

**SUPPORTING STATEMENT  
FOR INFORMATION COLLECTION SUBMISSION  
9000-0053, PERMITS, AUTHORITIES, OR FRANCHISES**

**A. Justification.**

1. **Administrative requirements.** This is a request for extension of the information collection requirements currently approved under OMB Control Number 9000-0053 for the information requirements contained in the clause at FAR 52.247-2, Permits, Authorities, or Franchises. The clause requires an offeror to indicate whether it has the proper authorization from the Federal Highway Administration (or other cognizant regulatory body) before it can be allowed to move material under any contract awarded. In addition, the Government has the right to request an offeror to submit copies of the authorization to the contracting officer in the exceptional case where the Government has reason to doubt that the contractor is in possession of the appropriate authorization.

2. **Uses of information.** The contracting officer reviews the documents requested to ensure that the offeror has complied with all regulatory requirements and has obtained any permits, licenses, or franchises that are needed.

3. **Consideration of information technology.** We use improved information technology to the maximum extent practicable. Where both the Government agency and contractors are capable of electronic interchange, the contractors may submit this information collection requirement electronically.

4. **Efforts to identify duplication.** This requirement is being issued under the Federal Acquisition Regulation (FAR), which has been developed to standardize Federal procurement practices and eliminate unnecessary duplication.

5. **If the collection of information impacts small businesses or other entities, describe methods used to minimize burden.** The burden applied to small businesses is the minimum consistent with applicable laws, Executive orders, regulations, and prudent business practices.

6. **Describe consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently.** Collection of information on a basis other than solicitation-by-solicitation is not practical.

**7. Special circumstances for collection.** Collection is consistent with guidelines in 5 CFR 1320.6.

**8. Efforts to consult with persons outside the agency.** A notice was published in the *Federal Register* at 77 FR 55475, on September 10, 2012. One comment was received. One respondent submitted public comments on the extension of the previously approved information collection. The respondent in his response talked about the public submission of medical errors. The comment was completely out of scope of the 9000-0053 Information Collection, therefore it is believed that the respondent misinterpreted the purpose of this Information Collection 9000-0053 or perhaps erroneously submitted his comment to the wrong address.

**9. Explanation of any decision to provide any payment or gift to respondents, other than remuneration of contractors or guarantees.** Not applicable.

**10. Describe assurance of confidentiality provided to respondents.** This information is disclosed only to the extent consistent with prudent business practices and current regulations.

**11. Additional justification for questions of a sensitive nature.** No sensitive questions are involved.

**12 & 13. Estimated total annual public hour and cost burden.** The estimated annual reporting burden has decreased from what was published in the *Federal Register* at 74 FR 56640, on November 2, 2009. The decrease is based on a revised estimate of the number of respondents, responses per year and response time per response. Based on Fiscal Year 2011 Federal Procurement Data System (FPDS) data, 3,877 contracts were awarded to 1021 unique vendors under the North American Industry Classification System (NAICS) code 484 for trucking, where the requirements for this collection would apply. It is estimated that a maximum of 25%, or 255 of these vendors would be required to provide the information required by the clause. The only circumstance under which there is an information collection associated with this clause is when the contracting officer requests the contractor to furnish the permits, authorities, or franchises to the Government for confirmation. These documents need to be gathered and submitted only on an exception basis, i.e., if they are requested by the contracting officer. Such requests are infrequent in nature and are made only in circumstances where the contracting officer has reason to doubt that the offeror really has such

authorizations. We estimate that any respondent will be required to submit supporting information only one time annually. In addition, we think that it will take the contractor only half an hour to pull existing franchises or permits from the files. The estimated total burden is as follows:

Estimated respondents/yr.....	255
Responses annually.....x	<u>1</u>
Total annual responses.....	255
Estimated hrs/response.....x	<u>0.5</u>
Estimated total burden/hrs.....	127.5
Cost per hour.....	<u>\$30*</u>
 Estimated cost to public.....	 \$3,825

\*Based on GS-09, Step 5, salary (\$22.57 an hour) plus 32.85% burden, rounded to the nearest whole dollar, or \$30 an hour.

14. **Estimated cost to the Government.** Time required for Governmentwide review is estimated at half an hour.

Annual Reporting Burden and Cost

Reviewing time/hr.....	0.50
Responses/yr.....x	<u>255</u>
Review time/yr.....	127.5
Cost/hr.....x	<u>\$30*</u>
Total Government cost.....	\$3,825

\*Based on GS-09, Step 5, salary (\$22.57 an hour) plus 32.85% burden, rounded to the nearest whole dollar, or \$30 an hour.

15. **Explain reasons for program changes or adjustments reported in Item 13 or 14.** This submission requests an extension of OMB approval of an information collection requirement in the FAR. The information collection requirement in the FAR remains unchanged. However, the estimated respondents and burden hours had not changed since adjusted in 1990. The downward adjustment in item 13 and 14 is based on a reassessment of burden in light of the latest available FPDS data.

16. **Outline plans for published results of information collections.** Results will not be tabulated or published.

17. **Approval not to display expiration date.** Not applicable.

18. **Explanation of exception to certification statement.** Not applicable.

**B. Collections of Information Employing Statistical Methods.**

Statistical methods are not used in this information collection.