

RES and EEI Grants - REAP Interim Rule  
7 CFR Part 4280-B

Section of Interim 4280.XXX	Title	Form No. (if Any)	Est. No. of Respondents	Reports Filed Annually	Total Annual Responses (D) x (E)	Est. No. of Man hours Per Response	Est. Total Man-hours (F) x (G)	Wage Class	Total Cost (H) x (I)
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
	<b>REPORTING REQUIREMENTS - NO FORMS</b>								
105	Appeals	written	5	1	5	12	60	60	\$3,600
FR Notice	SAM number for application	written	3,619	1	3,619	1	3,619	60	\$217,140
116(b)(1)(vi)	DUNS	written	3,619	1	3,619	1	3,619	60	\$217,140
	<b>Full Applications</b>								
116(b)(1)(ii)(C)	Cerification for contracts, grants, and loans* 7 CFR 3018.110, grant exceeds \$100,000	written	366	1	366	0.08	29	60	\$1,757
116(b)(1)(ii)(H)	Association or Relationship with Rural Development Employees	written	366	1	366	0.25	92	60	\$5,490
116(b)(2), (3), and (5)	Application Narrative - RES	written	285	1	285	40	11,400	60	\$684,000
116(b)(2), (3), and (5)	Application Narrative - EEI	written	81	1	81	40	3,240	60	\$194,400
116(b)(4)	Financial information (past, present and future)	Balance sheets and income statements	366	1	366	20	7,320	60	\$439,200
116(b)(6)	Self-scoring documentation	written	183	1	183	1.5	275	60	\$16,470
116(b)(7)(ii)(A)	Technical Reports - RES	Appendix B, C, or D	285	1	285	25	7,125	60	\$427,500
116(b)(7)(ii)(A)	Technical Reports - EEI	Appendix B	81	1	81	25	2,025	60	\$121,500
116(b)(7)(ii)(B)	Energy Audit - EEI (>\$50,000)	written	81	1	81	16	1,296	60	\$77,760
116(b)(8)	Feasibility study - RES		285	1	285	40	11,400	60	\$684,000
118	Insurance (flood, business interruption)	written	73	1	73	1.5	110	60	\$6,588
	<b>Simplified Applications</b>								
116(b)(1)(ii)(C)	Cerification for contracts, grants, and loans* 7 CFR 3018.110, grant exceeds \$100,000	written	3,253	1	3,253	0.08	260	60	\$15,614
116(b)(1)(ii)(H)	Association or Relationship with Rural Development Employees	written	3,253	1	3,253	0.25	813	60	\$48,795
116(b)(2) through (5)	Application Narrative - RES	written	1,297	1	1,297	40	51,880	60	\$3,112,800
116(b)(2) through (5)	Application Narrative - EEI	written	1,956	1	1,956	40	78,240	60	\$4,694,400
116(b)(6)	Self-scoring documentation	written	1,627	1	1,627	1.5	2,441	60	\$146,430
116(b)(7)(i)(A)	Technical Reports - RES	Appendix A, C, or D	1,297	1	1,297	25	32,425	60	\$1,945,500
116(b)(7)(i)(A)	Technical Reports - EEI	Appendix A	1,956	1	1,956	25	48,900	60	\$2,934,000
116(b)(7)(i)(B)	Energy Audit - EEI (>\$50,000)	written	587	1	587	16	9,392	60	\$563,520
118	Insurance (flood, business interruption)	written	3,253	1	3,253	0.5	1,627	60	\$97,590
	<b>Grantee Award Requirements</b>								
116(b)(5)	Evidence of matching funds	written	1,898	1	1,898	1	1,898	60	\$113,880
121 (Dept Reg)	Programmatic changes	written	19	1	19	0.5	10	60	\$570
121 (1951(e))	Transfers	written	38	1	38	0.5	19	60	\$1,140
122 (1951(e))	Disposition instructions for acquired property	written	19	1	19	0.5	10	60	\$570
126(b)	Power purchase agreement	written	95	1	95	1.5	143	60	\$8,550
FR Notice	Maintenance for SAM reporting	written	1,898	1	1,898	1	1,898	60	\$113,880
Grant agreement	Semiannual Project Performance Report	written	1,824	2	3,648	1	3,648	60	\$218,880
Grant agreement	Final Project Performance Report - RES	written	533	1	533	2	1,066	60	\$63,960
Grant agreement	Final Project Performance Report - EEI	written	1,365	1	1,365	1	1,365	60	\$81,900
Grant agreement	Outcome Project Performance Reports - RES	written	533	1	533	2	1,066	60	\$63,960
Grant agreement	Outcome Project Performance Reports - EEI	written	1,365	1	1,365	1	1,365	60	\$81,900

