



INSTRUCTION BOOKLET

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS FOR COMMISSION FOREIGN PRODUCER/EXPORTER QUESTIONNAIRES

**NON-ORIENTED ELECTRICAL STEEL (“NOES”) FROM CHINA,
GERMANY, JAPAN, KOREA, SWEDEN, AND TAIWAN
Investigation Nos. 701-TA-506-508 and 731-TA-1238-1243 (Preliminary)**

Further information.--If you have any questions concerning the enclosed questionnaire(s) or other matters related to this proceeding, you may contact the following members of the Commission’s staff (Fax 202-205-3205):

*Edward Petronzio, investigator (202-205-3176; Email edward.petronzio@usitc.gov)
regarding general questions and trade and related information;*

GENERAL INFORMATION

Background.--This proceeding was instituted in response to a petition filed on September 30, 2013 by AK Steel, West Chester, Ohio. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of this proceeding if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping.

Questionnaires and other information pertinent to this proceeding are available at http://www.usitc.gov/trade_remedy/731_ad_701_cvd/investigations/2013/noes/prelimphase.htm. Please direct questions regarding the questionnaire and correspondence to Edward Petronzio (edward.petronzio@usitc.gov, 202-205-3176) at the U.S. International Trade Commission, 500 E Street, SW, Washington, D.C. 20436. Correspondence may be sent to the above address or via FAX to 202-205-3205. Hearing-impaired individuals can obtain information regarding this proceeding via the Commission's TDD terminal (202-205-1810).

Due date of questionnaire(s).--Please submit the completed questionnaire(s) to the United States International Trade Commission so as to be received by **no later than October 31, 2013**. Because Commission staff might contact you with questions during the course of the proceeding, save the final version of the document(s) and retain all files and worksheets associated with the completed questionnaire(s). Please also retain a copy of the final document that you submit.

Service of questionnaire response(s).--In the event that your firm is a party to this proceeding, you are required to serve a copy of the questionnaire(s), once completed, on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties is maintained by the Commission's Secretary and may be obtained by calling 202-205-1803. A certificate of service must accompany the copy of the completed questionnaire(s) you submit (see 19 CFR § 207.7).

Confidentiality.--The commercial and financial data furnished in response to the enclosed questionnaire(s) that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

GENERAL INFORMATION--Continued

Verification.--The information submitted in the enclosed questionnaire(s) is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all your files, worksheets, and supporting documents used in the preparation of the questionnaire response(s).

Release of information.--The information provided by your firm in response to the questionnaire(s), as well as any other business proprietary information submitted by your firm to the Commission in connection with the proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

INSTRUCTIONS

Answer all questions.-- Do not leave any question or section blank unless a questionnaire expressly directs you to skip over certain questions or sections. If the answer to any question is zero or "none", enter the number zero or "none". **If information is not readily available from your records in exactly the form requested, furnish carefully prepared estimates.** Answers to questions and any necessary comments or explanations should be entered in the space provided or in a separate MS Word document submitted along with the questionnaire(s). If your firm is completing more than one questionnaire in connection with this proceeding (i.e., a producer, importer, purchaser, and/or foreign producer questionnaire), you need not respond to duplicated questions in the questionnaires.

Consolidate all establishments in the subject country.--Report the requested data for your establishment(s) located in the subject country (i.e., China, Germany, Japan, Korea, Sweden, and Taiwan). **Firms operating more than one establishment should combine the data for all establishments into a single report.**

Electronic completion.-- Please electronically enter your responses into the Commission provided MS Word document. The MS Word versions of all the questionnaires in this proceeding are available online at the ITC web page or may be obtained directly from the Commission's Investigator, Edward Petronzio (edward.petronzio@usitc.gov, or 202-205-3176).

INSTRUCTIONS--Continued

Electronic submission.-- Responding firms should submit their questionnaire responses electronically in MS Word format. The submission of questionnaire responses in the MS Word format allows the Commission to electronically extract data from questionnaires and thus, compile, assess, and analyze submitted data more efficiently and promptly. Furthermore, the electronic submission of questionnaires completed in MS Word facilitates the Commission's ability to produce documents that comply with Section 508 of the Rehabilitation Act of 1973. There are three electronic submissions options detailed below.

OPTIONS FOR FILING

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at http://www.usitc.gov/trade_remedy/731_ad_701_cvd/investigations/2013/noes/prelimphase.htm. *Please do not attempt to modify the format or permissions of the questionnaire document.* Please complete the questionnaire and submit it electronically using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• **Upload via Secure Drop Box.**—Upload the completed questionnaire in MS Word format along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> *Pin:* NOES

• **E-mail.**—E-mail your questionnaire to the investigator identified on page 1 of the Instruction Booklet; include a scanned copy of the signed certification page (page 1). Type the following in the e-mail subject line: **BPI Questionnaire, INV. NOS.701-TA-506-508 AND 731-TA-1238-1243 (Preliminary)**. *Please note that submitting your questionnaire by e-mail may subject your firm's business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

• **Compact disc (CD).**—Copy your MS Word questionnaire onto a CD. Also, please include a signed certification page (page 1) and mail to the U.S. International Trade Commission, 500 E Street SW, Washington, DC 20024. *It is strongly recommended that you use an **overnight mail service**. U.S. mail sent to government offices undergoes additional processing which not only results in substantial delays in delivery but may also damage CDs.*

Note: If you are a party to the proceeding, and service of the questionnaire is required, such service should be made in paper form.

