



U.S. DEPARTMENT OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU

FORM
F-12(S) (07-19-2012) Draft 2

**2012 CENSUS OF GOVERNMENTS
SURVEY OF PUBLIC PENSIONS**
Supplement to State-Administered Pension Plans

OMB No. 0607-0585: Approval Expires 07/31/2014

DUE DATE:

RETURN TO:

U.S. Census Bureau
1201 East 10th Street
Jeffersonville, IN 47132-0001

Need help or have questions?

- **Visit**
census.gov/govs/cog2012/
cog_finance.html
- **Call**
1-800-832-2839 weekdays,
7am to 5pm ET
- **Email**
govs.pensions@census.gov

In correspondence pertaining to this report, please refer to the User ID below the address box.

REPORT ONLINE: It's fast and secure. Respond to this survey via the Internet at the following web address using the supplied User ID and Password: respond.census.gov/aspp →

User ID:

Password:

GENERAL INSTRUCTIONS

Before filling out this form, please read carefully each part and all related definitions and instructions.

Note especially:

1. To complete this form, you will need the Comprehensive Annual Financial Report (CAFR) for the retirement system listed in the mailing address (*Use the annual report if the retirement system does not have a CAFR*).
2. Report data for the same fiscal year reported on the F-12: State-Administered Defined Benefit Plans form.
3. Report for **Defined Contribution** and **Postemployment Healthcare** plans only.
4. If you are including data for **any retirement system(s) administered in addition to the system identified** in the address box above, list retirement system(s) in **17**, REMARKS section, at the end of the form.
5. Report corporate stocks and bonds at market value, and adhere to Governmental Accounting Standards Board (GASB) guidelines when reporting gains and losses on investments.
6. Report figures relating to all accounts and reserves of the system, including amounts for retirement, disability, survivors', and other benefits, as well as any amounts for administration of the system. Exclude transfers between reserves of the system.
7. Do **not** delay reporting to await finally audited figures, if substantially accurate figures can be supplied on a preliminary basis.
8. Use a black or blue ballpoint pen. Do not use pencil or felt-tip pen.



17992017

PART 1 – PLAN INFORMATION FOR DEFINED CONTRIBUTION PLANS

1 Does this public retirement system offer a defined contribution plan?

Yes – Go to **2**

No – Go to **9**

2 Are new employees covered under this defined contribution plan?

Yes

No

3 List all defined contribution plans that the retirement system offers below. Report summary data combining all defined contribution plans.

PART 2 – MEMBERSHIP AND BENEFITS FOR DEFINED CONTRIBUTION PLANS

HOW TO REPORT DOLLAR FIGURES

CORRECT marking example – Please print all information clearly in ordinary characters. (Use care to keep characters in their respective boxes.) To report a negative value, place the negative symbol inside box.

\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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INCORRECT marking example – Do not put slashes through "0" or "7".

\$Bil.	Mil.	Thou.	Dol.
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

4 What was the total number of contributing members of the retirement system during the fiscal year?

Exclude

- Beneficiaries

A. Active members – Current contributors in contributory systems or employees in non-contributory systems.

1. Employed by the local government(s)

Include

- Local agencies Z75DC

2. Employed by the state government

Include

- State institutions and agencies Z76DC

3. **TOTAL** – (Sum of items A1. through A2.) Z01DC

Number of Members

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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B. Inactive members – Former employees and employees on military or other extended leave without pay having retained retirement credits, but **not currently receiving retirement benefit payments.**

1. Vested DCM004

2. Non-vested DCM005

3. **TOTAL** – (Sum of items B1. through B2.) Z02DC

Number of Members

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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PART 3 – RECEIPTS FOR DEFINED CONTRIBUTION PLANS

5 What was the amount of receipts during the fiscal year?

Exclude

- Amounts received from sales of investments
- Amounts received from repayment of loans made to members

A. Employee contributions – Total amounts contributed by all member employees or withheld from their salaries for financing benefits.

Employee Contributions

1. State employees – From employees of the state government, including employees of state colleges and other state institutions and agencies X02DC
2. Local employees – From employees of the counties, cities, local public schools, and other local government agencies X01DC

\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

B. Employer (government) contributions – Total amounts received from state and local governments for financial support of the system, including any taxes credited directly to the system.

