

## U.S. IMPORTERS' QUESTIONNAIRE

### DIFFUSION-ANNEALED, NICKEL-PLATED STEEL FROM JAPAN

This questionnaire must be received by the Commission by no later than **January 31, 2014**

*See the Instruction Booklet for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigation concerning diffusion-annealed, nickel-plated steel flat-rolled products ("diffusion-annealed, nickel-plated steel") from Japan (Inv. No. 731-TA-1206 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

World Wide Web address \_\_\_\_\_

Has your firm imported diffusion-annealed, nickel-plated steel (as defined in the instruction booklet) from any country at any time since January 1, 2011?

**NO** (Sign the certification below and promptly return only this page of the questionnaire to the Commission)

**YES** (Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)

**Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (use the following PIN: **DANP**)**

### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone:

\_\_\_\_\_  
Fax:

\_\_\_\_\_  
Email address

**PART I.—GENERAL INFORMATION**

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

Hours	Dollars

I-1b. **OMB feedback.**--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your firm's response or send them to the above address.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire (see instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information

Firm name	Address	Extent of ownership (percent)

**PART I.--GENERAL INFORMATION--Continued**

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing diffusion-annealed, nickel-plated steel from Japan into the United States or that are engaged in exporting diffusion-annealed, nickel-plated steel from Japan to the United States?

No             Yes--List the following information.

Firm name	Address	Affiliation

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of diffusion-annealed, nickel-plated steel?

No             Yes--List the following information.

Firm name	Address	Affiliation

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on diffusion-annealed, nickel-plated steel. More than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported products(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-7. **Consignee.**--If your firm is an importer of record of diffusion-annealed, nickel-plated steel but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

**PART I.--GENERAL INFORMATION--Continued**

I-8. **FTZ or bonded warehouses.**--Please indicate whether your firm enters diffusion-annealed, nickel-plated steel into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

	No	Yes
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>

I-9. **Temporary importation under bond.**--Please indicate whether your firm imports diffusion-annealed, nickel-plated steel under the TIB (temporary importation under bond) program.

No       Yes

I-10. **Third-country trade activities.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No       Yes--Please specify. \_\_\_\_\_

\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Nathanael Comly (nathanael.comly@usitc.gov; 202-205-3174). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of diffusion-annealed, nickel-plated steel since January 1, 2011.

- (check as many as appropriate)*                      *(please describe)*
- office/warehouse openings..... \_\_\_\_\_  
\_\_\_\_\_
  - office/warehouse closings ..... \_\_\_\_\_  
\_\_\_\_\_
  - relocations ..... \_\_\_\_\_  
\_\_\_\_\_
  - expansions..... \_\_\_\_\_  
\_\_\_\_\_
  - acquisitions..... \_\_\_\_\_  
\_\_\_\_\_
  - consolidations..... \_\_\_\_\_  
\_\_\_\_\_
  - prolonged shutdowns or  
production curtailments..... \_\_\_\_\_  
\_\_\_\_\_
  - revised labor agreements..... \_\_\_\_\_  
\_\_\_\_\_
  - other (*e.g.*, technology) ..... \_\_\_\_\_  
\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-3. **Arranged imports.**--Has your firm imported or arranged for the importation of diffusion-annealed, nickel-plated steel for delivery **after December 31, 2013**?

- No                       Yes--Indicate when such orders have been or are to be delivered and the quantities involved.

Quantity (in short tons)				
Period/Source	Jan-Mar 2014	Apr-Jun 2014	Jul-Sept 2014	Oct-Dec 2014
Japan				
Belgium				
Germany				
Korea				
Other sources: <sup>1</sup>				
<sup>1</sup> Identify your other sources: _____				

II-4. **Reasons for importing.**--If your firm also produces diffusion-annealed, nickel-plated steel in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-5(a). **IMPORTS FROM SUBJECT SOURCES.**—Report your firm’s imports and your firm’s shipments and inventories of diffusion-annealed, nickel-plated steel imported from Japan by your firm during the specified periods. (See definitions in the instruction booklet.)

