

**Student Aid Internet Gateway (SAIG)
Enrollment Form
For State Scholarship and Grant Agencies**



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Enrollment to Participate in the U.S. Department of Education's Student Aid Internet Gateway (SAIG)

The *Student Aid Internet Gateway (SAIG)* was established to allow a variety of entities that participate in the Title IV, HEA programs to exchange data electronically with the *U. S. Department of Education (ED, Department)*. In order to participate in the SAIG, each entity must enroll for access. The enrollment process will enable you to establish your Agency with services to receive, submit, view, and update student financial aid data online and by batch using *EDconnect* or *TDClient* software.

Each entity has an individual designated as the *Primary Destination Point Administrator (Primary DPA)*. Each entity also is provided with a Primary Destination Point (which is identified by a *TG Number/Mailbox*). The Primary DPA is the only person within the Agency who may enroll other staff as *Non-Primary DPAs* with *Destination Points* assigned for specific SAIG services. Upon completing the enrollment process, the Primary DPA can add or remove users, edit information about the entity, or change the entity's enrollment in various SAIG services.

As required for eligibility to access *Federal Student Aid (FSA)* Systems, the Primary DPA must validate the individuals enrolled for SAIG Mailbox and online services for your Agency on a schedule determined by ED. If validation is not completed via the SAIG Enrollment Web site within the prescribed timeframe, all services assigned to the Agency and individuals could be permanently deactivated.

Notes About This Document

"We" and "us" refer to the U.S. Department of Education (ED, Department). "You" and "your" refer to the Primary DPA of the state scholarship and grant agency applying to participate in ED's SAIG.

The first usage of a term in this document is *italicized* and can be found in the glossary at the end of this form. This glossary will assist you in understanding many technical terms and acronyms commonly used in the Title IV, HEA student financial aid programs.

About Enrollment

If you use this form to add and change information, check Box B or C, as applicable in Step One, Question 1; fill in the information that has changed for any questions in Steps One and Step Two; complete Steps Three, Four, and Five and send your application to the address below.

Application for enrollment in the SAIG (and changes to enrollment) can also be completed online at <https://fsawebenroll.ed.gov>.

You may photocopy this form, but you must always send us original signatures for Step Four and Step Five. **We will not accept photocopied or stamped signatures. Forms submitted after the OMB Expiration Date noted on this application will not be accepted.**

If you have any questions about completing your application, call CPS/SAIG Technical Support at **1-800-330-5947**. To reach a telecommunications device for the deaf (TDD/TTY), call **1- 800-511-5806**. Technical support representatives are available 7 a.m. – 7 p.m. CT, Monday through Friday, excluding federal holidays. If you prefer, you can e-mail inquiries to CPSSAIG@ed.gov. A technical support specialist will respond to your e-mail within one business day.

Send your completed enrollment form and original, signed signature pages to:

**CPS/SAIG Technical Support
2450 Oakdale Blvd.
Coralville, Iowa 52241**

Available Services For State Scholarship and Grant Agencies

By completing this application, you will be enrolling an individual as the Primary DPA who will be responsible for the administration of services assigned to the State Scholarship and Grant Agency's primary Destination Point (which is identified by a TG Number/Mailbox). Enrollment is designed to secure the integrity of data that is exchanged between you and the many ED systems accessed over the SAIG or online. These systems include the *Central Processing System (CPS)* and the *National Student Loan Data System (NSLDS)*.

State Scholarship and Grant Agencies receive processed applicant data from the CPS. You may receive data for *residents* (those who indicate your state as their state of legal residence) and/or *non-residents* (those who indicate an institution that is within your state but do not indicate your state as their state of legal residence) to determine an applicant's eligibility for State aid or aid awarded by those institutions. You may also receive data for individual students who do not meet either of these two conditions, as long as you provide us with signed consent forms whereby the students allow us to release their data to you.

The services that a State Scholarship and Grant Agency can access over the SAIG are:

- Automatic Electronic *Institutional Student Information Record (ISIR)* processing: With this option, ISIRs are automatically transmitted to you when students submit applications and corrections. The ISIR contains information submitted by the student, as well as, CPS processing results. If a student does not release data to your state, only certain demographic and processing result fields are provided on the ISIR you receive.
- The *ISIR Datamart Request* process: This service allows any agency to electronically request a processed record for any student on the CPS database through FAA Access to CPS Online. If the student is not a resident of your state or attending an institution in your state, the student must have submitted a substantially completed and signed loan or state grant application that releases CPS data to you. You must maintain the signed application.
- You may also request online access to NSLDS to view Title IV, HEA loan, grant, and enrollment history necessary to perform State Scholarship and Grant Agency functions.

How the Enrollment Process Works

When the Primary DPA enrolls a Non-Primary DPA, for a Destination Point, ED will assign a "TG" number to the Non-Primary DPA that identifies the new Non-Primary DPA's Destination Point (Mailbox) in the SAIG. The TG number will be provided to the new Non-Primary DPA by ED upon successful processing of the enrollment form.

