

U.S. IMPORTERS' QUESTIONNAIRE

**FROZEN WARMWATER SHRIMP FROM CHINA, ECUADOR, INDIA, INDONESIA,
MALAYSIA, THAILAND, AND VIETNAM**

This questionnaire must be received by the Commission by no later than January 11, 2013

See page 4 of the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty investigations concerning frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (Inv. Nos. 701-TA-491-497 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip Code _____
World Wide Web address _____
Has your firm imported frozen warmwater shrimp (as defined in the instruction booklet) from any country at any time since January 1, 2009?
<input type="checkbox"/> NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> YES (Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

_____ <i>Name of Authorized Official</i>	_____ <i>Title of Authorized Official</i>	_____ <i>Date</i>
_____ <i>Signature</i>	_____ <i>Phone:</i>	_____ <i>Email address</i>
	_____ <i>Fax:</i>	

PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. **OMB statistics**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____hours _____dollars

I-1b. **OMB feedback**--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. **Establishments covered**--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. **Ownership**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

PART I.--GENERAL INFORMATION--Continued

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam into the United States or that are engaged in exporting frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam to the United States?

No Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of frozen warmwater shrimp?

No Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on frozen warmwater shrimp. More than one answer may be applicable.

- Importer of record Takes title to the imported product(s)
- Consignee of the imported products(s) Customs broker or freight forwarder.

I-7. **Consignee.**--If your firm is an importer of record of frozen warmwater shrimp but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

<u>Firm name</u>	<u>Address</u>	<u>Contact person and phone number</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

PART I.--GENERAL INFORMATION--Continued

I-8. **FTZ or bonded warehouses.**--Please indicate whether your firm enters frozen warmwater shrimp into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

Foreign trade zones No Yes

Bonded warehouses No Yes

I-9. **Temporary importation under bond.**--Please indicate whether your firm imports frozen warmwater shrimp under the TIB (temporary importation under bond) program.

No Yes

I-10. **Third-country trade activities.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No Yes--Please specify. _____

PART II.-- TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amy Sherman (202-205-3289, amy.sherman@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of frozen warmwater shrimp since January 1, 2009.

- (check as many as appropriate)* *(please describe)*
- office/warehouse openings..... _____

 - office/warehouse closings _____

 - relocations _____

 - expansions..... _____

 - acquisitions..... _____

 - consolidations..... _____

 - prolonged shutdowns or
production curtailments..... _____

 - revised labor agreements..... _____

 - other (*e.g.*, technology) _____

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-3. **Arranged imports**--Has your firm imported or arranged for the importation of frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam for delivery after September 30, 2012?

- No Yes--Indicate when such orders are to be delivered and the quantities involved.

(Quantity in pounds)				
Country	Oct-Dec 2012	Jan-Mar 2013	Apr-Jun 2013	Jul-Sep 2013
China				
Ecuador				
India				
Indonesia				
Malaysia				
Thailand				
Vietnam				
All other sources				

II-4. **Reasons for importing**--If your firm also produces frozen warmwater shrimp in the United States, please indicate your reasons for importing this product. If your reasons differ by source, please elaborate.

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5a. **IMPORTS FROM CHINA.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **CHINA** by your firm during the specified periods. (See definitions in the instruction booklet.)

CHINA

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____ _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers). ⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5b. **IMPORTS FROM ECUADOR.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **ECUADOR** by your firm during the specified periods. (See definitions in the instruction booklet.)

ECUADOR

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile?					
<input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers).					
⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5c. **IMPORTS FROM INDIA.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **INDIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

INDIA

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____ _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers). ⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5d. **IMPORTS FROM INDONESIA.**—Report your firm's imports and your firm's shipments and inventories of frozen warmwater shrimp imported from **INDONESIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

INDONESIA

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____ _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers). ⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5e. **IMPORTS FROM MALAYSIA.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **MALAYSIA** by your firm during the specified periods. (See definitions in the instruction booklet.)