**Japan**

<b>Quantity (in short tons), value (in \$1,000)</b>			
<b>Item</b>	<b>Calendar years</b>		
	<b>2011</b>	<b>2012</b>	<b>2013</b>
<b>Beginning-of-period inventories</b> (quantity) (A)			
<b>Imports:</b> <sup>1</sup>			
Quantity of imports (B)			
Value of imports (C)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity of commercial shipments (D)			
Value of commercial shipments (E)			
<b>Internal consumption/company transfers:</b>			
Quantity of internal consumption/transfers (F)			
Value <sup>2</sup> of internal consumption/transfers (G)			
<b>Export shipments:</b> <sup>3</sup>			
Quantity of export shipments (H)			
Value of export shipments (I)			
<b>End-of-period inventories</b> <sup>4</sup> (quantity) (J)			
<b>Channels of distribution:</b> (quantity)			
U.S. shipments to distributors (K)			
U.S. shipments to end users – Battery (L)			
U.S. shipments to end users – Automotive (M)			
U.S. shipments to end users – Other: _____ (N)			
<sup>1</sup> Please identify the foreign producers, if known: _____ _____			
<sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____			
<sup>3</sup> Identify your firm’s principal export markets: _____ _____			

**PART II.--TRADE AND RELATED INFORMATION--Continued**

*Note -- The calculations below will only appear if you have entered data on the previous page in the MS Word form fields.*

II-5(b). **Reconciliation of trade data.**—Please use the calculations provided in the tables below to check the data reported in question II-5 (a).

- (i) **Shipments reconcile** – Please ensure that the quantities reported for channels of distribution (*i.e.*, lines M and N) in each year equal the quantity reported for total U.S. shipments (*i.e.*, lines D, F, and H) in each period.

If the calculated fields below return values other than zero (*i.e.*, “0”), the data in question II-8(a) needs to be revised prior to submission to the Commission.

Reconciliation Item	Calendar years		
	2011	2012	2013
U.S. shipments to distributors (K) + U.S. shipments to end users (L + M + N) - total U.S. shipments (D+ F) =	0	0	0

- (i) **Inventory, Production and Shipment Reconcile.** – Generally, the data reported for the end-of-period inventories (*i.e.*, line J) in question II-5(a) should be equal to the beginning of period inventories (*i.e.*, line A), plus imports (*i.e.*, line B), less total shipments (*i.e.*, lines D, F, and H).

Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, et cetera).

If the calculated fields below return values other than zero (*i.e.*, “0”), please correct any data errors in table II-5(a) or explain below.

Reconciliation Item	Calendar years		
	2011	2012	2013
Beginning inventories (A) + imports (B) – total shipments (D + F + H) – End-of-period inventories (J) =	0	0	0

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-5(c). **U.S. shipments by type.**—Please report quantity and value of U.S. shipments of diffusion-annealed, nickel-plated steel provided in question II-5(a), for use in the production of the sepecific types listed below.

<b>Quantity (in short tons) and value (in \$1,000)</b>			
<b>Item</b>	<b>Calendar years</b>		
	<b>2011</b>	<b>2012</b>	<b>2013</b>
<b>U.S. shipments for use in:</b>			
<b>AA cans:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>AAA cans:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>9V/AAAA cans:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>C cans:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>D cans:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>End Caps:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>Automotive applications:</b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>Other:<sup>1</sup></b>			
<i>Quantity</i> of commercial shipments			
<i>Value</i> of commercial shipments			
<b>Total:<sup>2</sup></b>			
<i>Quantity</i> of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD
<i>Value</i> of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD

<sup>1</sup> Please specify: \_\_\_\_\_.

<sup>2</sup> Total U.S. shipments should equal U.S. shipments (commercial, internal consumption/transfers) reported in question II-5(a) (*Total field (in grey) calculations below will only appear if you have entered data in the MS Word form fields*).

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-6(a). **IMPORTS FROM NONSUBJECT SOURCES--GERMANY.**—Report your firm's imports and your firm's shipments and inventories of diffusion-annealed, nickel-plated steel imported from **Germany** by your firm during the specified periods. (See definitions in the instruction booklet.)