Only one Destination Point (TG Number/Mailbox) can be established to exchange data available from the CPS. The Primary DPA will determine the EDconnect and TDClient users who are allowed access to that Destination Point (TG Number/Mailbox). The Primary DPA must enforce the security requirements as outlined in the Federal Student Aid User Statement (see Attachment B). The Agency's President/CEO/Equivalent Person or Designee must certify that the Primary DPA has developed secure procedures in compliance with the security requirements for permitting other people to use his or her Destination Point (TG Number/Mailbox) (see Step Five). The Primary DPA also must complete a Federal Student Aid User Statement for each user that is assigned a User ID within the EDconnect and TDClient software, which is used to connect to the SAIG.

An unlimited number of Destination Points (TG Number/Mailbox) can be established for your agency for view only access to NSLDS Online. Only the Primary DPA of your Agency can add or remove users through the enrollment process and each user he or she adds will be assigned his or her own mailbox and act as the Non-Primary DPA for that mailbox. Only the Non-Primary DPA is permitted to use his or her destination point for access to the NSLDS information.

To complete the SAIG enrollment application, you will need the following information:

- A currently enrolled Agency will need their existing Primary Destination Point (TG Number/Mailbox) and the name of the Primary DPA. An FSA User ID and password are required to access enrollment information. To obtain an FSA User ID, go to <https://fsawebenroll.ed.gov/PMEnroll/PMAccountServlet.jrun>, provide identifying information, and follow the remaining registration steps. Once you have completed the registration process, including establishing your password, your FSA User ID will be e-mailed to you.
- All entities will need the name, business address, phone and fax numbers, e-mail address, *Social Security number (SSN)*, date of birth, and mother's maiden name for each DPA.

Once we receive all necessary signatures, we will process your enrollment. If your enrollment was for a new TG number, we will provide your SAIG TG number to you.

Note: If you want to cancel the enrollment of any DPAs, send a letter on organization letterhead identifying the TG numbers that you want cancelled. The letter should be mailed to CPS/SAIG Technical Support, 2450 Oakdale Blvd., Coralville, IA 52241. You may also cancel online at <https://fsawebenroll.ed.gov>.

Instructions for Completing Enrollment

Step One – must be completed by all applicants

Step One collects information about your Agency and the DPA you want to enroll or for whom you are changing or adding information.

1. This enrollment form can be used for multiple purposes. As the Primary DPA, you may use the enrollment form to enroll new participants in the SAIG and you can also use the enrollment form to change or to add information about an existing DPA or Destination Point (TG Number/Mailbox).
 - A. Check Box A for “initial application” if you are a first-time participant and your Agency does not have a TG number.
 - B. Check Box B to “add a new user” if your Agency is enrolled in the SAIG and you want to add a Destination Point (TG Number/Mailbox).
 - C. Check Box C for “changing information for an existing Destination Point/Mailbox” if your Agency is enrolled in the SAIG and you want to change or add any information about an existing Destination Point (TG Number/Mailbox). Fill in the information that has changed for any questions in Steps One and Two; and complete Steps Three, Four, and Five.

If you are uncertain of what to complete, call CPS/SAIG Technical Support at 1-800-330-5947. To reach a telecommunications device for the deaf (TDD/TTY), call 1-800-511-5806.

2. Provide the name of your Agency, as you would like it to appear on all SAIG correspondence. (The limit is 40 characters, including letters and spaces.)
3. Confirm your type of Agency.
4. As the Primary DPA, you must identify the DPA you want to enroll or for whom you are changing or adding information. All information must be provided for the DPA you wish to enroll for the Agency you identified in Question 2. **Note: Do not use a group e-mail address.**

Step Two

Step Two allows you to choose the services for which your Agency requires enrollment.

5. A. Check the boxes for the type of ISIR services you wish to receive.
B. Check the boxes for the award years this DPA should receive the applicant data.
C. Choose the preferred delivery option for ISIRs if ISIRs are selected in Question 5A. You can choose whether to receive ISIRs on a daily basis or by request. Daily receipt is the default ISIR delivery method. If you choose “by request,” you must request ISIRs from the ISIR Datamart. The ISIRs are then sent to your Destination Point (TG Number/Mailbox) through the SAIG. With either option, you can request a single ISIR or a specified group of ISIRs at any time.
6. If you would like NSLDS Online access to view Title IV, HEA loan, grant, and enrollment history, check the ‘Yes’ box, check the ‘NSLDS Online-View Only’ box, specify your state, and select all applicable job functions.

Step Three

Step Three collects the required Agency Participation Agreement to receive electronic ISIRs.

Step Four – must be completed by all applicants

Step Four collects the required agreements from the DPA identified in Step One.

Each DPA must read and sign the Responsibilities of the Primary and Non-Primary Destination Point Administrators statement.

