

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT:
RTI INTERNATIONAL

ARREST-RELATED DEATHS SUMMARY OF INCIDENTS, 2013



DATA SUPPLIED BY

Name:	Title:
Agency:	Telephone: ()
	Fax: ()
State:	E-mail Address:

GENERAL INFORMATION

- Arrest-Related Deaths (ARD) data may be submitted quarterly or annually.
- For each arrest-related death, please ensure that you have submitted an ARREST-RELATED DEATHS INCIDENT REPORT (CJ-11A).
- IF NO DEATHS OCCURRED during the reporting period, it is still important that you complete and return this form.
- Please return your completed form (and corresponding CJ-11A forms) within 60 days of the end of the reporting period.

WHAT DEATHS SHOULD BE REPORTED?

Report all civilian deaths that occur while their freedom to leave is restricted by state or local law enforcement personnel, such as:

- All deaths attributed to **any use of force** by law enforcement personnel (e.g., officer-involved shootings, accidental deaths caused by weapons or tactics)
- Any death that occurs in the presence of law enforcement **prior to, during, or following an arrest**, including deaths that occur:
 - While detained for questioning or investigation (i.e., Terry stop)
 - During the process of apprehension (i.e., pursuit of criminal suspect, standoff with law enforcement)
 - While in the custody of, or shortly after restraint by, law enforcement (even if the decedent was not formally under arrest)
 - During transport to or from law enforcement or medical facilities
 - While confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment)
- Any death that occurs during an interaction with law enforcement personnel during **response to medical or mental health assistance** (e.g., response to suicidal persons)

NUMBER OF DEATHS

- Reporting Period** (please specify):
- Quarter 1 (January 1 – March 31)
- Quarter 2 (April 1 – June 30)
- Quarter 3 (July 1 – September 30)
- Quarter 4 (October 1 – December 31)
- Annual (January 1 – December 31)

During the specified reporting period, how many arrest-related deaths occurred in your state? Number of deaths:

Please enter the number of arrest-related deaths that occurred during the specified reporting period and submit this form and corresponding CJ-11A forms to RTI International. There are several ways to submit these data:

FAX (TOLL-FREE): 1-877-262-7654
E-MAIL: ARDHelpDesk@rti.org

MAIL: RTI International
Attn: Kevin Strom
3040 Cornwallis Road, P.O. Box 12194
Research Triangle Park, NC 27709-2194

If you need assistance with the completion of the CJ-11 or CJ-11A, contact the ARD Help Desk at ARDHelpDesk@rti.org or toll-free at 1-877-475-7039.

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 5 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, N.W., Washington, DC 20531.

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AND ACTING AS COLLECTION AGENT:
RTI INTERNATIONAL

ARREST-RELATED DEATHS INCIDENT REPORT, 2013



DATA SUPPLIED BY

Name:

Title:

Agency:

Telephone:

Fax:

State:

E-mail Address:

INSTRUCTIONS FOR COMPLETION

- Complete an Incident Report (CJ-11A) for all civilian deaths that occur while the decedent's freedom to leave is restricted by state or local law enforcement personnel – including deaths that occur prior to, during, or following an arrest.
- Complete questions 1 through 22 for each arrest-related death in your jurisdiction. Any additional information or a short narrative of the incident may be provided in the "Notes" section at the end of the CJ-11A.
- Include the decedent's name on both pages 3 and 4 of the CJ-11A.
- Send the completed CJ-11A by **FAX** or **MAIL** to your State Reporting Coordinator (SRC) within 60 days of the arrest-related death. A national listing of SRCs is located on page 2 of this form. If needed, please contact SRCs directly for a mailing address.
- If no arrest-related deaths occurred in your jurisdiction, you will not need to complete a CJ-11A.
- If you need assistance completing the CJ-11A, please refer to the CJ-11A Question-by-Question Guide or contact the Arrest-Related Deaths (ARD) Help Desk at ARDHelpDesk@rti.org or toll-free at 1-877-475-7039.

WHAT DEATHS SHOULD BE REPORTED?

