

## STOP ACT SURVEY

### OMB Statement

OMB No. 0930-0316

Expiration Date: xxxxxxxx

Public Burden Statement: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 09300316. Public reporting burden for this collection of information is estimated to average 17.7 hours per respondent per year, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to SAMHSA Reports Clearance Officer, 1 Choke Cherry Road, Room 71044, Rockville, Maryland, 20857.

### General Instructions

This survey represents one of several data collection efforts initiated by the Secretary of HHS pursuant to a Congressional directive found in the Sober Truth on Preventing Underage Drinking (STOP) Act, (Pub. L. No. 109422, § 2, 120 Stat. 2890 [2006]). The Act requires the Secretary to file an annual report to Congress on the States' progress in preventing and reducing underage drinking. As stated in the STOP Act:

“The Secretary shall, with input and collaboration from other appropriate Federal agencies, States, Indian tribes, territories, and public health, consumer, and alcohol beverage industry groups, annually issue a report on each State's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.”

The Act provides a list of specific underage drinking laws and policies, details regarding their enforcement, and other programs that the Secretary should include in the annual report. Federal data sources provide some of the data requested. The questionnaire, which asks for the data requested by Congress, provides States the opportunity to participate in the process and to insure accurate and complete reporting.

#### PLEASE NOTE:

1) SAMHSA will report only the data you provide. Any question your State does not answer will be reported as “No Data” or “Not Collected”.

2) To be included in the STOP Act report, this survey must be received by SAMHSA no later than \_\_\_\_\_

3) The survey is composed of 90 numbered questions. Each section ends with a space where additional remarks may be added for clarification, as needed.

4) At the end of each part of the survey, you will be asked to name a person whom we may contact if clarification is needed. This person will NOT BE IDENTIFIED in any reports that result from this survey.

Please submit any technical assistance requests via email to [stopactsurvey@lists.cdmgroup.com](mailto:stopactsurvey@lists.cdmgroup.com) or by telephone to 301-654-6740.

Thank you in advance for your cooperation.

### **SURVEY, PART 1 -- ENFORCEMENT**

Please enter your State below:

---

#### **Part 1, Section A**

**1.A.1)** Please identify the State agency/department that has PRIMARY RESPONSIBILITY for ENFORCING laws designed to prevent underage drinking (e.g., sales and/or furnishing of alcohol to underage persons, social host laws, underage persons in possession, etc.).

---

**1.A.2)** Do State or local law enforcement agencies engage on a regular basis in any of the following (see definitions):

	Cops in Shops	Shoulder Tap Operations	Party Patrol Operations or Programs	Underage Alcohol Related Fatality Investigations
State				
Local				

#### ***Definitions for Question 1.A.2:***

**COPS IN SHOPS:** A well-publicized enforcement effort in which undercover law enforcement officers are placed in retail alcohol outlets.

SHOULDER TAP: Trained young people (decoys) approach individuals outside of retail alcohol outlets and ask the individuals to make an alcohol purchase.

PARTY PATROL/PARTY DISPERSAL: Operations that identify and/or safely make arrests and issue citations at underage drinking parties.

UNDERAGE ALCOHOL-RELATED FATALITY INVESTIGATIONS: Investigations to determine the source of alcohol ingested by fatally injured minors.

**1.A.3)** Does your State collect data/maintain records on the number of underage persons found in possession?

Yes

No

Don't Know

If yes, based on readily available data, please provide estimates of how many underage persons the State found in possession (or having consumed or purchased per your State statutes) of alcohol during the most recent year for which complete data are available.

Number of Minors \_\_\_\_\_

Period for which data in 1.A.3 are reported: In 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

Do the data provided above include arrests/citations issued by local law enforcement agencies?

Yes

No

Don't Know

### **Part 1, Section B**

#### ***Definition for Questions 1.B.1-1.B.2:***

COMPLIANCE CHECKS/DECOY OPERATIONS: Sending trained underage (or apparently underage) volunteers into retail outlets to attempt to purchase alcohol.

Note: The questions under 1.B.1 deal with UNDERAGE COMPLIANCE CHECKS/DECOY OPERATIONS (see definition) at the STATE level.

**1.B.1)** Does your State alcohol law enforcement agency conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to underage persons? Do you collect data on these activities?

Yes, WE CONDUCT THESE ACTIVITIES, and WE COLLECT DATA on them.