MALAYSIA

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____ _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers). ⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5f. **IMPORTS FROM THAILAND.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **THAILAND** by your firm during the specified periods. (See definitions in the instruction booklet.)

THAILAND

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____ _____					
⁴ Reconciliation of data. —Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers). ⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5g. **IMPORTS FROM VIETNAM.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **VIETNAM** by your firm during the specified periods. (See definitions in the instruction booklet.)

VIETNAM

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____					
⁴ Reconciliation of data. --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile?					
<input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers).					
⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART II.-- TRADE AND RELATED INFORMATION --Continued

II-5h. **IMPORTS FROM NONSUBJECT SOURCES.**—Report your firm’s imports and your firm’s shipments and inventories of frozen warmwater shrimp imported from **all other sources combined** by your firm during the specified periods. (See definitions in the instruction booklet.)

ALL OTHER SOURCES COMBINED

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Beginning-of-period inventories (quantity)					
Imports: ¹					
Quantity of imports					
Value of imports					
U.S. shipments:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption/company transfers:					
Quantity of internal consumption/transfers					
Value ² of internal consumption/transfers					
Export shipments: ³					
Quantity of export shipments					
Value of export shipments					
End-of-period inventories ⁴ (quantity)					
Channels of distribution:					
U.S. shipments to distributors (quantity)					
U.S. shipments to end users (quantity)					
U.S. shipments to retailers ⁵ / institutional buyers ⁶ (quantity)					
¹ Please identify the foreign producers, if known: _____ _____					
² Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____					
³ Identify your principal export markets: _____					
⁴ Reconciliation of data. —Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile?					
<input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					
⁵ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers).					
⁶ Entities such as restaurants, hotels, hospitals, etc.					

PART III.-- PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250 Amelia.Preece@usitc.gov).

III-1. **Contact information**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

PRICE DATA

III-2. These questions requests quarterly price and quantity data, f.o.b. your U.S. point of shipment, for your commercial shipments to unrelated U.S. customers since January 1, 2009 of the following products you imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam:

Product 1-- Frozen, raw warmwater shrimp or prawns, all species, 71 to 90 count, headless, peeled (whether or not deveined), tail-off, block frozen (cut or not cut).

Product 2-- Frozen, raw warmwater shrimp or prawns, all species, 41 to 50 count, P&D (peeled and deveined), tail-off, block frozen (cut or not cut).

Product 3-- Frozen, raw warmwater shrimp or prawns, all species, 10 to 15 count, headless, shell-on, block frozen.

Product 4-- Frozen, cooked warmwater shrimp or prawns, all species, 26 to 30 count, P&D (peeled and deveined), headless, tail-on or tail-off, IQF (individually quick frozen).

Please note that total dollar values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Total dollar values should reflect the *final net* amount paid to you (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2a. **Price data**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

CHINA

(Quantity in pounds, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2b. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from Ecuador and sold by your firm.

ECUADOR

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2c. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from India and sold by your firm.

INDIA

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
¹ Net values (<i>i.e.</i> , gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment. ² Pricing product definitions are provided on the first page of Part III. Product 1, please indicate species (e.g., white, tiger, etc.): _____ Product 2, please indicate species (e.g., white, tiger, etc.): _____ Product 3, please indicate species (e.g., white, tiger, etc.): _____ Product 4, please indicate species (e.g., white, tiger, etc.): _____ Note. --If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data. Product 1: _____ Product 2: _____ Product 3: _____ Product 4: _____								

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2d. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from Indonesia and sold by your firm.

INDONESIA

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2e. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from Malaysia and sold by your firm.

MALAYSIA

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2f. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from Thailand and sold by your firm.