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REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET									
120(b)	Rural Business Cooperative Service Grant Agreement	RD 4280-2	1,898	1	1,898	1	1,898	60	\$113,880
116(b)(1)(ii)(A)	Cert. Regarding Drug-Free Workplace Req. (Grants) Alt I - For Grantees Other Than Individuals	AD-1049	3,619	1	3,619	0.25	905	60	\$54,285
116(b)(1)(ii)(B)	Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions	AD-1048	2,431	1	2,431	0.25	608	60	\$36,465
116(b)(1)(ii)(E)	Certification Regarding Debarment, Suspension & Other Resp. Matters-Primary Covered Trans.	AD-1047	3,619	1	3,619	0.25	905	60	\$54,285
<b>Average per year</b>					51,152		294,388		17,663,299
<b>Total over the three years</b>					153,457		883,165		\$52,989,898

REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS									
116(b)(1)(i)(A)	Application for Federal Assistance	SF 424 (4040-0004)	3,619	1	3,619	1	3,619	0	\$0
116(b)(1)(i)(B)	Budget Information - Construction Programs	SF 424C (4040-0008)	3,619	1	3,619	3	10,857	0	\$0
116(b)(1)(i)(C)	Assurances - Construction Programs	SF 424D (4040-0009)	3,619	1	3,619	0.25	905	0	\$0
116(b)(1)(i)(D)	Environmental Analysis	RD 1940-20	3,619	1	3,619	6	21,714	0	\$0
116(b)(1)(ii)(D)	Disclosure of Lobbying Activities	SF LLL (0348-0046)	74	1	74	0.16	12	0	\$0
116(b)(1)(ii)(F)	Equal Opportunity Agreement	RD 400-1	3,619	1	3,619	0.16	579	0	\$0
116(b)(1)(ii)(G)	Assurance Agreement	RD 400-4	3,619	1	3,619	0.25	905	0	\$0
119(e)(8)	Certificate of Contractor's Release	RD 1924-9	1,898	1	1,898	0.25	475	0	\$0
119(e)(8)	Release by Claimants	RD 1924-10	1,898	1	1,898	0.5	949	0	\$0
119(e)	Construction Contract	RD 1924-6	533	1	533	0.25	133	0	\$0
119(e) (via RD1924-6)	Compliance Statement	RD-400-6	533	1	533	0.25	133	0	\$0
120(a)	Letter of Intent	RD 1942-46	1,898	1	1,898	1.5	2,847	0	\$0
120(a)	Request for Obligation of Funds	RD 1940-1	1,898	1	1,898	0.25	475	0	\$0
121	Outlay Report and Request for Reimbursement for Construction Programs	SF 271 (0348-0002)	1,898	2	3,796	1	3,796	0	\$0
Grant agreement	Federal Financial Report	SF 425 (0348-0061)	1,898	2	3,796	1.5	5,694	0	\$0
Grant agreement	Performance Progress Report	SF PPR (0970-0334)	74	2	148	0.43	64	0	\$0

## Cost to the Federal Government - Grants

Activity	Number	# of Hours	Rate	TOTAL
Application review	3,619	20	\$ 42	\$ 3,039,960
Technical merit review	3,619	4	\$ 42	\$ 607,992
Approve and obligate funds	1,898	8	\$ 42	\$ 637,728
Servicing actions other than monitoring	36	4	\$ 42	\$ 6,048
Planning and Performance	533	16	\$ 42	\$ 358,176
Reporting and Grant Disbursement	1898	16	\$ 42	\$ 1,275,456
Appeals	5	16	\$ 42	\$ 3,360
Administrative Costs				\$ 697,200
<b>ANNUALIZED TOTAL</b>				<b>\$ 6,625,920</b>

The reviews are typically completed by GS-11 & 12 State Loan Specialists and GS-13 State Program Directors. The loans are typically approved by the State Director. The GS-8 or 9 State Loan Technician typically does the data entry in the computer system.

