

ATTACHMENT A.6: ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATOR (VERSIONS A & B)

OMB# 0925-XXXX **EXP. DATE:** XX/XX/XXXX

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1. Have you changed institutions since you received the Early Independence Award? Yes No
*(If respondents answer NO to Question 1, respondent will complete version A of the online survey
(If respondents answer YES to Question 1, respondent will complete version B of the online survey)*

Version A - EIA Principal Investigators who HAVE NOT CHANGED institutions.

2. During the past fiscal year, has your position (roles and responsibilities) changed?
 Yes
Why was it changed and how has it changed? _____
 No
3. Overall, how do you feel the level of commitment from your host institution compares to what it was last year?
 A. Increased B. Stayed the same C. Decreased
4. How has the level of commitment from your host institution changed?
5. During the past fiscal year, have you negotiated changes with your host institution in the type and level of support?
 Yes
What type of resources and level of support have you negotiated to change with your host institution? _____
 No
6. During the past fiscal year, what scientific collaborative activities have you participated in?
(Please check all that apply)
 Laboratory meetings with other PIs
 Institutional scientific retreats
 One-on-one meetings with collaborators within the institution
 One-on-one meetings with collaborators outside the institution
 Faculty/Division/Department/Seminar series
 Other (please specify): _____
7. During the past fiscal year, what steps has your host institution taken to integrate you and your NIH EIA scientific project into the institutional scientific culture and the faculty community?
8. During the past fiscal year, what steps have you taken to protect your scientific independence?
9. During the past fiscal year, what steps has your host institution taken to maintain your scientific independence?
10. How satisfied are you with your host institution's efforts to maintain your scientific independence?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
Please comment: _____
11. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)

- None
- Administrative (e.g., lack of support staff, inadequate ordering systems, etc.)
Please describe the challenges, if they were addressed, and how _____
- Operations (e.g., difficulty setting up the laboratory, obtaining needed equipment, accessing research resources, etc.)
Please describe the challenges, if they were addressed, and how _____
- Recruitment (e.g., difficulty recruiting or hiring staff to work in the laboratory and/or on the project, etc.)
Please describe the challenges, if they were addressed, and how _____
- Career Development (e.g., lack of opportunities to attend training, conferences, etc.)
Please describe the challenges, if they were addressed, and how _____
- Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)
Please describe the challenges, if they were addressed, and how _____
- Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)
Please describe the challenges, if they were addressed, and how _____
- Teaching (e.g., heavy teaching load, etc.)
Please describe the challenges, if they were addressed, and how _____
- Scientific Independence (e.g., limited time to engage in research project, unproductive interactions with faculty regarding research project, expectation that you work under senior faculty, etc.)
Please describe the challenges, if they were addressed, and how _____
- Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)
Please describe the challenges, if they were addressed, and how _____
- Funding (e.g., unable to identify and apply for other sources of funding, etc.)
Please describe the challenges, if they were addressed, and how _____
- Integration into Institution Structures
Please describe the challenges, if they were addressed, and how _____
- Advisory structure within the division or department (e.g., lack of access to senior colleagues, etc.)
Please describe the challenges, if they were addressed, and how _____
- Management (e.g., challenges overseeing the budget, supervising a staff, etc.)
Please describe the challenges, if they were addressed, and how _____
- Other (please specify): _____

12. How satisfied were you with your host institution's responses to the challenges discussed in Question 11?

- Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

13. During the past fiscal year, has your institution formally evaluated you and the progress made in your NIH EIA research project?

- Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation _____

- No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

14. During the past fiscal year, what type and level of support have you received from leadership at your host institution? (e.g., Department Chair, Division Head, Dean, etc.)
15. How satisfied are you with the support that you received from the leadership at your host institution during the past fiscal year? (e.g., Department Chair, Division Head, Dean, etc.)
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
16. Have there been any changes in the advisory structure in place for the EIA program at your host institution?
 Yes
 Please explain _____
 No
17. During the past fiscal year, how often have you met with your advisor(s) and what issues were discussed during the meeting?
18. During the past fiscal year, how satisfied are you with the faculty in their advisory role?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable
 Please comment: _____
19. During the past fiscal year, what type and level of support have you received from senior colleagues (excluding advisors) at your host institution?
20. How satisfied are you with the support that you received from senior colleagues (excluding advisors) during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
21. During the past fiscal year, what administrative and/or support resources were provided to you? (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.)
22. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
23. During the past fiscal year, what career development resources were provided to you, and which ones did you use? (e.g., courses in laboratory management, grant writing, staff supervision, etc.)
24. How satisfied are you with the career development resources that you used during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
25. During the past fiscal year, did you teach a course?
 Yes
 Please describe the type of courses taught and your teaching load _____
 No
26. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.
 I have **NOT** applied for additional sources of funding during the past year
 NIH
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding _____

Government Agencies (excluding NIH)

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Institutional Resources

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Private (e.g., foundations, industry, etc.)

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

Other: _____

What is the status of this funding request?