THAILAND

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								
¹ Net values (<i>i.e.</i> , gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment. ² Pricing product definitions are provided on the first page of Part III. Product 1, please indicate species (e.g., white, tiger, etc.): _____ Product 2, please indicate species (e.g., white, tiger, etc.): _____ Product 3, please indicate species (e.g., white, tiger, etc.): _____ Product 4, please indicate species (e.g., white, tiger, etc.): _____ Note. --If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data. Product 1: _____ Product 2: _____ Product 3: _____ Product 4: _____								

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-2g. **Price data**.--Report below the quarterly price data¹ for pricing products² imported from Vietnam and sold by your firm.

VIETNAM

(Quantity in pounds, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-3. **Nonsubject price data.**--Report below the quarterly price data¹ for pricing products² imported from Mexico and sold by your firm.

MEXICO

(Quantity in pounds, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2009:								
January-March								
April-June								
July-September								
October-December								
2010:								
January-March								
April-June								
July-September								
October-December								
2011:								
January-March								
April-June								
July-September								
October-December								
2012:								
January-March								
April-June								
July-September								

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Product 1, please indicate species (e.g., white, tiger, etc.): _____

Product 2, please indicate species (e.g., white, tiger, etc.): _____

Product 3, please indicate species (e.g., white, tiger, etc.): _____

Product 4, please indicate species (e.g., white, tiger, etc.): _____

Note.--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1: _____

Product 2: _____

Product 3: _____

Product 4: _____

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-4. **Price setting.**-- How does your firm determine the prices that it charges for sales of frozen warmwater shrimp(*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Discount policy.**-- Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Pricing terms.**--

(a) What are your firm's typical sales terms for frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam?

Net 30 days	Net 60 days	2/10 net 30 days	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your prices of imported frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-7. **Contract versus spot.**--Approximately what share of your firm's sales of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam in 2011 were on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

<u>Type of sale</u>	<u>Share of 2011 sales</u>
Long-term contracts (multiple deliveries for more than 12 months)	_____ %
Short-term contracts (multiple deliveries up to and including 12 months)	_____ %
Spot sales (for a single delivery)	_____ %
Total	100 %

III-8. **Contract provisions.**— Please fill out the table with respect to provisions of your typical sales contracts for frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (or check “not applicable” if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries up to and including 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>Number of days</i>		
Price renegotiation (during the contract period)	Yes	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	Yes	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-9. **Lead times.**--What is your share of sales of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam both from inventory and produced to order and what is the average lead time between a customer's order and the date of delivery for your firm's sales of frozen warmwater shrimp?

<u>Source</u>	<u>Share of 2011 sales</u>	<u>Lead time (days)</u>
From your U.S. inventory	_____ %	_____
From foreign manufacturers' inventory	_____ %	_____
Produced to order	_____ %	_____
Total	100 %	

III-10. **Shipping information.**—

- (a) What is the approximate percentage of the total delivered cost of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam that is accounted for by U.S. inland transportation costs? _____ percent.
- (b) Who generally arranges the transportation to your customers' locations (*check one*)?
Your firm Purchaser
- (c) When you sell frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam, from where is it shipped (*check one*)?
Point of importation Storage facility
- (d) Indicate the approximate percentage of your sales of frozen warmwater shrimp imported from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam that are delivered the following distances from your U.S. point of shipment.

Distance from your U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total	100 %

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-11. **Geographical shipments--**What is the geographic market area in the United States served by your firm's shipments of frozen warmwater shrimp imported from any source? (check all that apply)

Geographic area	√ if applicable
Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. —AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. —AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. —CA, OR, and WA.	<input type="checkbox"/>
Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	<input type="checkbox"/>

III-12. **End uses**-Describe the end uses (for example, breaded shrimp and prawns, or shrimp and prawns in prepared meals) of the frozen warmwater shrimp that you import from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam. For each end-use product, what percentage of the total cost is accounted for by frozen warmwater shrimp and other inputs?

End use product	Share of total cost of end use product accounted for by		Total
	Frozen warmwater shrimp(percent)	Other inputs (percent)	
	%	%	100%
	%	%	100%
	%	%	100%

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-13. **Substitutes.**-- Can other products be substituted for frozen warmwater shrimp?

