

ESEA Flexibility Collection through *EDFacts*

August 2012

ATTACHMENT B

NOTE: This version of Attachment B includes all changes and clarifications made to the proposed collection in response to the 60-day public comment period. Changes are indicated in red.

OVERVIEW AND BACKGROUND

This clearance submission is for the *Elementary and Secondary Education Act* (ESEA) flexibility data set to be collected through the ED Facts Submission System for school years (SYs) 2011-12 and 2012-13.

These data will only be required for states with approved ESEA flexibility requests impacting SYs 2011-12 and 2012-13. Not all new data groups will be relevant to all states with flexibility. For example, states that did not include special student subgroups in their flexibility requests will not be required to report assessment data by flexibility subgroups.

Please note that only those states that are using flexibility subgroups under their approved flexibility requests will need to submit files that specifically collect performance data for state-defined flexibility subgroups. Those states are also required to continue reporting the related ED Facts files that collect data by ESEA subgroups.

GENERAL NOTES:

- No student or staff-level data are collected. The data are aggregated at the school, district, or state levels. Although some of the data files may contain small numbers, none of the information is linked to specific students or staff members. In submitting data to ED, state educational agencies (SEAs) and other data suppliers cannot suppress the data in small data cells except as specifically authorized by federal statute.
- Data groups that are counts of students or staff have specified reporting periods. The reporting period is the period of time for the count. Counts can be either cumulative over a period of time or snapshot of a specific day. The following reporting periods are used for cumulative counts:
 - School year (state) – Any 12-month period defined by the state. The state determines whether summer is included in the preceding or following school year
 - Regular school year – The instructional period not including intersession or summer sessions
 - Testing window – The period when the state administers state-wide academic assessments (or the annual state English language proficiency assessment) as described by the ESEA
- Metadata are information to explain other data. Like all data collections, the flexibility collection requires metadata. Metadata collected from an SEA aids the analysis and appropriate use of data. The metadata to be collected ranges from information to support data submissions to capturing state policies surrounding data collection. Metadata may be

collected through a variety of modes, depending on ED’s information needs and expectations for its use.

This clearance submission proposes one new data category and 23 new data groups for collection. In some cases, the newly proposed flexibility data groups use existing data categories. While these categories are not a part of the clearance under consideration, they are included as an addendum to Attachment B for reference purposes only.

LIST OF ED*FACTS* CHANGES DUE TO ESEA FLEXIBILITY

Under ESEA Flexibility, a new data category, Flexibility Subgroups, will be collected, as well as 23 new data groups summarized in the following table. Details on the new data category and each of the new data groups are found in the next section.

Effective School Year	File #	DG#	Data Group Name	Levels of Collection	Related File #	Target due date in ED<i>Facts</i>
SY 2011-12	New 171	New TBD	Academic achievement in mathematics table - Flex	SEA, LEA, SCH	175, 178, 179	March 2013
		New TBD	Academic achievement in reading/language arts table – Flex			
		New TBD	Academic achievement in science table - Flex			
SY 2011-12	New 172	New TBD	Assessment participation in mathematics table - Flex	SEA, LEA, SCH	185, 188, 189	March 2013
		New TBD	Assessment participation in reading/language arts table – Flex			
		New TBD	Assessment participation in science table – Flex			
SY 2011-12	New 173	New TBD	Mathematics participation status table - Flex	LEA, SCH	107, 108, 109, 110, 111	March 2013
		New TBD	Reading/language arts participation status table – Flex			
		New TBD	AMO mathematics status table - Flex			
		New TBD	AMO reading/language arts status table – Flex			
		New TBD	High school graduation rate indicator status table - Flex			
SY 2011-12	New 174	New TBD	Regulatory four-year adjusted-cohort graduation rate table - Flex	SEA, LEA, SCH	150, 151	March 2013
		New TBD	Regulatory extended time five-year adjusted-cohort graduation			