The original signature form must be attached to your completed enrollment form and submitted to **CPS/SAIG Technical Support, 2450 Oakdale Blvd., Coralville, Iowa 52241.**

A copy of each signed and dated statement must be maintained by your Agency. Once we receive all necessary signatures from you, we will process your enrollment. If your enrollment was for a new TG Number, we will provide your SAIG TG Number/Mailbox to you.

Step Five – must be completed by all applicants

Step Five collects the required authorization from your Agency to process the enrollment information for the DPA you have identified in Step One.

For each Destination Point (TG Number/Mailbox), the chief officer of the Agency (President/CEO/ Equivalent Person or Designee) who is legally authorized to execute the Agreement for and on behalf of the Agency must sign the Certification of the Legally Authorized Officer on behalf of the State Scholarship and Grant Agency statement.

The original signature form must be attached to your completed form and submitted to CPS/SAIG Technical Support at the address on page 2. A copy of each signed and dated statement must be maintained by your Agency.

If you have any questions about completing your application, call CPS/SAIG Technical Support at **1-800-330-5947**. To reach a telecommunications device for the deaf (TDD/TTY), call 1-800-511-5806.

Step One: Provide the following information

1. Is this an initial application or are you changing/adding information to your Destination Point (TG Number/Mailbox)? Check the applicable box below.
- A. Initial application
 - B. Adding a new user for an additional Destination Point (TG Number/Mailbox) _____
 - C. Changing information for an existing Destination Point/Mailbox with TG _____
(Read instructions on page 4 carefully.)

2. What is the name of your Agency? _____
(maximum 40 characters)

3. Confirm your type of organization.
- State Scholarship and Grant Agency

4. Complete the following information for the Destination Point Administrator (DPA) you wish to designate at your Agency. The DPA will be assigned a Destination Point (mailbox) with an identification number (TG number).

First Name _____ Last Name _____

Business address _____

Business address _____

City _____ State _____ Zip _____

Area code/phone (_____) _____ Area code/fax (_____) _____

E-mail Address: _____
(maximum 70 characters)

Social Security number _____ Date of birth _____ 19____
(month) (day) (year)

Mother's maiden name _____

Office Use Only

Customer Number _____

TG Number _____

Step Two: Tell us about the services for which the Destination Point Administrator wishes to enroll

5. A. Service Selection Information

Select the desired service(s)

- Automatic ISIR Processing: Residents
 Automatic ISIR Processing: Non-Residents

B. For which award years? (Check one or both.)

- 2012-2013 2013-2014

C. If ISIRs are selected, choose delivery option:

- 2012-2013 Daily By request
2013-2014 Daily By request

6. Do you want this Destination Point Administrator (DPA) to have access to NSLDS Online? (**Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.**)

- Yes No, Go to Step Three

IMPORTANT: Only the DPA is permitted to use his or her Destination Point (TG Number/Mailbox) for online access to NSLDS. Permission to use this Destination Point for online NSLDS access CANNOT be granted to anyone else.

- NSLDS Online-View Only

Specify State _____

Indicate your job functions (check all that apply):

- Job responsibilities are 100% related to State Scholarship and Grant Agency functions.
 Job responsibilities are less than 100% related to State Scholarship and Grant Agency functions
 Default/Overpayment Status
 Enrollment
 Loan Forgiveness
 Other _____
 Comments _____

Go to Step Three

Office Use Only

Customer Number _____

TG Number _____

Step Three

Agency Participation Agreement

If you wish to receive electronic Institutional Student Information Record (ISIR) data, you must complete this Agreement and by doing so you agree to obtain a signed release of information from the student prior to requesting the application record from the Central Processing System (CPS).

Since many States have programs which provide financial assistance to students attending institutions of higher education, and applicants for Federal student financial assistance generally also apply for assistance under such State programs, the administration of such State programs would be greatly assisted if selected data received or generated by the U.S. Department of Education concerning applicants for Federal student aid were provided to the Agencies administering those programs.

The Secretary of Education assists States in the administration of their State student aid programs by providing the State Scholarship and Grant Agency selected data in accordance with relevant provisions of the Privacy Act (5 U.S.C. 552a) as long as such Agency's use of that data is for limited specified purposes allowed under published routine uses in the applicable system of records notice and as long as such Agency safeguards the confidentiality of the information provided.

Therefore, in order to facilitate the awarding of financial assistance to students attending institutions of higher education under State financial assistance programs, and to coordinate the awarding of such assistance with financial assistance provided by the Federal government under the Higher Education Amendments of 1992 (Pub. L. 102- 325), the Secretary of Education (hereinafter referred to as the Secretary) will agree to furnish ISIR data in accordance with relevant provisions of the Privacy Act to

(Name of State Scholarship and Grant Agency administering higher education student financial aid program)

(Provide Agency Address)

(hereinafter referred to as Agency), on a periodic basis, the data set forth in Article I of this Agreement, with regard to persons applying for Federal student aid under the conditions set forth in Articles II and III of this Agreement.