Employer (Government) Contributions

1. State government contributions
 - a. State contributions to own system on behalf of state employees. Z99DC
 - b. State contributions to own system on behalf of local employees V87DC
 - c. **TOTAL** – (Sum of items B1a. through B1b.) X06DC
2. Local government contributions – From counties, cities, local public schools, and other local government agencies X05DC

\$Bil.	Mil.	Thou.	Dol.
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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C. Earnings on investments

Include

- Interest
- Dividends
- Rents
- Other earnings on investments

Exclude

- Gains and losses on investment transactions (report in 6)

Investment Earnings

1. Rentals from the state government. Z98DC
2. Interest Z71DC
3. Dividends Z72DC
4. Other investment earnings – Specify: . . . Z73DC
5. **TOTAL** – (Sum of items C1. through C4.) DCR074

\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

D. Other receipts

Include

- Private gifts
- Donations

Specify: . . . Z95DC

Other Receipts

\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



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6 What was the amount of net gains and losses on investments during the fiscal year? Report losses as a negative value (see **HOW TO REPORT DOLLAR FIGURES** on Page 2.).

		Gains and Losses											
		\$Bil.			Mil.			Thou.			Dol.		
A. Realized net gains or losses on investments	DCR092												
B. Unrealized net gains or losses on investments	DCR094												
C. TOTAL – (Sum of items A. through B.)	Z96DC/Z91DC												

PART 4 – PAYMENTS FOR DEFINED CONTRIBUTION PLANS

7 What was the amount of payments during the fiscal year?

Exclude

- Amounts paid out for purchase of investments and loans made to members
- Deferred retirement option plan (DROP) payments

		Payments											
		\$Bil.			Mil.			Thou.			Dol.		
A. Withdrawals – Amounts paid to employees, former employees, or their survivors, representing return of contributions made by employees during the period of their employment, and any interest on such amounts	X12DC												
B. Administrative expenses													
Include													
• Investment fees													
• Other administrative expenses	Z93DC												
C. Other payments – Specify: <input type="text"/>													
<input type="text"/>	Z90DC												

PART 5 – CASH AND INVESTMENTS FOR DEFINED CONTRIBUTION PLANS

8 What was the total amount of cash and investments (at market value) held at the end of the fiscal year?

Exclude

- Receivables and securities lending collateral

		Cash and Short-term Investments											
		\$Bil.			Mil.			Thou.			Dol.		
A. Cash and short-term investments													
1. Cash on hand and demand deposits	Z88DC												
2. Time or savings deposits	Z87DC												
3. All other short-term investments													
Include													
• Repurchase agreements													
• Commercial company paper													
• Finance company paper													
• Bankers acceptances													
• Money market mutual funds	X68DC												
4. TOTAL – (Sum of items A1. through A3.)	X21DC												

Continue with **8** on the next page



B. Federal government securities

1. Federal treasury securities – Obligations of the U.S. Treasury and Federal Financing Bank

Federal Government Securities
\$Bil. Mil. Thou. Dol.

Include

- Short-term notes Z89DC

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2. Federal agency

a. Securities – Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA.

Exclude

- Directly held mortgages (report in item F.) X33DC

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b. Federally-sponsored agencies – Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, and Farm credit banks

Exclude

- SLM Corporation (report in item C.) Z62DC

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3. **TOTAL** – (Sum of items B1. through B2b.) X30DC

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C. Corporate bonds, domestic

Include

- Debentures and convertible bonds
- Railroad equipment certificates
- Asset-backed securities
- Commercial mortgage-backed securities
- Corporate collateralized mortgage-backed securities
- Private debt and SLM Corporation Z63DC

Corporate Bonds
\$Bil. Mil. Thou. Dol.

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D. Corporate stocks, domestic

Include

- Common and preferred stocks
- Warrants
- Private equity
- Venture capital and leveraged buy-outs

Exclude

- Money market mutual funds (reported in A3.)
- Other mutual funds (report in item H4.)
- Hedge funds (report in item H4.) Z78DC

Corporate Stocks
\$Bil. Mil. Thou. Dol.

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E. Foreign and international securities

Include

- Foreign governments

Foreign and International Securities
\$Bil. Mil. Thou. Dol.