Effective School Year	File #	DG#	Data Group Name	Levels of Collection	Related File #	Target due date in EDFacts
			rate table – Flex			
		New TBD	Regulatory extended time six-year adjusted-cohort graduation rate table - Flex			
		New TBD	Cohorts for regulatory four-year adjusted cohort graduation rate table - Flex			
		New TBD	Cohorts for regulatory extended time five-year adjusted cohort graduation rate table - Flex			
		New TBD	Cohorts for regulatory extended time six-year adjusted cohort graduation rate table - Flex			
SY 2011-12	183	TBD	The amount of Title I, Part A funds allocated to the school by its LEA under section 1113 of the ESEA.	SCH		TBD
SY 2011-12	184	TBD	The number of children from low-income families that was used for allocating Title I, Part A funds to schools under Section 1113. <i>[This data group would only be collected from states in which LEAs do NOT use the number of free and reduced price lunch eligible students as the basis for making allocations.]</i>	SCH		TBD
SY 2012-13	130	New TBD	Reward schools	SCH		February 2013
SY 2012-13	130	New TBD	State defined school improvement status	SCH		February 2013
SY 2012-13	164	New TBD	Flexibility funds spent	LEA		December 2013
SY 2012-13	New 176	New TBD	State interventions used in priority schools	SCH		Aug/Sept 2013
		New TBD	State interventions used in focus schools			

PROPOSED DATA FOR COLLECTION

This section describes the data proposed for collection.

STATE-DEFINED FLEXIBILITY SUBGROUPS

Some states identified new student subgroups for accountability in their flexibility requests. Below is the information about the new ED*Facts* reporting category for flexibility subgroups to be used with some of the data groups on the following pages.

Category Name:	Flexibility Subgroups	Steward: OESE
Comments	<p>This category contains permitted values for combined subgroups approved under state ESEA flexibility requests. An individual state would report data only for those specific categories listed within its ESEA flexibility request. Given the individual state specificity of these subgroups, it is not foreseen that any collected data could reliably be used within a “cross-state” analysis. Data would be collected for use in analyzing an individual state’s accountability system. States should report data for flexibility subgroups according to the permitted values that most closely describe the specific subgroups used by the states. It is possible that two subgroups with the same name reported within ED<i>Facts</i> by two separate states may not represent one consistently defined subgroup. This may require the maintenance of appropriate metadata on how each SEA uses the permitted values in this category.</p>	
Definition	<p>The state-specific subgroups used under ESEA flexibility.</p>	
Permitted Values		
	<ul style="list-style-type: none"> • Bottom 25 percent of students • Bottom 30 percent of students • Top 25 percent of students • Top 30 percent of students • Top 75 percent of students • High needs students (any combination of English learners, students with disabilities, and/or economically disadvantaged) • Historically disadvantaged racial/ethnic minority students (any combination of African American, Hispanic, and/or Native American) • High needs students including historically disadvantaged racial/ethnic minority students (any combination of English learners, students with disabilities, economically disadvantaged, 	

	<p>African American, Hispanic, and/or Native American)</p> <ul style="list-style-type: none"> • Racial/ethnic minority students (any combination of all racial/ethnic minority students in a state) • Non-proficient students • Other
Data Groups	
	<ul style="list-style-type: none"> • ___ Assessment participation in mathematics table – Flex • ___ Assessment participation in reading/language arts table – Flex • ___ Assessment participation in science table – Flex • ___ Academic achievement in mathematics table – Flex • ___ Academic achievement in reading/language arts table – Flex • ___ Academic achievement in science table – Flex • ___ Mathematics participation status table – Flex • ___ Reading/language arts participation status table – Flex • ___ AMO mathematics status table – Flex • ___ AMO reading/language arts status table – Flex • ___ High school graduation rate indicator status table – Flex • ___ Regulatory four-year adjusted-cohort graduation rate table – Flex • ___ Regulatory extended time five-year adjusted-cohort graduation rate table – Flex • ___ Regulatory extended time six-year adjusted-cohort graduation rate table – Flex

ACADEMIC ACHIEVEMENT

Data will be collected on the academic achievement in state academic assessments by the flexibility subgroups *beginning with SY 2011-12 in addition to data currently collected in EDFacts by ESEA subgroups.*

Group Name: Academic achievement in mathematics table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students who completed the state assessment in mathematics for whom a proficiency level was assigned.		
Permitted Values	• Integer		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	171 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Assessment Administered • Performance Level • Grade Level (Assessment) • Full Academic Year Status • Flexibility Subgroups 		
STEWARD: OESE/OSEP			

Group Name: Academic achievement in reading/language arts table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students who completed the state assessment in reading/language arts for whom a proficiency level was assigned.		
Permitted Values	• Integer		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total	<input type="checkbox"/>		
Comment			
File Specification #	171 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Assessment Administered • Performance Level 		