Yes, WE CONDUCT THESE ACTIVITIES, but we DO NOT collect data on them.

No, we neither conduct these activities nor collect data on them.

Don't know/no answer

If 'No' or "Don't know/no answer," please go to Question **1.B.2**.

**1.B.1.a)** Based on readily available data, please provide an estimate of the number of retail licensees in your State (excluding special licenses such as temporary, seasonal, and common carrier licenses).

\_\_\_\_\_

**1.B.1.b)** Based on readily available data, please provide an estimate of the number of licensees in your State upon which underage compliance checks/decoy operations were conducted by your primary State alcohol law enforcement agency. Please report on the most recent year for which you have complete data. (If you do not collect these particular data, please leave blank.)

\_\_\_\_\_

**1.B.1.c)** Based on readily available data, please provide estimates of the number of licensees that failed these State compliance checks/decoy operations by selling or serving an alcoholic beverage to an underage individual. Please report on the most recent year for which you have complete data. (If you do not collect these particular data, please leave blank.)

Total Number Who Failed \_\_\_\_\_

**1.B.1.d)** Period for which data in B.1.b through B.1.c are reported: In 12 months ending

\_\_\_/\_\_\_/\_\_\_\_ (MM/DD/YYYY)

**1.B.1.e)** Are the compliance checks/decoy operations conducted at both on-sale and off-sale retail establishments?

On-sale establishments only

Off-sale establishments only

Both on- and off-sale establishments

**1.B.1.f)** Does your State alcohol law enforcement agency conduct random compliance checks/decoy operations?

Yes

No

If yes, based on readily available data, please provide:

Number of licensees subject to random compliance checks/decoy operations

Number of licensees that failed the random compliance checks/decoy operations.

Note: The questions under **1.B.2** deal with UNDERAGE COMPLIANCE CHECKS/DECOY OPERATIONS at the LOCAL Level.

**1.B.2)** Do local law enforcement agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with these laws? Do you collect data on these activities?

Yes, local law enforcement agencies CONDUCT THESE ACTIVITIES, and WE COLLECT DATA on them.

Yes, local law enforcement agencies CONDUCT THESE ACTIVITIES, but we DO NOT COLLECT data on them.

No, local law enforcement agencies do not conduct these activities and we do not collect data on them.

Don't know/no answer

If "No" or "Don't know/no answer," please go to Section C.

**1.B.2.a)** Based on readily available data, please provide an estimate of the number of licensees in your State upon which underage compliance checks/decoy operations were conducted by local law enforcement agencies. Please report on the most recent year for which you have complete data. (If you do not collect these particular data, please leave blank.)

\_\_\_\_\_

**1.B.2.b)** Based on readily available data, what was the TOTAL number of licensees who failed the local compliance check/decoy operations by selling or serving an alcoholic beverage to an underage individual? Please report on the most recent year for which complete data are available. (If you do not collect these particular data, please leave blank)

\_\_\_\_\_

**1.B.2.c)** Period for which data in 1.B.2.a and 1.B.2.b are reported: In 12 months ending \_\_\_/\_\_\_/\_\_\_\_ (MM/DD/YYYY)

**Part 1, Section C:**

This section includes questions on sanctions imposed for retail furnishing of alcohol to underage persons.

Please provide data based on FINAL DISPOSITIONS, not charges.

**1.C.1)** Does your State collect data/maintain records on the NUMBER and/or TOTAL AMOUNT of FINES imposed on retail establishments for furnishing to underage persons?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

\_\_\_\_\_ Don't Know

If "No" or "Don't Know", please go to 1.C.3.

Based on readily available data, please provide estimates of the following over the last 12 month period for which complete data are available. Do not include fines imposed by local agencies.

Enter a zero (0) if no fines were imposed in the 12 month period.

\_\_\_\_\_ Number of Fines (If you do not collect these particular data, please leave blank)

\_\_\_\_\_ Total Amount of Fines In Dollars Across all Licensees (If you do not collect these particular data, please leave blank)

Smallest fine imposed on a retail establishment for furnishing alcohol to minors \_\_\_\_\_

Largest fine imposed on a retail establishment for furnishing alcohol to minors \_\_\_\_\_

**1.C.2)** Period for which data in 1.C.1 are reported: In 12 months ending \_\_/\_\_/\_\_\_\_  
(MM/DD/YYYY)

**1.C.3)** Does your state collect data on LICENSE SUSPENSIONS imposed on retail establishments specifically for furnishing to underage persons?