INCLUDE

- All deaths resulting from any use of force by state or local law enforcement personnel.
- All deaths caused by injuries sustained while attempting to elude state or local law enforcement personnel or injuries incurred after custody had been established.
- All deaths attributed to suicide, alcohol or other drug intoxications, or medical conditions (i.e., cardiac arrest) that occur during the process of arrest by or in the custody of state or local law enforcement personnel.
- All deaths occurring in the custody of state or local law enforcement personnel responding to a medical/mental health assistance or welfare call.
- All deaths that occur while confined in lockups or booking centers (i.e., facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment).

EXCLUDE

- Deaths attributed to federal law enforcement personnel (i.e., FBI, DEA).
- Any inmate death that occurred in the custody of local jails, state prisons, state juvenile correctional facilities, or private correctional facilities. These types of deaths should be reported to the Jails and Prisons data collection component of the Deaths in Custody Reporting Program (visit www.bjsdcrp.org).
- Law enforcement personnel killed in the line of duty or in the course of law enforcement activities.
- Any death of a criminal suspect that occurred before the decedent came into contact with law enforcement (e.g., a decedent with an active arrest warrant who died before law enforcement personnel attempted to apprehend him or her).

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National Listing of State Reporting Coordinators

Alabama: Lynn Childs
Alabama Criminal Justice Information Center
PH: (334) 517-2415 FX: (334) 517-2740

Alaska: Alan McKelvie
Alaska Statistical Analysis Center
PH: (907) 786-1809 FX: (907) 786-7777

Arizona: Phillip Stevenson
Arizona Criminal Justice Commission
PH: (602) 364-1157 FX: (602) 364-1175

Arkansas: Crystal Daye
Arrest-Related Deaths Program Staff
PH: (919) 541-7321 FX: (877) 262-7654

California: Amber Lozano
Criminal Justice Statistics Center
PH: (916) 227-3545 FX: (916) 227-0427

Colorado: Laurence Lucerno
Colorado Department of Public Safety
PH: (303) 239-4663 FX: (303) 239-4491

Connecticut: Ivan Kuzyk
Connecticut Office of Policy & Management
PH: (860) 418-6238 FX: (860) 418-6496

Delaware: Julia Cahill
Delaware Statistical Analysis Center
PH: (302) 739-2589 FX: (302) 739-4630

District of Columbia: Sgt. Harry Hill
Office of the Assistant Chief of Police
PH: (202) 576-3394 FX: (202) 727-3307

Florida: Susan Burton
Florida Department of Law Enforcement
PH: (850) 410-7140 FX: (850) 410-7150

Georgia: Erin Kennedy
Arrest-Related Deaths Program Staff
PH: (919) 485-5736 FX: (877) 262-7654

Hawaii: Daniel Kauleinamoku
Hawaii Department of Public Safety
PH: (808) 587-1211 FX: (808) 587-1244

Idaho: Natalie Warner
Idaho Department of Corrections
PH: (208) 658-2127 FX: (208) 327-7480

Illinois: Mark Powers
Illinois Criminal Justice Information Authority
PH: (312) 793-8550 FX: (312) 793-8422

Indiana: Stephanie Rosenberg
Indiana Department of Corrections
PH: (317) 234-4417 FX: (317) 233-1474

Iowa: Paul Stageberg
Iowa Division of Criminal & Juvenile Justice Planning
PH: (515) 242-6122 FX: (515) 242-6119

Kansas: Fengfang Lu
Kansas Sentencing Commission
PH: (785) 296-0923 FX: (785) 296-0927

Kentucky: Marjorie Stanek
Kentucky Justice & Public Safety Cabinet
PH: (502) 564-8295 FX: (502) 564-6686

Louisiana: Kim Eiland
Louisiana Department of Corrections
PH: (225) 342-6630 FX: (225) 342-3095

Maine: Mark Belserene
Office of the Chief Medical Examiner
PH: (207) 624-7180 FX: (207) 624-7178

Maryland: Jeffrey Zuback
Governor's Office of Crime Control & Prevention
PH: (410) 821-2855 FX: (410) 321-3116

Massachusetts: Lisa Sampson
Executive Office of Public Safety and Security
PH: (617) 725-3361 FX: (617) 725-0260

Michigan: Elizabeth Arritt
Michigan State Police
PH: (517) 241-1907 FX: (517) 241-1904