	<ul style="list-style-type: none"> • Grade Level (Assessment) • Full Academic Year Status • Flexibility Subgroups
STEWARD: OESE/OSEP	

Group Name: Academic achievement in science table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students who completed the state assessment in science for whom a proficiency level was assigned.		
Permitted Values	• Integer		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	171 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Assessment Administered • Performance Level • Grade Level (Assessment) • Flexibility Subgroups 		
STEWARD: OESE			

PARTICIPATION IN STATE ACADEMIC ASSESSMENTS

Data will be collected on the student participation in state academic assessments by the flexibility subgroups beginning with SY 2011-12 in addition to data currently collected in EDFacts by ESEA subgroups.

Group Name: Assessment participation in mathematics table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students enrolled during the period of the state assessment in mathematics.		
Permitted Values	• Integer		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	172 (new)		
CATEGORY SET	DESCRIPTION		

Category Set A	<ul style="list-style-type: none"> • Participation Status (MS) • Grade Level (Assessment) • Full Academic Year Status • Flexibility Subgroups
STEWARD: OESE/OSEP	

Group Name: Assessment participation in reading/language arts table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students who were enrolled during the period of the state assessment in reading/language arts.		
Permitted Values	<ul style="list-style-type: none"> • Integer 		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total	<input type="checkbox"/>		
Comment			
File Specification #	172 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Participation Status (RLA) • Grade Level (Assessment) • Full Academic Year Status • Flexibility Subgroups 		
STEWARD: OESE/OSEP			

Group Name: Assessment participation in science table - Flex		DG: TBD	
Section	Student		
Definition	The unduplicated number of students who were enrolled during the period of the state assessment in science.		
Permitted Values	<ul style="list-style-type: none"> • Integer 		
Reporting Period	Testing Window		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	172 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Participation Status (MS) • Grade Level (Assessment) • Flexibility Subgroups 		
STEWARD: OESE			

PARTICIPATION REQUIREMENT

Data will be collected about whether the flexibility subgroups met the participation requirement for the state academic assessments beginning with SY 2011-12 in addition to data currently collected in ED Facts by ESEA subgroups.

Group Name: Mathematics participation status table - Flex		DG: TBD	
Section	Education Unit		
Definition	An indication of whether the school or district met the 95 percent participation requirement on the mathematics assessment in accordance with state definition.		
Permitted Values	<ul style="list-style-type: none"> • Met 95% • Did not meet 95% • Too few students for reliability 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	173 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Flexibility Subgroups 		
STEWARD: OESE			

Group Name: Reading/language arts participation status table - Flex		DG: TBD	
Section	Education Unit		
Definition	An indication of whether the school or district met the 95 percent participation requirement on the reading/language arts assessment in accordance with state definition.		
Permitted Values	<ul style="list-style-type: none"> • Met 95% • Did not meet 95% • Too few students for reliability 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	173 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Flexibility Subgroups 		

STEWARD: OESE

STATUS OF PROFICIENCY TARGETS

Data will be collected about whether the flexibility subgroups met the proficiency targets for mathematics and reading/language arts beginning in SY 2011-12 in addition to data currently collected in ED Facts by ESEA subgroups.

Group Name: AMO mathematics status table – Flex		DG: TBD	
Section	Education Unit		
Definition	An indication of whether the school or district met the mathematics proficiency target in accordance with state definition.		
Permitted Values	<ul style="list-style-type: none"> • Met - regular determination • Met because of safe harbor • Met - growth model • Not met • Too few students for reliability 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	173 (new)		
CATEGORY SET	DESCRIPTION		
Category Set A	<ul style="list-style-type: none"> • Flexibility Subgroups 		
STEWARD: OESE			

Group Name: AMO reading/language arts status table - Flex		DG: TBD	
Section	Education Unit		
Definition	An indication of whether the school or district met the reading/language arts proficiency target in accordance with state definition.		
Permitted Values	<ul style="list-style-type: none"> • Met - regular determination • Met because of safe harbor • Met - growth model • Not met • Too few students for reliability 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		

Comment	
File Specification #	173 (new)
CATEGORY SET	DESCRIPTION
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups
STEWARD: OESE	

STATUS OF HIGH SCHOOL GRADUATION

Data will be collected about whether the flexibility subgroups met the target for high school graduation rate beginning with SY 2011-12 in addition to data currently collected in EDFacts by ESEA subgroups.