Office Use Only

Customer Number _____

TG Number _____

Article I

1. Through publication of routine use 1(r) in the system of records notice for the Federal Student Aid Application File (18-11-01), in the Federal Register of August 3, 2011 (76 Fed. Reg. 46774-46781), the Department is permitted to furnish data described in paragraph 2 of this Article as a “routine use” to State agencies in order for them to determine an applicant’s eligibility for the award of State postsecondary education assistance and the award of aid by eligible institutions of higher education and to administer those awards. The data described in Paragraph 3 of this Article with respect to a given applicant will be furnished only upon the express written consent of that applicant. Prior to disseminating any records, the Secretary will make reasonable efforts to be assured that the records to be furnished are accurate, complete, timely, and relevant (5 U.S.C. 552a(e) (6)).
2. The data that will be furnished by the Secretary for all applicants residing, or applying for assistance, in the State of _____, include: the name of the Federal student aid applicant and that applicant’s address, Social Security number, date of birth, dependency status, year in school, the applicant’s Expected Family Contribution, and the Department of Education’s assigned institution number for the institution(s) of higher education which the applicant has indicated an interest in attending.
3. The data that will be furnished by the Secretary upon the expressed written request of those applicants residing, or applying for assistance, in the State of _____ include all the information contained in the “*Free Application for Federal Student Aid*” (FAFSA).

Article II

1. The Agency may use the data furnished to it under this Agreement only with regard to the administration of a State’s and the Federal government’s programs of financial assistance to students in institutions of higher education.
2. The Agency shall take all steps necessary to safeguard the confidentiality of the data received. The Agency may provide access and may release such data only to those personnel of the Agency who are specifically authorized by the Agency to receive and review that data in connection with the application for and receipt of financial aid for postsecondary education provided by or administered by the Agency.
3. For purposes of this Agreement, “administration” includes calculation of State student financial aid awards, research necessary for the proper administration of the State program, and verification of data provided by the applicant on State student aid applications. The Agency may, however, release to the public statistical summary data obtained from the information furnished by the Secretary, provided that the identity of individual Federal student aid applicants cannot be ascertained from such summary data.
4. The Agency agrees to comply with all provisions of the Higher Education Act of 1965, as amended (HEA).

Article III

1. This Agreement shall remain in force until terminated by the Agency or the Secretary. The expiration of this Agreement shall not, however, affect the obligations incurred by the Agency under this Agreement.
2. If the Secretary finds that there has been a failure to comply with this Agreement, the Agency shall, upon the request of the Secretary, return all the data under this Agreement and copies made of such data. Furthermore, the Secretary may terminate this Agreement or take such other action as may be necessary and appropriate to protect the interest of the United States and the Title IV, HEA Federal student aid applicants.

Go to Step Four

Send this form as instructed

Step Four: Responsibilities of the Primary and Non-Primary Destination Point Administrators

Each Destination Point Administrator (DPA) must read, sign, and submit this statement (with original signatures) and must keep a copy for the Agency's records.

1. Responsibilities of the Primary Destination Point Administrator (Primary DPA) and the Non-Primary DPA:

- Must ensure that SAIG computing resources are used only for official government business.
- Must ensure that a substantially established relationship with the applicant is in place (e.g., the applicant is a resident of the state or has applied for admission to an institution in that state) or must ensure that written consent from the applicant to disclose the data to the state has been provided to the Department before accessing Federal Student Aid systems to obtain privacy protected information about the student.
- Must maintain a profile within the EDconnect software unless the Agency uses TDClient. (See the EDconnect Help Text for instructions on how to create and maintain these profiles. See Attachment B of the SAIG Enrollment Form for the User Statement.)
- Only the DPA listed in Step One, Question 4, page 6 is permitted to sign up and use the National Student Loan Data System (NSLDS) as indicated in Question 6, page 7.
- Must use software provided by the Department to monitor SAIG mailbox activity. This software will keep track of who is using the Destination Point (TG Number/Mailbox), what information is being used, the date and time, and the batch number (if applicable).
- By applying for access to Federal Student Aid systems, must consent to monitoring, recording, and auditing, and acknowledge that information gained in this manner may be disclosed by the Department to an appropriate third-party (e.g., law enforcement personnel).
- Must ensure that all Federal Student Aid applicant information is protected from access by or disclosure to unauthorized personnel. In the event of an unauthorized disclosure or breach of applicant information or other sensitive information (such as personally identifiable information), the DPA must immediately notify Federal Student Aid at CPSSAIG@ed.gov.
- Must ensure that password sharing, the sharing of system access, and the use of any tools that allow access to the SAIG are strictly prohibited. (These tools are called "authenticators.")
- Must ensure that access is provided only to systems, networks, data, control information, and software for which the DPA is authorized.
- Must ensure that procedures for sanitizing stored information are followed (e.g., overwriting disks that contain sensitive information before reuse).
- The Non-Primary (DPA) must inform the Agency's Primary DPA when access to a Federal Student Aid system is no longer required (i.e. the individual is leaving a position or his or her job responsibilities have changed).

