

**Paperwork Reduction Act Submission Supporting Statement**

**Annual Mandatory Collection of Elementary and Secondary  
Education Data through *EDFacts***

**July 2012**

---

**ATTACHMENT B-9**

---

***EDFacts* Data Set  
Maintenance of Effort  
Reduction**

This attachment shows the currently cleared Table 8 of the *Individuals with Disabilities Education Act* (IDEA) Section 618 data collection. These data will be collected through the *EDFacts* Metadata and Analysis Process System (*EMAPS*) beginning with Fiscal Year 2013 data.

## TABLE 8

REPORT ON IDEA PART B MAINTENANCE OF EFFORT REDUCTION (34 CFR §300.205(a))  
AND COORDINATED EARLY INTERVENING SERVICES (34 CFR §300.226)

FFY 2011

## Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1820-0689. The time required to complete this information collection is estimated to average 66 hours per local educational agency (LEA) and 48 hours per State educational agency (SEA) response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Special Education Programs, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202-7100.

States must submit electronic versions of complete data to the Office of Special Education Programs (OSEP) via its data contractor. OSEP will provide online, electronic submission instructions to facilitate this process.

Authorization: P.L. 108-446, §§613(f)(4) and 618(a)(3)

Due Date: **May 1, 2013**

Sampling Allowed: No

General Instructions

1. No sampling is permitted for this data collection.
2. Report whole dollar amounts.
3. Report on every local educational agency (LEA) and educational service agency (ESA) that receives a Section 611 or Section 619 subgrant from your state.
4. In providing data for this collection, the State is to submit complete and unsuppressed data.

Selected Definitions

*National Center for Educational Statistics (NCES) identification number* – The 7-character NCES LEA ID number that is used to uniquely identify a school district. These NCES ID numbers are also used to identify LEAs when entering data into the EDEN system.

### Specific Instructions for Section A: LEA Allocations

In Column A1A, list the name of each LEA and ESA that receives a Section 611 or Section 619 subgrant from your state.

In Column A1B, list the NCES identification number for each LEA and ESA that receives a Section 611 or Section 619 subgrant from your state. If the ESAs in your state do not have NCES identification numbers, please insert a -9 in this column.

In Column A2A, report the total Federal fiscal year (FFY) **2010** allocation of Section 611 funds each LEA or ESA received for FFY **2010** (i.e., funds available on July 1, **2010** and October 1, **2010**).

In Column A2B, report the total FFY **2011** allocation of Section 611 funds each LEA or ESA received for FFY **2011** (i.e., funds available July 1, **2011** and October 1, **2011**).

In Column A2C, the dollar amount increase in the total allocation of Section 611 funds from FFY **2010** to FFY **2011** will be auto-calculated for each LEA or ESA. However, please verify the figures that are auto-calculated are correct.

In Column A3A, report the total FFY **2010** allocation of Section 619 funds each LEA or ESA received for FFY **2010** (i.e., funds available July 1, **2010**).

In Column A3B, report the total FFY **2011** allocation of Section 619 funds each LEA or ESA received for FFY **2011** (i.e., funds available July 1, **2011**).

In Column A3C, the dollar amount increase in the total allocation of Section 619 funds from FFY **2010** to FFY **2011** will be auto-calculated for each LEA or ESA. However, please verify the figures that are auto-calculated are correct.

In Column A4, the total (combined) dollar amount of Section 611 and 619 allocations from FFY **2011** will be auto-calculated for each LEA or ESA. However, please verify the figures that are auto-calculated are correct.

In Column A5, 15% of the total (combined) dollar amount of Section 611 and 619 allocations from FFY **2011** will be auto-calculated for each LEA or ESA. However, please verify the percentages that are auto-calculated are correct.

### Specific Instructions for Section B: Maintenance of Effort Reduction

In Column B1A, list the name of each LEA and ESA that received a Section 611 subgrant from your state.

In Column B1B, list the NCES identification number for each LEA and ESA that received a Section 611 subgrant from your state. If the ESAs in your state do not have NCES identification numbers, please insert a -9 in this column.

