

**PREAWARD SURVEY OF PROSPECTIVE CONTRACTOR
FINANCIAL CAPABILITY**

SERIAL NO. (For surveying activity use)

OMB Control Number:

9000-0011

PROSPECTIVE CONTRACTOR

Expiration Date: 12/31/2013

Public reporting burden for this collection of information is estimated to average 24 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Regulatory Secretariat (MVCB), Office of Acquisition Policy, GSA, 1800 F Street, NW, Washington, DC 20405.

SECTION I - RECOMMENDATION

1. RECOMMENDED

- a. COMPLETE AWARD b. PARTIAL AWARD (Quantity: _____) c. NO AWARD

2. TOTAL OFFERED PRICE

3. NARRATIVE (Cite those sections of the report which substantiate the recommendation. Give any other backup information in this space or on an additional sheet, if necessary.)

IF CONTINUATION SHEETS
ATTACHED - MARK HERE

4. SURVEY MADE BY	a. SIGNATURE	b. TELEPHONE NUMBER (Include area code)	c. DATE SIGNED
	a. SIGNATURE	b. TELEPHONE NUMBER (Include area code)	c. DATE REVIEWED

SECTION II - GENERAL

1. TYPE OF COMPANY <input type="checkbox"/> CORPORATION <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> SUBSIDIARY <input type="checkbox"/> DIVISION <input type="checkbox"/> PROPRIETORSHIP <input type="checkbox"/> OTHER (<i>Specify</i>)	3. NAME AND ADDRESS OF: a. PARENT CO. b. SUBSIDIARIES
2. YEAR ESTABLISHED:	

SECTION III - BALANCE SHEET/PROFIT AND LOSS STATEMENT

PART A - LATEST BALANCE SHEET			PART B - LATEST PROFIT AND LOSS STATEMENT		
1. DATE	2. FILED WITH		1. CURRENT PERIOD		2. FILED WITH
			a. FROM	b. TO	
3. FINANCIAL POSITION			3. NET SALES	a. CURRENT PERIOD \$	
a. Cash	\$			b. First prior fiscal year	
b. Accounts Receivable				c. Second prior fiscal year	
c. Inventory					
d. Other Current Assets					
e. Total Current Assets				a. CURRENT PERIOD \$	
f. Fixed Assets				b. First prior fiscal year	
g. Current Liabilities				c. Second prior fiscal year	
h. Long Term Liabilities					
i. Total Liabilities					
j. Net Worth			PART C - OTHER		
4. WORKING CAPITAL (<i>Current Assets less Current Liabilities</i>)			1. FISCAL YEAR ENDS (<i>Date</i>):		
			2. BALANCE SHEETS AND PROFIT AND LOSS STATEMENTS HAVE BEEN CERTIFIED		
			3. OTHER PERTINENT DATA		
5. RATIOS					
a. CURRENT ASSETS TO CURRENT LIABILITIES	b. ACID TEST (<i>Cash, temporary investments held in lieu of cash and current receivables to current liabilities</i>)	c. TOTAL LIABILITIES TO NET WORTH			

SECTION IV - PROSPECTIVE CONTRACTOR'S FINANCIAL ARRANGEMENTS

Mark "X" in appropriate column.	YES	NO	4. INDEPENDENT ANALYSIS OF FINANCIAL POSITION SUPPORTS THE STATEMENTS SHOWN IN ITEMS 1, 2, AND 3		
1. USE OF OWN RESOURCES			<input type="checkbox"/> YES <input type="checkbox"/> NO (<i>If "NO", explain</i>)		
2. USE OF BANK CREDITS					
3. OTHER (<i>Specify</i>)					

SECTION V - GOVERNMENT FINANCIAL AID

1. TO BE REQUESTED IN CONNECTION WITH PERFORMANCE OF PROPOSED CONTRACT		2. EXPLAIN ANY "YES" ANSWERS TO ITEMS 1a, b, AND c.			
Mark "X" in appropriate column.	YES	NO			
a. PROGRESS PAYMENT(S)					
b. GUARANTEED LOAN					
c. ADVANCE PAYMENTS					
3. FINANCIAL AID CURRENTLY OBTAINED FROM THE GOVERNMENT					
a. PROSPECTIVE CONTRACTOR RECEIVES GOVERNMENT FINANCING AT PRESENT	Complete items below only if item a., is marked "YES."				
<input type="checkbox"/> YES <input type="checkbox"/> NO	b. IS LIQUIDATION CURRENT?	c. AMOUNT OF UNLIQUIDATED PROGRESS PAYMENTS OUTSTANDING	DOLLAR AMOUNTS	(a) AUTHORIZED	(b) IN USE
	<input type="checkbox"/> YES <input type="checkbox"/> NO	\$	a. Guaranteed loans	\$	\$
			b. Advance payments	\$	\$
4. LIST THE GOVERNMENT AGENCIES INVOLVED			5. SHOW THE APPLICABLE CONTRACT NOS.		

SECTION VI - BUSINESS AND FINANCIAL REPUTATION

1. COMMENTS OF PROSPECTIVE CONTRACTOR'S BANK

2. COMMENTS OF TRADE CREDITORS

3. COMMENTS AND REPORTS OF COMMERCIAL FINANCIAL SERVICES AND CREDIT ORGANIZATIONS *(Such as, Dun & Bradstreet, Standard and Poor, etc.)*

4. MOST RECENT CREDIT RATING	▶	a. DATE		b. BY
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5. DOES PRICE APPEAR UNREALISTICALLY LOW? YES NO *(If Yes, explain in Section I NARRATIVE)*

6. DESCRIBE ANY OUTSTANDING LIENS OR JUDGEMENTS

SECTION VII - SALES (000'S) FOR NEXT SIX QUARTERS

CATEGORY	1	2	3	4	5	6	TOTAL
1. CURRENT CONTRACT SALES (Backlog)	\$	\$	\$	\$	\$	\$	\$
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
2. ANTICIPATED ADDITIONAL SALES							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
3. TOTALS							