\_\_\_ Yes

\_\_\_ No

\_\_\_ Don't Know

If "No" or "Don't Know," please go to 1.C.5).

Based on readily available data, please provide estimates of the following over the last 12 month period for which complete data are available. Do not include suspensions imposed by local agencies.

Enter a zero (0) if no suspensions were imposed in the 12 month period.

\_\_\_\_\_ Number of suspensions (If you do not collect these particular data, please leave blank.)

\_\_\_\_\_ Total days of suspensions across all licensees (If you do not collect these particular data, please leave blank.)

Shortest period of suspension imposed on a retail establishment for furnishing alcohol to minors (in days) \_\_\_\_\_

Longest period of suspension imposed on a retail establishment for furnishing alcohol to minors (in days) \_\_\_\_\_

**1.C.4)** Period for which data in C.3 are reported: In 12 months ending \_\_/\_\_/\_\_\_\_  
(MM/DD/YYYY)

**1.C.5)** Does your state collect data on LICENSE REVOCATIONS imposed on retail establishments specifically for furnishing to underage persons?

Yes

No

Revocation is not an enforcement option in our state

Don't Know

If yes, based on readily available data, please provide estimates of the following over the last 12 month period for which complete data are available. Do not include revocations imposed by local agencies.

Enter a zero (0) if no revocations were imposed in the 12 month period.

Number of revocations

**1.C.6)** Period for which data are reported in 1.C.5: In 12 months ending \_\_/\_\_/\_\_\_\_  
(MM/DD/YYYY)

### **Part 1, Section D**

This section deals with laws pertaining to INTERNET SALES AND DIRECT SHIPPING of alcohol.

#### ***Definition for Questions 1.D.1 and 1.D.2:***

**DIRECT SALES/SHIPMENT LAWS:** Laws which permit, regulate or prohibit direct-to-consumer sales of wine, beer or spirits via the internet or via delivery by common carrier. Direct sales laws do not address home deliveries by retailers to consumers without the use of common carriers.

**1.D.1)** Does your State have a program to investigate and enforce direct sales/shipment laws?

Yes

No

Don't Know



If yes, please identify the primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to underage persons.

---

**1.D.2)** Are these laws also enforced by local law enforcement agencies?

Yes

No

Don't know

**Part 1, Section E**

This section requests estimates of the funds expended annually by your State specifically for the prevention of underage drinking under a variety of headings specified in the STOP Act. For this section, please DO NOT include Federal, local or private funding sources.

For each activity or program listed on the following pages, please provide an estimate of the STATE FUNDS your State expended during the most recent year for which complete data are available. Please include only those activities or programs that your State funds, whether operated directly by the State or by another entity.

If you do not have access to relevant data, please check "These data are not available in my state."

(Please note: The STOP Act requires the Secretary of HHS to report PER CAPITA expenditures. The calculation of per capita rates will be made during the analysis of the survey using census data.)

**1.E.1)** UNDERAGE COMPLIANCE CHECKS IN RETAIL OUTLETS including provision of technology to detect and prevent the use of false identification by underage persons.

\$\_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_\_/\_\_\_/\_\_\_ (MM/DD/YYYY)

Can't answer this question; these data are not available in my State.

**1.E.2) CHECKPOINTS AND SATURATION PATROLS** that include the goal of reducing and deterring underage drinking. Please consider only those checkpoints and saturation patrols that your State FUNDS OR OPERATES DIRECTLY.

\$ \_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_ Can't answer this question; these data are not available in my State.

Please use the space below to provide clarification of any of the information provided in Part 1 of the survey.

---

Please provide the name and phone number or email of someone we can contact for additional clarification of the enforcement data reported in this section, if needed. This person will NOT BE IDENTIFIED in any reports that result from this survey.

Name \_\_\_\_\_

Phone number or email \_\_\_\_\_

THANK YOU FOR COMPLETING THIS PART OF THE SURVEY.

**SURVEY, PART 2 – PROGRAMS, INTERAGENCY COLLABORATION, STATE  
EXPENDITURES FOR UNDERAGE DRINKING PREVENTION ACTIVITIES**

**PROGRAMS**

**Part 2, Section A**

The first set of questions will ask about your State's underage drinking prevention programs.

Please list your State's general prevention programs that have underage drinking prevention as one objective. We are interested in all programs that your state funds or operates directly.