Section of Interim Rule 4280.XXX	Title	Form No. (if Any)	Est. No. of Respondents	Reports Filed Annually	Total Annual Responses (D) x (E)	Est. No. of Man hours Per Response	Est. Total Man-hours (F) x (G)	Wage Class	Total Cost (H) x (I)
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
<b>REPORTING REQUIREMENTS - NO FORMS</b>									
<b>REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET</b>									
105	Appeals	written	5	1	5	12	60	\$60	\$3,600
	FR Notice SAM number applications	written	15	1	15	1	15	\$60	\$900
116(b)(1)(v)	DUNS	written	15	1	15	1	15	\$60	\$900
128(b)(1)(i)-(v)	Application Narrative - RES	written	13	1	13	40	520	\$60	\$31,200
128(b)(1)(i)-(v)	Application Narrative - EEI	written	2	1	2	40	80	\$60	\$4,800
128(b)(1)(v)	Technical Reports - RES	Appendix C	13	1	13	25	325	\$60	\$19,500
128(b)(1)(v)	Technical Reports - EEI	Appendix A	2	1	2	20	40	\$60	\$2,400
128(b)(2)(ii)	Personal credit reports	written	820	1	820	2	1,640	\$60	\$98,400
128(b)(2)(iv)	Appraisals	written	36	1	36	2	72	\$60	\$4,320
128(b)(2)(v)	Commercial credit reports	written	15	1	15	2	30	\$60	\$1,800
128(b)(2)(v)	Personal and corporate financial statements of guarantors	written	835	1	835	2	1,670	\$60	\$100,200
128(b)(2)(vii)	Financial information (past, present and future)	Balance sheets and income statements	15	1	15	20	300	\$60	\$18,000
128(b)(1)(viii)	Feasibility study - RES	Appendix D	13	1	13	20	260	\$60	\$15,600
128(b)(1)(ix)	Lender's analysis	written	820	1	820	1.5	1,230	\$60	\$73,800
128(b)(1)(x)	Lender's certification	written	820	1	820	0.5	410	\$60	\$24,600
128(b)(1)(xi)	Proposed or sample loan agreement	written	820	1	820	2	1,640	\$60	\$98,400
149	Outcome project performance	written	15	1	15	2	30	\$60	\$1,800
137	Repurchase from holder	written	3	1	3	2.5	8	\$60	\$450
138	Replacement of document	written	1	1	1	2	2	\$60	\$120
125(b)	Interest rate change	written	4	1	4	0.5	2	\$60	\$120
131(d)	Planning and performing development for lender	written	15	1	15	12	180	\$60	\$10,800
144	Transfer of lender	written	11	1	11	1	11	\$60	\$660
145	Changes in borrowers	written	21	1	21	1	21	\$60	\$1,260
146	Conditions precedent to issuance of guarantee	written	559	1	559	2	1,118	\$60	\$67,080
147	issuance of loan note guarantee	written	559	1	559	1	559	\$60	\$33,540
148	Refusal to issue loan note guarantee	written	3	1	3	3	9	\$60	\$540
152(a)	Loan classification	written	556	1	556	0.5	278	\$60	\$16,680
152(a)	Agency and lender conference	written	36	1	36	1.5	54	\$60	\$3,240
152(a)	Quarterly financial reports	written	559	4	2236	0.5	1,118	\$60	\$67,080
152(a)	Annual financial reports	written	559	1	559	2	1,118	\$60	\$67,080
FR Notice	Maintenance for SAM reporting	written	15	1	15	1.5	23	\$60	\$1,350
152(a)	Borrower visits	written	559	1	559	2	1,118	\$60	\$67,080
152(b)	Interest rate adjustments	written	3	1	3	0.5	2	\$60	\$90
152(c)	Release of collateral	written	6	1	6	2	12	\$60	\$720
152(d)	Subordination of lien position	written	6	1	6	2	12	\$60	\$720
152(e)	Alterations of loan instruments	written	3	1	3	1.5	5	\$60	\$270
152(f)	Loan transfer and assumption	written	6	1	6	3.5	21	\$60	\$1,260
152(f)(1)	Credit reports	written	9	1	9	0.5	5	\$60	\$270
152(f)	Appraisal reports	written	16	1	16	1	16	\$60	\$960
153	Substitution of lender	written	3	1	3	1	3	\$60	\$180
154	Default by borrower	written	3	1	3	1	3	\$60	\$180
154	Curative actions	written	3	1	3	1.5	5	\$60	\$270
155	Protective advances	written	3	1	3	1	3	\$60	\$180
156	Liquidation plan	written	3	1	3	6	18	\$60	\$1,080
156	Acceleration	written	3	1	3	0.5	2	\$60	\$90
156	Accounting and reports	written	36	1	36	0.5	18	\$60	\$1,080
160	Termination of guarantee	written	56	1	56	0.5	28	\$60	\$1,680
<b>REPORTING REQUIREMENT - FORMS APPROVED UNDER THIS DOCKET</b>									
182(a)(2)(i)	Application for a loan guarantee (>\$600,000)	RD 4279-1 (0570-0017)	110	1	110	4	440	\$60	\$26,400
128(c)	Application for a loan guarantee (<=\$600,000)	4279-1A (0570-0017)	710	1	710	3	2,130	\$60	\$127,800
143	Conditional Commitment	RD 4279-3 (0570-0017)	559	1	559	0.25	140	\$60	\$8,385
147(b)	Lender's Agreement	RD 4279-4	559	1	559	2	1,118	\$60	\$67,080
134	Assignment Guaranteed Agreement	RD 4279-6 (0570-0017)	1	1	1	0.33	0	\$60	\$20
142(a)	Unconditional Guarantee	RD 4279-14	559	1	559	0.5	280	\$60	\$16,770
<b>3-year Average Totals</b>					12,068		18,213		\$1,092,785
<b>Totals for 3 years</b>					36,204		54,639		3,278,354
<b>REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS</b>									
128(b)(2)(ii)	Environmental Analysis	RD 1940-20	15	1	15	1	15	\$0	\$0
147(a)(3)	Guaranteed Loan Closing Report	RD 1980-19 (0575-0137)	559	1	559	1	559	\$0	\$0
152(f)(4)	Loan Note Guarantee Report of Loss	RD 449-30 (0575-0137)	559	1	559	25	13,975	\$0	\$0