2. Additional Requirements of the Primary DPA:

- Must ensure that all users, whether DPAs or other authorized users, are aware of and are in compliance with all of the requirements of a DPA.
- Must maintain copies of all SAIG enrollment documents submitted to the Department, including the signed "Responsibilities of the Primary and Non-Primary Destination Point Administrators" form for all DPA's and the certification signed by the Agency's President/CEO/Equivalent Person or Designee.
- Must maintain a signed User Statement (see Attachment B) for anyone who is not a DPA but has access to Federal Student Aid systems, including by batch or online.
- Must review SAIG user reports provided by the Department to update user access rights.
- At least on an annual basis, must monitor the Agency's NSLDS user access by creating reports using the NSLDS Web site.
- Must ensure that the Agency has a process to inform the Primary DPA of any changes in a user's need for access to Federal Student Aid systems because of changes to job responsibilities or termination of employment. The Primary DPA must immediately deactivate or delete user access rights for Agency employees who no longer require access.

3. Agreements

The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) that intentional submission of false or misleading information to the U.S. Department of Education is subject to a fine of up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including 18 U.S.C. 1001). The Primary DPA and/or the Non-Primary DPA also agree(s) to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

4. Privacy Act Statement

We use the information that you provide on this form to enroll your institution and users for services with Federal Student Aid systems.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM AND DISCLOSURE OF SOCIAL SECURITY NUMBERS:

Title IV of the Higher Education Act of 1965, as amended (HEA); 20 U.S.C. 1070 *et seq.* Section 31 U.S.C. 7701 and Executive Order 9397 (November 23, 1943), as amended by Executive Order 13478 (November 18, 2008) authorize the collection of Social Security Numbers of users of this system. The Social Security Number is voluntary in order to identify individuals for Federal purposes, but you will not be provided with access to or use of the system if you do not provide a Social Security Number.

PURPOSE(S):

The information in this system entitled "Student Aid Internet Gateway (SAIG), Participation Management System" (18-11-10) is maintained for the purposes of: (1) Processing stored data from the SAIG Enrollment Forms (Web and paper versions); (2) maintaining the SAIG Enrollment Web site (titled FSAWebEnroll.ed.gov); (3) managing the assignment of individual electronic SAIG mailbox numbers, known as "TG numbers"; and (4) authenticating users of the CPS Online, electronic Campus Based (eCB) System, NSLDS Online, Common Origination and Disbursement (COD) System, Financial Management System (FMS), DMCS, *Federal Loan Servicers (TIVAS and NFP)*, and Access and Identity Management System (AIMS).

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

The Department may disclose information contained in a record in this system of records under the routine uses listed in the system of records notice, which was published on April 19, 2010 (75 Fed. Reg. 20346-20350) <http://edocket.access.gpo.gov/2010/2010-8959.htm> without the consent of the individual if the disclosure is compatible with the purposes for which the record was collected. These disclosures may be made on a case-by-case basis or, if the Department has complied with the computer matching requirements of the Privacy Act of 1974, as amended (Privacy Act), under a computer matching agreement.

(1) **Program Disclosures.** The Department may disclose records maintained in the SAIG, Participation Management System for the purpose of allowing authorized users who are eligible to participate in the electronic exchange of data with the Department to transmit files to and from the following Department databases and access the Department's Web sites online, based on the approved program functions of each of the Department's systems that include, but are not limited to the following:

- (a) COD System;
- (b) CPS, under the Federal Student Aid Application File system of records notice;
- (c) eCB System;
- (d) NSLDS;
- (e) FMS;
- (f) DMCS, under Common Services for Borrowers (CSB) system of records notice;
- (g) Federal Loan Servicers (TIVAS and NFP); and
- (h) AIMS.

(2) **Freedom of Information Act (FOIA) or Privacy Act Advice Disclosure.** The Department may disclose records to the Department of Justice (DOJ) and the Office of Management and Budget (OMB), if the Department seeks advice regarding whether records maintained in the system of records are required to be released under the FOIA or the Privacy Act of 1974.

(3) **Disclosure to the DOJ.** The Department may disclose records to the DOJ to the extent necessary for obtaining DOJ advice on any matter relevant to an audit, inspection, or other inquiry related to the programs covered by this system.