**GERMANY**

Quantity (in short tons), value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>Beginning-of-period inventories</b> (quantity) (A)			
<b>Imports:</b> <sup>1</sup>			
Quantity of imports (B)			
Value of imports (C)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity of commercial shipments (D)			
Value of commercial shipments (E)			
<b>Internal consumption/company transfers:</b>			
Quantity of internal consumption/transfers (F)			
Value <sup>2</sup> of internal consumption/transfers (G)			
<b>Export shipments:</b> <sup>3</sup>			
Quantity of export shipments (H)			
Value of export shipments (I)			
<b>End-of-period inventories</b> <sup>4</sup> (quantity) (J)			
<b>Channels of distribution:</b> (quantity)			
U.S. shipments to distributors (K)			
U.S. shipments to end users – Battery (L)			
U.S. shipments to end users – Automotive (M)			
U.S. shipments to end users – Other: _____ (N)			
<sup>1</sup> Please identify the sources and foreign producers, if known: _____			
<sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____			
<sup>3</sup> Identify your firm's principal export markets: _____			

**PART II.--TRADE AND RELATED INFORMATION--Continued**

*Note -- The calculations below will only appear if you have entered data on the previous page in the MS Word form fields.*

II-6(b). **Reconciliation of trade data.**—Please use the calculations provided in the tables below to check the data reported in question II-6(a).

- (ii) **Shipments reconcile** – Please ensure that the quantities reported for channels of distribution (*i.e.*, lines M and N) in each year equal the quantity reported for total U.S. shipments (*i.e.*, lines D, F, and H) in each period.

If the calculated fields below return values other than zero (*i.e.*, “0”), the data in question II-6(a) needs to be revised prior to submission to the Commission.

Reconciliation Item	Calendar years		
	2011	2012	2013
U.S. shipments to distributors (K) + U.S. shipments to end users (L + M + N) - total U.S. shipments (D+ F) =	0	0	0

- (ii) **Inventory, Production and Shipment Reconcile.** – Generally, the data reported for the end-of-period inventories (*i.e.*, line J) in question II-6(a) should be equal to the beginning of period inventories (*i.e.*, line A), plus imports (*i.e.*, line B), less total shipments (*i.e.*, lines D, F, and H).

Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, et cetera).

If the calculated fields below return values other than zero (*i.e.*, “0”), please correct any data errors in table II-6(a) or explain below.

Reconciliation Item	Calendar years		
	2011	2012	2013
Beginning inventories (A) + imports (B) – total shipments (D + F + H) – End-of-period inventories (J) =	0	0	0

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-6(c). **U.S. shipments by type.**—Please report quantity and value of U.S. shipments of diffusion-annealed, nickel-plated steel provided in question II-6(a), for use in the production of the sepecific types listed below.

Quantity (in short tons) and value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>U.S. shipments for use in:</b>			
<b>AA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>AAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>9V/AAAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>C cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>D cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>End Caps:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Automotive applications:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Other:<sup>1</sup></b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Total:<sup>2</sup></b>			
Quantity of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD
Value of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD

<sup>1</sup> Please specify: \_\_\_\_\_.

<sup>2</sup> Total U.S. shipments should equal U.S. shipments (commercial, internal consumption/transfers) reported in question II-6(a) (*Total field (in grey) calculations below will only appear if you have entered data in the MS Word form fields*).

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-7(a). **IMPORTS FROM NONSUBJECT SOURCES--KOREA.**—Report your firm’s imports and your firm’s shipments and inventories of diffusion-annealed, nickel-plated steel imported from **Korea** by your firm during the specified periods. (See definitions in the instruction booklet.)

**KOREA**

Quantity (in short tons), value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>Beginning-of-period inventories</b> (quantity) (A)			
<b>Imports:</b> <sup>1</sup>			
Quantity of imports (B)			
Value of imports (C)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity of commercial shipments (D)			
Value of commercial shipments (E)			
<b>Internal consumption/company transfers:</b>			
Quantity of internal consumption/transfers (F)			
Value <sup>2</sup> of internal consumption/transfers (G)			
<b>Export shipments:</b> <sup>3</sup>			
Quantity of export shipments (H)			
Value of export shipments (I)			
<b>End-of-period inventories</b> <sup>4</sup> (quantity) (J)			
<b>Channels of distribution:</b> (quantity)			
U.S. shipments to distributors (K)			
U.S. shipments to end users – Battery (L)			
U.S. shipments to end users – Automotive (M)			
U.S. shipments to end users – Other: _____ (N)			
<sup>1</sup> Please identify the sources and foreign producers, if known: _____ _____			
<sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____ _____			
<sup>3</sup> Identify your firm’s principal export markets: _____ _____			

**PART II.--TRADE AND RELATED INFORMATION--Continued**

*Note -- The calculations below will only appear if you have entered data on the previous page in the MS Word form fields.*

II-7(b). **Reconciliation of trade data.**—Please use the calculations provided in the tables below to check the data reported in question II-7(a).