In Column B2, for each LEA or ESA, report the determination under 34 CFR § 300.600(a)(2) that controls whether the LEA may be able, based on FFY **2011** funding, to reduce MOE during SY **2011-**

**2012.** For each LEA or ESA, the determinations should be specified as one of the following: meets the requirements and purposes of Part B; needs assistance in implementing the requirements of Part B; needs intervention in implementing the requirements of Part B; or needs substantial intervention in implementing the requirements of Part B.

Above the Section B grid, report which school year's data (i.e., **2009-2010 or 2010-2011**) was used to make the LEA or ESA determinations that apply to whether the LEA or ESA may, based on FFY **2011** funding, reduce MOE during SY **2011-2012**.

In Column B3, report the actual dollar (\$) amount that each LEA or ESA reduced local, or State and local, expenditures under the IDEA MOE provision in IDEA §613(a)(2)(C) during SY **2011-2012**. Report the actual amount by which local, or State and local, expenditures were reduced; do not provide a projection of this amount. If LEA or ESA did not reduce MOE, report a -9 in Column B3.

In Column B4, the percent of the available MOE reduction that the LEA or ESA took during SY **2011-2012** will be auto-calculated. This is a percent of the amount the LEA is allowed to take for the MOE reduction. The percent of available reduction taken by the LEA or ESA during SY **2011-2012** will be calculated in the following way: subtract the FFY **2010** Section 611 allocation (Column A2A) from the FFY **2011** Section 611 allocation (Column A2B) and multiply the difference by 50% (this will equal the largest allowable amount for the MOE reduction). Divide the amount of the reduction of local or State and local funds taken pursuant to Section 613(a)(2)(C) by the LEA or ESA during SY **2011-2012** (Column B3) by the product and multiply the quotient by 100. For example, if an LEA's FFY **2010** allocation of Section 611 funds was \$500,000 and the same LEA's FFY **2011** allocation of Section 611 funds was \$700,000, the allocation would have increased \$200,000 from FFY **2010** to FFY **2011**. To calculate the amount the LEA could reduce its MOE, the LEA would multiple \$200,000 by 50% which equals \$100,000. This \$100,000 is the maximum allowable amount for the MOE reduction. If the LEA actually reduced its local, or State and local, expenditures by \$50,000 (reported in Column B3), the auto-calculated percentage would equal \$50,000 divided by \$100,000 (the maximum allowable amount for the reduction), multiplied by 100, which equals 50% of the available reduction.

FFY <b>2011</b> allocation (Column A2B)	\$700,000
- FFY <b>2010</b> allocation (Column A2A)	-\$500,000
Increase in funds between FFY <b>2010</b> and <b>2011</b>	\$200,000

Increase in funds between FFY <b>2010</b> and <b>2011</b>	\$200,000
x Maximum % MOE reduction	x 50%
Maximum allowable amount for MOE reduction	\$100,000

<u>Amount reduction taken by LEA (Column B3)</u>	\$50,000	= .50
Maximum allowable amount of MOE reduction	\$100,000	

Percent of available MOE reduction taken (Column B4)	.50 x 100 = 50%
---	-----------------

Do not report any percentages in Column B4. However, please verify the percentages that are auto-calculated are correct.

Specific Instructions for Section C: Provision of Coordinated Early Intervening Services (CEIS)

In Column C1A, list the name of each LEA and ESA that received a Section 611 or Section 619 subgrant from your state. An LEA or ESA should be included in the list even if that LEA or ESA does not use IDEA funds for CEIS (voluntary or required). If the CEIS provisions (voluntary or required) in IDEA do not apply to an LEA or ESA that receives a Section 611 or Section 619 subgrant, please list the LEA or ESA and insert -9's in Columns C2A, C2B, C3A, and C3B.

In Column C1B, list the NCES identification number for each LEA and ESA that received a Section 611 or Section 619 subgrant from your state. An LEA or ESA should be included in the list even if that LEA or ESA does not use IDEA funds for CEIS (voluntary or required). If the ESAs in your state do not have NCES identification numbers, please insert a -9 in this column.

In Column C2A, report whether each LEA or ESA was required to use 15% of IDEA 611 and 619 funds for CEIS due to significant disproportionality in SY **2011-2012**. Under 34 CFR §300.646(b)(2), LEAs (including ESAs) that are identified by the SEA as having significant disproportionality based on race and ethnicity in identification, placement, or disciplinary actions must use 15% of IDEA funds for CEIS.