Applied, but did not receive funding Applied, awaiting notification Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding _____

27. Which of the following have occurred during the past fiscal year? Please check all that apply.

I am applying for tenure

I filed a patent application or have been granted a patent

I expanded my research group (e.g., added staff)

I formed new partnerships/collaborations

Please describe the partnership/collaboration _____

I received an award(s)

What award(s) did you receive? _____

I was promoted

What promotion did you receive? _____

I published an original, peer-reviewed article

Are you the senior or corresponding author? _____

Please provide the URL or citation _____

I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).

Please provide the URL or citation _____

I produced other publications such as conference papers and presentations

Please provide the URL or citation _____

I have expanded my research focus

Please explain _____

I changed my research focus

Please explain _____

My work has been featured in the popular press and/or media

In what popular press and/or media has your work been featured? Please provide the URL or citation _____

I serve as a grant reviewer

Please explain _____

Other (please specify): _____

28. What products resulted from your scientific project during the past fiscal year? Please check all that apply.

I do not have any products to report

Website(s) or other Internet site(s)

Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution _____

Technologies or techniques

Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution _____

Inventions, patent applications, and/or licenses

Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution _____

Other products

Please check the products that you can attribute to EIA funding and the percent attribution

Databases

Percent attribution to EIA funding _____

Physical collections

Percent attribution to EIA funding _____

Audio or video products

Percent attribution to EIA funding _____

Software or NetWare

Percent attribution to EIA funding _____

Models

Percent attribution to EIA funding _____

Educational aids or curricula

Percent attribution to EIA funding _____

Instruments or equipment

Percent attribution to EIA funding _____

Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)

Percent attribution to EIA funding _____

Other. Please specify and indicate the percent attribution to EIA funding: _____

29. Gender (Please choose only one)

Male

Female

30. Ethnicity (Please choose only one)

Hispanic or Latino

Not Hispanic or Latino

31. Race (Please chose all that apply)

American Indian or Alaska Native

Asian

Black or African American

Native Hawaiian or other Pacific Islander

White

32. What is your institution? _____

33. What additional support would be useful from the NIH during your time as an awardee?

34. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!

Version B - EIA Principal Investigators who HAVE CHANGED institutions.

2. When did you change host institutions? (month/date/year) _____

3. What was your position at your old host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?

4. What is your current position at your new host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?
5. What were the reasons for changing institutions?
6. Were there challenges associated with changing institutions? If so, what were they and how were they addressed?

PLEASE NOTE QUESTIONS 7-27 APPLY TO YOUR NEW INSTITUTION

7. What are your roles and responsibilities as an Early Independence Principal Investigator (PI) at your host institution?

8. During the past fiscal year, what scientific collaborative activities have you participated in?

(Please check all that apply)

- Laboratory meetings of other PIs
- Institutional scientific retreats
- One-on-one meetings with collaborators within the institution
- One-on-one meetings with collaborators outside the institution
- Faculty/Division/Department/Seminar series
- Formal introductions to the scientific community at the new host institution
- Other (please specify): _____

9. During the past fiscal year, what steps has your host institution taken to integrate you and your NIH EIA scientific project into the institutional scientific culture and the faculty community?

10. During the past fiscal year, what steps have you taken to protect your scientific independence?

11. During the past fiscal year, what steps has your host institution taken to maintain your scientific independence?

12. How satisfied are you with your host institution's efforts to maintain your scientific independence?

- Very Satisfied Satisfied Dissatisfied Very Dissatisfied

Please comment: _____

13. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI?

(Please check all that apply)

- None
- Administrative (e.g., lack of support staff, inadequate ordering systems, etc.)
Please describe the challenges, if they were addressed, and how _____
- Operations (e.g., difficulty setting up the laboratory, obtaining needed equipment, accessing research resources, etc.)
Please describe the challenges, if they were addressed, and how _____
- Recruitment (e.g., difficulty recruiting or hiring staff to work in the laboratory and/or on the project, etc.)
Please describe the challenges, if they were addressed, and how _____
- Career Development (e.g., lack of opportunities to attend training, conferences, etc.)
Please describe the challenges, if they were addressed, and how _____
- Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)
Please describe the challenges, if they were addressed, and how _____
- Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)
Please describe the challenges, if they were addressed, and how _____

Teaching (e.g., heavy teaching load, etc.)
Please describe the challenges, if they were addressed, and how _____

Scientific Independence (e.g., limited time to engage in research project, unproductive interactions with faculty regarding research project, expectation that you work under senior faculty, etc.)
Please describe the challenges, if they were addressed, and how _____

Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)
Please describe the challenges, if they were addressed, and how _____

Funding (e.g., unable to identify and apply for other sources of funding, etc.)
Please describe the challenges, if they were addressed, and how _____

Integration into Institution Structures
Please describe the challenges, if they were addressed, and how _____

Advisor structure within the division or department (e.g., lack of access to senior colleagues, etc.)
Please describe the challenges, if they were addressed, and how _____

Management (e.g., challenges overseeing the budget, supervising a staff, etc.)
Please describe the challenges, if they were addressed, and how _____

Other (please specify): _____

14. How satisfied were you with your host institution's responses to the challenges discussed in Question 12?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

15. During the past fiscal year, has your institution formally evaluated you and the progress made in your NIH EIA research project?

Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation _____

No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

16. During the past fiscal year, what type and level of support have you received from leadership at your host institution? (e.g., Department Chair, Division Head, Dean, etc.)

17. How satisfied are you with the support that you received from the leadership at your host institution during the past fiscal year? (e.g., Department Chair, Division Head, Dean, etc.)

Very Satisfied Satisfied Dissatisfied Very Dissatisfied

Please comment: _____

18. Have there been any changes in the advisory structure in place for the EIA program at your host institution?

Yes

Please explain _____

No

19. During the past fiscal year, how often have you met with your advisor(s) and what issues were discussed during the meeting?

20. During the past fiscal year, how satisfied are you with the faculty in their advisory role?

Very Satisfied Satisfied Dissatisfied Very Dissatisfied Not Applicable

Please comment: _____

21. During the past fiscal year, what type and level of support have you received from senior colleagues (excluding advisors) at your host institution?
22. How satisfied are you with the support that you received from senior colleagues (excluding advisors) during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
23. During the past fiscal year, what administrative and/or support resources were provided to you? (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.)
24. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
25. During the past fiscal year, what career development resources were provided to you, and which ones did you use? (e.g., courses in laboratory management, grant writing, staff supervision, etc.)
26. How satisfied are you with the career development resources that you used during the past fiscal year?
 Very Satisfied Satisfied Dissatisfied Very Dissatisfied
 Please comment: _____
27. During the past fiscal year, did you teach a course?
 Yes
 Please describe the type of courses taught and your teaching load _____
 No

PLEASE NOTE QUESTIONS 28-30 APPLY TO THE TIME AT BOTH YOUR OLD AND NEW INSTITUTIONS

28. During the past fiscal year, which of the following sources of funding have you applied for? (Please check all that apply)
- I have NOT applied for additional sources of funding during the past year
- NIH
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
 Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding _____
- Government Agencies (excluding NIH)
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
 Please list the name of the organization, the name of the program, the amount, and the period of funding _____
- Institutional Resources
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
 Please list the name of the organization, the name of the program, the amount, and the period of funding _____
- Private (e.g., foundations, industry, etc.)
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
 Please list the name of the organization, the name of the program, the amount, and the period of funding _____
- Other: _____
 What is the status of this funding request?
 Applied, but did not receive funding Applied, awaiting notification Funding received
 Please list the name of the organization, the name of the program, the amount, and the period of funding _____

29. Which of the following have occurred during the past fiscal year? Please check all that apply.

- I am applying for tenure
- I filed a patent application or have been granted a patent
- I expanded my research group (e.g., added staff)
- I formed new partnerships/collaborations
Please describe the partnership/collaboration _____
- I received an award(s)
What award(s) did you receive? _____
- I was promoted
What promotion did you receive? _____
- I published an original, peer-reviewed article
Are you the senior or corresponding author? _____
Please provide the URL or citation _____
- I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).
Please provide the URL or citation _____
- I produced other publications such as conference papers and presentations
Please provide the URL or citation _____
- I have expanded my research focus
Please explain _____
- I changed my research focus
Please explain _____
- My work has been featured in the popular press and/or media
In what popular press and/or media has your work been featured? Please provide the URL or citation _____
- I served as a grant reviewer
Please explain _____
- Other (please specify): _____

30. What products resulted from your scientific project during the past fiscal year? Please check all that apply.

- I do not have any products to report
- Website(s) or other Internet site(s)
Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution _____
- Technologies or techniques
Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution _____
- Inventions, patent applications, and/or licenses
Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution _____
- Other products
Please check the products that you can attribute to EIA funding and the percent attribution
 - Databases
Percent attribution to EIA funding _____
 - Physical collections
Percent attribution to EIA funding _____
 - Audio or video products
Percent attribution to EIA funding _____
 - Software or NetWare
Percent attribution to EIA funding _____
 - Models
Percent attribution to EIA funding _____
 - Educational aids or curricula
Percent attribution to EIA funding _____
 - Instruments or equipment
Percent attribution to EIA funding _____

Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)

Percent attribution to EIA funding _____

Other. Please specify and indicate the percent attribution to EIA funding: _____

31. Gender (Please choose only one)

Male

Female

32. Ethnicity (Please choose only one)

Hispanic or Latino

Not Hispanic or Latino

33. Race (Please chose all that apply)

American Indian or Alaska Native

Asian

Black or African American

Native Hawaiian or other Pacific Islander

White

34. What is your institution? _____

35. What additional support would be useful from the NIH during your time as an awardee?

36. Please feel free to share any other thoughts you might have related to the EIA program.

THANK YOU!