Group Name: High school graduation rate indicator status table - Flex		DG: TBD
Section	Education Unit	
Definition	An indication of whether the school or district met the High School Graduation Rate requirement in accordance with state definition.	
Permitted Values	<ul style="list-style-type: none"> Met (goal) Met (target) Not met Too few students for reliability 	
Reporting Period	School Year	
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>	
Comment	Report only for LEAs and schools with a 12 th grade.	
File Specification #	173 (new)	
CATEGORY SET	DESCRIPTION	
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups 	
STEWARD: OESE		

GRADUATION RATES

Data will be collected on both the regulatory adjusted graduation rates (four-year and, if applicable, five or six year) as well as the count of students in the cohorts for flexibility subgroups beginning with SY 2011-12 in addition to data currently collected in EDFacts by ESEA subgroups.

Rates

Below are the data groups for the cohort graduation rates.

Group Name: Regulatory four-year adjusted-cohort graduation rate table - Flex		DG: TBD	
Section	Student		
Definition	The number of students who graduate in four years with a regular high school diploma divided by the number of students who form the adjusted-cohort for the regulatory four-year adjusted-cohort graduation rate.		
Permitted Values	<ul style="list-style-type: none"> Percentage (in the format of 5,4) 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	Report only for LEAs and schools with a 12 th grade.		
File Specification #	174 (new)		
CATEGORY SET		DESCRIPTION	
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups 		
STEWARD: OESE			

Group Name: Regulatory extended time five-year adjusted-cohort graduation rate table – Flex		DG: TBD	
Section	Student		
Definition	The number of students who graduate in five years with a regular high school diploma divided by the number of students who form the adjusted-cohort for the regulatory five-year adjusted-cohort graduation rate.		
Permitted Values	<ul style="list-style-type: none"> Percentage (in the format of 5,4) 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	Report only for states that have approved extended time five-year graduation rates. Report only for LEAs and schools with a 12 th grade.		
File Specification #	174 (new)		
CATEGORY SET		DESCRIPTION	
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups 		
STEWARD: OESE			

Group Name: Regulatory extended time six-year adjusted-cohort	DG: TBD
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graduation rate table - Flex	
Section	Student
Definition	The number of students who graduate in six years with a regular high school diploma divided by the number of students who form the adjusted-cohort for the regulatory six-year adjusted-cohort graduation rate.
Permitted Values	<ul style="list-style-type: none"> Percentage (in the format of 5,4)
Reporting Period	School Year
Reporting Levels	School <input checked="" type="checkbox"/> LEA <input checked="" type="checkbox"/> State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>
Comment	Report only for states that have approved extended time six-year graduation rates. Report only for LEAs and schools with a 12 th grade.
File Specification #	174 (new)
CATEGORY SET	DESCRIPTION
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups
STEWARD: OESE	

Cohorts used in the calculations

Below are the data groups for the cohorts used in the graduation rates.

Group Name: Cohorts for regulatory four-year adjusted-cohort graduation rate table - Flex		DG: TBD
Section	Student	
Definition	The number of students in the adjusted-cohort for the regulatory four-year adjusted-cohort graduation rate.	
Permitted Values	<ul style="list-style-type: none"> Integer 	
Reporting Period	School Year	
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/> State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>	
Comment	Report only for LEAs and schools with a 12 th grade.	
File Specification #	174 (new)	
CATEGORY SET	DESCRIPTION	
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups Cohort Status 	
STEWARD: OESE		

Group Name: Cohorts for regulatory extended time five-year adjusted-cohort graduation rate table - Flex			DG: TBD
Section	Student		
Definition	The number of students in the adjusted-cohort for the regulatory extended time five-year adjusted-cohort graduation rate.		
Permitted Values	<ul style="list-style-type: none"> Integer 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	Report only for states that have approved extended time five-year graduation rates. Report only for LEAs and schools with a 12 th grade.		
File Specification #	174 (new)		
CATEGORY SET			
DESCRIPTION			
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups Cohort Status 		
STEWARD: OESE			

Group Name: Cohorts for regulatory extended time six-year adjusted-cohort graduation rate table - Flex			DG: TBD
Section	Student		
Definition	The number of students in the adjusted-cohort for the regulatory extended time six-year adjusted-cohort graduation rate.		
Permitted Values	<ul style="list-style-type: none"> Integer 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input checked="" type="checkbox"/>	State <input checked="" type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	Report only for states that have approved extended time six-year graduation rates. Report only for LEAs and schools with a 12 th grade.		
File Specification #	174 (new)		
CATEGORY SET			
DESCRIPTION			
Category Set A	<ul style="list-style-type: none"> Flexibility Subgroups Cohort Status 		
STEWARD: OESE			

REWARD SCHOOLS

These data will be used to prepopulate Part II of the Consolidated State Performance Report.