## RES EEI Guaranteed Loans - REAP Interim Rule

152(a)	Guaranteed Loan Status Report	RD 1980-41 (0570-0016)	559	2	1118	0.33	369	\$0	\$0
156	Lender's Guaranteed Loan Payment to the Agency	RD 1980-43 (0575-0137)	559	1	559	0.5	280	\$0	\$0
154	Guaranteed Loan Delinquent Status Borrower	RD 1980-44 (0570-0016)	3	6	18	0.33	6	\$0	\$0

### Cost to the Federal Government - Loans

Activity	Number	# of Hours	Rate	TOTAL
Review application and lender's analysis and complete negotiations, site visit and environmental review	835	20	\$ 42	\$ 701,400
Review Technical Reports	15	4	\$ 42	\$ 2,520
Approve loan and obligate funds	559	8	\$ 42	\$ 187,824
Review documents and issue guarantee	559	6	\$ 42	\$ 140,868
Replacement of documents	1	3	\$ 42	\$ 126
Appeals	5	16	\$ 42	\$ 3,360
Financial statement review	559	3	\$ 42	\$ 70,434
Servicing actions	559	4	\$ 42	\$ 93,912
Borrower/lender visits	559	3	\$ 42	\$ 70,434
Outcome project performance	15	1	\$ 42	\$ 630
<b>ANNUALIZED TOTAL</b>				<b>\$ 1,271,508</b>

The reviews are typically completed by GS-11 & 12 State Loan Specialists and GS-13 State Program Directors. The loans are typically approved by the State Director. The GS-8 or 9 State Loan Technician typically does the data entry in the computer system.

Program	Number of respondents	Total Annual Responses	Est. Total Man-hours	Wage Class	Total Cost	Number of hours per response
RES-EEI Grant	3619	51,152	294,388	\$60 \$	17,663,299	5.76
RES-EEI Loan	820	12,068	18,213	\$60 \$	1,092,785	1.51
<b>Total # of unique respondents</b>	<b>3634</b>	<b>63,220</b>	<b>312,601</b>		<b>\$18,756,084</b>	<b>4.94 Average hour per response</b>