In Column C2B, report the dollar (\$) amount that was reserved for CEIS in each LEA or ESA that was required to use IDEA funds for CEIS in SY **2011-2012**. If the Column C2A response is "No" to indicate that the LEA or ESA was not required to reserve funds for CEIS, then a -9 should be reported in C2B for the amount reserved for required CEIS.

Note: If the Column C2A response is "Yes" to indicate that the LEA or ESA was required to reserve funds for CEIS, then the figure in Column C2B and the percentage auto-calculated in Column C2C should equal 15% of the total LEA or ESA allocation for Sections 611 and 619 in FFY **2011**.

Note: The figure reported in Column C2B should match the figure auto-calculated in Column A5.

In Column C2C, the percent of IDEA funds that the LEA or ESA was required to reserve for CEIS due to significant disproportionality in SY **2011-2012** will be auto-calculated using the figures from Column C2B and Column A2B and Column A3B. Do not report any percentages in Column C2C. However, please verify the percentages that are auto-calculated are correct.

In Column C3A, report whether the LEA or ESA voluntarily used up to 15% of IDEA 611 and 619 funds for CEIS in SY **2011-2012**. Under 34 CFR §300.226, LEAs (including ESAs) may use up to, but not more than, 15% of IDEA funds for CEIS.

In Column C3B, report the dollar (\$) amount of funds reserved for voluntary CEIS during SY **2011-2012**. If the Column C3A response is "No" to indicate that the LEA or ESA did not voluntarily reserve funds for CEIS, then a -9 should be reported in C3B for the amount reserved for voluntary CEIS.

Note: If the Column C3A response is "Yes" to indicate that the LEA or ESA voluntarily used funds for CEIS, then the figure in Column C3B and the percentage auto-calculated in Column C3C should be less than or equal to 15% of the total LEA or ESA allocation for Sections 611 and 619 for FFY **2011**.

In Column C3C, the percent of IDEA funds that the LEA or ESA used for voluntary CEIS during SY **2011-2012** will be auto-calculated using the figures from Section C, Column C3B and Section A, Column A2B and Column A3B. Do not report any percentages in Column C3C. However, please verify the percentages that are auto-calculated are correct.

Specific Instructions for Section D: Number of Children Receiving Coordinated Early Intervening Services

For Section D, counts should cover the entire school year. Include children in grades kindergarten through 12.

In Column D1A, list the name of each LEA and ESA that received a Section 611 or Section 619 subgrant from your state. An LEA or ESA should be included in the list even if that LEA or ESA does not use IDEA funds for CEIS (voluntary or required).

In Column D1B, list the NCES identification number for each LEA and ESA that received a Section 611 or Section 619 subgrant from your state. An LEA or ESA should be included in the list even if that LEA or ESA does not use IDEA funds for CEIS (voluntary or required). If the ESAs in your state do not have NCES identification numbers, please insert a -9 in this column.

In Column D2, report the total number of children who received CEIS under IDEA at any point during the course of the reporting year. This is an unduplicated count. This count should include children who received CEIS regardless of whether the Part B funds to support the services were required to be set aside or voluntarily set aside. A child should be included in this count whether the child received CEIS and was later determined to be eligible for special education and related services during the same or subsequent reporting year or was not determined eligible for special education and related services.

In Column D3, report the total number of children who received CEIS under IDEA anytime in the past two school years (including SY **2009-2010**, SY **2010-2011**, and SY **2011-2012**) and received special education and related services in **2011-2012**. This is an unduplicated count.

PROGRAMS

STATE: \_\_\_\_\_

TABLE 8

REPORT ON IDEA PART B MAINTENANCE OF EFFORT REDUCTION (34 CFR §300.205(a)) AND COORDINATED EARLY INTERVENING SERVICES (34 CFR §300.226)  
FFY 2011

SECTION A. LEA ALLOCATIONS

A1A. LEA/ESA Name	A1B. LEA/ESA NCES ID # <sup>1</sup>	A2. The total LEA/ESA allocation for Section 611 of IDEA (dollars \$)			A3. The total LEA/ESA allocation for Section 619 of IDEA (dollars \$)			A4. Total LEA/ESA allocation for Sections 611 and 619 of IDEA for FFY 2011 <sup>2</sup>	A5. 15% of the total LEA/ESA allocation for Sections 611 and 619 of IDEA for FFY 2011 <sup>2</sup>
		A2A. FFY 2010	A2B. FFY 2011	A2C. Increase in LEA/ESA allocations from FFY 2010 to FFY 2011 <sup>2</sup>	A3A. FFY 2010	A3B. FFY 2011	A3C. Increase in LEA/ESA allocations from FFY 2010 to FFY 2011 <sup>2</sup>		

<sup>1</sup> The NCES ID numbers are also used to identify LEAs when entering data into the EDEN system.