Group Name: Reward schools		DG: TBD	
Section	Education Unit		
Definition	An indication of whether the school is designated by the state as a reward school under its accountability plan.		
Permitted Values	<ul style="list-style-type: none"> • Yes • No 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment			
File Specification #	130 (This is an existing file that will be modified to include this data group.)		
STEWARD: OESE			

STATE DEFINED SCHOOL IMPROVEMENT STATUS

Data will be collected on the state defined school improvement status.

Group Name: State defined school improvement status		DG: TBD	
Section	Education Unit		
Definition	An indication of the state designated improvement status of the school according to the state's flexibility plan.		
Permitted Values	<ul style="list-style-type: none"> • Status 1 • Status 2 • Status 3 • Status 4 • Status 5 • Status 6 • Status 7 • Status 8 • Status 9 • Status 10 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	States should use the permitted value of 'Status 1' for the lowest level state-defined school improvement status. For example, if a state used a grading system of A-F, then Status 1 would = F.		

File Specification #	130 (This is an existing file that will be modified to include this data group.)
STEWARD: OESE	

FUNDS ALLOCATED TO PRIORITY AND FOCUS SCHOOLS

Beginning with SY 2011-12 (Federal Fiscal Year 2011 appropriation), data will be collected on the dollar amount allocated to priority and focus schools by LEAs under section 1113 of the ESEA. In addition, for any priority and focus school in an LEA that used a measure of poverty other than free and reduced price lunch data to allocate Title I, Part A funds to schools under section 1113, data will be collected on the student counts used for allocating Title I, Part A funds to schools under section 1113.

Group Name: Funds allocated to priority and focus schools		DG: TBD	
Section	Finance		
Definition	The dollar amount of Title I, Part A funds allocated to a priority or focus school by its LEA under section 1113 of the ESEA.		
Permitted Values	• Dollars (to the nearest dollar)		
Reporting Period	Fiscal Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	For SY 2011-12, report on allocations made from the federal fiscal year 2011 appropriation. This data group is only required to be reported by states with approved ESEA Flexibility requests.		
File Specification #	183 (new)		
STEWARD: OESE			

Group Name: Children from low-income families		DG: TBD	
Section	Student		
Definition	The number of children from low-income families that was used by the school district for allocating Title I, Part A funds to priority and focus schools under section 1113.		
Permitted Values	• Integer		
Reporting Period	October 1		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total	<input type="checkbox"/>		

(Education Unit Total)	
Comment	This data group is only required to be reported by states with approved ESEA flexibility requests that had a priority school or a focus school from an LEA that used a measure of poverty other than free and reduced price lunch data to allocate Title I, Part A funds to schools under section 1113 of the ESEA.
File Specification #	184 (new)
STEWARD: OESE	

INTERVENTIONS

Data will be collected on the types of interventions used in focus and priority schools under a state's flexibility plan.

Group Name: State interventions used in priority schools		DG: TBD	
Section	Education Unit		
Definition	An indication of the intervention(s) used in a school designated as a priority school in a state with an approved flexibility request.		
Permitted Values	<ul style="list-style-type: none"> • SIG model – transformation • SIG model – turnaround • SIG model – restart • SIG model – closure • Other model aligned with all of the turnaround principles • State takeover • Other governance change/control given to intermediary/reduced autonomy • Tiered interventions • Needs analysis that leads to interventions tied to specific subgroup needs 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	States should report all permitted values that apply.		
File Specification #	176 (new)		
STEWARD: OESE			

Group Name: State interventions used in focus schools		DG: TBD	
Section	Education Unit		

