

**Annual Mandatory Collection of Elementary and Secondary  
Education Data through *EDFacts***

July 2012

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**ATTACHMENT H-2**

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**Change Memo Describing  
Technical Amendments**

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## PURPOSE

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This document describes technical amendments proposed for the ED*Facts* data set. All original supporting attachments have been updated to reflect the amendments and are submitted with this change request.

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## BACKGROUND

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The current ED*Facts* data set is approved for three school years: 2010-11, 2011-12, and 2012-13. The ED*Facts* data set is primarily collected through the ED*Facts* Submission System (ESS) and submitted by state educational agencies (SEAs). ESS is an electronic collection system that collects data at the state, local education agency (LEA), and school levels. This approach has allowed the U.S. Department of Education (ED) to have a robust set of data while also modernizing the collection approach from the SEAs.

On February 25, 2007, Final Regulations were published to amend 34 CFR part 76 governing state reporting requirements. The revised regulations allow the Secretary and ED, through the *Paperwork Reduction Act* clearance process, to require states to report certain information electronically. The regulations also establish that ED may take administrative action against a state for failure to submit reports in the manner prescribed by the Secretary. This is intended to significantly reduce reporting burden and to streamline data collections currently required by ED by bringing elementary and secondary education data in through the Annual Mandatory Collection of Elementary and Secondary Education Data for ED*Facts* (1875-0240). The failure to submit ED*Facts* data constitutes a failure to comply substantially with the law applicable to the funds provided by the federal government.

ED is currently collecting the SY 2010-11 and SY 2011-12 data. ED plans to begin collecting the SY 2012-13 data by December of 2012.

The ED*Facts* data set is composed of data groups and categories. The structure of the ED*Facts* data set is explained in Attachment B-2 of the approved data set. So that the data groups and categories can be identified as such, the names of data groups and categories are italicized in this document. Where appropriate, references are made to the relevant sections of Attachment B-3 “ED*Facts* Data Groups” and Attachment B-4 “ED*Facts* Data Categories” from the approved collection package.

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## TECHNICAL AMENDMENTS

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This section discusses the requested technical amendments to the ED*Facts* data set. The burden associated with these technical amendments is discussed in a separate section at the end of this memo. The changes are organized in this section by content area.

ED is requesting the following change for SY 2011-12 (FFY 2011):

- 1) Transferring the Office of Special Education Program's (OSEP) Report on IDEA Part B Maintenance of Effort Reduction and Coordinated Early Intervening Services, currently cleared under 1820-0689, to the *EDFacts* collection beginning with data for FFY 2011

ED is requesting that the following changes be applied to the *EDFacts* data set beginning with SY 2012-13:

- 2) Changes to the collection of data through *EDFacts* that will be used for populating the Consolidated State Performance Report (CSPR) as required by the Elementary and Secondary Education Act (ESEA) in the areas of:
  - A. McKinney-Vento Education for Homeless Children and Youth Program
  - B. Prevention and Intervention Programs for the Education of Children and Youth Who Are Neglected, Delinquent or At-Risk (under Title I, Part D, Subparts 1 and 2)
  - C. Science Assessments
  - D. Title I, Part A, Funding for Parental Involvement
  - E. ESEA Flexibility Options

The requested changes are deletions, technical amendments, or new data that were included in the paperwork clearance package for the CSPR for SY 2011-12 and SY 2012-13. These changes were recently approved by the Office of Management and Budget (OMB) under clearance # 1810-0614.

- 3) Technical amendments to the collection of data on college enrollment and course completion data for high school graduates used by the Office of School Turnaround (OST) and the Implementation and Support Unit (ISU).

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#1 – DATA COLLECTED FOR THE OFFICE OF SPECIAL EDUCATION PROGRAMS

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Data collected in OSEP's Report on IDEA Part B Maintenance of Effort Reduction and Coordinated Early Intervening Services (Table 8) will be collected through the *EDFacts* Metadata and Process System (*EMAPS*) beginning with FFY 2011 data. Collection of these data is expected to begin in April 2013. By adding these data to the *EDFacts* data set, all of the IDEA Section 618 data collected by OSEP on children in K-12 schools will be collected through *EDFacts*. A copy of Table 8 is included as Attachment B-9.