Minnesota: Carolyn Robinson
Minnesota Bureau of Criminal Apprehension
PH: (651) 793-1054 FX: (651) 793-1001

Mississippi: Alan Thompson
University of Southern Mississippi
PH: (601) 266-6172 FX: (601) 266-4391

Missouri: Ronald Beck
Missouri State Highway Patrol
PH: (573) 751-9000 FX: (573) 526-6274

Montana: Sarah Price
Montana Department of Justice
PH: (406) 444-3651 FX: (406) 444-4722

Nebraska: Mike Overton
Nebraska Crime Commission
PH: (402) 471-2194 FX: (402) 471-2837

Nevada: Timothy Hart
Center for the Analysis of Crime Statistics
PH: (702) 895-0233 FX: (702) 895-0252

New Hampshire: Timothy Brackett
Office of the Attorney General
PH: (603) 271-8090 FX: (603) 271-6290

New Jersey: Jean Petherbridge
Division of Criminal Justice
PH: (609) 984-5693 FX: (609) 984-3381

New Mexico: Lisa Broidy
New Mexico Statistical Analysis Center
PH: 505-277-6247 FX: (505) 277-4215

New York: Adam Dean
Division of Criminal Justice Services
PH: (518) 457-1149 FX: (518) 485-8039

North Carolina: Patricia Barnes
Office of the Chief Medical Examiner
PH: (800) 672-7024 FX: (919) 962-6263

North Dakota: Colleen Weltz
Office of Attorney General
PH: (701) 328-5527 FX: (701) 328-5510

Ohio: Lisa Shoaf
Office of Criminal Justice Services
PH: (614) 466-5997 FX: (614) 466-0308

Oklahoma: Angie Baker
Office of Criminal Justice Statistics
PH: (405) 858-5271 FX: (405) 879-2301

Oregon: Lee Anna Bennett-Ashworth
Office of Public Health
PH: (971) 673-0129 FX: (971) 673-0990

Pennsylvania: Darlene Hurley
Office of Criminal Justice Systems Improvement
PH: (717) 265-8522 FX: (717) 772-0550

Rhode Island: Thomas Mongeau
Rhode Island Department of Public Safety
PH: (401) 222-2620 FX: (401) 222-1294

South Carolina: Robert McManus
South Carolina Department of Public Safety
PH: (803) 896-8717 FX: (803) 896-8393

South Dakota: Brenda Manning
Division of Criminal Investigation
PH: (605) 773-6312 FX: (605) 773-6471

Tennessee: Jackie Vandercook
Tennessee Bureau of Investigation
PH: (615) 744-4014 FX: (615) 744-4662

Texas: Renee Gray
Office of the Attorney General
PH: (512) 305-8882 FX: (512) 494-8283

Utah: Ben Peterson
Commission on Criminal & Juvenile Justice
PH: (801) 538-1047 FX: (801) 538-9609

Vermont: Patricia Fischer
Vermont Crime Information Center
PH: (802) 244-8727 FX: (802) 241-5552

Virginia: James McDonough
Department of Criminal Justice Services
PH: (804) 786-4612 FX: (804) 225-3853

Washington: Tonya Todd
Association of Sheriffs & Chiefs of Police
PH: (360) 486-2380 FX: (360) 486-2381

West Virginia: Monika Sterling
Division of Justice and Community Services
PH: (304) 558-8814 FX: (304) 558-0391

Wisconsin: Erin Kennedy
Arrest-Related Deaths Program Staff
PH: (919) 485-5736 FX: (877) 262-7654

Wyoming: Burke Grandjean
Wyoming Survey & Analysis Center
PH: (307) 760-5913 FX: (307) 766-2759

ARREST-RELATED DEATHS INCIDENT REPORT, 2013



1. What was the decedent's name?

Last First M.I.

