

LONG-TERM CARE HOSPITAL (LTCH) CONTINUITY ASSESSMENT RECORD & EVALUATION (CARE) DATA SET - Version 1.01 PATIENT ASSESSMENT FORM - UNPLANNED DISCHARGE

Section A	Administrative Information
A0050. Type of Record	
Enter Code <input style="width: 20px; height: 20px;" type="text"/>	1. Add new assessment/record 2. Modify existing record 3. Inactivate existing record
A0055. Correction Number	
Enter Number <input style="width: 20px; height: 20px;" type="text"/>	Enter the number of correction requests to modify/inactivate the existing record, including the present one. Enter 00 for new record
A0100. Facility Provider Numbers. Enter Code in boxes provided.	
	A. National Provider Identifier (NPI): B. CMS Certification Number (CCN): C. State Provider Number:
A0200. Type of Provider	
Enter Code <input style="width: 20px; height: 20px;" type="text"/>	3. Long-term Care Hospital
A0210. Assessment Reference Date	
	Observation end date: _____ - _____ - _____ Month Day Year
A0220. Admission Date	
	_____ - _____ - _____ Month Day Year
A0250. Reason for Assessment	
Enter Code <input style="width: 20px; height: 20px;" type="text"/>	01. Admission 10. Planned discharge 11. Unplanned discharge 12. Expired

Section A **Administrative Information**

A0270. Discharge Date

	—	—	
	Month	Day	Year

Patient Demographic Information

A0500. Legal Name of Patient

	<p>A. First name:</p> <p>B. Middle initial:</p> <p>C. Last name:</p> <p>D. Suffix:</p>
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A0600. Social Security and Medicare Numbers

	<p>A. Social Security Number:</p> <p style="text-align: center;">— — — — —</p> <p>B. Medicare number (or comparable railroad insurance number):</p>
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A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient

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A0800. Gender

Enter Code <input style="width: 20px; height: 20px;" type="text"/>	<p>1. Male</p> <p>2. Female</p>
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A0900. Birth Date

	—	—	
	Month	Day	Year

A1000. Race/Ethnicity

↓ **Check all that apply**

<input type="checkbox"/>	A. American Indian or Alaska Native
<input type="checkbox"/>	B. Asian
<input type="checkbox"/>	C. Black or African American
<input type="checkbox"/>	D. Hispanic or Latino
<input type="checkbox"/>	E. Native Hawaiian or Other Pacific Islander
<input type="checkbox"/>	F. White

Section A Administrative Information

A1400. Payer Information

↓ Check all that apply

<input type="checkbox"/>	A. Medicare (traditional fee-for-service)
<input type="checkbox"/>	B. Medicare (managed care/Part C/Medicare Advantage)
<input type="checkbox"/>	C. Medicaid (traditional fee-for-service)
<input type="checkbox"/>	D. Medicaid (managed care)
<input type="checkbox"/>	E. Workers' compensation
<input type="checkbox"/>	F. Title programs (e.g., Title III, V, or XX)
<input type="checkbox"/>	G. Other government (e.g., TRICARE, VA, etc.)
<input type="checkbox"/>	H. Private insurance/Medigap
<input type="checkbox"/>	I. Private managed care
<input type="checkbox"/>	J. Self-pay
<input type="checkbox"/>	K. No payor source
<input type="checkbox"/>	X. Unknown
<input type="checkbox"/>	Y. Other

A1970. Discharge Return Status

Enter Code	<input type="checkbox"/> 1. Anticipated <input type="checkbox"/> 2. Not Anticipated
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A2100. Discharge Location

Enter Code	<input type="checkbox"/> 01. Community residential setting (e.g., private home/apt., board/care, assisted living, group home, adult foster care) <input type="checkbox"/> 02. Long-term care facility <input type="checkbox"/> 03. Skilled nursing facility (SNF) <input type="checkbox"/> 04. Hospital emergency department <input type="checkbox"/> 05. Short-stay acute hospital (IPPS) <input type="checkbox"/> 06. Long-term care hospital (LTCH) <input type="checkbox"/> 07. Inpatient rehabilitation facility or unit (IRF) <input type="checkbox"/> 08. Psychiatric hospital or unit <input type="checkbox"/> 09. ID/DD facility <input type="checkbox"/> 10. Hospice <input type="checkbox"/> 12. Discharged Against Medical Advice <input type="checkbox"/> 98. Other
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Section B

Hearing, Speech, and Vision

B0100. Comatose

Enter Code

Persistent vegetative state/no discernible consciousness at time of assessment.