1. Foreign and international stocks DCC103

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2. Foreign and international bonds DCC104

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3. **TOTAL** – (Sum of items E1. through E2.) Z70DC

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F. Mortgages held directly

Exclude

- Mortgage-backed securities (reported in item B2a. or C.)
- Directly held real property (report in item H1.) . . . X42DC

Mortgages Held Directly			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

G. Investments held in trust by other agencies

Include

- Funds administered by private agencies
- Guaranteed investment accounts
- Share of funds in governmental investment accounts Z84DC

Other Securities			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

H. Other investments

1. Real property – Report only directly held property.

Exclude

- Property held in investment trusts (report in item H3.)
- Property held in pooled or partnership agreements (report in item H3.) X46DC

Other Investments			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2. State and local government securities. X35DC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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3. Other investments

Include

- Property held in pooled or partnership agreements
- Property held in investment trusts
- Investments in REITs

Specify: . . . X47DC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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4. Other securities

Include

- Shares held in conditional sales contracts
- Direct loans and loans to members
- Derivatives
- Guaranteed investment contracts
- Annuities and life insurance
- Mutual funds not reported elsewhere
- Hedge funds

Exclude

- Money market mutual funds (reported in item A3.)

Specify: . . . Z83DC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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5. **TOTAL** – (Sum of items H1. through H4.) Z82DC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Cash and Investments			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

I. TOTAL – (Sum of totals for items A. through H.) . . . Z81DC



17992066

PART 6 – PLAN INFORMATION FOR POSTEMPLOYMENT HEALTHCARE PLANS

9 Does this public retirement system offer a postemployment healthcare plan?

Yes – Go to **10**

No – Go to **17**

10 Are new employees covered under this postemployment healthcare plan?

Yes

No

11 List all postemployment healthcare plans that the retirement system offers below. Report summary data combining all postemployment healthcare plans.

PART 7 – MEMBERSHIP AND BENEFITS FOR POSTEMPLOYMENT HEALTHCARE PLANS

12 What was the total number of contributing members of the retirement system during the fiscal year?

Exclude
• Beneficiaries

A. Active members – Current contributors in contributory systems or employees in non-contributory systems.

1. Employed by the local government(s)

Include

• Local agencies Z75HC

2. Employed by the state government

Include

• State institutions and agencies Z76HC

3. **TOTAL** – (Sum of items A1. through A2.) Z01HC

Number of Members	
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>

B. Inactive members – Former employees and employees on military or other extended leave without pay having retained retirement credits, but not currently receiving retirement benefit payments.

1. Vested HCM004

2. Non-vested HCM005

3. **TOTAL** – (Sum of items B1. through B2.) Z02HC

Number of Members	
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>



17992074

PART 8 – RECEIPTS FOR POSTEMPLOYMENT HEALTHCARE PLANS

13 What was the amount of receipts during the fiscal year?

Exclude

- Amounts received from sales of investments
- Amounts received from repayment of loans made to members

A. Employee contributions – Total amounts contributed by all member employees or withheld from their salaries for financing benefits.

1. State employees – From employees of the state government, including employees of state colleges and other state institutions and agencies X02HC
2. Local employees – From employees of the counties, cities, local public schools, and other local government agencies X01HC

Employee Contributions			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

B. Employer (government) contributions – Total amounts received from state and local governments for financial support of the system, including any taxes credited directly to the system.

1. State government contributions
 - a. State contributions to own system on behalf of state employees. Z99HC
 - b. State contributions to own system on behalf of local employees V87HC
 - c. **TOTAL** – (Sum of items B1a. through B1b.) X06HC
2. Local government contributions – From counties, cities, local public schools, and other local government agencies X05HC

Employer (Government) Contributions			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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C. Earnings on investments

Include

- Interest
- Dividends
- Rents
- Other earnings on investments

Exclude

- Gains and losses on investment transactions (report in 14)

1. Rentals from the state government. Z98HC
2. Interest Z71HC
3. Dividends Z72HC
4. Other investment earnings – Specify: Z73HC
5. **TOTAL** – (Sum of items C1. through C4.) HCR074

Investment Earnings			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

D. Other receipts

Include

- Private gifts
- Donations

Specify: Z95HC

Other Receipts			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



17992082

B. Federal government securities

1. Federal treasury securities – Obligations of the U.S. Treasury and Federal Financing Bank

Federal Government Securities
\$Bil. Mil. Thou. Dol.