Please DO include State funded or operated programs that serve as an "umbrella" for local initiatives. In such cases, please describe the umbrella program rather than the specifics of local activities.

Please DO NOT include programs or policies that have as their primary objective the regulation of alcohol sales through State or local licensing of alcohol outlets.

Please use the following definitions in answering the questions in this section:

***Definitions for Section A:***

**YOUTH:** Persons under 21 years of age.

**PARENTS:** Individuals that have primary responsibility for the wellbeing of a minor (e.g., biological and adoptive parents, grandparents, foster parents, extended family, etc.)

**CAREGIVERS:** Persons who provide services to youth (e.g., teachers, coaches, health and mental health care providers, human services and juvenile justice workers).

**2.A.1)** Please give the name of ONE underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

You will be able to add up to 14 additional programs on the pages that follow.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.1.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.1.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.1.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.1.d.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.1.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.1.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.2)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

\_\_\_\_\_

Program Description:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**2.A.2.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.2.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.2.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.2.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.2.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.2.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.3)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.3.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.3.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.3.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.3.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.3.e** URL for more information about this program:

\_\_\_\_\_

**2.A.3.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_



**2.A.4)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.4.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.4.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.4.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.4.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.4.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.4.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.5)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.5.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.5.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.5.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.5.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.5.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.5.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.6)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.6.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.6.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.6.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.6.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.6.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.6.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.7)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.7.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.7.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.7.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.7.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.7.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.7.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_



**2.A.8)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.8.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.8.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.8.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.8.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.8.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.8.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.9)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.9.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.9.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.9.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.9.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.9.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.9.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.10)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.10.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.10.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.10.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.10.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.10.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.10.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.11)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.11.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.11.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.11.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.11.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.11.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.11.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_



**2.A.12)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.12.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.12.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.12.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.12.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.12.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.12.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.13)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.13.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.13.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.13.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.13.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.13.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.13.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.14)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.14.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.14.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.14.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.14.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.14.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.14.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_

**2.A.15)** If applicable, please give the name of another underage drinking prevention program that your State OPERATES OR FUNDS. You may also provide a brief description of the program, the number of youth served by the program, the number of parents/caregivers served by the program, and a URL where further information on the program may be found.

Name of Underage Drinking Prevention Program:

---

Program Description:

---

---

---

**2.A.15.a.)** Which best describes this program?

Aimed at a specific, countable population (e.g., at-risk high school students)

Aimed at the general population

**2.A.15.b.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of youth served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.15.c.)** If this program is aimed at a specific, countable population, please provide an estimate of the number of parents (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

---

**2.A.15.d.)** •If this program is aimed at a specific, countable population, please provide an estimate of the number of caregivers (see definition above) served in this program for the last year. If you do not collect these particular data, please leave blank.

\_\_\_\_\_

**2.A.15.e)** URL for more information about this program:

\_\_\_\_\_

**2.A.15.f)** Has this program been evaluated?

No

Yes, but there is no report available

Yes and there is a report available

Please provide URL or other source for report if available: \_\_\_\_\_



**2.A.16)** If you have additional underage drinking prevention programs that your State OPERATES or FUNDS, please identify them below with the program names, URLs if available, and a brief description of the programs:

---

---

---

**Part 2, Section B**

**2.B.1)** Does your State collaborate with Federally recognized Tribal Governments in the prevention of underage drinking?

Yes

No

There are no Federally recognized Tribal Governments in the State.

If yes, in the space provided below, please briefly describe these collaborations:

---

---

---

**2.B.2)** Does your State have programs to measure and/or reduce youth exposure to alcohol advertising and marketing?

Yes

No

If yes, in the space provided below, please briefly describe these programs:

---

---

---

**2.B.3)** Does your State adhere to best practices standards for underage drinking programs?

Yes

No

If yes, please indicate the type of agency/organization that established your best practices standards (please check all that apply):



Phone number or email \_\_\_\_\_

THANK YOU FOR COMPLETING THIS PART OF THE SURVEY.

**INTERAGENCY COLLABORATION**

**Part 2, Section C**

In this section, please provide information on interagency collaboration in your State to reduce underage drinking.

**2.C.1)** Is there a State-level interagency governmental body/committee that coordinates or addresses underage drinking prevention activities in your State?