- 0. **No**
- 1. **Yes**

Section GG

Functional Status: Usual Performance

GG0160. Functional Mobility

(Complete during the 3-day assessment period.)

Code the patient's usual performance using the 6-point scale below.

CODING:

Safety and Quality of Performance - If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Patient completes the activity by him/herself with no assistance from a helper.
 - 05. **Setup or clean-up assistance** - Helper SETS UP or CLEANS UP; patient completes activity. Helper assists only prior to or following the activity.
 - 04. **Supervision or touching assistance** - Helper provides VERBAL CUES or TOUCHING/ STEADYING assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
 - 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
 - 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
 - 01. **Dependent** - Helper does ALL of the effort. Patient does none of the effort to complete the task.

 - 07. **Patient refused**
 - 09. **Not applicable**
- If activity was not attempted, code:**
- 88. Not attempted due to **medical condition or safety concerns**

↓ **Enter Codes in Boxes**

<input type="text"/>	A. Roll left and right: The ability to roll from lying on back to left and right side, and roll back to back.
<input type="text"/>	B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
<input type="text"/>	C. Lying to Sitting on Side of Bed: The ability to safely move from lying on the back to sitting on the side of the bed with feet flat on the floor, no back support.

Section H

Bladder and Bowel

H0400. Bowel Continence

(Complete during the 3-day assessment period.)

<p>Enter Code</p> <input data-bbox="89 283 138 331" type="text"/>	<p>Bowel continence - Select the one category that best describes the patient.</p> <ul style="list-style-type: none">0. Always continent1. Occasionally incontinent (one episode of bowel incontinence)2. Frequently incontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement)3. Always incontinent (no episodes of continent bowel movements)9. Not rated, patient had an ostomy or did not have a bowel movement for the entire 3 days
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Section I Active Diagnoses

For this section, indicate the presence of the following conditions, based on a review of the patient's clinical records at the time of assessment.

↓	Check all that apply
	Heart/Circulation
<input type="checkbox"/>	I0900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)
	Metabolic
<input type="checkbox"/>	I2900. Diabetes Mellitus (DM)
	Nutritional
<input type="checkbox"/>	I5600. Malnutrition (protein or calorie) or at risk for malnutrition

Section K **Swallowing/Nutritional Status**

K0200. Height and Weight - While measuring, if the number is X.1 - X.4 round down; X.5 or greater round up

<input style="width: 80%; height: 20px;" type="text"/> inches	A. Height (in inches). Record most recent height measure since admission
<input style="width: 80%; height: 20px;" type="text"/> pounds	B. Weight (in pounds). Base weight on most recent measure in last 3 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.)

Section M Skin Conditions

Report based on highest stage of existing ulcer(s) at its worst; do not "reverse" stage

M0210. Unhealed Pressure Ulcer(s)

Enter Code	<p>Does this patient have one or more unhealed pressure ulcer(s) at Stage 1 or higher?</p> <p>0. No → Skip to Z0400, Signature of Persons Completing the Assessment</p> <p>1. Yes → Continue to M0300, Current Number of Unhealed Pressure Ulcers at Each Stage</p>
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M0300. Current Number of Unhealed Pressure Ulcers at Each Stage

Enter Number	<p>A. Number of Stage 1 pressure ulcers</p> <p>Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues</p>
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Enter Number	<p>B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister</p>
Enter Number	<p>1. Number of Stage 2 pressure ulcers - If 0 → Skip to M0300C, Stage 3</p>
Enter Number	<p>2. Number of these Stage 2 pressure ulcers that were present upon admission - enter how many were noted at the time of admission</p>
	<p>3. Date of oldest Stage 2 pressure ulcer - Enter dashes if date is unknown:</p> <p style="text-align: center;"> _____ Month Day Year </p>