Include

- Short-term notes Z89HC

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2. Federal agency

a. Securities – Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA.

Exclude

- Directly held mortgages (report in item F.) X33HC

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b. Federally-sponsored agencies – Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, and Farm credit banks

Exclude

- SLM Corporation (report in item C.) Z62HC

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3. **TOTAL** – (Sum of items B1. through B2b.) X30HC

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C. Corporate bonds, domestic

Include

- Debentures and convertible bonds
- Railroad equipment certificates
- Asset-backed securities
- Commercial mortgage-backed securities
- Corporate collateralized mortgage-backed securities
- Private debt and SLM Corporation Z63HC

Corporate Bonds
\$Bil. Mil. Thou. Dol.

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D. Corporate stocks, domestic

Include

- Common and preferred stocks
- Warrants
- Private equity
- Venture capital and leveraged buy-outs

Exclude

- Money market mutual funds (reported in A3.)
- Other mutual funds (report in item H4.)
- Hedge funds (report in item H4.) Z78HC

Corporate Stocks
\$Bil. Mil. Thou. Dol.

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E. Foreign and international securities

Include

- Foreign governments

Foreign and International Securities
\$Bil. Mil. Thou. Dol.

1. Foreign and international stocks HCC103

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2. Foreign and international bonds HCC104

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3. **TOTAL** – (Sum of items E1. through E2.) Z70HC

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F. Mortgages held directly

Exclude

- Mortgage-backed securities (reported in item B2a. or C.)
- Directly held real property (report in item H1.) . . . X42HC

Mortgages Held Directly			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

G. Investments held in trust by other agencies

Include

- Funds administered by private agencies
- Guaranteed investment accounts
- Share of funds in governmental investment accounts Z84HC

Other Securities			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

H. Other investments

1. Real property – Report only directly held property.

Exclude

- Property held in investment trusts (report in item H3.)
- Property held in pooled or partnership agreements (report in item H3.) X46HC

Other Investments			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2. State and local government securities. X35HC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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3. Other investments

Include

- Property held in pooled or partnership agreements
- Property held in investment trusts
- Investments in REITs

Specify: . . . X47HC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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4. Other securities

Include

- Shares held in conditional sales contracts
- Direct loans and loans to members
- Derivatives
- Guaranteed investment contracts
- Annuities and life insurance
- Mutual funds not reported elsewhere
- Hedge funds

Exclude

- Money market mutual funds (reported in item A3.)

Specify: . . . Z83HC

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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5. TOTAL – (Sum of items H1. through H4.) Z82HC

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Cash and Investments			
\$Bil.	Mil.	Thou.	Dol.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

I. TOTAL – (Sum of totals for items A. through H.) . . . Z81HC



17992116

PART 11 – REMARKS

- 17 Use this space to:**
 - a) Explain any items that were difficult to classify;
 - b) Provide additional information concerning any of the entities or other items on the form.

PART 12 – CONTACT INFORMATION

- 18 Who should be contacted to answer questions about data reported on this form?**

Name of contact person – Please print

Title of contact person – Please print

Area code and phone number

Extension

Area code and fax number

□ □ □ □	□ □ □ □ □ □	□ □ □ □ □ □
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E-mail Address – Please print

Date form was completed
(MM) (DD) (YYYY)

□ □	□ □	□ □ □ □
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**Thank you for completing this form.
Retain a copy of the completed questionnaire for your records.**

NOTE: The U.S. Census Bureau receives its authorization to conduct this survey from Title 13, United States Code, Section 161. This form has been approved by the Office of Management and Budget (OMB) and given the number 0607-0585. Please note the number displayed in the upper right-hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number was not displayed, under the Paperwork Reduction Act, we could not request your participation in this voluntary survey. Information provided on this questionnaire compiled from or customarily provided in public records are exempt from confidential treatment as cited in Title 13, United States Code, Section 9.

Please note that this is a national form that applies to governments with wide differences in the size of their service areas, the amount of population served, and the extent and complexity of their activities. Public reporting burden for this collection of information is estimated to vary from 2 hours to 8.5 hours per response, with an average of 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 0607-0585, U.S. Census Bureau, 4600 Silver Hill Road, AMSD-3K138, Washington, DC 20233. You may e-mail comments to Paperwork@census.gov; use Paperwork Project 0607-0585 as the subject.



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