<sup>2</sup> THESE FIGURES WILL BE AUTO-CALCULATED. DO NOT REPORT FIGURES IN THIS COLUMN.

ORIGINAL SUBMISSION/REVISION  
CURRENT DATE: \_\_\_\_\_

--	--	--	--	--	--	--	--	--	--

U.S. DEPARTMENT OF EDUCATION  
OFFICE OF SPECIAL EDUCATION  
AND REHABILITATIVE SERVICES  
OFFICE OF SPECIAL EDUCATION

PAGE 2 OF 4

OMB NO.: 1820-0689  
FORM EXPRIES: 04/30/2013

PROGRAMS

STATE: \_\_\_\_\_

TABLE 8

REPORT ON IDEA PART B MAINTENANCE OF EFFORT REDUCTION (34 CFR §300.205(a)) AND COORDINATED EARLY INTERVENING SERVICES (34 CFR §300.226)  
FFY 2011

SECTION B. MAINTENANCE OF EFFORT REDUCTION

LEA/ESA Determinations: What year's data were used to make the LEA/ ESA determinations in your state? \_\_\_\_\_

B1A. LEA/ESA Name	B1B. LEA/ESA NCES ID # <sup>3</sup>	B2. For each LEA/ ESA, specify the determination under 34 CFR § 300.600(a)(2) that controls whether the LEA may be able to reduce MOE during SY <b>2011- 12.</b>	B3. Reduction of local and/or State funds taken pursuant to Section 613(a)(2)(C) by the LEA/ESA during SY <b>2011-12</b> (dollar \$ amount)	B4. Percent of the available reduction taken by LEA /ESA during SY <b>2011-12</b> (PERCENT) <sup>4</sup>

<sup>3</sup> These NCES ID numbers are also used to identify LEAs when entering data into the EDEN system.

<sup>4</sup> THESE PERCENTAGES WILL BE AUTO-CALCULATED. DO NOT REPORT FIGURES IN THIS COLUMN.

ORIGINAL SUBMISSION/REVISION  
CURRENT DATE: \_\_\_\_\_



PROGRAMS

STATE: \_\_\_\_\_

TABLE 8

REPORT ON IDEA PART B MAINTENANCE OF EFFORT REDUCTION (34 CFR §300.205(a)) AND COORDINATED EARLY INTERVENING SERVICES (34 CFR §300.226)  
 FFY 2011

SECTION C. PROVISION OF COORDINATED EARLY INTERVENING SERVICES (CEIS)

C1A. LEA/ESA Name	C1B. LEA/ESA NCES ID # <sup>5</sup>	C2. Required CEIS			C3. Voluntary CEIS		
		C2A. Was the LEA/ESA required to use 15% of funds for CEIS due to significant disproportionality in SY 2011-12? (Y/N)	C2B. Amount reserved for required CEIS in the LEA /ESA in SY 2011-12 (dollars \$)	C2C. Percent taken for required CEIS during SY 2011-12 (PERCENT) <sup>6</sup>	C3A. Did the LEA/ESA voluntarily use up to 15% of IDEA 611 and 619 fund for CEIS in SY 2011-12? (Y/N)	C3B. Amount reserved for voluntary CEIS in SY 2011-12 (dollars \$)	C3C. Percent taken for voluntary CEIS during SY 2011-12 (PERCENT) <sup>6</sup>

<sup>5</sup> These NCES ID numbers are also used to identify LEAs when entering data into the EDEN system.

<sup>6</sup> THESE PERCENTAGES WILL BE AUTO-CALCULATED. DO NOT REPORT FIGURES IN THIS COLUMN.

ORIGINAL SUBMISSION/REVISION  
 CURRENT DATE: \_\_\_\_\_



ORIGINAL SUBMISSION/REVISION  
CURRENT DATE: \_\_\_\_\_