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#2 – DATA COLLECTED FOR THE CSPR

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The following technical amendments are for data that are collected through ED Facts and then used to populate the CSPR.

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**#2A – MCKINNEY-VENTO EDUCATION FOR  
HOMELESS CHILDREN AND YOUTH PROGRAM**

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ED is requesting two changes to *Homeless students enrolled table* (DG655, N/X File 118). This data group collects the cumulative unduplicated number of homeless students enrolled in public schools at any time during the school year. These changes will improve the program office’s ability to administer the Homeless Program (McKinney Vento) and correct current inconsistencies in the data collected on that program.

Change #1 – Correct the collection of data by age/grade

This data group currently uses the category *Age/Grade (All)*. This category includes the permitted values “Under 3” and “Out of School.” These permitted values should not be used with this data group, as students under the age of 3 or who are out-of-school would not be enrolled in public schools. Instead, the data group will use the category *Age/Grade((3-5/K-12))* which will include permitted values for 3-5 (for prekindergarten programs), kindergarten, grades 1 through 12, and ungraded.

Change #2 – Collect data on subgroups

This data group currently collects data by grade and by homeless primary nighttime residence. To comply with the Education for Homeless Children and Youth subtitle of the McKinney-Vento Homeless Assistance Act, which enumerates requirements or expectations to facilitate coordination and collaboration among programs serving these subpopulations of homeless children and youth, data are needed on the following subgroups: homeless unaccompanied youth, limited English proficient, children with disabilities (IDEA), and migratory children/youth. Currently, the only subgroup for which data are collected under DG655 is students served by McKinney-Vento programs.

After these changes, the *Homeless students enrolled table* (DG655) would appear as follows in the McKinney-Vento Homeless Program section of Attachment B-3:

Group Name: Homeless students enrolled table		ID: 655
<b>Section</b>	Student	
<b>Definition</b>	The cumulative unduplicated number of homeless students enrolled in public schools at any time during the school year.	
<b>Permitted Values</b>	• Integer	
<b>Reporting Period</b>	School Year (state)	
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input checked="" type="checkbox"/>
<b>Grand Total</b>	<input type="checkbox"/>	

<b>(Education Unit Total)</b>	
<b>Comment <span style="color: red;">New!</span></b>	Category sets C, D, E and F do not include all students.
<b>File Specification #</b>	X/N118
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>
<b>Category Set A <span style="color: red;">Revised!</span></b>	• Age/Grade (3-5/K-12)
<b>Category Set B</b>	• Homeless Primary Nighttime Residence
<b>Category Set C <span style="color: red;">New!</span></b>	• Disability Status (Only)
<b>Category Set D <span style="color: red;">New!</span></b>	• LEP Status (Only)
<b>Category Set E <span style="color: red;">New!</span></b>	• Migrant Status
<b>Category Set F <span style="color: red;">New!</span></b>	• Homeless Unaccompanied Youth Status
<b>STEWARD: OESE / HOMELESS</b>	

#2B – PREVENTION AND INTERVENTION PROGRAMS FOR THE EDUCATION OF  
CHILDREN AND YOUTH WHO ARE NEGLECTED, DELINQUENT OR AT-RISK

ED is requesting three changes (at both the SEA and LEA levels) to the data collected on Neglected or Delinquent (N or D) students for ESEA, Title I, Part D. These changes will improve the program office’s ability to administer the program, to target technical assistance and funding, and to reduce burden on grantees.

Change #1 – Transition from CSPR manual entry to data collection through ED*Facts*

The N or D academic and vocational outcomes data in the CSPR currently are entered by state education agencies (SEAs) manually instead of being populated from the ED*Facts* system.

As part of the SY 2011-12/2012-13 CSPR clearance, ED will now be collecting the location of where the outcome was achieved—either while enrolled in the N or D facility or program, or within 90 days of exiting the program or facility.

Also, as part of the SY 2011-12/2012-13 CSPR clearance, ED will be collecting additional data on whether transition data are available within the state, where the data are available, and the number of students with re-entry plans (to school or work) after release from the N or D facility. According to the ESEA, Title I, Part D statute, SEAs should be providing and tracking information related to the transition of students and their re-entry into schools and community life. The new transition items are currently monitored by ESEA, Title I, Part D programs but have not been previously reported by SEAs.

The corresponding data groups will be added to the ED*Facts* data set in the Neglected or Delinquent Program section of Attachment B-3:

New!

<b>Category Name: Re-entry Plan Status</b>	<b>Steward: OESE/N or D</b>
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<b>Comments</b>	
<b>Definition</b>	An indication that the students' re-entry/discharge plans address school re-entry and/or work.
<b>Permitted Values</b>	
	<ul style="list-style-type: none"> <li>Plans address school re-entry and/or work</li> </ul>
<b>EDEN Data Groups</b>	
	<ul style="list-style-type: none"> <li>Transition services – State Agency</li> <li>Transition services - LEA</li> </ul>

**New!**

<b>Category Name: Academic / Vocational Outcomes</b>		<b>Steward: OESE/N or D</b>
<b>Comments</b>		
<b>Definition</b>	The type of academic or vocational outcome attained.	
<b>Permitted Values</b>		
	<ul style="list-style-type: none"> <li>Enrolled in local district school</li> <li>Earned high school course credits</li> <li>Enrolled in a GED program</li> <li>Earned a GED</li> <li>Obtained high school diploma</li> <li>Were accepted and/or enrolled into post-secondary education</li> <li>Enrolled in job training courses/programs</li> <li>Obtained employment</li> </ul>	
<b>EDEN Data Groups</b>		
	<ul style="list-style-type: none"> <li>N or D academic and vocational outcomes in program – State Agency</li> <li>N or D academic and vocational outcomes exited programs – State Agency</li> <li>N or D academic and vocational outcomes in program – LEA</li> <li>N or D academic and vocational outcomes exited programs – LEA</li> </ul>	

**New!**

<b>Group Name: N or D academic and vocational outcomes in programs- LEA</b>		<b>ID: TBD</b>
<b>Section</b>	Student	
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 2 (LEA) of ESEA, as amended, who attained academic and vocational outcomes while in the programs.	

Attachment H-2  
Annual Mandatory Collection of Elementary and Secondary Education Data through ED Facts  
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<b>Permitted Values</b>	• Integer		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>		
<b>Comment</b>			
<b>File Specification #</b>	X/N180		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 2)</li> <li>Academic / Vocational Outcomes</li> </ul>		
<b>STEWARD: OESE / N or D</b>			

**New!**

<b>Group Name: N or D academic and vocational outcomes in programs- State Agency</b>		<b>ID: TBD</b>
<b>Section</b>	Student	
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 1 (State Agency) of <i>ESEA</i> , as amended, who attained academic and vocational outcomes while in the programs.	
<b>Permitted Values</b>	• Integer	
<b>Reporting Period</b>	July 1 through June 30	
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input type="checkbox"/> State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>	
<b>Comment</b>		
<b>File Specification #</b>	X/N180	
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>	
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>Academic / Vocational Outcomes</li> </ul>	
<b>STEWARD: OESE / N or D</b>		

**New!**

<b>Group Name: N or D academic and vocational outcomes exited programs- LEA</b>		<b>ID: TBD</b>
<b>Section</b>	Student	
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 2 (LEA) of <i>ESEA</i> , as amended, who attained academic and vocational outcomes within 90 calendar days after exiting the programs.	



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<b>Permitted Values</b>	• Integer		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>		
<b>Comment</b>			
<b>File Specification #</b>	X/N180		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Academic / Vocational Outcomes</li> </ul>		
<b>STEWARD: OESE / N or D</b>			

**New!**

<b>Group Name: N or D academic and vocational outcomes exited programs- State Agency</b>		<b>ID: TBD</b>	
<b>Section</b>	Student		
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 1 (State Agency) of <i>ESEA</i> , as amended, who attained academic and vocational outcomes within 90 calendar days after exiting the programs.		
<b>Permitted Values</b>	• Integer		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input type="checkbox"/>	State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>		
<b>Comment</b>			
<b>File Specification #</b>	X/N180		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 1)</li> <li>• Academic / Vocational Outcomes</li> </ul>		
<b>STEWARD: OESE / N or D</b>			

**New!**

<b>Group Name: Transition services - LEA</b>		<b>ID: TBD</b>	
<b>Section</b>	Student		
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 2 (LEA) of <i>ESEA</i> , as amended, receiving transition services that specifically target planning for further schooling and/or employment.		
<b>Permitted Values</b>	• Integer		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>

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<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>
<b>Comment</b>	For each N or D program, the data should be unduplicated. Category set B includes only those students with a re-entry/discharge plan.
<b>File Specification #</b>	X/N180
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> </ul>
<b>Category Set B</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Re-entry Plan Status</li> </ul>
<b>STEWARD: OESE / N or D</b>	

**New!**

<b>Group Name: Transition services - State Agency</b>		<b>ID: TBD</b>	
<b>Section</b>	Student		
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 1 (State Agency) of <i>ESEA</i> , as amended, receiving transition services that specifically target planning for further schooling and/or employment.		
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>• Integer</li> </ul>		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input type="checkbox"/>	State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>		
<b>Comment</b>	For each N or D program, the data should be unduplicated. Category set B includes only those students with a re-entry/discharge plan.		
<b>File Specification #</b>	X/N180		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 1)</li> </ul>		
<b>Category Set B</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 1)</li> <li>• Re-entry Plan Status</li> </ul>		
<b>STEWARD: OESE / N or D</b>			

Change #2 – Add collection of subgroups

As part of the SY 2011-12/2012-13 CSPR clearance, ED will now be collecting the unduplicated number of Title I, Part D served children with disabilities (IDEA) and the unduplicated number of Title I, Part D served students who are limited English proficient. Program funding is intended to be used to assist both groups of students. Understanding the number of students needing additional educational and support services will assist ED in targeting technical assistance.

After these changes, the *N or D participation tables* (DG656 and DG657) for Subpart 1 and 2 would appear as follows in the Neglected or Delinquent Program section of Attachment B-3:

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For Subpart 1:

Group Name: N or D participation table - State Agency		ID: 656
<b>Section</b>	Student	
<b>Definition</b>	The number of students participating in programs for neglected or delinquent students (N or D) under Title I, Part D, Subpart 1 (State Agency) of ESEA, as amended.	
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>Integer</li> </ul>	
<b>Reporting Period</b>	July 1 through June 30	
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input type="checkbox"/> State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>	
<b>Comment Revised!</b>	For each N or D program, the data should be unduplicated. Category sets D and E do not include all students.	
<b>File Specification #</b>	X/N119	
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>	
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>Racial Ethnic</li> </ul>	
<b>Category Set B</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>Sex (Membership)</li> </ul>	
<b>Category Set C</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>Age (All)</li> </ul>	
<b>Category Set D New!</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>Disability Status (Only)</li> </ul>	
<b>Category Set E New!</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> <li>LEP Status (Only)</li> </ul>	
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>	
<b>Subtotals 1</b>	<ul style="list-style-type: none"> <li>N or D Program (Subpart 1)</li> </ul>	
<b>STEWARD: OESE / N or D</b>		

For Subpart 2:

Group Name: N or D participation table – LEA		ID: 657
<b>Section</b>	Student	
<b>Definition</b>	The number of students participating in programs for neglected, delinquent, or at-risk students (N or D) under Title I, Part D, Subpart 2 (LEA) of ESEA, as amended.	
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>Integer</li> </ul>	
<b>Reporting Period</b>	July 1 through June 30	
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input type="checkbox"/>
<b>Grand Total</b>	<input type="checkbox"/>	

<b>(Education Unit Total)</b>	
<b>Comment</b> <b>Revised!</b>	For each N or D program, the data should be unduplicated. Category sets D and E do not include all students.
<b>File Specification #</b>	X/N127
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Racial Ethnic</li> </ul>
<b>Category Set B</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Sex (Membership)</li> </ul>
<b>Category Set C</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Age (All)</li> </ul>
<b>Category Set D</b> <b>New!</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• Disability Status (Only)</li> </ul>
<b>Category Set E</b> <b>New!</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> <li>• LEP Status (Only)</li> </ul>
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>
<b>Subtotals 1</b>	<ul style="list-style-type: none"> <li>• N or D Program (Subpart 2)</li> </ul>
<b>STEWARD: OESE / N or D</b>	

Change #3 – Simplify the collection of data on academic achievement

Consolidate pre- and post-test progress levels in DG628 and DG629, N or D academic achievement tables at the SEA and LEA levels respectively. Effectively, this would delete the permitted value of ‘Up Half Grade’ and change the definition of ‘Up One Grade’ to “The students showed improvement of up to one full grade level from the pre- to the post-test.” This change was requested by the Title I, Part D coordinators to simplify the manner in which pre- and post-test data are collected in order to represent changes in two rather than three levels of improvement.

After these changes, the category *Progress Levels* would appear as follows in the Neglected or Delinquent Program section of Attachment B-4:

<b>Category Name: Progress Level</b>	<b>Steward: OESE/NorD</b>
<b>Comments</b>	
<b>Definition</b>	The amount of progress shown in academic subjects.
<b>Permitted Values</b>	
<b>Revised!</b>	<ul style="list-style-type: none"> <li>• The students showed a negative grade level change from the pre- to post-test</li> <li>• <del>The students showed improvement from one half grade level up to one full grade level from the pre- to post-test.</del></li> <li>• The students showed improvement of up to one full grade level</li> </ul>

	<ul style="list-style-type: none"> <li>from the pre- to post-test</li> <li><del>• The students showed improvement of up to one half grade level from the pre- to post-test</del></li> <li>• The students showed an improvement of more than one full grade</li> <li>• The students showed no change from the pre- to post-test</li> </ul>
<b>EDEN Data Groups</b>	
	<ul style="list-style-type: none"> <li>• 628 N or D academic achievement table - State Agency</li> <li>• 629 N or D academic achievement table – LEA</li> </ul>

#2C – SCIENCE ASSESSMENTS DATA

ED is requesting that the category *Full Academic Year Status* be deleted from *Assessment participation in science table* (DG590) and *Academic achievement in science table* (DG585). This information is not required for science assessments given that the science assessment data are not part of Adequate Yearly Progress (AYP) calculations. Given that this is a burden reduction, this change will be implemented for SY 2011-12.

After these changes, these data groups will appear as follows in the Accountability and Reporting Provisions of *ESEA* section of Attachment B-3:

<b>Group Name: Assessment participation in science table</b>		<b>ID: 590</b>
<b>Section</b>	Student	
<b>Definition</b>	The unduplicated number of students who were enrolled during the period of the state assessment in science.	
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>• Integer</li> </ul>	
<b>Reporting Period</b>	Testing Window	
<b>Reporting Levels</b>	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>	
<b>Comment</b>	Category sets C, D, E, F, and G do not include all students.	
<b>File Specification #</b>	X/N189	
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>	
<b>Category Set A Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li><del>• Full Academic Year Status</del></li> <li>• Major Racial and Ethnic Groups</li> </ul>	
<b>Category Set B Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li><del>• Full Academic Year Status</del></li> <li>• Sex (Membership)</li> </ul>	
<b>Category Set C</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> </ul>	

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<b>Revised!</b>	<ul style="list-style-type: none"> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Disability Status (Only)</li> </ul>
<b>Category Set D Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• LEP Status (Only)</li> </ul>
<b>Category Set E Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Economically Disadvantaged Status</li> </ul>
<b>Category Set F Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Migrant Status</li> </ul>
<b>Category Set G Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Homeless Enrolled Status</li> </ul>
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>
<b>Subtotals 1 Revised!</b>	<ul style="list-style-type: none"> <li>• Participation Status (MS)</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> </ul>
<b>STEWARD: OESE</b>	

<b>Group Name: Academic achievement in science table</b>		<b>ID: 585</b>
<b>Section</b>	Student	
<b>Definition</b>	The unduplicated number of students who completed the state assessment in science for whom a proficiency level was assigned.	
<b>Permitted Values</b>	• Integer	
<b>Reporting Period</b>	Testing Window	
<b>Reporting Levels</b>	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>	
<b>Comment</b>	Category sets C, D, E, F, and G do not include all students.	
<b>File Specification #</b>	X/N179	
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>	
<b>Category Set A</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> </ul>	

