Board of Governors of the Federal Reserve System



The Capital and Asset Report for Foreign Banking Organizations—FR Y-7Q

(See General Instructions for filing frequency re	quirements)				
This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. 1844(c)) and sections 8(c) and 13 of the International Banking Act (12 U.S.C. 3106(c) and 3108).	Federal Reserve System. The Federal Reserve may not conduct or sponsor, and an organization is not required to respond to, a collection of information unless it displays a currently valid OMB control number.				
The Capital and Asset Report for Foreign Banking Organizations is to be prepared in accordance with the instructions provided by the					
NOTE: The Capital and Asset Report for Foreign Banking Organizations must be signed by an authroized officer of the foreign banking	Date of Report:				
organization.	Month / Date / Year (FBOQ 9999)				
, the undersigned officer of the foreign banking organization attest					
that the FR Y-7Q report for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and is true and correct to the best of my knowledge and belief.	Legal Name of Foreign Banking Organization (TEXT 9010)				
, , , , , , , , , , , , , , ,	Mailing Address of Foreign Banking Organization (TEXT 9110)				
Printed Name of Officer (FBOQ C490)	City (TEXT 9130) Country (TEXT 9005) Postal Code (TEXT 9220)				
Title of Officer (FBOQ C491)					
Signature of Officer					
Date of Signature (MM/DD/CCYY) (FBOQ J196)					
	Has confidential treatment been "0" = No FBOQ				
	requested for this report? "1" = Yes C447				
	Person in the U.S. to whom questions about this report should be directed:				
	Name / Title (FBOQ 8901)				
	Area Code / Phone Number (FBOQ 8902)				
For Federal Reserve Bank Use Only Top-tier FBO RSSD ID	Area Code / FAX Number (FBOQ 9116)				
Lower-tier FBO RSSD ID C.I. S.F. F.C.	E-mail Address of Contact (FBOQ 4086)				

Public reporting burden for this information collection is estimated to average 1.25 hours for quarterly reporters and 1 hour for annual reporters, including the time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, N.W., Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100–0125), Washington, DC 20503.

Part 1. Capital and Asset Information for the **Top-tier Consolidated Foreign Banking Organization**

1.	Is the foreign banking organization required by its home-country supervisor to calculate its	"0" = No	FBOC
	capital ratios using a risk-adjusted framework consistent with the Basel Capital Accord?	"1" = Yes	B162

If the answer to line item 1 is "yes," provide capital and asset information in U.S. dollars using the procedure consistent with the risk-based framework required by the home-country supervisor. If the answer to line item 1 is "no," then provide the organization's best approximation under the Basel Capital Accord of the capital and asset information requested in U.S. dollars.

U.S. Dollar Amounts in Millions	FBOQ	Tril	Bil	Mil
2. Tier 1 capital	8274			
3. Total risk-based capital				
4. Risk-weighted assets	1			
5. Total assets at end of period				
•				
	FBOQ	Month MM	Day DD	Year CCYY
6. Enter the as-of date for the financial data provided above	C116			

NOTE: Part 1 is to be reported by each top-tier FBO annually if it or any FBO in its tiered structure has not effectively elected to be a financial holding company (FHC). Part 1 is to be reported by each top-tier FBO quarterly if it or any in its tiered structure has effectively elected to be an FHC.

Part 2. Capital and Asset Information for the Lower-tier FBOs Operating a Branch, or Agency, or Owning an Edge or Agreement Corporation, or a Commercial Lending Company Subsidiary in the United States (where any FBO in the Organization's tiered structure has effectively elected to be a financial holding company)¹

General Information:						
Legal title of lower-tier FBO operating a branch, or an agency, or owning an Edge/ Agreement Corporation, or a commercial lending company subsidiary in the United States Country of lower- Agreement corpo States		_				_
Is the reporting lower-tier FBO required by its home-country supervisor to calcular ratios using a risk-adjusted framework consistent with the Basel Capital Accord?			"0" = No "1" = Yes	FBOQ B162] 1.
with the risk-based framework required by the home-country supervisor. If the answe organization's best approximation under the Basel Capital Accord of the capital and a	er to line ite	m 1 is '	'no," the	n provi		
If the answer to line item 1 is "yes," provide capital and asset information in U.S. doll with the risk-based framework required by the home-country supervisor. If the answe organization's best approximation under the Basel Capital Accord of the capital and U.S. dollars. U.S. Dollar Amounts	er to line ite asset inforr	em 1 is ' mation r	'no," the	n provi		
with the risk-based framework required by the home-country supervisor. If the answer organization's best approximation under the Basel Capital Accord of the capital and a U.S. dollars. U.S. Dollar Amounts	er to line ite asset inforr in Millions	em 1 is ' mation r	'no," the requeste	n provi ed in	de the	2.
with the risk-based framework required by the home-country supervisor. If the answer organization's best approximation under the Basel Capital Accord of the capital and a U.S. dollars. U.S. Dollar Amounts 2. Tier 1 capital	er to line ite asset inforr in Millions	mation r	'no," the requeste	n provi ed in	de the	2. 3.
with the risk-based framework required by the home-country supervisor. If the answer organization's best approximation under the Basel Capital Accord of the capital and a U.S. dollars. U.S. Dollar Amounts 2. Tier 1 capital	er to line ite asset inforr in Millions	em 1 is 'mation r FBOQ 8274	'no," the requeste	n provi ed in	de the	1
with the risk-based framework required by the home-country supervisor. If the answer organization's best approximation under the Basel Capital Accord of the capital and a U.S. dollars. U.S. Dollar Amounts 2. Tier 1 capital	er to line ite asset inforr in Millions	FBOQ 8274 3792	'no," the requeste	n provi ed in	de the	3.
with the risk-based framework required by the home-country supervisor. If the answer organization's best approximation under the Basel Capital Accord of the capital and a U.S. dollars. U.S. Dollar Amounts 2. Tier 1 capital	er to line ite asset inforr in Millions	FBOQ 8274 3792 A223	'no," the requeste	n provi ed in	de the	3. 4.

For Federal Reserve Bank Use Only						
Top-tier FBO RS	SSD ID					
Lower-tier FBO RSSD ID						
C.I	S.F	F.C				