- (iii) **Shipments reconcile** – Please ensure that the quantities reported for channels of distribution (*i.e.*, lines M and N) in each year equal the quantity reported for total U.S. shipments (*i.e.*, lines D, F, and H) in each period.

If the calculated fields below return values other than zero (*i.e.*, “0”), the data in question II-7(a) needs to be revised prior to submission to the Commission.

Reconciliation Item	Calendar years		
	2011	2012	2013
U.S. shipments to distributors (K) + U.S. shipments to end users (L + M + N) - total U.S. shipments (D+ F) =	0	0	0

- (iii) **Inventory, Production and Shipment Reconcile.** – Generally, the data reported for the end-of-period inventories (*i.e.*, line J) in question II-7(a) should be equal to the beginning of period inventories (*i.e.*, line A), plus imports (*i.e.*, line B), less total shipments (*i.e.*, lines D, F, and H).

Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, et cetera).

If the calculated fields below return values other than zero (*i.e.*, “0”), please correct any data errors in table II-7(a) or explain below.

Reconciliation Item	Calendar years		
	2011	2012	2013
Beginning inventories (A) + imports (B) – total shipments (D + F + H) – End-of-period inventories (J) =	0	0	0

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-7(c). **U.S. shipments by type.**—Please report quantity and value of U.S. shipments of diffusion-annealed, nickel-plated steel provided in question II-7(a), for use in the production of the sepecific types listed below.

Quantity (in short tons) and value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>U.S. shipments for use in:</b>			
<b>AA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>AAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>9V/AAAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>C cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>D cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>End Caps:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Automotive applications:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Other:<sup>1</sup></b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Total:<sup>2</sup></b>			
Quantity of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD
Value of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD

<sup>1</sup> Please specify: \_\_\_\_\_.

<sup>2</sup> Total U.S. shipments should equal U.S. shipments (commercial, internal consumption/transfers) reported in question II-7(a) (*Total field (in grey) calculations below will only appear if you have entered data in the MS Word form fields*).

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-8(a). **IMPORTS FROM NONSUBJECT SOURCES—ALL OTHER**.—Report your firm's imports and your firm's shipments and inventories of diffusion-annealed, nickel-plated steel imported from **all other sources combined** by your firm during the specified periods. (See definitions in the instruction booklet.)

**ALL OTHER SOURCES COMBINED**

Quantity (in short tons), value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>Beginning-of-period inventories</b> (quantity) (A)			
<b>Imports:</b> <sup>1</sup>			
Quantity of imports (B)			
Value of imports (C)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity of commercial shipments (D)			
Value of commercial shipments (E)			
<b>Internal consumption/company transfers:</b>			
Quantity of internal consumption/transfers (F)			
Value <sup>2</sup> of internal consumption/transfers (G)			
<b>Export shipments:</b> <sup>3</sup>			
Quantity of export shipments (H)			
Value of export shipments (I)			
<b>End-of-period inventories</b> <sup>4</sup> (quantity) (J)			
<b>Channels of distribution:</b> (quantity)			
U.S. shipments to distributors (K)			
U.S. shipments to end users – Battery (L)			
U.S. shipments to end users – Automotive (M)			
U.S. shipments to end users – Other: _____ (N)			
<sup>1</sup> Please identify the sources and foreign producers, if known: _____			
<sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____			
<sup>3</sup> Identify your firm's principal export markets: _____			



**PART II.--TRADE AND RELATED INFORMATION--Continued**

*Note -- The calculations below will only appear if you have entered data on the previous page in the MS Word form fields.*

II-8(b). **Reconciliation of trade data.**—Please use the calculations provided in the tables below to check the data reported in question II-8(a).

- (iv) **Shipments reconcile** – Please ensure that the quantities reported for channels of distribution (*i.e.*, lines M and N) in each year equal the quantity reported for total U.S. shipments (*i.e.*, lines D, F, and H) in each period.

If the calculated fields below return values other than zero (*i.e.*, “0”), the data in question II-8(a) needs to be revised prior to submission to the Commission.