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<b>Revised!</b>	<ul style="list-style-type: none"> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Major Racial and Ethnic Groups</li> </ul>
<b>Category Set B Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Sex (Membership)</li> </ul>
<b>Category Set C Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Disability Status (Only)</li> </ul>
<b>Category Set D Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• LEP Status (Only)</li> </ul>
<b>Category Set E Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Economically Disadvantaged Status</li> </ul>
<b>Category Set F Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Migrant Status</li> </ul>
<b>Category Set G Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> <li>• Homeless Enrolled Status</li> </ul>
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>
<b>Subtotals 1 Revised!</b>	<ul style="list-style-type: none"> <li>• Assessment Administered</li> <li>• Performance Level</li> <li>• Grade Level (Assessment)</li> <li>• <del>Full Academic Year Status</del></li> </ul>
<b>STEWARD: OESE</b>	

**#2D – TITLE I, PART A, FUNDING FOR PARENTAL INVOLVEMENT DATA**

As part of the SY 2011-12/2012-13 CSPR clearance, ED will now be collecting a new set of questions on parental involvement. For the first school year (SY 2011-12), these data will be manually collected in the CSPR. For the second school year (SY 2012-13), the data will be collected through ED*FACTS* and populated into the CSPR. New data groups will be created to collect data on the number of LEAs that received a FY2012 Title I, Part A allocation of \$500,000 or more; the number of LEAs that received a FY2012 Title I, Part A allocation of less than \$500,000; the sum of the amount reserved by LEAs receiving allocations of \$500,000 or more for parental involvement initiatives; and the sum of the amount reserved by LEAs receiving allocations of less than \$500,000 for parental involvement initiatives.

These additions were requested in order to address inquiries from Congress and to improve OESE’s ability to target technical assistance efforts. The statutory requirement for this proposal is ESEA, Title I Part A, Section 1118, Parental Involvement.

The corresponding data groups shown below will be added to the Title I Program (Non-Accountability Provisions) section of Attachment B-3.

<b>Group Name: Parental involvement reservation</b>		<b>ID: TBD</b>	
<b>Section</b>	Education unit		
<b>Definition</b>	The dollar amount of the Title I of ESEA, Part A allocation reserved by the LEA for parental involvement activities.		
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>• Dollar amount (without cents)</li> </ul>		
<b>Reporting Period</b>	July 1 through June 30		
<b>Reporting Levels</b>	School <input type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>		
<b>Comment</b>			
<b>File Specification #</b>	X/N131		
<b>STEWARD: OESE / Title I</b>			

**#2E – ESEA FLEXIBILITY OPTIONS DATA**

ED is requesting the addition of a new set of questions related to the new *ESEA* flexibility provisions for the SY 2012-13 CSPR. These data would be collected through ED*FACTS*. This would involve the revision of the permitted values used for Improvement status – Schools (DG34) and Improvement status – LEAs (DG662).

**Note:** Additional data for *ESEA* flexibility reporting through ED*FACTS* were recently proposed by ED under a separate clearance and are currently out for a 60-day comment period, which will



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close on July 30. The proposed new data collection will be submitted to OMB for review and approval in August, and the new data will be folded into the next three-year ED Facts clearance process and number.

For schools:

Group Name: Improvement status - school		ID: 34
<b>Section</b>	Education Unit	
<b>Definition</b>	An indication of the improvement stage of the school.	
<b>Permitted Values</b> <b>Revised!</b>	There are three discrete sets of permitted values. <ol style="list-style-type: none"> <li>1. States that use <i>ESEA</i> school improvement statuses:               <ul style="list-style-type: none"> <li>• Improvement Year 1</li> <li>• Improvement Year 2</li> <li>• Corrective Action</li> <li>• Restructuring Planning</li> <li>• Restructuring</li> <li>• Not Identified for Improvement</li> </ul> </li> <li>2. States with approval to use state defined improvement levels:               <ul style="list-style-type: none"> <li>• State Defined</li> <li>• Not Identified for Improvement</li> </ul> </li> <li>3. States with approved flexibility plans               <ul style="list-style-type: none"> <li>• Priority</li> <li>• Focus</li> <li>• Not identified</li> </ul> </li> </ol>	
<b>Reporting Period</b>	School Year	
<b>Reporting Levels</b>	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/> State <input type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>	
<b>Comment</b>		
<b>File Specification #</b>	X/N130	
<b>STEWARD: OESE</b>		