- (4) **Contract Disclosure.** If the Department contracts with an entity for the purposes of performing any function that requires disclosure of records in this system to employees of the contractor, the Department may disclose the records to those employees. Before entering into such a contract, the Department shall require the contractor to maintain Privacy Act safeguards as required under 5 U.S.C. 552a(m) with respect to the records in the system.
- (5) **Litigation and Alternative Dispute Resolution (ADR) Disclosures.** In the event of specified litigation or ADR, if the Department determines that disclosure of records to the DOJ, or attorneys engaged by DOJ, an adjudicative body before which the Department is authorized to appear, an individual or entity designated by the Department or otherwise empowered to resolve or mediate disputes, a party, counsel, representative or witness in an administrative proceeding is relevant and necessary to the litigation, the Department may disclose those records as a routine use to any of the foregoing individuals or entities.
- (6) **Research Disclosure.** The Department may disclose records to a researcher if an appropriate official of the Department determines that the individual or organization to which the disclosure would be made is qualified to carry out specific research related to functions or purposes of this system of records. The official may disclose records from this system of records to that researcher solely for the purpose of carrying out that research related to the functions or purposes of this system of records. The researcher shall be required to maintain Privacy Act safeguards with respect to the disclosed records.
- (7) **Congressional Member Disclosure.** The Department may disclose records to a member of Congress from the record of an individual in response to an inquiry from the member made at the written request of that individual. The Member's right to the information is no greater than the right of the individual who requested it.
- (8) **Disclosure for Use by Law Enforcement Agencies.** The Department may disclose information to any Federal, State, local or other agencies responsible for enforcing, investigating, or prosecuting violations of administrative, civil, or criminal law or regulation if that information is relevant to any enforcement, regulatory, investigative or prosecutorial responsibility within the entity's jurisdiction.
- (9) **Enforcement Disclosure.** In the event that information in this system of records indicates, either on its face or in connection with other information, a violation or potential violation of any applicable statute, regulation, or order of a competent authority, the Department may disclose the relevant records to the appropriate agency, whether foreign, Federal, State, tribal, or local, charged with the responsibility of investigating or prosecuting that violation or charged with enforcing or implementing the statute, Executive Order, rule, regulation, or order issued pursuant thereto.
- (10) **Employment, Benefit, and Contracting Disclosure.** The Department may disclose records to a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent records, or to another public authority or professional organization, if necessary to obtain information relevant to a Departmental or receiving entity's decision concerning the hiring or retention of an employee or other personnel action, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.
- (11) **Employee Grievance, Complaint or Conduct Disclosure.** The Department may disclose records to another agency of the Federal Government if the record is relevant to one of the following proceedings regarding a present or former employee of the Department: complaint, grievance, discipline or competence determination proceedings. The disclosure may only be made during the course of the proceeding.
- (12) **Labor Organization Disclosure.** The Department may disclose records to an arbitrator to resolve disputes under a negotiated grievance procedure or to officials of labor organizations recognized under 5 U.S.C. chapter 71 when relevant and necessary to their duties of exclusive representation.
- (13) **Disclosure in the Course of Responding to a Breach of Data.** The Department may disclose records to appropriate agencies, entities, and persons when (a) the Department suspects or has confirmed that the security or confidentiality of information in this system has been compromised; (b) the Department has determined that as a result of the suspected or confirmed compromise, there is a risk of harm to economic or property interests, identity theft or fraud or harm to the security or integrity of the system or other systems or programs (whether maintained by the Department or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, or other persons is reasonably necessary to assist in connection with the Department's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

PRIMARY AND NON-PRIMARY DPA RESPONSIBILITIES:

The information provided to the Primary and Non-Primary DPA by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the Primary and Non-Primary DPA, becomes his or her responsibility. Therefore, the Primary and Non-Primary DPA agree to protect the privacy of all information that has been provided by the U.S. Department of Education. The Primary and Non-Primary DPA understand that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an agency under false pretenses shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)(3)).

Without the information provided on the SAIG enrollment form, a Participating entity would be denied access to electronically transmit reports and data via the SAIG and would be denied access to all Web sites affiliated with this agreement as appropriate.

Non-Primary Destination Point Administrator's name _____ <small>(Printed name)</small>
Signature _____ Date _____ <small>(Must match name in Question 4 - original signature must be submitted)</small>
Primary Destination Point Administrator's Name _____ <small>(Printed name)</small>
Signature _____

Sign and send this form as instructed

Go to Step Five

Office Use Only
Customer Number _____
TG Number _____

Step Five: Certification of the President/CEO, Equivalent Person or Designee

1. Responsibilities of the President/CEO/Equivalent Person or Designee

As the President/CEO/Equivalent Person or Designee I certify that:

- The name of every person who is a SAIG DPA is included in this application (or is already on file with the SAIG),
- I will immediately notify CPS/SAIG Technical Support, 2450 Oakdale Blvd, Coralville, Iowa 52241 in writing when any person no longer serves as a designated authorized official or the Primary or Non-Primary DPA,
- I will not permit unauthorized use or sharing of SAIG passwords or codes that have been issued to you or to anyone else in my Agency,
- Each person who is a SAIG DPA for my agency has read and understood the responsibilities in Step Three,
- Each person who is a SAIG DPA for my agency has signed a copy of "Step Four: Responsibilities of the Primary and Non-Primary DPAs" and that a copy of Step Four with an original signature has been sent to the Department of Education, and
- Each person who is a SAIG DPA for my agency has made a copy of the signed Step Four document for his or her own files and a copy is maintained at my Agency.
- Through my signature below, I am causing the Agreement in Step 3 above, to be duly executed and agree to comply with the Articles of the Agreement.
- My Agency has provided security due diligence and will hire and allow only trustworthy staff to access Federal Student Aid (FSA) data. As a Department of Education Partner, I certify this individual to be trustworthy.