Reconciliation Item	Calendar years		
	2011	2012	2013
U.S. shipments to distributors (K) + U.S. shipments to end users (L + M + N) - total U.S. shipments (D+ F) =	0	0	0

- (iv) **Inventory, Production and Shipment Reconcile.** – Generally, the data reported for the end-of-period inventories (*i.e.*, line J) in question II-8(a) should be equal to the beginning of period inventories (*i.e.*, line A), plus imports (*i.e.*, line B), less total shipments (*i.e.*, lines D, F, and H).

Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, et cetera).

If the calculated fields below return values other than zero (*i.e.*, “0”), please correct any data errors in table II-8(a) or explain below.

Reconciliation Item	Calendar years		
	2011	2012	2013
Beginning inventories (A) + imports (B) – total shipments (D + F + H) – End-of-period inventories (J) =	0	0	0

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-8(c). **U.S. shipments by type.**—Please report quantity and value of U.S. shipments of diffusion-annealed, nickel-plated steel provided in question II-8(a), for use in the production of the sepecific types listed below.

Quantity (in short tons) and value (in \$1,000)			
Item	Calendar years		
	2011	2012	2013
<b>U.S. shipments for use in:</b>			
<b>AA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>AAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>9V/AAAA cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>C cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>D cans:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>End Caps:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Automotive applications:</b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Other:<sup>1</sup></b>			
Quantity of commercial shipments			
Value of commercial shipments			
<b>Total:<sup>2</sup></b>			
Quantity of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD
Value of commercial shipments	CALCULATED FIELD	CALCULATED FIELD	CALCULATED FIELD

<sup>1</sup> Please specify: \_\_\_\_\_.

<sup>2</sup> Total U.S. shipments should equal U.S. shipments (commercial, internal consumption/transfers) reported in question II-8(a) (*Total field (in grey) calculations below will only appear if you have entered data in the MS Word form fields*).

**PART III.--PRICING AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from John Benedetto (202-205-3270, [John.Benedetto@usitc.gov](mailto:John.Benedetto@usitc.gov))

III-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

**PRICE DATA**

III-2. These questions requests quarterly price and quantity data, f.o.b. your firm's U.S. point of shipment, for your firm's commercial shipments to unrelated U.S. customers since January 1, 2011 of the following products your firm imported from Japan:

**Product 1A.** -- Diffusion-annealed, nickel-plated steel, 0.010 inch, plus or minus 0.0004 in. (0.244 mm to 0.264 mm) thickness, maximum 326 mm width, with nickel plate 1.25 micron minimum one side and .625 micron minimum opposite.

**Product 1B.** -- Diffusion-annealed, nickel-plated steel, 0.010 inch, plus or minus 0.0004 in. (0.244 mm to 0.264 mm) thickness, width of greater than 326 mm, with nickel plate 1.25 micron minimum one side and .625 micron minimum opposite

**Product 2.** -- Diffusion-annealed, nickel-plated steel, 0.008 inch, plus or minus 0.0004 in. (0.193 mm to 0.213 mm) thickness, with nickel plate 1.25 micron minimum one side and .625 micron minimum opposite

**Product 3.** -- Diffusion-annealed, nickel-plated steel, 0.008 inch, plus or minus 0.0004 in. (0.193 mm to 0.213 mm) thickness, with nickel plate 1.8 micron minimum one side and .375 micron minimum, but less than .625 micron, opposite

**Product 4.** -- Diffusion-annealed, nickel-plated steel, 0.0135 inch, plus or minus 0.0005 in. (0.330 mm to 0.356 mm) thickness, with nickel plate 1.0 micron minimum one side and 1.0 micron minimum opposite

**Product 5.** -- Diffusion-annealed, nickel-plated steel, 0.0153 inch, plus or minus 0.0005 in. (0.376 mm to 0.401 mm) thickness, with nickel plate 1.0 micron minimum one side and 1.0 micron minimum opposite

Please note that total dollar values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Total dollar values should reflect the *final net* amount paid to you (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-2. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Japan and sold by your firm.

**Japan**

<b>(Quantity in short tons, value in \$1,000)</b>						
<b>Period of shipment</b>	<b>Product 1A</b>		<b>Product 1B</b>		<b>Product 2</b>	
	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>
<b>2011:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2012:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2013:</b>						
January-March						
April-June						
July-September						
October-December						

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 1A: \_\_\_\_\_

Product 1B: \_\_\_\_\_

Product 2: \_\_\_\_\_

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-2. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Japan and sold by your firm.