For LEAs:

Group Name: Improvement status - LEA		ID: 662
<b>Section</b>	Education Unit	
<b>Definition</b>	An indication of the improvement stage of the local education agency (LEA).	
<b>Permitted Values</b> <b>Revised!</b>	There are two discrete sets of permitted values. <ol style="list-style-type: none"> <li>1. States that use <i>ESEA</i> school improvement statuses:</li> </ol>	

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	<ul style="list-style-type: none"> <li>• Improvement Year 1</li> <li>• Improvement Year 2</li> <li>• Corrective Action</li> <li>• Not Identified for Improvement</li> <li>• NA</li> </ul> <p>2. States with approval to use state defined improvement levels:</p> <ul style="list-style-type: none"> <li>• State Defined</li> <li>• Not Identified for Improvement</li> <li>• NA</li> </ul> <p>3. States with approved flexibility plans</p> <ul style="list-style-type: none"> <li>• Priority</li> <li>• Focus</li> <li>• Not identified</li> </ul>
<b>Reporting Period</b>	School Year
<b>Reporting Levels</b>	School <input type="checkbox"/> LEA <input checked="" type="checkbox"/> State <input type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input type="checkbox"/>
<b>Comment</b>	
<b>File Specification #</b>	X/N130
<b>STEWARD: OESE</b>	

#3 – POSTSECONDARY ENROLLMENT AND COURSE COMPLETION DATA

ED is requesting a technical amendment to include subgroup reporting for *HS graduates postsecondary enrollment table* (DG739) and *HS graduates postsecondary credits earned table* (DG740). The requirement for the capacity for subgroup reporting was included in the required indicators for the State Fiscal Stabilization Fund (see below), but not articulated in the original clearance document. In addition, the subgroup reporting for these data is cleared as part of the Race to the Top Annual Performance Report. The subgroups are economically disadvantaged students; students from major racial and ethnic groups; students with disabilities (IDEA); and students with limited English proficiency.

Indicator (c)(11) Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i), the number and percentage (including numerator and denominator) who enroll in an institution of higher education (IHE) (as defined in section 101(a) of the Higher Education Act of 1965, as amended (HEA)) within 16 months of receiving a regular high school diploma.

Indicator (c)(12) Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section

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1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i) who enroll in a public IHE (as defined in section 101(a) of the HEA) in the State within 16 months of receiving a regular high school diploma, the number and percentage (including numerator and denominator) who complete at least one year's worth of college credit (applicable to a degree) within two years of enrollment in the IHE.

After these changes, these data groups would appear as follows in the Standards and Assessment section of Attachment B-7 ED Facts Data Set State Fiscal Stabilization Fund:

For Indicator (c)(11)

Group Name: HS graduates postsecondary enrollment table		ID: 739	
<b>Section</b>	Student		
<b>Definition</b>	The number of graduates from two school years prior to the current school year who enrolled or did not enroll in an IHE within 16 months of receiving a regular high school diploma.		
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>Integer</li> </ul>		
<b>Reporting Period</b>	School year		
<b>Reporting Levels</b>	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input checked="" type="checkbox"/>		
<b>Comment</b>	Only students graduating from high school consistent with 34 CFR 200.19(b)(1)(i) in the graduating class two years prior to the reporting period should be included in this count.		
<b>File Specification #</b>	X/N160		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>Major Racial and Ethnic Groups</li> </ul>		
<b>Category Set B</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>Sex (Membership)</li> </ul>		
<b>Category Set C</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>Disability Status (Only)</li> </ul>		
<b>Category Set D</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>LEP Status (Only)</li> </ul>		
<b>Category Set E</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>Economically Disadvantaged Status</li> </ul>		
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>		
<b>Subtotal 1 – (Formerly Category Set A)</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> </ul>		
<b>STEWARD: OESE</b>			

For Indicator (c)(12)