State Scholarship and Grant Agency Representative	
_____	_____
(Printed name)	(Printed title - Required)
Signature _____	Date _____
(Original signature must be submitted)	
Name of Agency _____	

U.S. Department of Education authorizing signature:

Signature _____

Print Name _____

Sign and send this form as instructed

Office Use Only	
Customer Number _____	
TG Number _____	

Attachment A: Glossary

Award Year. July 1 of one year through June 30 of the next year. For the Grant Services (Pell Grant, Iraq and Afghanistan Service Grant, and TEACH Grant), and the eCB programs systems, eligible students are paid out of funds designated for a particular award year.

Central Processing System (CPS). The CPS manages the application and eligibility determination portion of the federal student aid process. It gathers information from applicants via the *Free Application for Federal Student Aid (FAFSA)*, applies computerized edits and calculations to determine the student's eligibility, and communicates the results to the students, schools, state agencies, third-party servicers, and other federal computer systems.

Destination Point. An electronic mailbox that sends and receives financial aid data using the SAIG. Every Destination Point is administered by a Primary or Non-Primary Destination Point Administrator (DPA) and has an electronic mailbox number that begins with the letters "TG." (See *Destination Point Administrator*.)

Destination Point Administrator (DPA). An individual representing an organization involved in the administration of Title IV, HEA student financial aid programs (such as a postsecondary institution, Federal Family Education Loan Program (FFELP) Lender, FFELP Guaranty Agency or third-party servicer) that is enrolled in the SAIG and assigned a Destination Point. To enroll as a DPA, an organization's representative must complete and submit for processing the SAIG Enrollment Form to participate in the U.S. Department of Education's Student Aid Internet Gateway. The DPA is responsible for the SAIG users' access to Federal Student Aid systems, to ensure the data provided by these systems is protected according to the Privacy Act of 1974, as amended, as well as to ensure users do not inappropriately access records that are not associated with their organization. The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned to their Destination Points (TG Number/Mailboxes). Non-Primary DPAs are not allowed to make enrollment changes.

EDconnect. ED's telecommunications software that allows PC Windows users to send and receive data through the SAIG.

Electronic Services. Primary Destination Point Administrators (Primary DPAs) and their Secondary Destination Point Administrators (Secondary DPAs) for their organization may enroll users for FAA Access to CPS Online or EDconnect Entitlement Access. An FSA User ID and password are required to log in to both systems.

Federal Loan Servicer (Title IV Additional Servicer [TIVAS] or Not-For-Profit [NFP]). An entity that services Title IV, HEA loans owned by ED. These loans include, but are not limited to, William D. Ford Federal Direct Loan (Direct Loan) Program loans and Federal Family Education Loan Program (FFELP) Purchased Loans, more simply referred to as federally-owned loans. Current listings of the Department's federal loan servicers, including each servicer's contact information, are available at the Loan Servicing Centers for Schools and Loan Servicer Centers for Students pages on the Information for Financial Aid Professionals (IFAP) Web site.

Federal Student Aid (FSA). The 1998 Reauthorization of the Higher Education Act (HEA) established a performance-based organization to administer the Federal Student Aid programs at the U.S. Department of Education. These programs include the Federal Pell Grant program, the Iraq and Afghanistan Service Grant program, the TEACH Grant program, the Federal Supplemental Educational Opportunity Grant program, the Federal Direct Loan program, the Direct PLUS Loan program, the Federal Work Study program, and the Federal Perkins Loan program.

Free Application for Federal Student Aid (FAFSA). The application that the student must file to apply for aid from any Title IV, HEA program, including the Direct Loan and PLUS Loan programs. Students can apply online by using FAFSA on the Web (<http://fafsa.ed.gov>). The FAFSA is also printed and distributed free of charge by the U.S. Department of Education.

Institutional Student Information Record (ISIR). The term ISIR refers to all processed student information records that are sent electronically to institutions by the CPS. The ISIR contains all information reported on the Free Application for Federal Student Aid (FAFSA), key processing results, and NSLDS financial aid history information.

ISIR Datamart Request. This is a function of FAA Access to CPS Online that Destination Point Administrators can use to request ISIRs from the CPS.

National Student Loan Data System (NSLDS). A national database of student loan-level information and selected grant recipient data for loans and grants disbursed under the Title IV, HEA programs.

Non-Primary Destination Point Administrator (Non-Primary DPA). The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned to their Destination Points (TG Number/Mailboxes). Non-Primary DPAs are not allowed to make enrollment changes.

Non-resident. Students who indicate an institution on their FAFSA that is not within the student's state of legal residence).