2. What was the time and date of death?

___:___ AM PM Month: ___ Day: ___, 2013

3. What law enforcement agency was involved with the death?

Agency name: _____
 Check if multiple law enforcement agencies responded
Agency ORI: _____
 Agency ORI unknown

4. Where did the event causing the death occur?

Street Address: _____
City, State, Zip: _____

5. What location category best describes where the event causing the death occurred? (Mark only one)

- Residence/Home
- Law enforcement facility
- Business, specify type _____
- Roadway/Highway/Street/Sidewalk
- Parking Lot/Garage
- Field/Woods/Lake/Waterway/Beach
- Other, specify _____
- Unknown

6. What was the decedent's sex? (Mark only one)

- Male
- Female

7. What was the decedent's date of birth (DOB)?

___/___/___ or age at death if DOB unknown ___

8. What was the decedent's ethnic origin? (Mark only one)

- Hispanic or Latino
- Not Hispanic or Latino
- Unknown

9. What was the decedent's race? (Mark only one)

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races
- Unknown

10. What was the reason for the initial contact between the decedent and law enforcement personnel? (Mark only one)

- Civilian request for response to criminal or suspicious activity, specify reason for request: _____
- Civilian request for medical, mental health, or welfare assistance, specify reason for request: _____
- Routine patrol/on-view (other than traffic stop), specify observed behavior: _____
- Traffic/vehicle stop, specify reason for stop: _____
- Warrant service, specify charge: _____
- Other reason for contact, specify reason: _____
- Unknown

11. At any time during the incident, did the decedent -

	No	Yes	Unknown
Make suicidal statements	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Barricade self or initiate standoff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Verbally threaten other(s)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Resist being handcuffed or arrested	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attempt to escape/flee from custody	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attempt to grab, hit, or kick officer(s)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attempt to gain possession of officer's weapon	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

12. At any time during the incident, did the decedent display or use a weapon?

- Yes, mark all that apply:
 - Displayed firearm without discharge
 - Discharged firearm
 - Displayed other weapon, specify weapon displayed: _____
 - Used other weapon, specify weapon used: _____
 - Used vehicle as a weapon
- No
- Unknown

13. At any time during the incident, did the decedent attempt to injure or injure others?

- Yes, mark all that apply:
 - Fatally injured law enforcement personnel
 - Non-fatally injured law enforcement personnel
 - Attempted to injure law enforcement personnel
 - Fatally injured civilian(s)
 - Non-fatally injured civilian(s)
 - Attempted to injure civilian(s)
- No
- Unknown

14. At any time during the incident, did law enforcement personnel

	No	Yes	Unknown
Fight or struggle with decedent.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Physically restrain decedent (e.g., control hold, body compression).....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Restrain decedent with equipment (e.g., handcuffs, leg shackles).....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Place decedent in prone position.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Engage in motor vehicle pursuit.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Engage in foot pursuit.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other, specify.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

15. At any time during the incident, did law enforcement personnel use any of the following weapons?

	No	Yes	Unknown
Firearm discharge.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Conducted energy device (Taser) contact.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Pepper/OC spray, mace dispersion.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Baton/blunt instrument impact.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other, specify.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

16. If the decedent sustained fatal injuries during the incident, how were these injuries sustained?

	No	Yes	Unknown
Law enforcement at scene.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Law enforcement during transit or booking.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Self-inflicted.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other civilian.....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

17. If a weapon caused the death, what type of weapon caused the death? (Mark only one)

- Handgun
- Rifle/shotgun
- Firearm, unspecified
- Conducted energy device (e.g., Taser)
- Knife/edged instrument
- Baton/blunt instrument
- Other weapon, specify _____
- Vehicle-involved death (i.e., vehicle accident)
- Not applicable, weapon or vehicle did not cause death
- Unknown

18. Where did the death occur? (Mark only one)

- Law enforcement facility/booking center
- Scene of incident
- Dead on arrival at medical facility
- Medical facility following clinical intervention
- Other, specify _____
- Unknown

19. What was the manner of death? (Mark only one)

- Natural
- Homicide
- Accident
- Suicide
- Could not be determined
- Unknown

20. What was the cause of death?

Immediate cause

Secondary causes (if applicable)

Unknown (Skip Item 21 if cause of death is unknown)

21. How was information provided for cause of death (Item 20) determined? (Mark only one)

- Death certificate
- Autopsy report or medical evaluation
- Other source; specify _____

22. Did the autopsy report or medical evaluation indicate the presence of alcohol or of drugs other than alcohol?

- Yes, mark all that apply:
 - Alcohol
 - Drug(s) other than alcohol, specify _____

- No
- Unknown, did not obtain autopsy report or medical evaluation

Notes:
