for by your firm's exports in 2011. ___ percent

⁶ Identify principal other export markets. _____