Group Name: HS graduates postsecondary credit earned table		ID: 740	
<b>Section</b>	Student		
<b>Definition</b>	Students who enroll in a public IHE (as defined in section 101(a) of the HEA) in the state within 16 months of receiving a regular high school diploma and who complete at least one year's worth of college credit applicable to a degree within two years of enrollment in the IHE.		
<b>Permitted Values</b>	<ul style="list-style-type: none"> <li>Integer</li> </ul>		
<b>Reporting Period</b>	School Year		
<b>Reporting Levels</b>	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
<b>Grand Total (Education Unit Total)</b>	<input checked="" type="checkbox"/>		
<b>Comment</b>	Only students graduating from high school consistent with 34 CFR 200.19(b)(1)(i) in the graduating class four years prior to the reporting period, and enrolling in an institution of higher education (IHE) as defined in section 101(a) of the <i>Higher Education Act of 1965</i> , as amended ( <i>HEA</i> ), should be included in this count.		
<b>File Specification #</b>	X/N161		
<b>CATEGORY SET</b>	<b>DESCRIPTION</b>		
<b>Category Set A</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Credit</li> <li>Major Racial and Ethnic Groups</li> </ul>		
<b>Category Set B</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Enrollment Action</li> <li>Sex (Membership)</li> </ul>		
<b>Category Set C</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Credit</li> <li>Disability Status (Only)</li> </ul>		
<b>Category Set D</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Credit</li> <li>LEP Status (Only)</li> </ul>		
<b>Category Set E</b> <b>New!</b>	<ul style="list-style-type: none"> <li>Postsecondary Credit</li> <li>Economically Disadvantaged Status</li> </ul>		
<b>SUBTOTALS</b>	<b>DESCRIPTION</b>		
<b>Subtotal 1 – (Formerly Category Set A)</b>	<ul style="list-style-type: none"> <li>Postsecondary Credit</li> </ul>		
<b>STEWARD: OESE</b>			

## BURDEN

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The table below explains the burden impact from these technical amendments.

<b>Change</b>	<b>Description</b>	<b>New data collection</b>	<b>Burden impact</b>
1	Transfer the burden associated with OSEP Table 8 to the ED Facts clearance. (Currently cleared under OMB # 1820-0689.)	No	Two years ago when OSEP initiated this collection, the estimated burden for the collection of Table 8 was approximately 1,032,480 hours. This total resulted from a calculation that assumed that no LEAs/States collected the data at that time, although there was evidence that some states were in fact already gathering the data from LEAs. OSEP erred on the side of over-estimating rather than under-estimating the burden. We expect this burden has been reduced over the past two years, and will be further reduced once the data are collected through ED Facts. A revised burden estimate will be determined during the next three-year clearance cycle for ED Facts, which is scheduled to begin later this summer.
2A 1	Correct the collection of data by age/grade	No	None – Removes permitted values that were not being used.
2A 2	Collect data on subgroups	Yes	Included in the CSPR for SY 2012-13.
2B 1	Move data collection from manual entry in the CSPR to collection through ED Facts	No	Moves the burden from the CSPR to ED Facts.
2B 2	Add collection of subgroups	Yes	Included in the CSPR for SY 2012-13.
2B 3	Simplify the collection of data on academic achievement	No	Reporting decrease – Respondents will have a simpler aggregation of data by progress level.
2C	Remove Full Academic Year (FAY) Status	No	Reporting decrease – Respondents will not have to aggregate the data by FAY status.
2D	Parental involvement data	Yes	Included in the CSPR for SY 2012-13.
2E	ESEA Flexibility Data	No	None – This change is to accommodate states with approved flexibility plans.
3	Postsecondary Enrollment and Course Completion data	Yes	In states where postsecondary data are available, the data are typically collected by major racial ethnic, sex, disability, LEP, and economic disadvantaged status. There should be

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Change	Description	New data collection	Burden impact
			no additional burden to respondents.

The current burden is based on an average burden per state of approximately one full time person for a year. Apart from the addition of OSEP's Table 8, we do not believe that this technical amendment materially alters that estimate. Therefore, the only alteration to the burden hours for this technical amendment will be the current burden association with Table 8, which is 1,032,480 hours.