No Yes--Please fill out the table.

	Substitute	End use in which this substitute is used	Have changes in the prices of this substitute affected the price for frozen warmwater shrimp?		
			No	Yes	Explanation
1.			<input type="checkbox"/>	<input type="checkbox"/>	
2.			<input type="checkbox"/>	<input type="checkbox"/>	
3.			<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Demand trends.**-- Indicate how demand within the United States and outside of the United States (if known) for frozen warmwater shrimp has changed since January 1, 2009. Describe the principal factors that have affected these changes in demand.

Market	Increase	No change	Decrease	Fluctuate	Factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Product changes.**--Have there been any significant changes in the product mix or marketing of frozen warmwater shrimp since January 1, 2009?

No Yes-- Please describe.

PART III.-- PRICING AND RELATED INFORMATION--*Continued*

III-16. **Business cycles.**--

(a) Is the frozen warmwater shrimp market subject to business cycles or conditions of competition (including seasonal business) distinctive to frozen warmwater shrimp?

No (skip to question III-16.) Yes-- Please describe and then answer part (b).

(b) If yes, have there been any changes in the business cycles or conditions of competition for frozen warmwater shrimp since January 1, 2009?

No Yes-- Please describe.

III-17a. **Supply constraints.**--Has your firm refused, declined, or been unable to supply frozen warmwater shrimp since January 1, 2009 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No Yes-- Please describe.

PART III.-- PRICING AND RELATED INFORMATION--*Continued*

III-17b. **Supply constraints.**—Please describe the effect that regulations (e.g., USDA, etc.) have on your ability to supply frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam.

Please describe any regulations in other countries that affect the U.S. market for frozen warmwater shrimp.

III-18. **Raw materials.**--Please describe any trends in the prices of raw materials used to produce frozen warmwater shrimp and whether your firm expects these trends to continue.

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-19. **Interchangeability.**--Is frozen warmwater shrimp produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Ecuador	India	Indonesia	Malaysia	Thailand	Vietnam	Other countries
United States								
China	X							
Ecuador	X	X						
India	X	X	X					
Indonesia	X	X	X	X				
Malaysia	X	X	X	X	X			
Thailand	X	X	X	X	X	X		
Vietnam	X	X	X	X	X	X	X	

For any country-pair producing frozen warmwater shrimp that is *sometimes* or *never* interchangeable, please explain the factors that limit or preclude interchangeable use:

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-20. **Factors other than price.**--Are differences other than price (*i.e.*, quality, availability, transportation network, product range, technical support, *etc.*) between frozen warmwater shrimp produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Ecuador	India	Indonesia	Malaysia	Thailand	Vietnam	Other countries
United States								
China	X							
Ecuador	X	X						
India	X	X	X					
Indonesia	X	X	X	X				
Malaysia	X	X	X	X	X			
Thailand	X	X	X	X	X	X		
Vietnam	X	X	X	X	X	X	X	

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of frozen warmwater shrimp, identify the country-pair and report the advantages or disadvantages imparted by such factors:

PART III.-- PRICING AND RELATED INFORMATION--Continued

III-21. **Customer Identification**--Please identify the names and contact information for your firm's 10 largest U.S. customers for frozen warmwater shrimp since January 1, 2009. Indicate the share of the quantity of your firm's total shipments of frozen warmwater shrimp that each of these customers accounted for in 2011.

Customer's name	Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of 2011 sales (%)
1				Street Address City ' State Zip Code	
2				Street Address City ' State Zip Code	
3				Street Address City ' State Zip Code	
4				Street Address City ' State Zip Code	
5				Street Address City ' State Zip Code	
6				Street Address City ' State Zip Code	
7				Street Address City ' State Zip Code	
8				Street Address City ' State Zip Code	
9				Street Address City ' State Zip Code	
10				Street Address City ' State Zip Code	