

## SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

Public reporting burden for this collection of information is estimated to average 60 minutes, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0534). Do not return the completed form to this address.

If you have comments or concerns regarding the status of your individual submission of this survey, please email [EIA-PI-NIH-survey@mail.nih.gov](mailto:EIA-PI-NIH-survey@mail.nih.gov)

### Introduction

Thank you for accessing the survey for the Point of Contact at the Host Institution. The Common Fund of the National Institutes of Health (NIH) is doing a process evaluation of the Early Independence Award (EIA) program. The purpose of this evaluation is to document EIA program operations and how the program is being implemented at host institutions. The information collected may be used to make adjustments to improve the EIA program.

We would like to invite you to participate in this online survey. This is an opportunity for you to share your experience as an Early Independence Principal Investigator and provide feedback anonymously. The survey should take approximately 60 minutes to complete. There are no right or wrong answers, and you may skip any questions that you do not wish to address. Please note that you can stop at any point and continue at another time.

The survey should take approximately 60 minutes to complete. There are no right or wrong answers, and you may skip any questions that you do not wish to address. Please note that you can stop at any point and continue at another time.

**The website for the survey will be open from [INSERT START DATE] to [INSERT START DATE] (11:59 pm Eastern Daylight Time).**

### Privacy and Participation

Your participation is voluntary and non-participation will have no impact on you or your institution. If you choose to participate, your responses will be kept private under the Privacy Act, and will not be disclosed to anyone but the researchers conducting this study, except as otherwise required by law. You will not be identified by name and information from the study will only be reported in the aggregate. Your responses will be combined with those of other respondents in the final report.

### Agree to Participate

Press "START SURVEY" if you agree to participate. Your participation is greatly appreciated.

Start Survey



**ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS**

OMB# EXP. DATE:

VERSION A: Principal Investigators *who have not changed* institutions

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**2. During the past fiscal year, has your position (roles and responsibilities) changed?**

Yes

Why was it changed and how has it changed?

No

**3. Overall, how do you feel the level of commitment from your host institution compares to what it was last year?**

A. Increased

B. Stayed the same

C. Decreased

**4. How has the level of commitment from your host institution changed?**

**5. During the past fiscal year, have you negotiated changes with your host institution in the type and level of support?**

Yes

What type of resources and level of support have you negotiated to change with your host institution?

No

**6. During the past fiscal year, what scientific collaborative activities have you participated in? (Please check all that apply)**

Laboratory meetings with other PIs

Institutional scientific retreats

One-on-one meetings with collaborators within the institution

One-on-one meetings with collaborators outside the institution

Faculty/Division/Department/Seminar series

Other (please specify):

**7. During the past fiscal year, what steps has your host institution taken to integrate you and your NIH EIA scientific project into the institutional scientific culture and the faculty community?**

**8. During the past fiscal year, what steps have you taken to protect your scientific independence?**

**9. During the past fiscal year, what steps has your host institution taken to maintain your scientific independence?**

**10. How satisfied are you with your host institution's efforts to maintain your scientific independence?**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Please comment:

Save and logout

Continue

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ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

CHECKBOX

**11. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)**

- None
- Administrative (e.g., lack of support staff, inadequate ordering systems, etc.)  
Please describe the challenges, if they were addressed, and how
- Operations (e.g., difficulty setting up the laboratory, obtaining needed equipment, accessing research resources, etc.)  
Please describe the challenges, if they were addressed, and how
- Recruitment (e.g., difficulty recruiting or hiring staff to work in the laboratory and/or on the project, etc.)  
Please describe the challenges, if they were addressed, and how
- Career Development (e.g., lack of opportunities to attend training, conferences, etc.)  
Please describe the challenges, if they were addressed, and how
- Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)  
Please describe the challenges, if they were addressed, and how
- Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)  
Please describe the challenges, if they were addressed, and how
- Teaching (e.g., heavy teaching load, etc.)  
Please describe the challenges, if they were addressed, and how
- Scientific Independence (e.g., limited time to engage in research project, unproductive interactions with faculty regarding research project, expectation that you work under senior faculty, etc.)  
Please describe the challenges, if they were addressed, and how
- Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)  
Please describe the challenges, if they were addressed, and how
- Funding (e.g., unable to identify and apply for other sources of funding, etc.)  
Please describe the challenges, if they were addressed, and how
- Integration into Institution Structures  
Please describe the challenges, if they were addressed, and how
- Advisory structure within the division or department (e.g., lack of access to senior colleagues, etc.)  
Please describe the challenges, if they were addressed, and how
- Management (e.g., challenges overseeing the budget, supervising staff, etc.)  
Please describe the challenges, if they were addressed, and how
- Other (please specify)

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ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

12. How satisfied were you with your host institution's responses to the challenges discussed in Question 11?

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied
- Not Applicable

Please comment:

13. During the past fiscal year, has your institution formally evaluated you and the progress made in your NIH EIA research project?

- Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation

- No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

14. During the past fiscal year, what type and level of support have you received from leadership at your host institution? (e.g., Department Chair, Division Head, Dean, etc.)

15. How satisfied are you with the support that you received from the leadership at your host institution during the past fiscal year? (e.g., Department Chair, Division Head, Dean, etc.)

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied

Please comment:

16. Have there been any changes in the advisory structure in place for the EIA program at your host institution?

- Yes

Please explain:

- No

17. During the past fiscal year, how often have you met with your advisor(s) and what issues were discussed during the meeting?

18. During the past fiscal year, how satisfied are you with the faculty in their advisory role?

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied
- Not Applicable

Please comment:

19. During the past fiscal year, what type and level of support have you received from senior colleagues (excluding advisors) at your host institution?

20. How satisfied are you with the support that you received from senior colleagues (excluding advisors) during the past fiscal year?

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied

Please comment:

21. During the past fiscal year, what administrative and/or support resources were provided to you? (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.)

22. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied

Please comment:

23. During the past fiscal year, what career development resources were provided to you, and which ones did you use? (e.g., courses in laboratory management, grant writing, staff supervision, etc.)

24. How satisfied are you with the career development resources that you used during the past fiscal year?

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied

Please comment:

25. During the past fiscal year, did you teach a course?

- Yes

Please describe the type of courses taught and your teaching load

- No

[Previous Page](#) [Save and logout](#) [Continue](#)



## ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB#    EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**26. During the past fiscal year, which of the following sources of funding have you applied for? Please check all that apply.**

I have NOT applied for additional sources of funding during the past year

NIH

What is the status of this funding request?

Applied, but did not receive funding

Applied, awaiting notification

Funding received

Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding

Government Agencies (excluding NIH)

What is the status of this funding request?

Applied, but did not receive funding

Applied, awaiting notification

Funding received

Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding

Institutional Resources

What is the status of this funding request?

Applied, but did not receive funding

Applied, awaiting notification

Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

Private (e.g., foundations, industry, etc.)

What is the status of this funding request?

Applied, but did not receive funding

Applied, awaiting notification

Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

Other:

What is the status of this funding request?

Applied, but did not receive funding

Applied, awaiting notification

Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

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OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

## 27. Which of the following have occurred during the past fiscal year? Please check all that apply.

- I am applying for tenure
- I filed a patent application or have been granted a patent
- I expanded my research group (e.g., added staff)
- I formed new partnerships/collaborations

Please describe the partnership/collaboration

- I received an award(s)
- What award(s) did you receive?

- I was promoted
- What promotion did you receive?

- I published an original, peer-reviewed article
- Are you the senior or corresponding author?

- I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).

Please provide the URL or citation

- I have expanded my research focus
- Please explain

- I changed my research focus
- Please explain

- My work has been featured in the popular press and/or media
- In what popular press and/or media has your work been featured? Please provide the URL or citation

- I served as a grant reviewer
- Please explain

- Other (please specify):

## 28. What products resulted from your scientific project during the past fiscal year? Please check all that apply.

- I do not have any products to report

- Website(s) or other Internet site(s)
- Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution

- Technologies or techniques
- Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution

- Inventions, patent applications, and/or licenses
- Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution

- Other products
- Please check the products that you can attribute to EIA funding and the percent attribution

- Databases
- Percent attribution to EIA funding

- Physical collections
- Percent attribution to EIA funding

- Audio or video products
- Percent attribution to EIA funding

- Software or NetWare
- Percent attribution to EIA funding

- Models
- Percent attribution to EIA funding

- Educational aids or curricula
- Percent attribution to EIA funding

- Instruments or equipment
- Percent attribution to EIA funding

- Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)
- Percent attribution to EIA funding

- Other. Please specify and indicate the percent attribution to EIA funding:

## 29. Gender (Please choose only one)

- Male
- Female

## 30. Ethnicity (Please choose only one)

- Hispanic or Latino
- Not Hispanic or Latino

## 31. Race (Please chose all that apply)

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

## 32. What is your institution?

## 33. What additional support would be useful from the NIH during your time as an awardee?

## 34. Please feel free to share any other thoughts you might have related to the EIA program.

[Previous Page](#) [Save and logout](#) [Submit Final](#)

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# EARLY INDEPENDENCE AWARD PROGRAM

## ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

VERSION B: Principal Investigators *who have changed* institutions

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**2. When did you change host institutions? (month/date/year)**

**3. What was your position at your old host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?**

**4. What is your current position at your new host institution (e.g., tenure track faculty, non-tenure track research fellow, etc.)?**

**5. What were the reasons for changing institutions?**

**6. Were there challenges associated with changing institutions? If so, what were they and how were they addressed?**

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## ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

Please note questions 7 through 27 apply to your new institution

**7. What are your roles and responsibilities as an Early Independence Principal Investigator (PI) at your host institution?**

**8. During the past fiscal year, what scientific collaborative activities have you participated in? (Please check all that apply)**

- Laboratory meetings with other PIs
- Institutional scientific retreats
- One-on-one meetings with collaborators within the institution
- One-on-one meetings with collaborators outside the institution
- Faculty/Division/Department/Seminar series
- Formal introductions to the scientific community at the new host institution
- Other (please specify):

**9. During the past fiscal year, what steps has your host institution taken to integrate you and your NIH EIA scientific project into the institutional scientific culture and the faculty community?**

**10. During the past fiscal year, what steps have you taken to protect your scientific independence?**

**11. During the past fiscal year, what steps has your host institution taken to maintain your scientific independence?**

**12. How satisfied are you with your host institution's efforts to maintain your scientific independence?**

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied

Please comment:

[Previous Page](#) [Save and logout](#) [Continue](#)

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ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

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Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**13. During the past fiscal year, which of the following challenges or barriers have you encountered as EIA PI? (Please check all that apply)**

- None
- Administrative (e.g., lack of support staff, inadequate ordering systems, etc.)  
Please describe the challenges, if they were addressed, and how
- Operations (e.g., difficulty setting up the laboratory, obtaining needed equipment, accessing research resources, etc.)  
Please describe the challenges, if they were addressed, and how
- Recruitment (e.g., difficulty recruiting or hiring staff to work in the laboratory and/or on the project, etc.)  
Please describe the challenges, if they were addressed, and how
- Career Development (e.g., lack of opportunities to attend training, conferences, etc.)  
Please describe the challenges, if they were addressed, and how
- Collaboration within the institution (e.g., unable to establish collaborations with senior scientists within the institution, etc.)  
Please describe the challenges, if they were addressed, and how
- Collaboration outside the institution (e.g., unable to access senior scientists outside the institution, etc.)  
Please describe the challenges, if they were addressed, and how
- Teaching (e.g., heavy teaching load, etc.)  
Please describe the challenges, if they were addressed, and how
- Scientific Independence (e.g., limited time to engage in research project, unproductive interactions with faculty regarding research project, expectation that you work under senior faculty, etc.)  
Please describe the challenges, if they were addressed, and how
- Institution Leadership (e.g., lack of access to leadership within the institution, division, or department, etc.)  
Please describe the challenges, if they were addressed, and how
- Funding (e.g., unable to identify and apply for other sources of funding, etc.)  
Please describe the challenges, if they were addressed, and how
- Integration into Institution Structures  
Please describe the challenges, if they were addressed, and how
- Advisory structure within the division or department (e.g., lack of access to senior colleagues, etc.)  
Please describe the challenges, if they were addressed, and how
- Management (e.g., challenges overseeing the budget, supervising staff, etc.)  
Please describe the challenges, if they were addressed, and how
- Other (please specify)

**14. How satisfied were you with your host institution's responses to the challenges discussed in Question 12?**

- Very Satisfied
- Satisfied
- Dissatisfied
- Very Dissatisfied
- Not Applicable

Please comment:

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## ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

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Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**15. During the past fiscal year, has your institution formally evaluated you and the progress made in your NIH EIA research project?**

Yes

Describe the evaluation process, the issues discussed, and who performed the evaluation

No

Will you be formally evaluated in the future? If so, when and what process will be used to conduct your evaluation?