Definition	An indication of the intervention(s) used in a school designated as a focus school in a state with an approved flexibility request.		
Permitted Values	<ul style="list-style-type: none"> • SIG model – transformation • SIG model – turnaround • SIG model – restart • SIG model – closure • Other model aligned with all of the turnaround principles • State takeover • Other governance change/control given to intermediary/reduced autonomy • Tiered interventions • Needs analysis that leads to interventions tied to specific subgroup needs • Providing strong leadership • Ensuring teachers are effective • Redesigning the school day, week, or year • Strengthening the school’s instructional program • Using data to inform instruction • Establishing a safe school environment • Providing mechanisms for family and community engagement • Other 		
Reporting Period	School Year		
Reporting Levels	School <input checked="" type="checkbox"/>	LEA <input type="checkbox"/>	State <input type="checkbox"/>
Grand Total (Education Unit Total)	<input type="checkbox"/>		
Comment	States should report all permitted values that apply.		
File Specification #	176 (new)		
STEWARD: OESE			

ADDENDUM

The following data categories are used in collecting the new data groups proposed for collection in this clearance package. While these categories are not being proposed for clearance, they serve as a reference only, to provide context to the information being collected via each proposed data group.

Category Name: Assessment Administered		Steward: OESE, OSERS/OSEP
Comments		
Definition	The types of assessments administered.	
Permitted Values		
	<ul style="list-style-type: none"> • Alternate assessments based on alternate achievement standards. • Alternate assessments based on grade-level achievement standards. • Alternate assessments based on modified achievement standards. • Regular assessments based on grade-level achievement standards with accommodations. • Regular assessments based on grade-level achievement standards without accommodations. 	

Category Name: Cohort Status		Steward: OESE
Comments		
Definition	Whether or not students in a cohort graduated with a regular high school diploma within the allowable time.	
Permitted Values		
	<ul style="list-style-type: none"> • Did not graduate with a regular high school diploma within the allowable time • Graduated with a regular high school diploma within the allowable time 	

Category Name: Full Academic Year Status		Steward: OESE
Comments	This is to identify students who are tracked separately for assessment accountability purposes.	
Definition	An indication of whether students were in membership in the education unit for a full academic year, according to state definition.	
Permitted Values	<ul style="list-style-type: none"> • Not present for full academic year • Present for full academic year 	

Category Name: Grade Level or Grade/Age		Steward: EDEN
Comments	Some data groups collect data by grade level or by grade levels and ages. The table below indicates the ranges for each grade level or grade/age category.	
Definition	The grade level (primary instructional level) of students, except for “grade level assessment.” The definition for “grade level (assessment)” is the grade (3 through 12) assigned to the student by the school system in which the student is enrolled	
Permitted Values Used	Grade Level (Membership)	Grade Level (Assessment)
Under 3 years		
3 years through 5 (not K)		
Pre-kindergarten		
Kindergarten		
Grade 1		
Grade 2		
Grade 3		X
Grade 4		X
Grade 5		X
Grade 6		X
Grade 7		X
Grade 8		X
Grade 9		X
Grade 10		X
Grade 11		X
Grade 12		X

High School			X	
Ungraded				
Below grade 7				
13/Postgraduate				
Adult Education				
Out of school				

Category Name:	Participation Status (MS)	Steward: EDEN
Comments		
Definition	An indication of whether students participated in mathematics or science assessments.	
Permitted Values		
	<ul style="list-style-type: none"> • Participated – alternate assessment based on alternate achievement standards • Participated – alternate assessment based on grade-level achievement standards • Participated – alternate assessment based on modified achievement standards • Participated – regular assessment based on grade-level achievement standards with accommodations • Participated – regular assessment based on grade-level achievement standards without accommodations • Did not participate • Medical exemption 	

Category Name:	Participation Status (RLA)	Steward: EDEN
Comments		
Definition	An indication of whether students participated in assessments.	
Permitted Values		
	<ul style="list-style-type: none"> • Participated – alternate assessment based on alternate achievement standards • Participated – alternate assessment based on grade-level achievement standards • Participated – alternate assessment based on modified achievement standards • Participated – regular assessment based on grade-level achievement standards with accommodations • Participated – regular assessment based on grade-level achievement standards without accommodations • Participated – English language proficiency assessment • Did not participate • Medical exemption 	

Category Name:	Performance Level	Steward: EDEN
Comments		
Definition	The level of performance of students on the state assessment according to state definition.	
Permitted Values		
	<ul style="list-style-type: none">• Level 1 (lowest level)• Level 2• Level 3• Level 4• Level 5• Level 6	