Enter Number	<p>C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling</p>
Enter Number	<p>1. Number of Stage 3 pressure ulcers - If 0 → Skip to M0300D, Stage 4</p>
Enter Number	<p>2. Number of these Stage 3 pressure ulcers that were present upon admission - enter how many were noted at the time of admission</p>

Enter Number	<p>D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling</p>
Enter Number	<p>1. Number of Stage 4 pressure ulcers - If 0 → Skip to M0300E, Unstageable: Non-removable dressing</p>
Enter Number	<p>2. Number of these Stage 4 pressure ulcers that were present upon admission - enter how many were noted at the time of admission</p>

Enter Number	<p>E. Unstageable - Non-removable dressing: Known but not stageable due to non-removable dressing/device</p>
Enter Number	<p>1. Number of unstageable pressure ulcers due to non-removable dressing/device - If 0 → Skip to M0300F, Unstageable: Slough and/or eschar</p>
Enter Number	<p>2. Number of these unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission</p>

Enter Number	<p>F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar</p>
Enter Number	<p>1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar - If 0 → Skip to M0300G, Unstageable: Deep tissue injury</p>
Enter Number	<p>2. Number of these unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission</p>

M0300 continued on next page

Section M Skin Conditions

M0300. Current Number of Unhealed Pressure Ulcers at Each Stage - Continued

Enter Number <input type="text"/>	G. Unstageable - Deep tissue injury: Suspected deep tissue injury in evolution
Enter Number <input type="text"/>	<ol style="list-style-type: none"> Number of unstageable pressure ulcers with suspected deep tissue injury in evolution - If 0 → Skip to M0610, Dimension of Unhealed Stage 3 or 4 Pressure Ulcers or Eschar Number of <u>these</u> unstageable pressure ulcers that were present upon admission - enter how many were noted at the time of admission

M0610. Dimensions of Unhealed Stage 3 or 4 Pressure Ulcers or Eschar

Complete only if M0300C1, M0300D1 or M0300F1 is greater than 0

If the patient has one or more unhealed Stage 3 or 4 pressure ulcers or an unstageable pressure ulcer due to slough or eschar, identify the pressure ulcer with the largest surface area (length x width) and record in centimeters:

<input type="text"/> . <input type="text"/> cm	A. Pressure ulcer length: Longest length in any direction
<input type="text"/> . <input type="text"/> cm	B. Pressure ulcer width: Widest width of the same pressure ulcer, side-to-side perpendicular (90-degree angle) to length
<input type="text"/> . <input type="text"/> cm	C. Pressure ulcer depth: Depth of the same pressure ulcer from the visible surface to the deepest area (if depth is unknown, enter a dash in each box)

M0700. Most Severe Tissue Type for Any Pressure Ulcer

Enter Code <input type="text"/>	<p>Select the best description of the most severe type of tissue present in any pressure ulcer bed, consider all pressure ulcers</p> <ol style="list-style-type: none"> Epithelial tissue - new skin growing in superficial ulcer. It can be light pink and shiny, even in persons with darkly pigmented skin Granulation tissue - pink or red tissue with shiny, moist, granular appearance Slough - yellow or white tissue that adheres to the ulcer bed in strings or thick clumps, or is mucinous Necrotic tissue (Eschar) - black, brown, or tan tissue that adheres firmly to the wound bed or ulcer edges, may be softer or harder than surrounding skin
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M0800. Worsening in Pressure Ulcer Status Since Prior Assessment

Indicate the number of current pressure ulcers that were **not present or were at a lesser stage** on prior assessment.
If no current pressure ulcer at a given stage, enter 0

Enter Number <input type="text"/>	A. Stage 2
Enter Number <input type="text"/>	B. Stage 3
Enter Number <input type="text"/>	C. Stage 4

Section Z Assessment Administration

Z0400. Signature of Persons Completing the Assessment

I certify that the accompanying information accurately reflects patient assessment information for this patient and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that submitting false information may subject my organization to a 2% reduction in the Fiscal Year payment determination. I also certify that I am authorized to submit this information by this facility on its behalf.

Signature	Title	Sections	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			

Z0500. Signature of Person Verifying Assessment Completion

A. Signature:

B. LTCH CARE Data Set Completion Date:

— —
 Month Day Year

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1037**. The time required to complete this information collection is estimated to average **5 minutes** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.