Yes

No

Don't know/No answer

**2.C.1.a)** If yes, please provide a committee contact (the chair of the committee or other primary person) in the space below:

Name: \_\_\_\_\_

Email: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

**2.C.1.b)** Please list the agencies/organizations represented on the committee. If there are more than ten agencies/organizations, please add additional lines.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

6. \_\_\_\_\_

7. \_\_\_\_\_

8. \_\_\_\_\_

9. \_\_\_\_\_

10. \_\_\_\_\_

**2.C.1.c)** Is there a website or other public source that describes the committee's activities?

Yes

No

Please provide a website address or other means to access this information.

\_\_\_\_\_

**2.C.2)** Has your State prepared a PLAN for preventing underage drinking in the last three years?

Yes

No

Not sure

**2.C.2.a)** If yes, what agency, committee or other body prepared the plan?

\_\_\_\_\_

**2.C.2.b)** If available, please provide a website address or other means to access the plan.

\_\_\_\_\_

**2.C.3)** Has your State prepared a REPORT on underage drinking in the last three years?

\_\_\_\_\_

Yes

No

Not sure

**2.C.3.a)** If yes, what agency, committee or other body prepared the report?

---

**2.C.3.b)** If available, please provide the website address or other means to access the report.

---

Please use the space below to provide clarification of any of the information provided in this section of the survey.

---

---

---

Please provide the name and phone number or email of someone we can contact for additional clarification of the interagency collaboration data reported in this section, if needed. This person will NOT BE IDENTIFIED in any reports that result from this survey.

Name \_\_\_\_\_

Phone number or email \_\_\_\_\_

THANK YOU FOR COMPLETING THIS PART OF THE SURVEY.

## **STATE EXPENDITURES FOR UNDERAGE DRINKING PREVENTION ACTIVITIES**

### **Part 2, Section D**

This part requests estimates of the funds expended annually by your State specifically for the prevention of underage drinking under a variety of headings specified in the STOP Act.

For each activity or program listed on the following pages, please provide an estimate of the STATE FUNDS your State expended during the most recent year for which complete data are available. Please DO NOT include Federal, local or private funding sources.

If your State does not have access to relevant data, please check "These data are not available in my State."

Please Note: The STOP Act requires the Secretary of HHS to report per capita expenditures. The calculation of per capita rates will be made during the analysis of the survey using census data.

#### **2.D.1) COMMUNITY-BASED programs to prevent underage drinking.**

\$ \_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_\_ Can't answer this question; these data are not available in my State.

#### **2.D.2) K-12 SCHOOL-BASED programs to prevent underage drinking.**

\$ \_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_\_ Can't answer this question; these data are not available in my State.

#### **2.D.3) Programs to prevent underage drinking targeted at INSTITUTIONS OF HIGHER EDUCATION.**

\$ \_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)



Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_ Can't answer this question; these data are not available in my State.

**2.D.4)** Underage drinking prevention programs that target youth within the JUVENILE JUSTICE SYSTEM.

\$\_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_ Can't answer this question; these data are not available in my State.

**2.D.5)** Underage drinking prevention programs that target youth within the CHILD WELFARE SYSTEM.

\$\_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_ Can't answer this question; these data are not available in my State.

**2.D.6)** Please provide an estimate of the State funds your State expended during the most recent year for which complete data are available for any underage drinking programs or strategies other than those listed above.

Programs or Strategies (please list):

---

---

---

\$\_\_\_\_\_ Estimate of State funds expended (dollars per 12-month period)

Period for which data are reported: 12 months ending \_\_/\_\_/\_\_\_\_ (MM/DD/YYYY)

\_\_\_\_ Can't answer this question; these data are not available in my State.

**2.D.7) Sources of Funding:** Are funds dedicated to underage drinking prevention derived from any of the following revenue streams in your State?

	<b>YES</b>	<b>NO</b>
<b>Taxes</b>		
<b>Fines</b>		
<b>Fees</b>		
<b>Other (please specify)</b>		

**2.D.8)** If you answered yes to any of the above, please briefly describe these funding streams and how they are used.

---

---

---

Please use the space below to provide clarification of any of the information provided in this section of the survey.

---

---

---

Please provide the name and phone number or email of someone we can contact for additional clarification of the state expenditure data reported in this section, if needed. This person will NOT BE IDENTIFIED in any reports that result from this survey.

Name \_\_\_\_\_

Phone number or email \_\_\_\_\_

THANK YOU FOR COMPLETING THIS PART OF THE SURVEY.