Primary Destination Point Administrator (Primary DPA). An individual who has been appointed by the organization as the responsible party for the enrollment of the organization's additional Non-Primary DPA's which result in the establishment of their SAIG Mailboxes and may include FAA Access Online use. The Primary DPA is responsible for the SAIG users' access to Federal Student Aid systems, to ensure the data provided by these systems is protected according to the Privacy Act of 1974, as amended, as well as to ensure users do not inappropriately access records that are not associated with their organization. Note: The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned to their Destination Points (TG Number/Mailboxes).

Resident. Students who indicate an institution on their FAFSA that is within the student's state of legal residence.

SAIG User. An individual allowed access to a Destination Point (TG Number/Mailbox) by the assigned Destination Point Administrator (DPA). A SAIG user must read and sign a Federal Student Aid User Statement that the Primary DPA must maintain.

Social Security Number (SSN). The number assigned to an individual by the Social Security Administration and used as the identifying number for many Federal purposes, including identifying student financial aid program administrators and applicants participating in the Title IV, HEA programs.

Student Aid Internet Gateway (SAIG). The SAIG links thousands of Destination Points across the United States, its territories, and certain ED-designated foreign countries to the Higher Education Act's Title IV, HEA student financial aid programs and delivery system. Organizations enroll individuals as DPAs in the SAIG. DPAs are assigned Destination Points (electronic mailboxes), for receiving and transmitting data to various Title IV, HEA Federal Student Aid systems such as NSLDS and CPS. (See *Destination Point* and *Destination Point Administrator*.)

TDClient. ED's telecommunications software that allows Mainframe/Midrange users to send and receive data through the SAIG.

TG Number or TG Number/Mailbox. A TG Number is the identifier for an electronic mailbox. It is a five-digit alphanumeric number, preceded by "TG."

U.S. Department of Education (ED, Department). The federal agency responsible for the administration of Title IV, HEA Federal student aid programs.

Attachment B: Federal Student Aid User Statement

Any individual who accesses Federal Student Aid systems and/or uses resources that access those systems, whether by batch or online, must read and sign this statement. In addition, the Federal Student Aid User Statement must be signed by the *Electronic Services* User or Non-Primary DPA, the Primary DPA, and the original must be maintained by the organization. The user should keep a copy of the signed statement for his or her records.

The user understands that intentional submission of false or misleading information to the U.S. Department of Education is subject to a fine up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including 18 U.S.C. 1001). The user also agrees to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

The user understands that the information provided by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the user, becomes his or her responsibility. Therefore, the user agrees to protect the privacy of all information provided to him or her by the U.S. Department of Education. The user understands that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an agency under false pretenses, shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)(3)).

Appropriate Use of Federal Student Aid Systems

Appropriate uses of Federal Student Aid systems by an Electronic Services User or Non-Primary DPA (list is not exhaustive):

- Must use Electronic Services computing resources only for official government business.
- Must ensure that a substantially established relationship with the applicant is in place (e.g., the applicant is a resident of the State represented by the State Agency, has applied for admission to an institution in that State, or the Department has been provided with the applicant's written permission to disclose the information to your State Agency) before accessing Federal Student Aid systems to obtain privacy protected information about the student.
- Must know the names of the Primary DPA, the Non-Primary DPA and the Electronic Services User for each of the Destination Points accessed and how to contact those individuals.
- Must protect all Federal Student Aid systems from access by or disclosure to unauthorized personnel.
- Must report immediately to the Primary DPA any security incidents, potential threats, or vulnerabilities that involve Electronic Services or Non-Primary DPA resources.
- Must report to the Primary DPA any compromise, suspected compromises, or incidents of sharing of a password or any other authenticator.
- Must access only those systems, networks, data, control information, and software for which he or she is authorized.
- Must ensure that all Electronic Services information is marked according to its sensitivity and is properly controlled and stored.
- Must inform the Agency's Primary DPA when he or she no longer needs access to a Federal Student Aid system (i.e., the individual is leaving his or her position or his or her job responsibilities have changed).
- Must not add code that might be harmful to the SAIG or Electronic Services.

TG# _____

DPA Name for the above TG Number _____

Non-Primary DPA or Electronic Services User Name _____
(Print)

Non-Primary DPA or Electronic Services User Job Title _____ SSN _____

Phone # (____) _____

Non-Primary DPA or Electronic Services User Signature _____ Date _____

Primary DPA Signature _____ Date _____

(This statement with an original signature must be maintained by the Primary DPA.)

**Do Not Submit This User Statement -
The Original Must Be Retained By The Agency**

Attachment C: Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number. The valid OMB control number of this information collection is 1845-0002 and will expire on 12/31/2013.

The time required to complete this form is estimated to average 40 minutes per initial paper application response, 20 minutes per initial web application online, 15 minutes per updated response on paper, and 10 minutes per updated response online, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimates or suggestions for improving this form, write to:

U.S. Department of Education
Union Center Plaza
830 First Street, N.E.
Application Processing Division
UCP-63F1
Washington, D.C. 20202