**Japan**

<b>(Quantity in short tons, value in \$1,000)</b>						
<b>Period of shipment</b>	<b>Product 3</b>		<b>Product 4</b>		<b>Product 5</b>	
	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>
<b>2011:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2012:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2013:</b>						
January-March						
April-June						
July-September						
October-December						

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your product. Also, please explain any anomalies in your reported pricing data.

Product 3: \_\_\_\_\_

Product 4: \_\_\_\_\_

Product 5: \_\_\_\_\_

**PART III.--PRICING AND RELATED INFORMATION--Continued**

**III-3. Price setting.—**

(a) How does your firm determine the prices that it charges for sales of diffusion-annealed, nickel-plated steel (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Please list each pricing formula (e.g., tying prices to raw material costs) in use by your firm for its sales of diffusion-annealed, nickel-plated steel between 2011 and 2013, identifying the customer and the time period during which the formula was in use.

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(c) To the extent that your firm uses a pricing formula for its sales of diffusion-annealed, nickel-plated steel, including a base price and adjustments for raw materials or other surcharges, please provide the following information:

What is the duration of the contract?

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How much time typically elapses between the award of a contract including a pricing formula and the commencement of shipments under that contract?

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For how long after shipments commence is the base price in effect?

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How frequently may the price change due to the surcharge or price adjustment mechanism?

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**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-3. **Price setting.**—*continued.*

(d) In bid negotiations for your firm's sales of diffusion-annealed, nickel-plated steel, do any customers require prices to be quoted based on specified or assumed values for raw materials (such as for hot rolled steel)?

Yes  No

If yes, please identify the materials for which values are specified and describe the bidding process

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(e) Do price negotiations for your firm's sales of diffusion-annealed, nickel-plated steel ever conclude after shipments of the product have already begun (i.e., are prices renegotiated after some shipments have already occurred, requiring post-shipment billing or price adjustments)?

Yes  No

If yes, please describe how such price adjustments are made and whether the quarterly pricing data in section III-2 above include such adjustments.

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(f) Have you offered to supply or been invited to submit bids to customers with respect to diffusion-annealed, nickel-plated steel specifications for which you were not qualified to supply the customer at the time of the offer or bid?

Yes  No

If yes, please describe the circumstances, indicating whether you won any bid competition prior to qualifying a particular customer specification and subsequently qualified to provide that specification.

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**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-4. **Discount policy.**-- Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Pricing terms for diffusion-annealed, nickel-plated steel.--**

(a) What are your firm's typical sales terms for diffusion-annealed, nickel-plated steel imported from Japan?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of imported diffusion-annealed, nickel-plated steel from Japan usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	



**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-6. **Contract versus spot.**--Approximately what share of your firm's sales of diffusion-annealed, nickel-plated steel imported from Japan in 2013 were on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

<u>Type of sale</u>	<u>Share of 2013 sales</u>
<b>Long-term contracts</b> (multiple deliveries for more than 12 months)	_____ %
<b>Short-term contracts</b> (multiple deliveries up to and including 12 months)	_____ %
<b>Spot sales</b> (for a single delivery)	_____ %
<b>Total</b>	<b>100 %</b>

III-7. **Contract provisions.**— Please fill out the table with respect to provisions of your firm's typical sales contracts for diffusion-annealed, nickel-plated steel from Japan (or check "not applicable" if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries up to and including 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>Number of days</i>		
Price renegotiation (during the contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-8. **Lead times.**--What is your firm's share of sales of diffusion-annealed, nickel-plated steel imported from Japan both from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of diffusion-annealed, nickel-plated steel?

<u>Source</u>	<u>Share of 2013 sales</u>	<u>Lead time (days)</u>
From your firm's U.S. inventory	_____ %	_____
From foreign manufacturers' inventory	_____ %	_____
Produced to order	_____ %	_____
<b>Total</b>	<b>100 %</b>	

III-9. **Shipping information.**—

- (a) What is the approximate percentage of the total delivered cost of diffusion-annealed, nickel-plated steel imported from Japan that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent.
- (b) Who generally arranges the transportation to your firm's customers' locations?  
 Your firm     Purchaser (*check one*)
- (c) When your firm sells diffusion-annealed, nickel-plated steel imported from Japan, from where is it shipped?  
 Point of importation  Storage facility  (*check one*)
- (d) Indicate the approximate percentage of your firm's sales of diffusion-annealed, nickel-plated steel imported from Japan that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b>	<b>100 %</b>

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-10. **Geographical shipments**--In which U.S. geographic market area(s) has your firm sold diffusion-annealed, nickel-plated steel imported from Japan since January 1, 2011 (check all that apply)?