DEFINITIONS

Product--The merchandise subject to these investigations consists of nonoriented electrical steel (“NOES”), which includes cold-rolled, flat-rolled, alloy steel products, whether or not in coils, regardless of width, having a thickness of 0.20 mm or more, in which the core loss is substantially equal in any direction of magnetization in the plane of the material. The term “substantially equal” in the prior sentence means that the cross grain direction of core loss is no more than 1.5 times the straight grain direction (i.e., the rolling direction) of core loss. NOES contains by weight at least 1.25 percent of silicon and less than 3.5 percent of silicon, not more than 0.08 percent of carbon, not more than 1.5 percent of aluminum, and no other element in an amount that would give the steel the characteristics of another alloy steel.

NOES is subject to these investigations whether it is fully processed (fully annealed to develop final magnetic properties) or semi-processed (finished to final thickness and physical form but not fully annealed to develop final magnetic properties); whether or not it is coated (e.g., with enamel, varnish, natural oxide surface, chemically treated or phosphate surface, or other non-metallic materials). Fully processed NOES is typically made to the requirements of ASTM specification A 677, JIS specification C 2552, and/or IEC specification 60404-8-4. Semi-processed NOES is typically made to the requirements of ASTM specification A 683. The scope of these investigations is not limited to merchandise meeting the specifications noted above.

The term “non-oriented” is used to differentiate NOES from grain-oriented electrical steel (“GOES”), in which the core loss is strongly oriented in the direction of the rolling. The term “strongly oriented” in the prior sentence means that the cross grain direction of the core loss is more than 3.0 times the straight grain direction (i.e., the rolling direction) of the core loss. NOES and GOES can be clearly differentiated by measuring the magnetic permeability (B) at a field of 800 A/m (equivalent to 10 Oersteds) along (i.e., parallel to) the rolling direction of the sheet. This measurement is referred to as the “B₈₀₀”, “B₈”, or “H-10” magnetic permeability, magnetic induction, or polarization. GOES has a B₈₀₀ value greater than 1.80 Tesla when tested parallel to the rolling direction, and NOES has a B₈₀₀ value not exceeding 1.65 Tesla when tested parallel to the rolling direction. The specifics of the GOES property specification and the method of testing are described in ASTM standard specifications A 876 and A 343, respectively.

NOES is sometimes referred to as cold-rolled non-oriented electrical steel (“CRNO”), non-grain oriented (“NGO”), or cold-rolled non-grain oriented (“CRNGO”). These terms are interchangeable.

The subject merchandise is classifiable in subheadings 7225.19.00, 7226.19.10, and 7226.19.90 of the Harmonized Tariff Schedule of the United States (“HTSUS”). Certain products subject to these petitions may also be imported under statistical reporting numbers 7225.50.8085, 7225.99.0090, 7226.92.5000, 7226.92.7050, 7226.92.8050, 7226.99.0180 of the HTSUS. Although HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope is dispositive.

Firm--An individual proprietorship, partnership, joint venture, association, corporation (including any subsidiary corporation), business trust, cooperative, trustee in bankruptcy, or receiver under decree of any court.

DEFINITIONS--Continued

Related firm.--A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

Establishment.--Each facility of a firm in a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan) involved in the production of NOES (as defined above), including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

United States.--For purposes of this proceeding, the 50 States, Puerto Rico, the U.S. Virgin Islands, and the District of Columbia.

Importer.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing NOES (as defined above) into the United States from a foreign manufacturer or through its selling agent.

Average production capacity.--The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

Production.--All production in your establishment(s) in a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan), including production consumed internally within your firm.

Shipments.--Shipments of products produced in your establishment(s) in a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan). Quantities reported should be net of returns.

Shipment quantities.—Quantities reported should be net of returns.

Shipment values.—Values reported should be net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment in a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan).

Home market commercial shipments.--Shipments, other than internal consumption and transfers to related firms, within a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan).

DEFINITIONS--*Continued*

Home market internal consumption/transfers to related firms--Shipments made to related firms in a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan), including product consumed internally by your firm.

Export shipments--Shipments to destinations outside a subject country (i.e., China, Germany Japan, Korea, Sweden, or Taiwan), including shipments to related firms.

Inventories--Finished goods inventory, not raw materials or work-in-progress.