**16. During the past fiscal year, what type and level of support have you received from leadership at your host institution? (e.g., Department Chair, Division Head, Dean, etc.)**

**17. How satisfied are you with the support that you received from the leadership at your host institution during the past fiscal year? (e.g., Department Chair, Division Head, Dean, etc.)**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Please comment:

**18. Have there been any changes in the advisory structure in place for the EIA program at your host institution?**

Yes

Please explain

No

**19. During the past fiscal year, how often have you met with your advisor(s) and what issues were discussed during the meeting?**

**20. During the past fiscal year, how satisfied are you with the faculty in their advisory role?**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Not Applicable

Please comment:

**21. During the past fiscal year, what type and level of support have you received from senior colleagues (excluding advisors) at your host institution?**

**22. How satisfied are you with the support that you received from senior colleagues (excluding advisors) during the past fiscal year?**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Please comment:

**23. During the past fiscal year, what administrative and/or support resources were provided to you? (e.g., human resources, supply and equipment ordering systems, administrative assistance, budget manager, etc.)**

**24. How satisfied are you with the administrative and/or support resources that were provided to you during the past fiscal year?**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Please comment:

**25. During the past fiscal year, what career development resources were provided to you, and which ones did you use? (e.g., courses in laboratory management, grant writing, staff supervision, etc.)**

**26. How satisfied are you with the career development resources that you used during the past fiscal year?**

Very Satisfied

Satisfied

Dissatisfied

Very Dissatisfied

Please comment:

**27. During the past fiscal year, did you teach a course?**

Yes

Please explain

No

[Previous Page](#) [Save and logout](#) [Continue](#)





ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS

OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

Please note questions 28 through 30 apply to the time at both your old and new institutions.

28. During the past fiscal year, which of the following sources of funding have you applied for? (Please check all that apply.)

I have NOT applied for additional sources of funding during the past year

NIH

What is the status of this funding request?

- Applied, but did not receive funding
- Applied, awaiting notification
- Funding received

Please list the name of the Institute or Office of the Director, the name of the program, the amount, and the period of funding

Government Agencies (excluding NIH)

What is the status of this funding request?

- Applied, but did not receive funding
- Applied, awaiting notification
- Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

Institutional Resources

What is the status of this funding request?

- Applied, but did not receive funding
- Applied, awaiting notification
- Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

Private (e.g., foundations, industry, etc.)

What is the status of this funding request?

- Applied, but did not receive funding
- Applied, awaiting notification
- Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

Other:

What is the status of this funding request?

- Applied, but did not receive funding
- Applied, awaiting notification
- Funding received

Please list the name of the organization, the name of the program, the amount, and the period of funding

29. Which of the following have occurred during the past fiscal year? Please check all that apply.

I am applying for tenure

I filed a patent application or have been granted a patent

I expanded my research group (e.g., added staff)

I formed new partnerships/collaborations

Please describe the partnership/collaboration

I received an award(s)

What award(s) did you receive?

I was promoted

What promotion did you receive?

I published an original, peer-reviewed article

Are you the senior or corresponding author?

I published a book or other non-periodical, one time publication (e.g., monograph, any significant publication in the proceedings of a one-time conference or in the report of a one-time study, commission, or the like).

Please provide the URL or citation

I have expanded my research focus

Please explain

I changed my research focus

Please explain

My work has been featured in the popular press and/or media

In what popular press and/or media has your work been featured? Please provide the URL or citation

I served as a grant reviewer

Please explain

Other (please specify):

Previous Page Save and logout Continue

**ONLINE SURVEY FOR EARLY INDEPENDENCE PRINCIPAL INVESTIGATORS**

OMB# EXP. DATE:

Please note that you can stop at any time and return to complete the survey at a later day. The submission deadline is (insert date) (11:59pm EST).

**30. What products resulted from your scientific project during the past fiscal year? Please check all that apply.**

- I do not have any products to report
- Website(s) or other Internet site(s)

Please provide URL for the website or other internet sites that you can attribute to EIA funding and the percent attribution

- Technologies or techniques

Please list all your technologies or techniques that you can attribute to EIA funding and the percent attribution

- Inventions, patent applications, and/or licenses

Please list all your inventions, patent applications, and/or licenses that you can attribute to EIA funding and the percent attribution

- Other products

Please check the products that you can attribute to EIA funding and the percent attribution

Databases  
 Percent attribution to EIA funding

Physical collections  
 Percent attribution to EIA funding

Audio or video products  
 Percent attribution to EIA funding

Software or NetWare  
 Percent attribution to EIA funding

Models  
 Percent attribution to EIA funding

Educational aids or curricula  
 Percent attribution to EIA funding

Instruments or equipment  
 Percent attribution to EIA funding

Data & Research Material (e.g., cell lines, DNA probes, animal models, etc.)  
 Percent attribution to EIA funding

Other. Please specify and indicate the percent attribution to EIA funding:

**31. Gender (Please choose only one)**

- Male
- Female

**31. Ethnicity (Please choose only one)**

- Hispanic or Latino
- Not Hispanic or Latino

**33. Race (Please chose all that apply)**

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

**34. What is your institution?**

**35. What additional support would be useful from the NIH during your time as an awardee?**

**36. Please feel free to share any other thoughts you might have related to the EIA program.**

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