Geographic area	Japan
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
<b>Central Southwest.</b> --AR, LA, OK, and TX.	<input type="checkbox"/>
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
<b>Pacific Coast.</b> --CA, OR, and WA.	<input type="checkbox"/>
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	<input type="checkbox"/>

III-11. **End uses**--Describe the end uses of the diffusion-annealed, nickel-plated steel that your firm imports from Japan. For each end-use product, what percentage of the total cost is accounted for by diffusion-annealed, nickel-plated steel and other inputs?

End use product	Share of total cost of end use product accounted for by		Total
	Diffusion-annealed, nickel-plated steel (percent)	Other inputs (percent)	
	%	%	100%
	%	%	100%
	%	%	100%

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-12. **Substitutes.**-- Can other products be substituted for diffusion-annealed, nickel-plated steel?

No                       Yes--Please fill out the table.

	Substitute	End use in which this substitute is used	Have changes in the prices of this substitute affected the price for diffusion-annealed, nickel-plated steel?		
			No	Yes	Explanation
1.			<input type="checkbox"/>	<input type="checkbox"/>	
2.			<input type="checkbox"/>	<input type="checkbox"/>	
3.			<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Demand trends.**-- Indicate how demand within the United States and outside of the United States (if known) for diffusion-annealed, nickel-plated steel has changed since January 1, 2011. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Product changes.**--Have there been any significant changes in the product mix or marketing of diffusion-annealed, nickel-plated steel since January 1, 2011?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

**PART III.--PRICING AND RELATED INFORMATION--Continued**

**III-15. Business cycles.--**

a) Is the diffusion-annealed, nickel-plated steel market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to diffusion-annealed, nickel-plated steel?

- No (skip to question III-16.)
- Yes-Business cycles (e.g. seasonal business).
- Yes-Other distinctive conditions of competition.

If yes, describe below.

<b>Business cycles</b>	
<b>Other conditions of competition</b>	

(b) Have there been any changes in the business cycles or conditions of competition for diffusion-annealed, nickel-plated steel since January 1, 2011?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-16. Supply constraints.--**Has your firm refused, declined, or been unable to supply diffusion-annealed, nickel-plated steel since January 1, 2011 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-17. Raw materials.--**Please describe any trends in the prices of raw materials used to produce diffusion-annealed, nickel-plated steel and whether your firm expects these trends to continue.

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**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-18. **Interchangeability.**--Is diffusion-annealed, nickel-plated steel produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	Japan	Germany	Korea	Other countries
United States				
Japan	X			
Germany	X	X		
Korea	X	X	X	
For any country-pair producing diffusion-annealed, nickel-plated steel that is <i>sometimes</i> or <i>never</i> interchangeable, please explain the factors that limit or preclude interchangeable use: <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>				

**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-19. **Factors other than price.**--Are differences other than price (*i.e.*, quality, availability, transportation network, product range, technical support, *etc.*) between diffusion-annealed, nickel-plated steel produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	Japan	Germany	Korea	Other countries
United States				
Japan	X			
Germany	X	X		
Korea	X	X	X	

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of diffusion-annealed, nickel-plated steel, identify the country-pair and report the advantages or disadvantages imparted by such factors:

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**PART III.--PRICING AND RELATED INFORMATION--Continued**

III-20. **Customer identification**--Please identify the names and contact information for your firm's 10 largest U.S. customers for diffusion-annealed, nickel-plated steel since January 1, 2011. Indicate the share of the quantity of your firm's total shipments of diffusion-annealed, nickel-plated steel that each of these customers accounted for in 2013.

Customer's name	Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of 2013 sales (%)
1				Street Address City        '        State        Zip Code	
2				Street Address City        '        State        Zip Code	
3				Street Address City        '        State        Zip Code	
4				Street Address City        '        State        Zip Code	
5				Street Address City        '        State        Zip Code	
6				Street Address City        '        State        Zip Code	
7				Street Address City        '        State        Zip Code	
8				Street Address City        '        State        Zip Code	
9				Street Address City        '        State        Zip Code	
10				Street Address City        '        State        Zip Code	